

Control	0052-05-046, ETC.
Project	F 2025(306), ETC.
Highway	US 84
County	LAMB, ETC.

## ADDENDUM ACKNOWLEDGMENT

**Each bidder is required to acknowledge receipt of an addendum issued for a specific project. This page is provided for the purpose of acknowledging an addendum.**

FAILURE TO ACKNOWLEDGE RECEIPT OF AN ADDENDUM WILL RESULT IN THE BID NOT BEING READ.

In order to properly acknowledge an addendum place a mark in the box next to the respective addendum.

- ADDENDUM NO. 1
- ADDENDUM NO. 2
- ADDENDUM NO. 3
- ADDENDUM NO. 4
- ADDENDUM NO. 5

In addition, the bidder by affixing their signature to the signature page of the proposal is acknowledging that they have taken the addendum(s) into consideration when preparing their bid and that the information contained in the addendum will be included in the contract, if awarded by the Commission or other designees.

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# PROPOSAL TO THE TEXAS TRANSPORTATION COMMISSION

## 2024 SPECIFICATIONS

### WORK CONSISTING OF REMOVE/REPLACE BRIDGE RAIL LAMB COUNTY, TEXAS, Etc.

The quantities in the proposal are approximate. The quantities of work and materials may be increased or decreased as considered necessary to complete the work as planned and contemplated.

This project is to be completed in 264 working days and will be accepted when fully completed and finished to the satisfaction of the Executive Director or designee.

Provide a proposal guaranty in the form of a Cashier's Check, Teller's Check (including an Official Check) or Bank Money Order on a State or National Bank or Savings and Loan Association, or State or Federally chartered Credit Union made payable to the Texas Transportation Commission in the following amount:

ONE HUNDRED THOUSAND (Dollars) ( \$100,000 )

A bid bond may be used as the required proposal guaranty. The bond form may be detached from the proposal for completion. The proposal may not be disassembled to remove the bond form. The bond must be in accordance with Item 2 of the specifications.

Any addenda issued amending this proposal and/or the plans that have been acknowledged by the bidder, become part of this proposal.

By signing the proposal the bidder certifies:

1. the only persons or parties interested in this proposal are those named and the bidder has not directly or indirectly participated in collusion, entered into an agreement or otherwise taken any action in restraint of free competitive bidding in connection with the above captioned project.
2. in the event of the award of a contract, the organization represented will secure bonds for the full amount of the contract.
3. the signatory represents and warrants that they are an authorized signatory for the organization for which the bid is submitted and they have full and complete authority to submit this bid on behalf of their firm.
4. that the certifications and representations contained in the proposal are true and accurate and the bidder intends the proposal to be taken as a genuine government record.

• **Signed:** \*\*

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Print Name:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Title:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Company:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

- Signatures to comply with Item 2 of the specifications.

\*\*Note: Complete (1) for single venture, through (2) for joint venture and through (3) for triple venture.

\* **When the working days field contains an asterisk (\*) refer to the Special Provisions and General Notes.**

## **NOTICE TO CONTRACTORS**

**ANY CONTRACTORS INTENDING TO BID ON ANY WORK TO BE AWARDED BY THIS DEPARTMENT MUST SUBMIT A SATISFACTORY “AUDITED FINANCIAL STATEMENT” AND “EXPERIENCE QUESTIONNAIRE” AT LEAST TEN DAYS PRIOR TO THE LETTING DATE.**

**UNIT PRICES MUST BE SUBMITTED IN ACCORDANCE WITH ITEM 2 OF THE STANDARD SPECIFICATIONS OR SPECIAL PROVISION TO ITEM 2 FOR EACH ITEM LISTED IN THIS PROPOSAL.**

# TEXAS DEPARTMENT OF TRANSPORTATION

## BID BOND

KNOW ALL PERSONS BY THESE PRESENTS,

That we, (Contractor Name) \_\_\_\_\_  
\_\_\_\_\_

Hereinafter called the Principal, and (Surety Name) \_\_\_\_\_  
\_\_\_\_\_

a corporation or firm duly authorized to transact surety business in the State of Texas, hereinafter called the Surety, are held and firmly bound unto the Texas Department of Transportation, hereinafter called the Oblige, in the sum of not less than two percent (2%) of the department's engineer's estimate, rounded to the nearest one thousand dollars, not to exceed one hundred thousand dollars (\$100,000) as a proposal guaranty (amount displayed on the cover of the proposal), the payment of which sum will and truly be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the principal has submitted a bid for the following project identified as:

<b>Control</b>	<b>0052-05-046, ETC.</b>
<b>Project</b>	<b>F 2025(306), ETC.</b>
<b>Highway</b>	<b>US 84</b>
<b>County</b>	<b>LAMB, ETC.</b>

NOW, THEREFORE, if the Oblige shall award the Contract to the Principal and the Principal shall enter into the Contract in writing with the Oblige in accordance with the terms of such bid, then this bond shall be null and void. If in the event of failure of the Principal to execute such Contract in accordance with the terms of such bid, this bond shall become the property of the Oblige, without recourse of the Principal and/or Surety, not as a penalty but as liquidated damages.

Signed this \_\_\_\_\_ Day of \_\_\_\_\_ 20\_\_\_\_\_

By: \_\_\_\_\_  
(Contractor/Principal Name)

\_\_\_\_\_  
(Signature and Title of Authorized Signatory for Contractor/Principal)

\*By: \_\_\_\_\_  
(Surety Name)

\_\_\_\_\_  
(Signature of Attorney-in-Fact)

Impressed  
Surety Seal  
Only

\*Attach Power of attorney (Surety) for Attorney-in-Fact

**This form may be removed from the proposal.**

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# BIDDER'S CHECK RETURN

## IMPORTANT

The space provided for the return address must be completed to facilitate the return of your bidder's check. Care must be taken to provide a legible, accurate, and complete return address, including zip code. A copy of this sheet should be used for each different return address.

## NOTE

Successful bidders will receive their guaranty checks with the executed contract.

RETURN BIDDERS CHECK TO (PLEASE PRINT):


<b>Control</b>	<b>0052-05-046, ETC.</b>
<b>Project</b>	<b>F 2025(306), ETC.</b>
<b>Highway</b>	<b>US 84</b>
<b>County</b>	<b>LAMB, ETC.</b>

## IMPORTANT

### PLEASE RETURN THIS SHEET IN ITS ENTIRETY

Please acknowledge receipt of this check(s) at your earliest convenience by signing below in longhand, in ink, and returning this acknowledgement in the enclosed self addressed envelope.

Check Received By: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_

For (Contractor's Name): \_\_\_\_\_

Project \_\_\_\_\_ County \_\_\_\_\_

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## NOTICE TO THE BIDDER

In the space provided below, please enter your total bid amount for this project. Only this figure will be read publicly by the Department at the public bid opening.

It is understood and agreed by the bidder in signing this proposal that the total bid amount entered below is not binding on either the bidder or the Department. It is further agreed that **the official total bid amount for this proposal will be determined by multiplying the unit bid prices for each pay item by the respective estimated quantities shown in this proposal and then totaling all of the extended amounts.**

\$ \_\_\_\_\_  
**Total Bid Amount**

Control 0001-03-030  
 Project STP 2000(938)HES  
 Highway SH 20  
 County EL PASO

ALT	ITEM	DESC	SP	Bid Item Description	Unit	Quantity	Bid Price	Amount	Seq
	I04	509	X	REMOV CONC (SDWLK)	MSY	266.400	\$10.000	\$2,664.00	1
						Total Bid Amount	\$2,664.00		

Signed \_\_\_\_\_  
 Title \_\_\_\_\_  
 Date \_\_\_\_\_

Additional Signature for Joint Venture:

Signed \_\_\_\_\_  
 Title \_\_\_\_\_  
 Date \_\_\_\_\_

**EXAMPLE OF BID PRICES SUBMITTED BY COMPUTER PRINTOUT**

EXAMPLE

EXAMPLE

EXAMPLE

EXAMPLE

# EXAMPLES

## BID PRICES SUBMITTED BY HAND WRITTEN FORMAT

ALT	ITEM-CODE			UNIT BID PRICE <u>ONLY</u> WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC NO	S.P. NO.				
	190	026		RED OAK 1 1/2 - 1 3/4 GAL BB	EA	9.000	1

**Unit price for each plant in place**

	249	014		FLEX BASE(DEL)(DENSOT)(TY A GR4 CL2)	TON	56,787.00	14

**Unit price for each ton of Flexible Base**

	430	001	001	CL A CONC FOR EXT STR (CULV)	CY	45.000	27

**Unit price for each cubic yard of Concrete**

	610	007	001	RDWY ILL ASSEM(TY ST 50T-8-8)(.4 KW)S	EA	13.000	7

**Unit price of each Roadway Illumination Assembly**

EXAMPLE

EXAMPLE

EXAMPLE

EXAMPLE

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PROJECT F 2025(306) , ETC.  
 COUNTY LAMB , ETC.

Proposal Sheet  
 TxDOT  
 FORM 234

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	106	7002		OBLITERATING ABANDONED ROAD DOLLARS and CENTS	SY	1,632.000	1
	132	7005		EMBANK (FNL)(OC)(TY C) DOLLARS and CENTS	CY	42.000	2
	134	7002		BACKFILL (TY B) DOLLARS and CENTS	STA	10.000	3
	150	7001		BLADING DOLLARS and CENTS	STA	10.000	4
	216	7001		PROOF ROLLING DOLLARS and CENTS	HR	50.000	5
	314	7011		EMULS ASPH (EROSN CONT)(CSS-1H) DOLLARS and CENTS	GAL	25,309.000	6
	315	7004		FOG SEAL (CSS-1H) DOLLARS and CENTS	GAL	57,817.000	7
	341	7028		D-GR HMA TY-C SAC-B PG70-28 DOLLARS and CENTS	TON	28,518.000	8
	341	7082		TACK COAT DOLLARS and CENTS	GAL	34,717.000	9
	347	7003		TOM-C PG76-28 SAC-A DOLLARS and CENTS	TON	46,546.000	10
	347	7011		TACK COAT DOLLARS and CENTS	GAL	28,745.000	11

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	351	7001		FLEXIBLE PAVEMENT STRUCTURE REPAIR(2")  DOLLARS and CENTS	SY	21,664.000	12
	351	7005		FLEXIBLE PAVEMENT STRUCTURE REPAIR(6")  DOLLARS and CENTS	SY	14,442.000	13
	354	7031		PLANE ASPH CONC PAV(0" TO 1")  DOLLARS and CENTS	SY	978.000	14
	354	7051		PLANE ASPH CONC PAV(2")  DOLLARS and CENTS	SY	223,440.000	15
	354	7052		PLANE ASPH CONC PAV(3")  DOLLARS and CENTS	SY	24,177.000	16
	416	7028		DRILL SHAFT (SIGN MTS) (24 IN)  DOLLARS and CENTS	LF	128.000	17
	416	7038		DRILL SHAFT (HIGH MAST POLE) (66 IN)  DOLLARS and CENTS	LF	198.000	18
	416	7044		DRILL SHAFT (TRF SIG POLE) (36 IN)  DOLLARS and CENTS	LF	26.000	19
	416	7045		DRILL SHAFT (TRF SIG POLE) (42 IN)  DOLLARS and CENTS	LF	36.000	20
	429	7008		CONC STR REPR(RAPID VERT AND OVER- HEAD)  DOLLARS and CENTS	SF	200.000	21
	432	7002		RIPRAP (CONC)(5 IN)  DOLLARS and CENTS	CY	15.920	22

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	432	7014		RIPRAP (MOW STRIP)(5 IN)  DOLLARS and CENTS	CY	2,575.200	23
	451	7024		RETROFIT RAIL (TY SSTR)  DOLLARS and CENTS	LF	200.000	24
	483	7016		SHOT BLASTING  DOLLARS and CENTS	SY	44.000	25
	496	7037		REMOV STR (PIPE)  DOLLARS and CENTS	EA	1.000	26
	500	7001		MOBILIZATION  DOLLARS and CENTS	LS	1.000	27
	502	7001		BARRICADES, SIGNS AND TRAFFIC HAN- DLING  DOLLARS and CENTS	MO	16.000	28
	503	7001		PORTABLE CHANGEABLE MESSAGE SIGN  DOLLARS and CENTS	DAY	960.000	29
	505	7001		TMA (STATIONARY)  DOLLARS and CENTS	DAY	528.000	30
	505	7003		TMA (MOBILE OPERATION)  DOLLARS and CENTS	DAY	81.000	31
	506	7020		CONSTRUCTION EXITS (INSTALL) (TY 1)  DOLLARS and CENTS	SY	444.000	32
	506	7024		CONSTRUCTION EXITS (REMOVE)  DOLLARS and CENTS	SY	222.000	33
	506	7034		CONSTRUCTION PERIMETER FENCE  DOLLARS and CENTS	LF	519.000	34

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	506	7035		SANDBAGS FOR EROSION CONTROL DOLLARS and CENTS	EA	525.000	35
	506	7045		BIODEG EROSN CONT LOGS (INSTL) (18") DOLLARS and CENTS	LF	5,930.000	36
	506	7046		BIODEG EROSN CONT LOGS (REMOVE) DOLLARS and CENTS	LF	2,970.000	37
	512	7077		PTB (FUR&INST)(F SHAPE)(TY 1) OR (STL) DOLLARS and CENTS	LF	900.000	38
	512	7080		PTB (REMOVE)(F SHAPE)(TY 1) OR (STL) DOLLARS and CENTS	LF	900.000	39
	533	7001		MILL RUMBLE STRIPS (ASPHALT) (SHLDR) DOLLARS and CENTS	LF	334,114.000	40
	540	7002		MTL W-BEAM GD FEN (STEEL POST) DOLLARS and CENTS	LF	300.000	41
	540	7009		MTL W-BEAM GD FEN ADJUSTMENT DOLLARS and CENTS	LF	4,975.000	42
	540	7015		DOWNSTREAM ANCHOR TERMINAL SEC- TION DOLLARS and CENTS	EA	2.000	43
	540	7016		MTL BM GD FEN TRANS (NON - SYM) DOLLARS and CENTS	EA	2.000	44
	540	7020		MTL THRIE-BEAM GD FEN (STEEL POST) DOLLARS and CENTS	EA	2.000	45
	542	7001		REMOVE METAL BEAM GUARD FENCE DOLLARS and CENTS	LF	300.000	46



ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	542	7002		REMOVE TERMINAL ANCHOR SECTION DOLLARS and CENTS	EA	2.000	47
	543	7002		CABLE BARRIER SYSTEM (INSTALL)(TL-4) DOLLARS and CENTS	LF	53,270.000	48
	543	7018		CABLE BARRIER TERM SEC (INSTL)(TL-4) DOLLARS and CENTS	EA	54.000	49
	544	7001		GUARDRAIL END TREATMENT (INSTALL) DOLLARS and CENTS	EA	2.000	50
	544	7003		GUARDRAIL END TREATMENT (REMOVE) DOLLARS and CENTS	EA	2.000	51
	545	7004		CRASH CUSH ATTEN (REMOVE) DOLLARS and CENTS	EA	2.000	52
	545	7014		CRASH CUSH ATTEN (INSTL)(S)(N)(TL3) DOLLARS and CENTS	EA	2.000	53
	613	7008		HI MST IL POLE (175 FT)(100 MPH) DOLLARS and CENTS	EA	3.000	54
	614	7001		LED HI MST IL ASM (6 FIXT) (TY S) DOLLARS and CENTS	EA	1.000	55
	614	7003		LED HI MST IL ASM (6 FIXT) (TY B) DOLLARS and CENTS	EA	2.000	56
	618	7009		CONDT (HDPE) (2") DOLLARS and CENTS	LF	2,755.000	57
	618	7010		CONDT (HDPE) (2") BORE DOLLARS and CENTS	LF	515.000	58

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	618	7015		CONDT (HDPE) (4")  DOLLARS and CENTS	LF	590.000	59
	618	7016		CONDT (HDPE) (4") BORE  DOLLARS and CENTS	LF	350.000	60
	620	7009		ELEC CONDR (NO.6) BARE  DOLLARS and CENTS	LF	2,520.000	61
	620	7011		ELEC CONDR (NO.4) BARE  DOLLARS and CENTS	LF	1,645.000	62
	620	7012		ELEC CONDR (NO.4) INSULATED  DOLLARS and CENTS	LF	3,290.000	63
	620	7016		ELEC CONDR (NO.2) INSULATED  DOLLARS and CENTS	LF	135.000	64
	624	7002		GROUND BOX TY A (122311)W/APRON  DOLLARS and CENTS	EA	24.000	65
	624	7008		GROUND BOX TY D (162922)W/APRON  DOLLARS and CENTS	EA	10.000	66
	628	7048		ELC SRV TY A 240/480 060(NS)SS(E)SP(O)  DOLLARS and CENTS	EA	1.000	67
	628	7156		ELC SRV TY D 120/240 060(NS)SS(N)SP(O)  DOLLARS and CENTS	EA	1.000	68
	636	7001		ALUMINUM SIGNS (TY A)  DOLLARS and CENTS	SF	285.000	69
	636	7002		ALUMINUM SIGNS (TY G)  DOLLARS and CENTS	SF	981.500	70

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	644	7004		IN SM RD SN SUP&AM TY10BWG(1)SA(T) DOLLARS and CENTS	EA	27.000	71
	644	7025		IN SM RD SN SUP&AM TYS80(1)SA(P) DOLLARS and CENTS	EA	1.000	72
	644	7028		IN SM RD SN SUP&AM TYS80(1)SA(T) DOLLARS and CENTS	EA	7.000	73
	644	7031		IN SM RD SN SUP&AM TYS80(1)SA(U) DOLLARS and CENTS	EA	4.000	74
	644	7073		REMOVE SM RD SN SUP&AM DOLLARS and CENTS	EA	46.000	75
	647	7001		INSTALL LRSS (STRUCT STEEL) DOLLARS and CENTS	LB	5,288.960	76
	647	7003		REMOVE LRSA DOLLARS and CENTS	EA	6.000	77
	658	7014		INSTL DEL ASSM (D-SW)SZ 1(BRF)CTB (BR) DOLLARS and CENTS	EA	10.000	78
	658	7020		INSTL DEL ASSM (D-SW)SZ 1(BRF)GF2(BR) DOLLARS and CENTS	EA	8.000	79
	658	7050		INSTL DEL ASSM (D-DY)SZ 1(YFLX)GND DOLLARS and CENTS	EA	54.000	80
	658	7078		REMOVE DELIN & OBJECT MARKER ASSMS DOLLARS and CENTS	EA	6.000	81
	662	7005		WK ZN PAV MRK NON-REMOV (W)6"(BRK) DOLLARS and CENTS	LF	95,698.000	82

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	662	7008		WK ZN PAV MRK NON-REMOV (W)6"(SLD) DOLLARS and CENTS	LF	352,546.000	83
	662	7010		WK ZN PAV MRK NON-REMOV (W)8"(DOT) DOLLARS and CENTS	LF	8,728.000	84
	662	7012		WK ZN PAV MRK NON-REMOV (W)8"(SLD) DOLLARS and CENTS	LF	26,762.000	85
	662	7038		WK ZN PAV MRK NON-REMOV (Y)6"(SLD) DOLLARS and CENTS	LF	363,590.000	86
	662	7058		WK ZN PAV MRK REMOV (TRAF BTN) TY W DOLLARS and CENTS	LF	7,040.000	87
	662	7060		WK ZN PAV MRK REMOV (TRAF BTN) TY Y DOLLARS and CENTS	LF	7,040.000	88
	662	7112		WK ZN PAV MRK SHT TERM (TAB)TY W DOLLARS and CENTS	EA	13,514.000	89
	666	7018		REFL PAV MRK TY I (W)8"(DOT)(100MIL) DOLLARS and CENTS	LF	4,247.000	90
	666	7024		REFL PAV MRK TY I (W)8"(SLD)(100MIL) DOLLARS and CENTS	LF	13,063.000	91
	666	7290		TY I HIGH PERF PM (W)6"(BRK)(100MIL) DOLLARS and CENTS	LF	46,736.000	92
	666	7293		TY I HIGH PERF PM (W)6"(SLD)(100MIL) DOLLARS and CENTS	LF	172,074.000	93
	666	7305		TY I HIGH PERF PM (Y)6"(SLD)(100MIL) DOLLARS and CENTS	LF	175,963.000	94

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	668	7009		PREFAB PM TY B (W)(6")(SLD) DOLLARS and CENTS	LF	150.000	95
	668	7021		PREFAB PM TY B (W)(24")(SLD) DOLLARS and CENTS	LF	16.000	96
	668	7024		PREFAB PM TY B (W)(DBL ARROW) DOLLARS and CENTS	EA	1.000	97
	668	7051		PREFAB PM TY B (Y)(6")(SLD) DOLLARS and CENTS	LF	134.000	98
	668	7087		PREFAB PM TY C (W)(12")(SLD) DOLLARS and CENTS	LF	361.000	99
	668	7089		PREFAB PM TY C (W)(24")(SLD) DOLLARS and CENTS	LF	589.000	100
	668	7091		PREFAB PM TY C (W)(ARROW) DOLLARS and CENTS	EA	107.000	101
	668	7093		PREFAB PM TY C (W)(DBL ARROW) DOLLARS and CENTS	EA	3.000	102
	668	7111		PREFAB PM TY C (W)(36")(YLD TRI) DOLLARS and CENTS	EA	507.000	103
	672	7006		REFL PAV MRKR TY II-C-R DOLLARS and CENTS	EA	2,099.000	104
	677	7002		ELIM EXT PM & MRKS (6") DOLLARS and CENTS	LF	413,646.000	105
	677	7004		ELIM EXT PM & MRKS (8") DOLLARS and CENTS	LF	20,750.000	106

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	677	7006		ELIM EXT PM & MRKS (12") DOLLARS and CENTS	LF	361.000	107
	677	7008		ELIM EXT PM & MRKS (24") DOLLARS and CENTS	LF	16.000	108
	678	7002		PAV SURF PREP FOR MRK (6") DOLLARS and CENTS	LF	2,162.000	109
	678	7008		PAV SURF PREP FOR MRK (24") DOLLARS and CENTS	LF	16.000	110
	678	7010		PAV SURF PREP FOR MRK (DBL ARROW) DOLLARS and CENTS	EA	1.000	111
	680	7002		INSTALL HWY TRF SIG (ISOLATED) DOLLARS and CENTS	EA	1.000	112
	682	7001		VEH SIG SEC (12")LED(GRN) DOLLARS and CENTS	EA	14.000	113
	682	7002		VEH SIG SEC (12")LED(GRN ARW) DOLLARS and CENTS	EA	2.000	114
	682	7003		VEH SIG SEC (12")LED(YEL) DOLLARS and CENTS	EA	22.000	115
	682	7005		VEH SIG SEC (12")LED(RED) DOLLARS and CENTS	EA	14.000	116
	682	7042		BACKPLATE W/REF BRDR(3 SEC)(VENT)ALUM DOLLARS and CENTS	EA	14.000	117
	682	7043		BACKPLATE W/REF BRDR(4 SEC)(VENT)ALUM DOLLARS and CENTS	EA	2.000	118

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	684	7010		TRF SIG CBL (TY A)(12 AWG)(5 CONDR) DOLLARS and CENTS	LF	605.000	119
	684	7012		TRF SIG CBL (TY A)(12 AWG)(7 CONDR) DOLLARS and CENTS	LF	3,120.000	120
	684	7017		TRF SIG CBL (TY A)(12 AWG)(12 CONDR) DOLLARS and CENTS	LF	2,120.000	121
	685	7001		INSTALL RDSB FLASH BEACON ASSEMBLY DOLLARS and CENTS	EA	4.000	122
	686	7033		INS TRF SIG PL AM(S)1 ARM(32') DOLLARS and CENTS	EA	1.000	123
	686	7037		INS TRF SIG PL AM(S)1 ARM(36') DOLLARS and CENTS	EA	1.000	124
	686	7161		INS TRF SIG PL AM(S)2 ARM(44-32') DOLLARS and CENTS	EA	2.000	125
	690	7011		INSTALL OF CABLES DOLLARS and CENTS	LF	2,440.000	126
	730	7019		FULL - WIDTH MOWING DOLLARS and CENTS	CYC	4.000	127
	734	7002		LITTER REMOVAL DOLLARS and CENTS	CYC	4.000	128
	772	7003		POST AND CABLE FENCE (NEW INSTALLA- TION) DOLLARS and CENTS	LF	2,866.000	129
	3002	7001		REINFORCED FAB FOR ASPH PVMNT OVER- LAYS DOLLARS and CENTS	SY	550,529.000	130

PROJECT F 2025(306) , ETC.  
 COUNTY LAMB , ETC.

Proposal Sheet  
 TxDOT  
 FORM 234

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	3002	7002		ASPH FOR REINF FAB (PG76-28)  DOLLARS and CENTS	GAL	82,579.000	131
	6016	7001		TEMP SPEED MONITOR SYS  DOLLARS and CENTS	EA	2.000	132



## **CERTIFICATION OF INTEREST IN OTHER BID PROPOSALS FOR THIS WORK**

By signing this proposal, the bidding firm and the signer certify that the following information, as indicated by checking "Yes" or "No" below, is true, accurate, and complete.

- A. Quotation(s) have been issued in this firm's name to other firm(s) interested in this work for consideration for performing a portion of this work.

\_\_\_\_\_ YES

\_\_\_\_\_ NO

- B. If this proposal is the low bid, the bidder agrees to provide the following information prior to award of the contract.

1. Identify firms which bid as a prime contractor and from which the bidder received quotations for work on this project.
2. Identify all the firms which bid as a prime contractor to which the bidder gave quotations for work on this project.

# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

1. Type of Federal Action: a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: a. bid/offer/application b. initial award c. post-award	3. Report Type: a. initial filing b. grant For material change only: year _____ quarter ____ date of last report _____
4. Name and Address of Reporting Entity:  <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: <b>Congressional District</b> , if known:		5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:  <b>Congressional District</b> , if known:
6. Federal Department/Agency:	7. Federal Program Name/Description:  CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known:  \$ _____	
10. a. Name and Address of Lobbying Entity (if individual, last name, first name, MI):   <div style="text-align: center;">(attach Continuation Sheet(s) SF-LLL-A, if necessary)</div>		b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):   <div style="text-align: center;">(attach Continuation Sheet(s) SF-LLL-A, if necessary)</div>
11. Amount of Payment (check all that apply):  \$ _____ actual      planned	13. Type of Payment (check all that apply): a. retainer b. one-time fee c. commission d. contingent fee e. deferred f. other; specify: _____	
12. Form of Payment (check all that apply) a. cash b. in-kind; specify:      nature _____ value _____		
14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11:  (attach Continuation Sheet(s) SF-LLL-A, if necessary)		
15. Continuation Sheet(s) SF-LLL-A attached: <input type="checkbox"/> Yes <input type="checkbox"/> No		
16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required dis- closure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____  Print Name: _____  Title: _____  Telephone No: _____ Date: _____	
FEDERAL USE ONLY		Authorized for Local Reproduction Standard Form - LLL

## **INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity or this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

# DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

0348-0046

## CONTINUATION SHEET

Reporting Entity: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_

# CONTRACTOR'S ASSURANCE

(Subcontracts-Federal Aid Projects)

By signing this proposal, the contractor is giving assurances that all subcontract agreements will incorporate the Standard Specification and Special Provisions to Section 9.9., Payment Provisions for Subcontractors, all subcontract agreements exceeding \$2,000 will incorporate the applicable Wage Determination Decision, and all subcontract agreements will incorporate the following:

Special Provision	Certification of Nondiscrimination in Employment
Special Provision	Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)
Special Provision	Standard Federal Equal Employment Opportunity
Construction	Construction Specifications (Executive Order 11246)
Form FHWA 1273	Required Contract Provisions Federal-aid Construction Contracts (Form FHWA 1273 must also be physically attached to subcontracts and all lower-tier subcontracts)
Special Provision	Nondiscrimination (Include provisions of Sections 3.1 – 3.6 in all subcontracts and agreements for materials)
Special Provision	Cargo Preference Act Requirements in Federal-Aid Contracts
Special Provision	Disadvantaged Business Enterprise in Federal-Aid Contracts

# ENGINEER SEAL

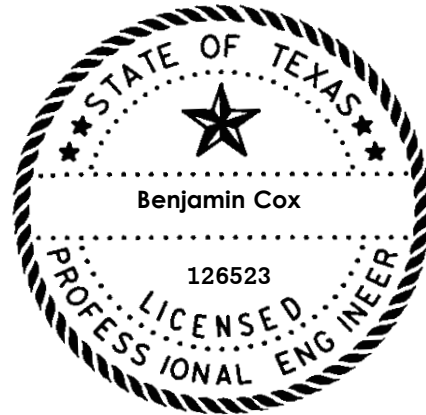
Control 0052-05-046, ETC.

Project F 2025(306), ETC.

Highway US 84

County LAMB, ETC.

The enclosed Texas Department of Transportation Specifications, Special Specifications, Special Provisions, General Notes and Specification Data in this document have been selected by me, or under my responsible supervision as being applicable to this project. Alteration of a sealed document without proper notification to the responsible engineer is an offense under the Texas Engineering Practice Act.



The seal appearing on this document was authorized by  
*Benjamin Cox, P.E.*  
JUNE 24, 2024

County: Lamb, etc.

Control: 0052-05-046, etc.

Highway: US 84

Sheet

**GENERAL NOTES:**

**Hot Mix Basis of Estimate**

ITEM	DESCRIPTION	*RATE (approx.)
341	2 IN. D-GR HMAC TYC, SAC-B, PG70-28	230 LBS/SY
347	1 IN. TOM-C, SAC-A, PG76-28	115 LBS/SY
347	5/8 IN. TOM-C, SAC-A, PG76-28	72 LBS/SY

\*Actual rates will be determined by Engineer in Field

**Hot Mix Area (SY)**

CSJ	MIX TYPE	SY
0052-05-046	2" Type C	223867
0052-05-046	1" TOM	377568
0052-05-046	5/8" TOM	10589
0052-07-068	2" Type C	24117
0052-07-068	1" TOM	418524
0052-07-068	5/8" TOM	10831

**Surface Treatment Basis of Estimate**

DESCRIPTION	EMUL (ERSN CONT)	FOG SEAL	REINF. FABRIC	TACK COAT
ASPH TYPE & GRADE	CSS-1H	CSS-1H	PG76-28	PG
ASPH RATE (GAL/SY)	**0.26	**0.18	0.15	0.14

\*\*Rate shown is after dilution to 50% Asphalt Emulsion and 50% Water or as directed.

**Surface Treatment Area (SY)**

CSJ	EMUL (ERSN CONT)	FOG SEAL	REINF. FABRIC	TACK COAT TY C	TACK COAT TOM Shldr
0052-05-046		261178	288954	223867	261178
0052-05-048	97342				
0052-07-068		60026	261575	24117	60026

**County:** Lamb, etc.

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**Sheet**

### **W.S.C.R.P.**

Provide coarse aggregate for all surface hotmix and overlays meeting a minimum class of **A** as published in the *AGGREGATE QUALITY MONITORING PROGRAM RATED SOURCE QUALITY CATALOGUE*.

Provide coarse aggregate for all base hotmix and surface treatments meeting a minimum class of **B** as published in the *AGGREGATE QUALITY MONITORING PROGRAM RATED SOURCE QUALITY CATALOGUE*.

### **General Requirements and Covenants - Items 1 thru 9**

Contractor questions on this project are to be addressed to the following individual(s):

Neil Welch, Littlefield Area Engineer – [neil.welch@txdot.gov](mailto:neil.welch@txdot.gov) (806) 385-3552

Alex Mendoza, Littlefield Assistant Area Engineer – [alejandro.mendoza@txdot.gov](mailto:alejandro.mendoza@txdot.gov) (806) 385-3552

Contractor questions will be accepted through email, phone, and in person by the above individuals. Questions may also be submitted via the Letting Pre-Bid Q&A web page. This webpage can be accessed from the Notice to Contractors dashboard located at the following Address:

<https://tableau.txdot.gov/views/ProjectInformationDashboard/NoticetoContractors>

All contractor questions will be reviewed by the Engineer. All questions and any corresponding responses that are generated will be posted through the same Letting Pre-Bid Q&A web page.

The Letting Pre-Bid Q&A web page for each project can be accessed by using the dashboard to navigate to the project you are interested in by scrolling or filtering the dashboard using the controls on the left. Hover over the blue hyperlink for the project you want to view the Q&A for and click on the link in the window that pops up.

### **Item 1 – Abbreviations and Definitions**

Contract Prosecution – Each contract awarded by the Department stands on its own and as such, is separate from other contracts. A contractor awarded multiple contracts, must be capable and sufficiently staffed to concurrently process any and all contracts at the same time.

### **Item 2 – Instructions to Bidders**

The following standards have been modified: GF(31)MS-19(MOD), HMIF(1)-98(MOD), and SMD(2-1)-24(MOD).



**County:** Lamb, etc.

**Control:** 0052-05-046, etc.

**Highway:** US 84

**Sheet**

The construction time determination schedule will be posted on the Letting Pre-Bid Q&A web page.

View the plans on-line or download from the web at:

<http://www.dot.state.tx.us/business/plansonline/agreement.htm>

Choose "I Agree" then, "Click here", then "State-Let-Construction", pick the letting month, then "Plans" and then choose the plans set.

Order plans from any of the plan reproduction companies shown on the web at:

[http://www.dot.state.tx.us/business/contractors\\_consultants/repro\\_companies.h  
tm](http://www.dot.state.tx.us/business/contractors_consultants/repro_companies.htm)

By signing this proposal, a bidder acknowledges that he/she has a copy of the "Standard Specifications for Construction and Maintenance of Highways, Streets and Bridges", adopted by the Texas Department of Transportation, September 1, 2024. This specification book may be purchased from the Department or downloaded at:

<http://www.txdot.gov/business/resources/txdot-specifications.html>

There is no survey data or cross-sections for this project.

### **Utilities**

Overhead and underground utility installations exist within the project limits.

Call One Call to mark the locations of all utilities. Call the cities of Littlefield, Lubbock, Anton and Shallowater and TxDOT separately to have their respective utilities marked.

If any lights, signals, or other systems not part of the project are disconnected by the contractor, the contractor must restore all affected systems to working condition.

### **Item 5 – Control of the Work**

Perform construction surveying in accordance with Article 5.9.3, "Method C."

Replace all damaged ROW and USGS monuments at the contractor's expense.

When deviation from the plans is requested by the Contractor, but not required for installation, the Contractor will bear any additional costs associated with the deviation.

Restore all disturbed areas due to trenching or any construction activity to a condition equivalent to the original condition within 14 working days from the time work began in the area including all necessary stabilization.

The construction, operation, and maintenance of the proposed project will be consistent with the state implementation plan as prepared by the Texas Commission on Environmental Quality.

**County:** Lamb, etc.

**Control:** 0052-05-046, etc.

**Highway:** US 84

**Sheet**

At the end of each day remove from the ROW, inside or outside the project limits, any excess material and debris resulting from construction.

Correct any deficiencies identified during the final inspection including required paperwork.

Submit all required paperwork within 60 days of project acceptance.

Allow 5 business days for subcontractor approval.

### **Item 6 – Control of Materials**

Use materials from pre-qualified producers. A list of material producers pre-qualified by the Construction Division (CST) of the Texas Department of Transportation (TxDOT) can be found at the following website:

<http://www.txdot.gov/business/resources/producer-list.html>

In addition to the requirements of the plans and specifications, make all material and equipment furnished, installed, modified, tested, or otherwise used on this contract, and becoming the property of TxDOT, fully functional within the manufacturer normal specifications, warranties, and guarantees. Make any additional functions of the material and equipment normally supplied by the manufacturer, but not specified by TxDOT, completely functional.

To comply with the latest provisions of Build America, Buy America Act (BABA Act) of the Bipartisan Infrastructure Law, the contractor must submit an original of the TxDOT Construction Material Buy America Certification Form for all items classified as construction materials. This form is not required for materials classified as a manufactured product.

Refer to the Buy America Material Classification Sheet for clarification on material categorization.

The Buy America Material Classification Sheet is located at the below link.

<https://www.txdot.gov/business/resources/materials/buy-america-material-classification-sheet.html> for clarification on material categorization.

Provide the State 30 days to test all materials and resolve any disputes.

### **Item 7 – Legal Relations and Responsibilities**

Coordinate street closures with the local fire, police, and other emergency personnel.

Maintain access to adjacent property at all times.

Notify, in writing, each residence and business 10 days prior to beginning construction of the phase/phases that are expected to affect their ingress and egress. This notice may be hand delivered or mailed.

**County:** Lamb, etc.

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**Sheet**

When applicable, comply with all requirements of the Environmental Permits Issues and Commitments (EPIC) sheets.

Provide a lidded dumpster to be used by Contractor's personnel on the job site. The lid or covering to the dumpsters needs to be able to stay closed in high winds for preventing trash from being blown out. This shall be considered subsidiary to the various bid items.

Dispose of all waste materials in compliance with local, state, and federal regulations. Submit a list of all approved waste sites to the Engineer for review.

All vehicles in the work zone shall use flashing amber strobe lights visible 360 degrees.

No significant traffic generator events identified.

This project will require a railroad agreement, flagging, insurance, and right-of-entry.

### **Item 8 - Prosecution and Progress**

This project is to be complete in 264 days and 16 months of barricades in accordance with the contract documents.

Liquidated damages as defined in SP 000-031 (\$3,072) will be increased by the calculated road user cost of \$1,890, for a total of \$4,962 per day.

Monthly schedule updates are a very important aspect of managing the progress of this project. The Engineer may withhold the monthly estimate if the schedule update has not been received.

A bar chart will be required on this project.

Do not begin work before sunrise or end work after sunset unless authorized by the Engineer, and remove all equipment from the roadway before sundown.

Perform any erosion control measures such as seeding or sodding before beginning the next phase, or land, unless otherwise authorized by the Engineer.

Working days will be computed and charged in accordance with Article 8.3.1.4 Standard Workweek.

Shut down operations the working day before the following major traffic generating holidays: January 1<sup>st</sup> (New Year's); Last Monday in May (Memorial Day); July 4<sup>th</sup> (Independence Day); First Monday in September (Labor Day); Fourth Thursday in November (Thanksgiving); and December 24<sup>th</sup> (Christmas Eve).

**County:** Lamb, etc.

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**Sheet**

If the season for TOM is past, time and work on the project will not be suspended until all other work is complete. When this work is complete, the Engineer will suspend time and work until TOM season begins.

The work zone shall not exceed 2 miles unless otherwise directed by the Engineer.

Payment for final 3% mobilization will be made once all project signage has been removed and all other items according to Article 500.3. Timeliness for submittal of required paperwork and correction of deficiencies is a consideration in developing the final contractor evaluation score.

Water may be hard to come by. Check for water restrictions.

The *60-day convenience delay is for aggregate stockpiling, median cable procurement, traffic signal pole fabrication, and high mast pole fabrication.*

### **Item 9 - Measurement and Payment**

Submit material-on-hand payment requests by the monthly estimate cutoff date.

Material-on-hand will be paid item for item regardless of how the work was bid.

### **Item 134 - Backfilling Pavement Edges and Item 150 - Blading**

Salvage existing topsoil and grass in windrows along the edge of the grading operations, or as directed by the Engineer. As a land is finished, spread the adjacent topsoil and grass uniformly over the disturbed area. Perform this work in phases not to exceed three miles, unless otherwise authorized by the Engineer.

Some reshaping of the ditch back slope may be required.

Water will be required as directed by the Engineer to compact backfill the pavement edges.

### **Item 314 - Emulsified Asphalt Treatment**

Apply the emulsified asphalt and water mixture, as directed by the Engineer.

### **Item 315 - Fog Seal**

Apply the emulsified asphalt and water mixture, as directed by the Engineer.

### **Item 320 – Equipment for Asphalt Concrete Pavement**

Provide waterproof tarpaulins on all hauling equipment.

### **Items 341 and 347 – Hot Mix Asphalt Pavement**

**County:** Lamb, etc.

**Control:** 0052-05-046, etc.

**Highway:** US 84

**Sheet**

Provide a summary spreadsheet for each lot in accordance with Article 520.2 of the Standard Specifications.

Design the mixture with a Superpave Gyratory Compactor (SGC).

Aggregate will be subjected to five cycles of the magnesium sulfate soundness test in accordance with Test Method TEX-411-A. The loss shall not be greater than **20** percent.

The mix will be evaluated for stripping through the boil and hamburg wheel tests. If it is determined to be stripping then 1% lime, liquid anti-strip or a warm mix additive proven to prevent stripping will be required.

Schedule the placement width for the final hotmix surface in such a manner that all joints will coincide with proposed lane lines (+/- 6 inches).

Except for SMA Hot Mix, provide emulsified trackless asphalt for tack coat at a rate of 0.10-0.14 gal/sy.

The Contractor will be required to tack 100% of the surfaces prior to the subsequent lift including all vertical joints.

Use a self-propelled, wheel-mounted material transfer vehicle (MTV) capable of receiving hot mix from the haul trucks separate from the paver on this project or provide the PaveIR. Minimum requirements for the MTV are a storage capacity of approximately 25 tons, a pivoting discharge conveyor, a means of completely remixing the ACP prior to placement, and a paver hopper equipped with a separate surge storage insert with a minimum capacity of approximately 20 tons.

Provide straight edges including the outside edge. Any edges not conforming to the typical sections will be cut and removed at the Contractor's expense.

Lay the shoulders first, then the main lanes.

No TxDOT RAP is available for this project.

Do not pave when temperatures get below 32 degrees F in a 12 hour period.

No substitute PG grade binders will be allowed.

Provide a square edge by sawcutting before laying the adjacent lane of hotmix as directed by the Engineer.

Do not place hotmix if the sustained wind speed gets to over 25 miles per hour.

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**Sheet**

Seal all joints between hotmix and curb and gutter.

All calibration pans will be mixed within the Lubbock District. Notify the Engineer two days prior to mixing pans to allow ample time for a TxDOT Level 2 technician to witness the calibration pans to be mixed.

Below is a quick attempt at setting required sample sizes for hot mix referee and performance tests. The table goes by number of sample boxes that everyone is familiar with. These are the 3 inch tall boxes that come white or brown. They can hold between 10,000g – 14,000g of mix.

<i>Test</i>	<i>Number of Boxes</i>
<i>Lab molded density</i>	<i>2</i>
<i>Asphalt Content and Gradation</i>	<i>1</i>
<i>Hamburg</i>	<i>2</i>
<i>Overlay</i>	<i>3</i>

Notice that the performance tests take 5 boxes total if both Hamburg and Overlay tests are to be done. Please talk to your contractor 1A technicians about sampling enough mix to set aside in case there would be performance tests needed to make decisions later in the project.

### **Item 341– Dense-Graded Hot-Mix Asphalt**

PG 70-28 asphalt is required for this project.

Asphalt stabilized base will not be allowed as RAP.

Fractionate the RAP if used in the mixture design.

Post-consumer RAS will not be allowed.

No exempt production on driving lanes and shoulder.

The TY C hotmix is considered a surface layer and is subject to the Minimum Pavement Surface Temperature requirements in Tables 14A and 14B.

### **Item 347 – Thin Overlay Mixtures (TOM)**

PG 76-28 asphalt is required for this project.

Place thin overlay mixture between May 1 and September 30.

Tack coat for the horizontal surface not receiving fabric prior to TOM placement will not be required. The reinforcing fabric binder will perform as the tack coat.

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**Sheet**

Tack coat all vertical joints unless otherwise directed.

Cement and kiln dust will not be allowed to be used as mineral fillers.

RAP will not be allowed.

If TOM fails performance tests then remove the Reinforcing Fabric and TOM, then relay new TY D, Reinforcing Fabric, and TOM at the Contractor's expense.

Locally sourced material may not produce a passing TOM mix.

### **Item 351 – Flexible Pavement Structure Repair**

Saw cut at least two inches deep around the edges of concrete or asphaltic pavement to be removed, unless otherwise directed by the Engineer.

The type and grade of tack coat shall be AC or PG.

The type and grade of prime shall be AE-P.

A motor grader will be allowed only as directed by the Engineer.

Use a roadway structure of 6" TY C Hotmix placed in two 3" lifts for full depth repairs. Use a roadway structure of 2" TY C Hotmix placed in one lift for surface repairs.

The minimum repair area shall be 10' wide by 20' long.

Pavement repair shall be performed the same day as the mill and fill operation.

The full pavement repair design constitutes the flexible pavement repair and the overlying pavement.

### **Item 354 – Planing and Texturing Pavement**

TxDOT to retain possession of planed material from Lamb County Mill and Inlay. The material will be approximately 5,600 CY and stockpiled at West US 84 and SL 430 intersection. Contact is Curt Masters 806-385-3661. Contractor to retain possession of all planed material from Lubbock County.

### **Item 416 – Drilled Shaft Foundations**

For large diameter drilled shafts, when water is encountered during drilling and slurry is not used, the shaft needs to be re-worked the next day to achieve proper skin friction capacity.

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Highway: US 84

Sheet

Reinforcement in drill shafts shall either be epoxy coated or galvanized rebar. Uncoated steel will not be allowed.

### **Item 420 - Concrete Substructures**

Furnish and place preformed fiber material, a minimum one-half (1/2)-inch thick, as shown on the plans or directed by the Engineer.

Furnish a temperature recorder with the minimum capabilities of a 7-day recording time, 2 degree F division, and 120 VAC with 9-volt backup, for each curing tank used on the project. Supply all charts, recording pins, and other equipment necessary for complete operation of the temperature recorder during the project. The temperature recorder and all associated equipment will not be paid directly, but will be subsidiary to the various bid items.

Use Grade 3 or Grade 4 coarse aggregate in all concrete structures.

Cold weather protection requirements within 72 hours of a concrete pour as per the following table:

<b>PROJECTED LOW TEMP</b>	<b>PROTECTION REQUIRED</b>
< 20 degrees	DO NOT POUR
20-27 degrees	cover with plastic, then a insulating blanket, and plastic on top
28-35 degrees	cover with plastic, then a insulating blanket
> 35 degrees	no protection required

All projected temperatures will be based on the NOAA website. None of the above actions releases the Contractor from the responsibility for freeze damaged concrete for whatever reason.

Coring of structural classes of concrete will be at the Engineer's discretion. All coring of miscellaneous concrete shall be at the Contractor's expense including all prep work. Coring must be completed within 3 days of notice of failing 28-day samples; otherwise pay deductions apply using 28-day compressive strength.

Provide TY II curing compound for all curb and gutter, sidewalks, driveways, curb ramps, riprap, and cast-in-place SET's.

When doweling into concrete, clean out the hole, fill completely with epoxy, then place the dowel. Do not dip the dowel into epoxy first and shove it into the hole.

Do not place concrete when the wind gusts get to over 25 miles per hour.

Vibrate all concrete.

Provide the State with 48 hours notice before pouring concrete.

### **Item 421 - Hydraulic Cement Concrete**



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If fly ash is used, a maximum of 35% will be allowed.

Provide air entrainment in all concrete except for concrete used in drilled shafts and precast concrete members. Target an entrained air content of 4.0% +/- 1% for concrete pavement and 5.5% +/- 1% for all other concrete requiring air entrainment. Ensure the minimum entrained air content is at least 3.0% for all classes of concrete.

The Engineer will perform all concrete job control testing.

Immediately resample and retest the concrete if the air entrainment is more than 3% above the target range at time of placement. If the concrete exceeds the air range after the retest, and is used at the Contractor's option, the Engineer will make strength specimens as specified in Article 421.5., "Acceptance of Concrete."

Supply 2 – 4' x 8' sheets from a material that is flat, rigid, and non-absorbant, in order to perform required testing procedures at the location of concrete placements.

Use 4-inch by 8-inch cylinder molds for concrete with Grade 3 or smaller coarse aggregate. Supply new cylinder molds and lids subsidiary to the various bid items.

Provide sulphate resistant concrete for drilled shafts and bridge structure components in contact with the soil.

Concrete plant must be capable of providing automated moisture content control for both coarse and fine aggregate.

#### **Item 427 - Surface Finishes For Concrete**

Provide surface area I concrete surfaces with a rub finish as soon as forms are removed.

#### **Item 432 - Riprap**

Provide 5-inch thick Class A concrete for the median cable mow strip. Provide 5-inch thick Class B concrete for all other riprap, unless otherwise indicated in the plans.

Riprap shall be 3' wide and as shown in the plans.

Reinforcing steel for regular riprap will be #3 bars on 12"x12" spacing or #4 bars on 18"x18" spacing centered in the slab.

Reinforcing steel for mowstrip riprap will be #3 bars and placed at 16"x16" on centers. The center piece of reinforcing steel that falls over an anchoring hole/shaft may be cut to allow placement of cable fence posts.

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Fiber reinforcement or welded wire will not be allowed.

Provide one-half (1/2)-inch thick expansion joint material at approximately 100-foot intervals, or as determined by the Engineer.

3 pieces of longitudinal steel shall be placed in all cable barrier mowstrip.

Transverse bars shall be 32" in length and placed every 16" longitudinally.

Except where expansion joints are located, place tool joints every 20 ft for the length of the mowstrip.

Excavate trench for mow strip after blading.

Backfill mowstrip within 2 weeks of concrete placement. This will be considered subsidiary.

Follow cold weather protection requirements listed under Item 420.

Seal between concrete boundaries.

### **Item 502 - Barricades, Signs And Traffic Handling**

Prior to beginning construction, the Engineer shall approve the routing of traffic and sequence of work.

Additional signs and barricades as directed by the Engineer shall be considered subsidiary to Item 502.

Provide flashing portable arrow panels for all lane closures.

Wash the channelizing devices and barricades following each rainfall or snowfall event and at times deemed necessary by the Engineer.

To ensure the safety and convenience of traffic, flaggers may be required when construction machinery is being operated along, across, or adjacent to lanes carrying traffic. If considered necessary by the Engineer, supplemental signs and barricades may be required.

Fill any holes left by barricade or sign supports and restore the area to its original condition.

Barricades, Signs and Traffic Handling is a plan quantity item. If time is suspended, no additional compensation will be made.

Traffic switches will not be permitted on Fridays or any working day preceding a holiday unless authorized by the Engineer.

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Cones or chevrons may be used in lieu of vertical panels at the discretion of the Engineer. Cones cannot be used to separate opposing traffic.

Construct temporary ramps to maintain access to driveways and city streets as directed by the Engineer. Temporary ramp construction is subsidiary to Item 502.

The Contractor shall bid the traffic control plan shown in the plans. Any proposed alterations to the TCP (combining work areas / phasing / etc.) shall be submitted to the Engineer at least 10 days prior to anticipated changes.

Even when not explicitly shown in the project TCP, vertical panels shall be used with an opposing lane divider every 5<sup>th</sup> panel in accordance with BC(9) for all opposing traffic conditions without a positive barrier.

Square tubing sign supports may be used for temporary construction signs. Aluminum and wood signs may be mounted if the vertical supports are embedded into the ground. Square tubing supports on skids which are typically held in place with sand bags can only support signs made of light weight fluted plastic.

Any trench or drop off over 2" and less than 10" will require a safety slope of at least 1:1 if drop off is going to be existing for more than 2 nights. For drop-offs greater than 10", a safety slope will be required at the end of operations for that day. This safety slope may be constructed with RAP, embankment, or other material approved by the Engineer. The placement, maintenance, and removal of this safety slope is the responsibility of the Contractor and will be considered subsidiary to the various bid items.

Provide an all-weather surface for all sections of the roadway prior to time suspension as directed by the Engineer. The all-weather surface shall be the original undisturbed asphalt pavement or a one course surface treatment on the constructed roadbed as shown in the typical sections.

The Contractor Force Account "Safety Contingency" that has been established for this project is intended to be utilized for work zone enhancements, to improve the effectiveness of the Traffic Control Plan, that could not be foreseen in the project planning and design stage. These enhancements will be mutually agreed upon by the Engineer and the Contractor's Responsible Person based on weekly or more frequent traffic management reviews on the project. The Engineer may choose to use existing bid items if it does not slow the implementation of enhancement.

Correct all noted deficiencies within 7 calendar days, otherwise, cease all operations until the noted deficiencies are corrected.

Stockpiles that meet the barricade requirements as shown on the BC(10) Standard are required to be erected at the time of material delivery in the Right-of-Way and maintained as long as the stockpile exists. Payment for Material-on-Hand will be withheld from the estimate for

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inadequate barricades or the failure to maintain barricades on a per stockpile basis as determined by the Engineer.

Like new traffic control devices will be required at the initial setup for all projects or as approved by the Engineer.

Provide flags and a CW8-15P "MOTORCYCLE WARNING" plaque on all CW20-1D "ROAD WORK AHEAD" signs except on side roads.

Use only the work zone speed limit and TCP signs that are relevant to the active work area and as directed. Reset signs for subsequent work phases as work progresses and approved by the Engineer. Reset normal speed limit signs as directed.

Stop adjacent traffic using TCP(1-2) during the application of asphalts unless otherwise authorized by the Engineer.

Provide pilot cars as directed by the Engineer.

Project limit signage is required on both sides of the roadway on a divided highway. Two sets of perimeter signs will be required.

All bid items and work requiring traffic control is the responsibility of the contractor, even when not explicitly detailed in the plans. Consider this work subsidiary to Item 502.

TMA's and Portable Changeable Message Boards will not be used as Arrow Boards.

When the roadway is open to traffic and final striping is completed, any subsequent work shall be done under daytime traffic control.

This project is for daytime work only. If you elect to work at night, all expenses for night work will not be compensated for.

The contractor is to respond on-site within 30 minutes to any traffic control maintenance after wind events, storms, etc., and as directed by the Engineer.

Ground mount all signs if possible.

All placards shall be 18"x18".

### **Item 503 - Portable Changeable Message Sign**

Provide messages as directed by the Engineer.

Provide 2 solar powered changeable message signs for the duration of this project.

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Inform the public 2 weeks before construction begins.

**Item 504 - Facilities for Field Laboratory**

Furnish one Type B and one Type D structure. Field laboratory shall be located adjacent to the project site.

The field office is to remain in place until the project is accepted.

The Contractor will furnish a concrete cylinder breaker and cylinder bath, subsidiary to the furnished field laboratory. Provide calibration documentation for all supplied equipment.

Partition the floor of the Type D structure into a minimum of three interconnected rooms. Furnish each room with a door. Type D structure must have at least two windows and two exterior doors. Block and tie down portable structures.

Equip the Type D field lab with an eyewash facility capable of flushing the eyes for at least 15 minutes, connected to the main water supply or an approved stand-alone water supply.

Provide 2 tables and 1 meeting table. Provide 1 chair for each table and 6 chairs for the meeting table. Provide 2 filing cabinets. Equip the field lab with window blinds.

Provide internet connectivity, a printer/fax/scanner/copier, and telephone service to field offices, including installation, monthly charges and the phones.

Equip all field field labs with a surge protector at the circuit breaker panel.

**Item 505 – Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)**

Provide 2 TMAs for stationary use for the duration of the project. Stationary TMAs will be used during the various phases of work required for this project. Payment will be made by the day for each TMA used in stationary operations.

A TMA is considered stationary when the TMA is parked more than 15 minutes.

Provide 3 TMAs for mobile use. Mobile TMAs will be used for moving operations such as striping and RPM placement. Payment will be made by the day for each TMA used in mobile operations.

**Item 506 - Temporary Erosion, Sedimentation, and Environmental Controls**

Place a weatherproof bulletin board containing the TCEQ required information on the project at a site directed by the Engineer. Post the following documents: (1) "TCEQ TPDES Storm Water Program" Construction Site Notice and (2) TCEQ "TPDES Permit." Place rain gauge(s) at locations designated by the Engineer. At the completion of the contract, the bulletin board

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will become the property of the State and will remain in place until 70 percent vegetation coverage has been obtained.

Provide long-term, Type 1 construction exits, located at the Contractor's equipment storage area.

Silt fence, sandbags and other BMPs will be placed and relocated as directed by the Engineer in order to comply fully with the SW3P requirements.

The soil area disturbed by this project, including all disturbed areas within the limits of this project as described in the Contract and at Contractor project specific locations (PSLs) within one mile of the project limits, contributes to the establishment of the Texas Commission on Environmental Quality (TCEQ) Construction General Permit (CGP) requirements for storm water discharges. The Department will obtain an authorization from the TCEQ to discharge storm water for construction activities shown on the plans. The Contractor shall obtain the required authorization from the TCEQ for Contractor project specific locations (PSLs) for construction support activities off the right-of-way. As directed by the Engineer, the Contractor shall obtain any required authorization from the TCEQ for on-site PSLs. When the total area disturbed within the project limits and at PSLs within one mile of the project limits exceeds five acres, the Contractor shall provide a copy of the Contractor's Notice of Intent (NOI) submission and Construction General Permit for PSLs on the right-of-way to the Engineer (and submit a copy of NOIs to appropriate MS4 operators).

Sediments removed from BMPs shall be paid for by force account. The Contractor shall submit an invoice for the work.

Correct all noted deficiencies within 7 calendar days, otherwise, cease all operations until the noted deficiencies are corrected.

Maintain 100 feet of silt fence, 100 feet of erosion control logs, and 50 sandbags on site at all times for repairs/replacement as needed.

Water for dust control at least twice a day for all areas that are disturbed but not stabilized.

Set SWP3 measures by phase.

Provide mulch/wood chips for erosion control logs. Straw will not be allowed.

### **Item 512 - Portable Concrete Traffic Barrier**

Reimbursable repair or replacement will be paid at contract bid prices.

Reflectors are required every 100 ft per BC Standards.

### **Item 533 – Rumble Strips**

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Use Option 4 for edgeline rumble strips.

**Item 540 - Metal Beam Guard Fence**

Mount an amber or white delineator on the guard fence post at 100-foot intervals. Use prismatic reflective sheeting. Place a minimum of three delineators at each metal beam guard fence placement.

All metal beam guard fence shall have steel posts.

Material-on-hand for metal beam guard fence rail will not be paid unless it is properly stored (out of the elements) to reduce white rust.

Existing metal beam guard fence posts may be set in concrete.

Reimbursable repair or replacement will be paid at contract bid prices.

Install the MBGF from the structure out to ensure proper post spacing and connection to the concrete rail.

Hammer drilling will not be allowed when attaching the MBGF transitions to the concrete rail.

Backfill existing post holes after removing existing metal beam guard fence prior to installing new posts.

**Item 543 – Cable Barrier System**

Reimbursable repair or replacement will be paid at the contract bid prices,

All systems and requisite components shall meet TL-4 criteria.

Only pre-stressed cables shall be used.

Drilled shafts are considered subsidiary to this item.

Follow the manufacturer's installation and handling instructions and/or recommendations.

Cable post and anchor delineators will be considered subsidiary to Item 543 and shall be placed as near to 80' increments as practical.

Delineators attached to the cable barrier as shown in D&OM(6) shall be double sided and are subsidiary to Item 543.

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Ensure that the cable barrier manufacturer provides training to TxDOT maintenance forces and local emergency personnel on how to extract, repair, and maintain the system after it has been hit.

#### **Item 544 – Guardrail End Treatments**

Reimbursable repair or replacement will be paid at contract bid prices.

All guardrail end treatments shall have steel posts.

Guardrail end treatments require object marker stickers in accordance with D&OM (VIA).

#### **Item 585 - Ride Quality for Pavement Surfaces**

Use Surface Test Type B.

“Pay Adjustment Schedule” number 3 will be used on this project.

Provide IRI score to the Engineer before and after construction.

Corrective action, when required, shall be diamond grinding, as approved and directed by the Engineer. This work is considered subsidiary.

#### **Item 610 – Roadway Illumination Assemblies**

For project specific shop drawings, furnish seven sets of drawings of the complete assembly in accordance with Item 441, “Steel Structures”. Deliver shop drawings to the Engineer at the project address.

Provide a schedule and notify the District Traffic Office a minimum of 3 days prior to any illumination installation. Contact via email at [LBB-TRFOPS@TxDOT.GOV](mailto:LBB-TRFOPS@TxDOT.GOV).

#### **Item 618 - Conduit**

The location of conduit is diagrammatic and may be varied to meet local conditions upon approval of the Engineer. Ensure all couplings and connectors are made wrench tight. Trenching depths shall provide a minimum of 2.5 feet (30 inches) of cover unless otherwise approved by the Engineer. The Contractor must ensure that conduit is not damaged during trench or bore pit backfilling operations. No conductors shall be pulled through conduit until all backfilling for the conduit run is complete and the template, having a diameter of not less than 75 percent of the inside diameter of the conduit, has been drawn through the conduit. Open ends of all conduit shall be fitted with temporary caps or plugs to prevent entry of dirt or debris during construction operations. A non-metallic pull rope shall be used to pull electrical conductors and traffic signal cables through non-metallic conduit. A flat, high tensile strength polyester fiber pull rope shall be pulled through each conduit run and shall remain in the conduit for future use.



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A minimum of three feet of pull rope shall be neatly left coiled in the ground boxes at each end of the conduit run. The pull rope will not be paid for directly but shall be considered subsidiary to Item 618, "Conduit." After the work is completed, the Contractor shall restore any curbs, walks, driveways or raised concrete medians which have been damaged or disturbed to an equivalent original condition and to the satisfaction of the Engineer. This work shall not be paid for directly but shall be considered subsidiary to Item 618, "Conduit."

Use HDPE conduit for all traffic and illumination portion of this project. Bored conduit runs placed under driveways and streets or highway approaches shall maintain a minimum of 30 inches below the proposed natural ground elevation or 36 inches below the existing driveway or proposed top of pavement backfill and compact trenches the same day or erect plastic fencing to discourage entry into the trenched area by pedestrians or vehicles.

### **Item 620 – Electrical Conductors**

Grounding conductors that share the same conduit, junction box, ground box or structure shall be bonded together at every accessible point in accordance with the electrical detail sheets (ED), and the latest edition of the National Electrical Code.

Use certified persons to perform electrical work. See Item 7 Section 18 "Electrical Requirements" for additional details.

### **Item 628 - Electrical Services**

The STATE will be responsible for energy consumed and monthly telephone charges occurred by the new electrical service locations. These charges should be billed to the Texas Department of Transportation, 135 Slaton Highway, Lubbock, TX 79404-5201.

Provide circuit breaker and install when additional circuit from existing electrical service is called for in the plans.

Concrete for service pole foundations, when required, will be Class C and will be in accordance with Item 421: Hydraulic Cement Concrete, except that concrete will not be paid for directly but is to be considered subsidiary to Item 628: Electrical Services. Reinforcing steel for service pole foundations, when required, will be in accordance with Item 440: Reinforcing Steel, except that reinforcing steel will not be paid for directly but is to be considered subsidiary to Item 628: Electrical Services.

If you disconnect any lights or signals that are not directly part of the project to do work for the project, then reconnect everything back to proper working order.

### **Item 644 - Small Roadside Sign Assemblies**

All signs on this project, new or relocated, will require a retroreflective wrap on the sign support. This wrap shall be 12 inches in height, visible in all directions and shall be placed 3 ft.

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below the bottom of the sign. The color for YIELD, STOP, WRONG WAY, and DO NOT ENTER signs shall be red. The color for all other signs shall be yellow. This retroreflective wrap will not be paid for directly but considered subsidiary to Item 644.

Stake all sign locations, and receive approval from the Engineer, prior to sign placement.

The triangular slip bases will be the two bolt clamp type (Southern Plains Fabrication or equivalent). For more information refer to the approved materials producers list: <http://www.txdot.gov/business/resources/producer-list.html>

New sign studs and new sign posts will be necessary for relocating existing signs.

### **Item 647 – Large Roadside Sign Supports and Assemblies**

To adjust the height of the existing sign, welding will only be allowed at the bottom of the flange.

### **Items 644 & 647**

For all signs designated for removal:

- Salvage aluminum signs,
- Palletize and band salvaged aluminum signs,
- Stockpile signs at the Lamb County Maintenance Office in Littlefield, Texas. The office number is 806-385-3661. The contact is Curt Masters.

### **Item 658 - Delineator and Object Marker Assemblies**

Delineator and object marker assembly posts shall be driveable and composed of post-consumer recycled materials. Embedded stub shall be perforated square tubing.

Driveable posts shall be the three-piece Flexible Delineator Post System, utilizing a 2-3/8" round post with a square to round flexible joint. The Embedded Anchor shall be 2" x 12 gauge x 24" long steel perforated square tubing. The Posts shall be permanently sealed at the top and have a 3-1/2" wide x 13" flattened surface to accommodate up to a 3" x 12" reflective sheet on both sides.

Surface Mount posts shall be the three-piece Flexible Delineator Post System, utilizing a 2-3/8" round post with a square to round flexible joint. The Base shall have 6 mounting holes to accommodate for mounting on narrow headwalls as well as all surfaces. The Posts shall be permanently sealed at the top and have a 3-1/2" wide x 13" flattened surface to accommodate up to a 3" x 12" reflective sheet on both sides.

Guard Fence Delineator posts shall be 33" in length and permanently sealed at the top and have a 3-1/2" wide x 13" flattened surface to accommodate up to a 3" x 12" reflective sheet on both

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sides. They shall be flattened on both ends and transition to 2-3/8" round in the center for 360-degree visibility.

### **Item 662 - Work Zone Pavement Markings**

Use short-term removable striping as directed by the Engineer.

Water based paint may be used for all non-removable striping if not prohibited in the plans and authorized by the Engineer. If water based paint is used, there will be no payment for striping refresh.

The deviation rate in alignment shall not exceed one inch per 200 feet of roadway. The maximum deviation shall not exceed 2 inches nor shall any deviation be abrupt. Striping not in conformance shall be removed and replaced at the Contractor's expense.

No guide markers will be placed on a finished surface unless they fall on a proposed lane line. Stick-down markings will be removed by the Contractor prior to final marking.

Remove tabs at the same time as the RPM placement. Cut off tabs or remove by a method acceptable to the Engineer.

Type I markings must be at least one twenty-fifth (1/25) of an inch thick.

Remove ceramic buttons, RPMs, and Adhesives as directed by the Engineer. Payment for this work is subsidiary to Item 662.

Use thermoplastic adhesive to glue down work zone buttons and RPMs. Bituminous adhesive will not be allowed.

Dispose of the backing from tabs in an appropriate manner.

Any roadway opened to traffic shall be striped within 14 days.

### **Item 666 - Reflectorized Pavement Markings**

Mark the location of standard pavement markings, including barrier lines, no passing zones, gores, and transitions adjusting to meet latest standards or as directed by the Engineer.

After completion of all work and removal of the barricades, time charges will be suspended. The performance period for the project will not begin until all the striping has been completed. Final acceptance will not be granted until the performance period for pavement markings is complete. If replacement markings are needed, traffic control for moving operations will be required. No payment will be made for traffic control during replacement striping work. All traffic control work shall be considered subsidiary to the project's replacement striping work.

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The yellow or white long-line striping for re-striping operations will not lag one another by more than four (4) working days. The performance period for a roadway will not begin for a section of roadway or a project until all required striping for that section or project has been completed.

Provide a schedule and notify the District Traffic Office a minimum of 3 days prior to any striping operation. Contact via email at [LBB-TRFOPS@TxDOT.GOV](mailto:LBB-TRFOPS@TxDOT.GOV). If not notified, the time frame for testing and meeting the Retroreflectivity requirements in article 4.4 will start the day the department is made aware of that the markings have been applied.

### **Item 668 - Prefabricated Pavement Markings**

Reference the “Standard Highway Sign Designs for Texas” manual for dimensions to words and symbols.

Manufacturer’s sealer is subsidiary to this item. Surface preparation will be paid for separately under Item 678.

### **Item 677 - Eliminating Existing Pavement Markings and Markers**

Eliminate existing pavement markings on asphalt surfaces by the Blasting Method.

Payment for covering a solid yellow line with a broken yellow line next to it, parallel to the centerline of the highway, will be by the linear foot. This payment will be made only once for two stripes side-by-side.

### **Item 680 - Highway Traffic Signals**

Provide a schedule and notify the District Traffic Office a minimum of 3 days prior to any signal installation. Contact via email at [LBB-TRFOPS@TxDOT.GOV](mailto:LBB-TRFOPS@TxDOT.GOV).

Turn all non-operational signal heads down facing the roadway surface, or completely cover the lenses with an opaque material. The location of signal poles, conduit, ground boxes and controllers may be adjusted to accommodate existing utilities or local conditions with prior approval of the Engineer. Verify the location of all existing utilities in the field prior to construction. Provide a technician on call in the city at all times during the required 30-day test period.

Cameras and monitors will be furnished by the State under a force account and installed in accordance to the manufacturer’s recommendations.

### **Item 682 - Vehicle and Pedestrian Signal Heads**

Provide aluminum vehicle and pedestrian signal heads for this project. Furnish ABS formed black plastic back-plates with the vehicle signal heads. Attach back-plates to the vehicle signal

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heads and with a minimum of ½ inch of material from the edge of mounting holes to the near edge of the back plate. Furnish aluminum visors for vehicle signal heads.

Mount the signal head for horizontally mounted vehicle signal heads, at least 18 feet but no more than 20 feet, above the pavement grade measured from the center of the roadway to the bottom of the signal head.

### **Item 685 – Roadside Flashing Beacon Assemblies**

Provide screw-in foundations.

Provide a schedule and notify the District Traffic Office a minimum of 3 days prior to any flashing beacon installation. Contact via email at [LBB-TRFOPS@TxDOT.GOV](mailto:LBB-TRFOPS@TxDOT.GOV).

### **Item 686 - Traffic Signal Pole Assemblies (Steel)**

Use bracket assembly Option C of the SMA-100 and DMA-100 Standard Sheets for signal head mounting for both horizontal and vertical mount signal heads. Check foundation elevations to assure compliance with mounting height requirements.

Attach dampening devices to mast arms 36 feet in length and longer. Dampening will not be paid for directly, but will be considered subsidiary to Item 686 – “Traffic Signal Pole Assemblies”.

Internally wire signal cable for the vehicular signal heads without drip loops. Thread the hole in the mast arm shaft leading into the astro-bracket mount for a CGB connector or a galvanized pipe nipple. Furnish and install CGB connectors or galvanized pipe nipples. The materials and work necessary will not be paid for separately but will be considered subsidiary to Item 686 – “Traffic Signal Pole Assemblies”.

### **Item 730 - Roadside Mowing**

Mow full-width from pavement edge to Right-of-Way line 4 times. The Engineer shall dictate the times to mow and the areas in the project to mow.

Each mowing cycle is for the entire project in each county. Lamb County is 248 acres. Lubbock County is 136 acres.

Notify the Engineer by 9:00 am each day for work completed the previous day, including hand trimming and cleanup. The Engineer will then inspect the section(s) of roadway for acceptance, not more than two (2) working days after notification.

Mobile TMA will be required where median cable is present and the mower deck extends into the roadway.

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Truck mounted attenuators shall be used while mowing.

**Item 734 – Litter Removal**

Perform litter removal prior to mowing and as directed by the Engineer.

**Item 3002 – Reinforced Paving Mat for Asphalt Pavement Overlays**

Provide a letter from the manufacturer that authorizes the installer to install the product.

Submerge a 2 in x 2 in of sample in D-Limonene or other approved solvent for 60 minutes. The result is passing if the solvent remains clear.

Don't install more reinforcing fabric that can't be covered that same day.

Provide PG76-28 binder at a rate of 0.15 gal/sy.

**Item 6016 – Temporary Speed Monitoring System**

Provide 2 speed monitoring trailers for this project.

Utilize the speed monitoring trailers on the project for the duration of this project as directed for the protection of the workers.

Change locations of speed monitoring trailers on a regular basis to improve driver attention.

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TEXAS DEPARTMENT OF TRANSPORTATION

**GOVERNING SPECIFICATIONS AND SPECIAL PROVISIONS**

ALL SPECIFICATIONS AND SPECIAL PROVISIONS APPLICABLE TO THIS PROJECT ARE IDENTIFIED AS FOLLOWS:

STANDARD SPECIFICATIONS: ADOPTED BY THE TEXAS DEPARTMENT OF  
----- TRANSPORTATION SEPTEMBER 1, 2024.  
STANDARD SPECIFICATIONS ARE INCORPORATED  
INTO THE CONTRACT BY REFERENCE.

- ITEMS 1 TO 9 INCL., GENERAL REQUIREMENTS AND COVENANTS
- ITEM 106 OBLITERATING ABANDONED ROADWAY
- ITEM 132 EMBANKMENT <100><110><160><204><210><216><400>
- ITEM 134 BACKFILLING PAVEMENT EDGES <162><166><168><300><314>
- ITEM 150 BLADING
- ITEM 216 PROOF ROLLING <210>
- ITEM 314 EMULSIFIED ASPHALT TREATMENT <204><300>
- ITEM 315 FOG SEAL <300><316>
- ITEM 341 DENSE-GRADED HOT-MIX ASPHALT <300><301><320><504><520>  
<585>
- ITEM 347 THIN OVERLAY MIXTURES (TOM) <300><301><320><504><520>  
<585>
- ITEM 351 FLEXIBLE PAVEMENT STRUCTURE REPAIR <132><204><247><260>  
<275><276><292><310><316><330><334><341><344>
- ITEM 354 PLANING AND TEXTURING PAVEMENT
- ITEM 416 DRILLED SHAFT FOUNDATIONS <405><420><421><423><440><448>
- ITEM 429 CONCRETE STRUCTURE REPAIR <421><431><440><780>
- ITEM 432 RIPRAP <247><420><421><431><440>
- ITEM 451 RETROFIT RAILING <421><429><440><441><442><445><450><540>
- ITEM 483 CONCRETE BRIDGE DECK SURFACING <421>
- ITEM 496 REMOVING STRUCTURES
- ITEM 500 MOBILIZATION
- ITEM 502 BARRICADES, SIGNS, AND TRAFFIC HANDLING <503><505><510>
- ITEM 503 PORTABLE CHANGEABLE MESSAGE SIGN
- ITEM 504 FIELD OFFICE AND LABORATORY
- ITEM 505 TRUCK-MOUNTED ATTENUATOR (TMA) AND TRAILER ATTENUATOR (TA)
- ITEM 506 TEMPORARY EROSION, SEDIMENTATION, AND ENVIRONMENTAL  
CONTROLS <161><432><556>
- ITEM 512 PORTABLE TRAFFIC BARRIER <420><421><424><440><442><502>  
<514>

ITEM 533 RUMBLE STRIPS <300><320><330><334><341><350>  
 ITEM 540 METAL BEAM GUARD FENCE <421><441><445><492><529>  
 ITEM 542 REMOVING METAL BEAM GUARD FENCE  
 ITEM 543 CABLE BARRIER SYSTEM <421><658>  
 ITEM 544 GUARDRAIL END TREATMENTS  
 ITEM 545 CRASH CUSHION ATTENUATORS <421><502>  
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 ITEM 614 HIGH MAST ILLUMINATION ASSEMBLIES <441><442><445><610>  
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SPECIAL PROVISIONS: SPECIAL PROVISIONS WILL GOVERN AND TAKE  
 ----- PRECEDENCE OVER THE SPECIFICATIONS ENUMERATED  
 HEREON WHEREVER IN CONFLICT THEREWITH.

REQUIRED CONTRACT PROVISIONS. ALL FEDERAL-AID PROJECTS (REV. 5-12)  
 (FORM FHWA 1273)



WAGE RATES

SPECIAL PROVISION "NONDISCRIMINATION" (000---001)  
SPECIAL PROVISION "CERTIFICATION OF NONDISCRIMINATION IN EMPLOYMENT"  
(000---002)  
SPECIAL PROVISION "STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY  
CONSTRUCTION CONTRACT SPECIFIC" (000---003)  
SPECIAL PROVISION "ONTHEJOB TRAINING PROGRAM" (000---004)  
SPECIAL PROVISION "CARGO PREFERENCE ACT REQUIREMENTS IN FEDERAL AID  
CONTRA" (000---007)  
SPECIAL PROVISION "NOTICE OF CONTRACTOR PERFORMANCE EVALUATIONS"  
(000---016)  
SPECIAL PROVISION "CERTIFICATE OF INTERESTED PARTIES (FORM 1295)"  
(000---017)  
SPECIAL PROVISION "NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO  
ENSURE EQUAL EMPLOYEMENT OPPORTUNITY (EXECUTIVE O  
RDER 11" (000---020)  
SPECIAL PROVISION "DISADVANTAGED BUSINESS ENTERPRISE IN FEDERALAID"  
(000---022)  
SPECIAL PROVISION "SCHEDULE OF LIQUIDATED DAMAGES" (000---031)  
SPECIAL PROVISION TO ITEM 6 (006---001)  
SPECIAL PROVISIONS TO ITEM 8 (008---003)(008---004)

SPECIAL SPECIFICATIONS:

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ITEM 3002 REINFORCED PAVING MAT FOR ASPHALT PAVEMENT OVERLAYS  
ITEM 6016 TEMPORARY SPEED MONITORING SYSTEM

GENERAL: THE ABOVE-LISTED SPECIFICATION ITEMS ARE THOSE UNDER WHICH  
----- PAYMENT IS TO BE MADE. THESE, TOGETHER WITH SUCH OTHER  
PERTINENT ITEMS, IF ANY, AS MAY BE REFERRED TO IN THE ABOVE-  
LISTED SPECIFICATION ITEMS, AND INCLUDING THE SPECIAL  
PROVISIONS LISTED ABOVE, CONSTITUTE THE COMPLETE SPECIFI-  
CATIONS FOR THIS PROJECT.

**Control**    **0052-05-046, ETC.**  
**Project**    **F 2025(306), ETC.**  
**Highway**   **US 84**  
**County**    **LAMB, ETC.**

**DISADVANTAGED BUSINESS ENTERPRISE  
REQUIREMENTS**

The following goal for disadvantaged business enterprises is established:

**DBE  
5.0%**

**Certification of DBE Goal Attainment**

**By signing the proposal, the Bidder certifies that the above DBE goal will be met by committing to DBE participation that meets or exceeds the goal or providing adequate documentation of good faith efforts (GFE) to achieve the goal.**

**The DBE participation or GFE must be submitted within five (5) calendar days after bid opening. If the fifth day falls on a weekend or a day when TxDOT offices are closed, the deadline moves to the next business day.**

**The Department may impose remedies as defined by state or local law if a bidder fails to submit required documentation, including forfeiting the bid proposal guaranty and exclusion from rebidding on the contract if it is re-advertised.**

## **CHILD SUPPORT STATEMENT**

**Under Section 231.006, Family Code, the vendor or applicant certifies that the individual or business entity named in this contract, bid, or application is not ineligible to receive the specified grant, loan, or payment and acknowledges that this contract may be terminated and payment may be withheld if this certification is inaccurate.**

## CONFLICT OF INTEREST CERTIFICATION

Pursuant to Texas Government Code Section 2261.252(b), the Department is prohibited from entering into contracts in which Department officers and employees have a financial interest.

By signing the Contract, the Contractor certifies that it is not prohibited from entering into a Contract with the Department as a result of a financial interest as defined under Texas Government Code Section 2261.252(b), and that it will exercise reasonable care and diligence to prevent any actions or conditions that could result in a conflict of interest with the Department.

The Contractor also certifies that none of the following individuals, nor any of their family members within the second degree of affinity or consanguinity, owns 1% or more interest or has a financial interest as defined under Texas Government Code Section 2261.252(b) in the Contractor:

- Any member of the Texas Transportation Commission; and
- The Department's Executive Director, General Counsel, Chief of Procurement and Field Support Operations, Director of Procurement, and Director of Contract Services.

Violation of this certification may result in action by the Department.

## E-VERIFY CERTIFICATION

Pursuant to Texas Transportation Code §223.051, all TxDOT contracts for construction, maintenance, or improvement of a highway must include a provision requiring Contractors and subcontractors to use the U.S. Department of Homeland Security's E-Verify system to determine employment eligibility. By signing the contract, the Contractor certifies that prior to the award of the Contract:

- the Contractor has registered with and will, to the extent permitted by law, utilize the United States Department of Homeland Security's E-Verify system during the term of the Contract to determine the eligibility of all persons hired to perform duties within Texas during the term of the agreement; and
- the Contractor will require that all subcontractors also register with and, to the extent permitted by law, utilize the United States Department of Homeland Security's E-Verify system during the term of the subcontract to determine the eligibility of all persons hired to perform duties within Texas during the term of the agreement.

Violation of this requirement constitutes a material breach of the Contract, subjects a subcontractor to removal from the Contract, and subjects the Contractor or subcontractors to possible sanctions in accordance with Title 43, Texas Administrative Code, Chapter 10, Subchapter F, "Sanctions and Suspension for Ethical Violations by Entities Doing Business with the Department."

## Certification Regarding Disclosure of Public Information

Pursuant to Subchapter J, Chapter 552, Texas Government Code, contractors executing a contract with a governmental body that results in the expenditure of at least \$1 million in public funds must:

- 1) preserve all contracting information\* as provided by the records retention requirements applicable to Texas Department of Transportation (TxDOT) for the duration of the contract,
- 2) on request of TxDOT, promptly provide any contracting information related to the contract that is in the custody or possession of the entity, and
- 3) on completion of the contract, either:
  - A. provide, at no cost to TxDOT, all contracting information related to the contract that is in the custody or possession of the entity, or
  - B. preserve the contracting information related to the contract as provided by the records retention requirements applicable to TxDOT

The requirements of Subchapter J, Chapter 552, Government Code, may apply to this contract, and the contractor or vendor agrees that the contract can be terminated if the contractor or vendor knowingly or intentionally fails to comply with a requirement of that subchapter.

By entering into Contract, the Contractor agrees to:

- provide, or make available, to TxDOT and any authorized governmental investigating or auditing agency all records, including electronic and payment records related to the contract, for the same period provided by the records retention schedule applicable to TxDOT, and
- ensure that all subcontracts include a clause requiring the same.

\* As defined in Government Code §552.003, “Contracting information” means the following information maintained by a governmental body or sent between a governmental body and a vendor, contractor, potential vendor, or potential contractor:

- 1) information in a voucher or contract relating to the receipt or expenditure of public funds by a governmental body;
- 2) solicitation or bid documents relating to a contract with a governmental body;
- 3) communications sent between a governmental body and a vendor, contractor, potential vendor, or potential contractor during the solicitation, evaluation, or negotiation of a contract;
- 4) documents, including bid tabulations, showing the criteria by which a governmental body evaluates each vendor, contractor, potential vendor, or potential contractor responding to a solicitation and, if applicable, an explanation of why the vendor or contractor was selected; and
- 5) communications and other information sent between a governmental body and a vendor or contractor related to the performance of a final contract with the governmental body or work performed on behalf of the governmental body.

## CERTIFICATION TO NOT BOYCOTT ISRAEL

Pursuant to Texas Government Code §2271.002, the Department must include a provision requiring a written verification affirming that the Contractor does not boycott Israel, as defined in Government Code §808.001, and will not boycott Israel during the term of the contract. This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not boycott Israel and will not boycott Israel during the term of this contract. "Boycott" means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes.

Violation of this certification may result in action by the Department.

## CERTIFICATION TO NOT BOYCOTT ENERGY COMPANIES

Pursuant to Texas Government Code §2274.002, the Department must include a provision requiring a written verification affirming that the Contractor does not boycott energy companies, as defined in Government Code §809.001, and will not boycott energy companies during the term of the contract. This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not boycott energy companies and will not boycott energy companies during the term of this contract. "Boycott" means taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations with a company because the company: (1) engages in the exploration, production, utilization, transportation, sale, or manufacturing of fossil fuel-based energy and does not commit or pledge to meet environmental standards beyond applicable federal and state law; or (2) does business with a company described by (1).

Violation of this certification may result in action by the Department.



## CERTIFICATION TO NOT DISCRIMINATE AGAINST FIREARM ENTITIES OR FIREARM TRADE ASSOCIATIONS

Pursuant to Texas Government Code §2274.002, the Department must include a provision requiring a written verification affirming that the Contractor:

- 1) does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association, as defined in Government Code §2274.001, and
- 2) will not discriminate against a firearm entity or firearm trade association during the term of the contract.

This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not discriminate against a firearm entity or firearm trade association as described and will not do so during the term of this contract. "Discriminate against a firearm entity or firearm trade association" means, with respect to the entity or association, to: (1) refuse to engage in the trade of any goods or services with the entity or association based solely on its status as a firearm entity or firearm trade association; (2) refrain from continuing an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association; or (3) terminate an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association. "Discriminate against a firearm entity or firearm trade association" does not include: (1) the established policies of a merchant, retail seller, or platform that restrict or prohibit the listing or selling of ammunition, firearms, or firearm accessories; (2) a company's refusal to engage in the trade of any goods or services, decision to refrain from continuing an existing business relationship, or decision to terminate an existing business relationship to comply with federal, state, or local law, policy, or regulations or a directive by a regulatory agency, or for any traditional business reason that is specific to the customer or potential customer and not based solely on an entity's or association's status as a firearm entity or firearm trade association.

Violation of this certification may result in action by the Department.

# PROHIBITION ON CERTAIN TELECOMMUNICATIONS EQUIPMENT OR SERVICES

The Federal Register Notice issued the Final Rule and states that the amendment to 2 CFR 200.216 is effective on August 13, 2020. The new 2 CFR 200.471 regulation provides clarity that the telecommunications and video surveillance costs associated with 2 CFR 200.216 are unallowable for services and equipment from these specific providers. OMB's Federal Register Notice includes the new 2 CFR 200.216 and 2 CFR 200.471 regulations.

<https://www.federalregister.gov/documents/2020/08/13/2020-17468/guidance-for-grants-and-agreements>

Per the Federal Law referenced above, use of services, systems, or services or systems that contain components produced by any of the following manufacturers is strictly prohibited for use on this project. Therefore, for any telecommunications, CCTV, or video surveillance equipment, services or systems cannot be manufactured by, or have components manufactured by:

- Huawei Technologies Company,
- ZTE Corporation (any subsidiary and affiliate of such entities),
- Hytera Communications Corporation,
- Hangzhou Hikvision Digital Technology Company,
- Dahua Technology Company (any subsidiary and affiliate of such entities).

Violation of this prohibition will require replacement of the equipment at the contractor's expense.

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

**II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)**

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

#### **6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### **10. Assurances Required:**

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in paragraph 4. of this section. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

(i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;

(ii) The classification is used in the area by the construction industry; and

(iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is used in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov). The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov), refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division

under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.* Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

## 2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph



2.a. of this section or Section V, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901–3907](#).

### 3. Records and certified payrolls (29 CFR 5.5)

a. *Basic record requirements (1) Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

(2) *Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

(3) *Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

(4) *Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

b. *Certified payroll requirements (1) Frequency and method of submission.* The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to the contracting

agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

(2) *Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker ( e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHD/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

(3) *Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in [29 CFR part 3](#); and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

(4) *Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.



(5) *Signature*. The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification*. The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention*. The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents*. The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access* (1) *Required record disclosures and access to workers*. The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.

(2) *Sanctions for non-compliance with records and worker access requirements*. If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures*. Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address

of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

#### **4. Apprentices and equal employment opportunity (29 CFR 5.5)**

a. *Apprentices* (1) *Rate of pay*. Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits*. Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio*. The allowable ratio of apprentices to journeyworkers on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates*. Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity*. The use of apprentices and journeyworkers under this part must be in conformity with

the equal employment opportunity requirements of Executive Order 11246, as amended, and [29 CFR part 30](#).

c. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeyworkers shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

**6. Subcontracts.** The contractor or subcontractor must insert FHWA-1273 in any subcontracts, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

**9. Disputes concerning labor standards.** As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.** a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

**11. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

## V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or

mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)\* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

\* \$31 as of January 15, 2023 (See 88 FR 88 FR 2210) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

### 3. Withholding for unpaid wages and liquidated damages

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section IV paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

**4. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the

event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

**5. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or

d. Informing any other person about their rights under CWHSSA or this part.

### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;

- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

## **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and

health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

## **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

**IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)**

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

**X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

**1. Instructions for Certification – First Tier Participants:**

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

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**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

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**3. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily

excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

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#### **4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

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#### **XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or

cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

#### **XII. USE OF UNITED STATES-FLAG VESSELS:**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B)**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.



The wage rates listed herein are those predetermined by the Secretary of Labor and State Statute and listed in the United States Department of Labor's (USDOL) General Decisions dated 01-05-2024 and are the minimum wages to be paid accordingly for each specified classification. To determine the applicable wage rate zone, a list entitled "TEXAS COUNTIES IDENTIFIED BY WAGE RATE ZONES" is provided in the contract. Any wage rate that is not listed herein and not in the USDOL's general decision, must be requested by the contractor through the completion of an Additional Classification and Wage Rate Request and be submitted for approval. IMPORTANT NOTICE FOR STATE PROJECTS: only the controlling wage rate zone applies to the contract. Effective 01-05-2024.

CLASS. #	CLASSIFICATION DESCRIPTION	ZONE TX02 *(TX20240002)	ZONE TX03 *(TX20240003)	ZONE TX04 *(TX20240004)	ZONE TX05 *(TX20240005)	ZONE TX06 *(TX20240006)	ZONE TX07 *(TX20240007)	ZONE TX08 *(TX20240008)	ZONE TX24 *(TX20240024)	ZONE TX25 *(TX20240025)	ZONE TX27 *(TX20240027)	ZONE TX28 *(TX20240028)	ZONE TX29 *(TX20240029)	ZONE TX30 *(TX20240030)	ZONE TX37 *(TX20240037)	ZONE TX38 *(TX20240038)	ZONE TX42 *(TX20240042)
1428	Agricultural Tractor Operator						\$12.69					\$12.35			\$11.75		
1300	Asphalt Distributor Operator	\$14.87	\$13.48	\$13.88	\$15.72	\$15.58	\$15.55	\$15.72	\$13.28	\$15.32	\$15.62	\$14.36	\$14.25	\$14.03	\$13.75	\$14.06	\$14.40
1303	Asphalt Paving Machine Operator	\$13.40	\$12.25	\$12.35	\$13.87	\$14.05	\$14.36	\$14.20	\$13.26	\$13.99	\$14.68	\$12.92	\$13.44	\$12.53	\$14.00	\$14.32	\$12.99
1106	Asphalt Raker	\$12.28	\$10.61	\$12.02	\$14.21	\$11.65	\$12.12	\$11.64	\$11.44	\$12.69	\$12.05	\$11.34	\$11.67	\$11.40	\$12.59	\$12.36	\$11.78
1112	Batching Plant Operator, Asphalt																
1115	Batching Plant Operator, Concrete																
1214	Blaster																
1615	Boom Truck Operator						\$18.36										
1444	Boring Machine Operator																
1305	Broom or Sweeper Operator	\$11.21	\$10.33	\$10.08	\$11.99		\$11.04	\$11.62		\$11.74	\$11.41	\$10.30		\$10.23	\$10.60	\$12.68	\$11.05
1144	Communications Cable Installer																
1124	Concrete Finisher, Paving and Structures	\$13.55	\$12.46	\$13.16	\$12.85	\$12.64	\$12.56	\$12.77	\$12.44	\$14.12	\$13.04	\$13.38	\$12.64	\$12.80	\$12.79	\$12.98	\$13.32
1318	Concrete Pavement Finishing Machine Operator				\$16.05		\$15.48			\$16.05		\$19.31				\$13.07	
1315	Concrete Paving, Curing, Float, Texturing Machine Operator											\$16.34				\$11.71	
1333	Concrete Saw Operator				\$14.67					\$14.48	\$17.33					\$13.99	
1399	Concrete/Gunite Pump Operator																
1344	Crane Operator, Hydraulic 60 tons or less				\$18.22		\$18.36			\$18.12	\$18.04	\$20.21			\$18.63	\$13.86	
1345	Crane Operator, Hydraulic Over 80 Tons																
1342	Crane Operator, Lattice Boom 80 Tons or Less	\$16.82	\$14.39	\$13.85	\$17.27		\$15.87			\$17.27		\$14.67			\$16.42	\$14.97	\$13.87
1343	Crane Operator, Lattice Boom Over 80 Tons				\$20.52		\$19.38			\$20.52		\$17.49			\$25.13	\$15.80	
1306	Crawler Tractor Operator	\$13.96	\$16.63	\$13.62	\$14.26		\$15.67			\$14.07	\$13.15	\$13.38			\$14.60	\$13.68	\$13.50
1351	Crusher or Screen Plant Operator																
1446	Directional Drilling Locator						\$11.67										
1445	Directional Drilling Operator				\$20.32		\$17.24										
1139	Electrician	\$20.96		\$19.87	\$19.80		\$26.35		\$20.27	\$19.80		\$20.92				\$27.11	\$19.87
1347	Excavator Operator, 50,000 pounds or less	\$13.46	\$12.56	\$13.67	\$17.19		\$12.88	\$14.38	\$13.49	\$17.19		\$13.88			\$14.09	\$12.71	\$14.42
1348	Excavator Operator, Over 50,000 pounds		\$15.23	\$13.52	\$17.04		\$17.71			\$16.99	\$18.80	\$16.22				\$14.53	\$13.52
1150	Flagger	\$9.30	\$9.10	\$8.50	\$10.28	\$8.81	\$9.45	\$8.70		\$10.06	\$9.71	\$9.03	\$8.81	\$9.08	\$9.90	\$10.33	\$8.10
1151	Form Builder/Setter, Structures	\$13.52	\$12.30	\$13.38	\$12.91	\$12.71	\$12.87	\$12.38	\$12.26	\$13.84	\$12.98	\$13.07	\$13.61	\$12.82	\$14.73	\$12.23	\$12.25
1160	Form Setter, Paving & Curb	\$12.36	\$12.16	\$13.93	\$11.83	\$10.71	\$12.94			\$13.16	\$12.54	\$11.33	\$10.69		\$13.33	\$12.34	\$13.93
1360	Foundation Drill Operator, Crawler Mounted				\$17.99					\$17.99						\$17.43	
1363	Foundation Drill Operator, Truck Mounted		\$16.86	\$22.05	\$21.51		\$16.93			\$21.07	\$20.20	\$20.76		\$17.54	\$21.39	\$15.89	\$22.05
1369	Front End Loader Operator, 3 CY or Less	\$12.28	\$13.49	\$13.40	\$13.85		\$13.04	\$13.15	\$13.29	\$13.69	\$12.64	\$12.89			\$13.51	\$13.32	\$12.17
1372	Front End Loader Operator, Over 3 CY	\$12.77	\$13.69	\$12.33	\$14.96		\$13.21	\$12.86	\$13.57	\$14.72	\$13.75	\$12.32			\$13.19	\$13.17	\$13.02
1329	Joint Sealer																
1172	Laborer, Common	\$10.30	\$9.86	\$10.08	\$10.51	\$10.71	\$10.50	\$10.24	\$10.58	\$10.72	\$10.45	\$10.30	\$10.25	\$10.03	\$10.54	\$11.02	\$10.15
1175	Laborer, Utility	\$11.80	\$11.53	\$12.70	\$12.17	\$11.81	\$12.27	\$12.11	\$11.33	\$12.32	\$11.80	\$11.53	\$11.23	\$11.50	\$11.95	\$11.73	\$12.37
1346	Loader/Backhoe Operator	\$14.18	\$12.77	\$12.97	\$15.68		\$14.12			\$15.18	\$13.58	\$12.87		\$13.21	\$14.13	\$14.29	\$12.90
1187	Mechanic	\$20.14	\$15.47	\$17.47	\$17.74	\$17.00	\$17.10			\$17.68	\$18.94	\$18.58	\$17.00	\$16.61	\$18.46	\$16.96	\$17.47

CLASS. #	CLASSIFICATION DESCRIPTION	ZONE TX02 *(TX20240002)	ZONE TX03 *(TX20240003)	ZONE TX04 *(TX20240004)	ZONE TX05 *(TX20240005)	ZONE TX06 *(TX20240006)	ZONE TX07 *(TX20240007)	ZONE TX08 *(TX20240008)	ZONE TX24 *(TX20240024)	ZONE TX25 *(TX20240025)	ZONE TX27 *(TX20240027)	ZONE TX28 *(TX20240028)	ZONE TX29 *(TX20240029)	ZONE TX30 *(TX20240030)	ZONE TX37 *(TX20240037)	ZONE TX38 *(TX20240038)	ZONE TX42 *(TX20240042)
1380	Milling Machine Operator Motor Grader Operator,	\$15.54	\$14.64	\$12.22	\$14.29		\$14.18			\$14.32	\$14.35	\$12.86			\$14.75	\$13.53	\$12.80
1390	Fine Grade	\$17.49	\$16.52	\$16.88	\$17.12	\$18.37	\$18.51	\$16.69	\$16.13	\$17.19	\$18.35	\$17.07	\$17.74	\$17.47	\$17.08	\$15.69	\$20.01
1393	Motor Grader Operator, Rough	\$16.15	\$14.62	\$15.83	\$16.20	\$17.07	\$14.63	\$18.50		\$16.02	\$16.44	\$15.12	\$16.85	\$14.47	\$17.39	\$14.23	\$15.53
1413	Off Road Hauler			\$10.08	\$12.26		\$11.88			\$12.25		\$12.23			\$13.00	\$14.60	
1196	Painter, Structures					\$21.29	\$18.34						\$21.29			\$18.62	
1396	Pavement Marking Machine Operator	\$16.42		\$13.10	\$13.55		\$19.17	\$12.01		\$13.63	\$14.60	\$13.17		\$16.65	\$10.54	\$11.18	\$13.10
1443	Percussion or Rotary Drill Operator																
1202	Piledriver															\$14.95	
1205	Pipelayer		\$11.87	\$14.64	\$13.17	\$11.17	\$12.79		\$11.37	\$13.24	\$12.66	\$13.24	\$11.17	\$11.67		\$12.12	\$14.64
1384	Reclaimer/Pulverizer Operator	\$12.85			\$11.90		\$12.88			\$11.01		\$10.46					
1500	Reinforcing Steel Worker	\$13.50	\$14.07	\$17.53	\$16.17		\$14.00			\$16.18	\$12.74	\$15.83		\$17.10		\$15.15	\$17.72
1402	Roller Operator, Asphalt	\$10.95		\$11.96	\$13.29		\$12.78	\$11.61		\$13.08	\$12.36	\$11.68			\$11.71	\$11.95	\$11.50
1405	Roller Operator, Other	\$10.36		\$10.44	\$11.82		\$10.50	\$11.64		\$11.51	\$10.59	\$10.30		\$12.04	\$12.85	\$11.57	\$10.66
1411	Scraper Operator	\$10.61	\$11.07	\$10.85	\$12.88		\$12.27		\$11.12	\$12.96	\$11.88	\$12.43		\$11.22	\$13.95	\$13.47	\$10.89
1417	Self-Propelled Hammer Operator																
1194	Servicer	\$13.98	\$12.34	\$14.11	\$14.74		\$14.51	\$15.56	\$13.44	\$14.58	\$14.31	\$13.83		\$12.43	\$13.72	\$13.97	\$14.11
1513	Sign Erector																
1708	Slurry Seal or Micro-Surfacing Machine Operator																
1341	Small Slipform Machine Operator									\$15.96							
1515	Spreader Box Operator	\$12.60		\$13.12	\$14.71		\$14.04			\$14.73	\$13.84	\$13.68		\$13.45	\$11.83	\$13.58	\$14.05
1705	Structural Steel Welder															\$12.85	
1509	Structural Steel Worker						\$19.29									\$14.39	
1339	Subgrade Trimmer																
1143	Telecommunication Technician																
1145	Traffic Signal/Light Pole Worker						\$16.00										
1440	Trenching Machine Operator, Heavy						\$18.48										
1437	Trenching Machine Operator, Light																
1609	Truck Driver Lowboy-Float	\$14.46	\$13.63	\$13.41	\$15.00	\$15.93	\$15.66			\$16.24	\$16.39	\$14.30	\$16.62	\$15.63	\$14.28	\$16.03	\$13.41
1612	Truck Driver Transit-Mix				\$14.14					\$14.14							
1600	Truck Driver, Single Axle	\$12.74	\$10.82	\$10.75	\$13.04	\$11.61	\$11.79	\$13.53	\$13.16	\$12.31	\$13.40	\$10.30	\$11.61		\$11.97	\$11.46	\$10.75
1606	Truck Driver, Single or Tandem Axle Dump Truck	\$11.33	\$14.53	\$11.95	\$12.95		\$11.68		\$14.06	\$12.62	\$11.45	\$12.28		\$13.08	\$11.68	\$11.48	\$11.10
1607	Truck Driver, Tandem Axle Tractor with Semi Trailer	\$12.49	\$12.12	\$12.50	\$13.42		\$12.81	\$13.16		\$12.86	\$16.22	\$12.50			\$13.80	\$12.27	\$12.50
1441	Tunneling Machine Operator, Heavy																
1442	Tunneling Machine Operator, Light																
1706	Welder		\$14.02		\$14.86		\$15.97		\$13.74	\$14.84					\$13.78		
1520	Work Zone Barricade Servicer	\$10.30	\$12.88	\$11.46	\$11.70	\$11.57	\$11.85	\$10.77		\$11.68	\$12.20	\$11.22	\$11.51	\$12.96	\$10.54	\$11.67	\$11.76

Notes:

\*Represents the USDOL wage decision.

Any worker employed on this project shall be paid at the rate of one and one half (1-1/2) times the regular rate for every hour worked in excess of forty (40) hours per week.

For reference, the titles and descriptions for the classifications listed here are detailed further in the AGC of Texas' *Standard Job Classifications and Descriptions for Highway, Heavy, Utilities, and Industrial Construction in Texas* posted on the AGC's Web site for any contractor.

**TEXAS COUNTIES IDENTIFIED BY  
WAGE RATE ZONES: 2, 3, 4, 5, 6, 7, 8, 24, 25, 27, 28, 29, 30, 37, 38, 42**

County Name	Zone	County Name	Zone	County Name	Zone	County Name	Zone
Anderson	28	Donley	37	Karnes	27	Reagan	37
Andrews	37	Duval	30	Kaufman	25	Real	37
Angelina	28	Eastland	37	Kendall	7	Red River	28
Aransas	29	Ector	2	Kenedy	30	Reeves	8
Archer	25	Edwards	8	Kent	37	Refugio	27
Armstrong	2	El Paso	24	Kerr	27	Roberts	37
Atascosa	7	Ellis	25	Kimble	37	Robertson	7
Austin	38	Erath	28	King	37	Rockwall	25
Bailey	37	Falls	28	Kinney	8	Runnels	37
Bandera	7	Fannin	28	Kleberg	27	Rusk	4
Bastrop	7	Fayette	27	Knox	37	Sabine	28
Baylor	37	Fisher	37	Lamar	28	San Augustine	28
Bee	27	Floyd	37	Lamb	37	San Jacinto	38
Bell	7	Foard	37	Lampasas	7	San Patricio	29
Bexar	7	Fort Bend	38	LaSalle	30	San Saba	37
Blanco	27	Franklin	28	Lavaca	27	Schleicher	37
Borden	37	Freestone	28	Lee	27	Scurry	37
Bosque	28	Frio	27	Leon	28	Shackelford	37
Bowie	4	Gaines	37	Liberty	38	Shelby	28
Brazoria	38	Galveston	38	Limestone	28	Sherman	37
Brazos	7	Garza	37	Lipscomb	37	Smith	4
Brewster	8	Gillespie	27	Live Oak	27	Somervell	28
Briscoe	37	Glasscock	37	Llano	27	Starr	30
Brooks	30	Goliad	29	Loving	37	Stephens	37
Brown	37	Gonzales	27	Lubbock	2	Sterling	37
Burleson	7	Gray	37	Lynn	37	Stonewall	37
Burnet	27	Grayson	25	Madison	28	Sutton	8
Caldwell	7	Gregg	4	Marion	28	Swisher	37
Calhoun	29	Grimes	28	Martin	37	Tarrant	25
Callahan	25	Guadalupe	7	Mason	27	Taylor	2
Cameron	3	Hale	37	Matagorda	27	Terrell	8
Camp	28	Hall	37	Maverick	30	Terry	37
Carson	2	Hamilton	28	McCulloch	37	Throckmorton	37
Cass	28	Hansford	37	McLennan	7	Titus	28
Castro	37	Hardeman	37	McMullen	30	Tom Green	2
Chambers	38	Hardin	38	Medina	7	Travis	7
Cherokee	28	Harris	38	Menard	37	Trinity	28
Childress	37	Harrison	42	Midland	2	Tyler	28
Clay	25	Hartley	37	Milam	28	Upshur	4
Cochran	37	Haskell	37	Mills	37	Upton	37
Coke	37	Hays	7	Mitchell	37	Uvalde	30
Coleman	37	Hemphill	37	Montague	37	Val Verde	8
Collin	25	Henderson	28	Montgomery	38	Van Zandt	28
Collingsworth	37	Hidalgo	3	Moore	37	Victoria	6
Colorado	27	Hill	28	Morris	28	Walker	28
Comal	7	Hockley	37	Motley	37	Waller	38
Comanche	37	Hood	28	Nacogdoches	28	Ward	37
Concho	37	Hopkins	28	Navarro	28	Washington	28
Cooke	37	Houston	28	Newton	28	Webb	3
Coryell	7	Howard	37	Nolan	37	Wharton	27
Cottle	37	Hudspeth	8	Nueces	29	Wheeler	37
Crane	37	Hunt	25	Ochiltree	37	Wichita	5
Crockett	8	Hutchinson	37	Oldham	37	Wilbarger	37
Crosby	2	Irion	2	Orange	38	Willacy	30
Culberson	8	Jack	28	Palo Pinto	28	Williamson	7
Dallam	37	Jackson	27	Panola	28	Wilson	7
Dallas	25	Jasper	28	Parker	25	Winkler	37
Dawson	37	Jeff Davis	8	Parmer	37	Wise	25
Deaf Smith	37	Jefferson	38	Pecos	8	Wood	28
Delta	25	Jim Hogg	30	Polk	28	Yoakum	37
Denton	25	Jim Wells	27	Potter	2	Young	37
DeWitt	27	Johnson	25	Presidio	8	Zapata	30
Dickens	37	Jones	25	Rains	28	Zavala	30
Dimmit	30			Randall	2		

# Special Provision to Item 000

## Nondiscrimination



### 1. DESCRIPTION

All recipients of federal financial assistance are required to comply with various nondiscrimination laws, including Title VI of the Civil Rights Act of 1964, as amended (Title VI). Title VI forbids discrimination against anyone in the United States on the grounds of race, color, or national origin by any agency receiving federal funds.

The Texas Department of Transportation, as a recipient of federal financial assistance, and under Title VI and related statutes, ensures that no person will on the grounds of race, religion (where the primary objective of the financial assistance is to provide employment in accordance with 42 USC 2000d-3), color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any Department programs or activities.

### 2. DEFINITION OF TERMS

Where the term "Contractor" appears in the following six nondiscrimination clauses, the term "Contractor" is understood to include all parties to Contracts or agreements with the Department.

### 3. NONDISCRIMINATION PROVISIONS

During the performance of this Contract, the Contractor agrees as follows.

- 3.1. **Compliance with Regulations.** The Contractor must comply with the Regulations pertinent to nondiscrimination in federally assisted programs of the United States Department of Transportation 49 CFR 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this Contract.
- 3.2. **Nondiscrimination.** The Contractor, regarding the work performed during the Contract, must not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The Contractor must not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, including employment practices when the Contract covers a program set forth in Appendix B of the Regulations.
- 3.3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment.** In all solicitations either by competitive bidding or negotiation made by the Contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, the Contractor must notify each potential subcontractor or supplier of the Contractor's obligations under this Contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- 3.4. **Information and Reports.** The Contractor must provide all information and reports required by the Regulations or directives issued pursuant thereto, and must permit access to its books, records, accounts, other sources of information, and facilities as may be determined by the Recipient or the Department to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of a Contractor is in the exclusive possession of another who fails or refuses to furnish this information, the Contractor must so certify to the Recipient, or the Department as appropriate, and must set forth what efforts it has made to obtain the information.
- 3.5. **Sanctions for Noncompliance.** In the event of the Contractor's noncompliance with the nondiscrimination provisions of this Contract, the Recipient must impose such Contract sanctions as it or the Department may

determine to be appropriate, including, but not limited to actions defined in Article 7.1., "Ethics," or Article 5.1., "Authority of Engineer."

- 3.6. **Incorporation of Provisions.** The Contractor must include the provisions of Sections 3.1–3.6 in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto. The Contractor must take such action with respect to any subcontract or procurement as the Recipient or the Department may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that, in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the Contractor may request the Recipient to enter into such litigation to protect the interests of the Recipient, and, in addition, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

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# Special Provision to Item 000

## Certification of Nondiscrimination in Employment

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### 1. GENERAL

By signing this proposal, the Bidder certifies that it has participated in a previous Contract or subcontract subject to the equal opportunity clause, as required by Executive Order (EO) 10925, 11114, or 11246, or if it has not participated in a previous Contract of this type, or if it has had previous Contracts or subcontracts and has not filed, it will file with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity (EEO), all reports due under the applicable filing requirements.

**Note**—The above certification is required by the EEO Regulations of the Secretary of Labor [41 CFR 60-1.7(b)(1)], and must be submitted by Bidders and proposed subcontractors only in connection with Contracts and subcontracts that are subject to the equal opportunity clause. Contracts and subcontracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only Contracts or subcontracts of \$10,000 or less are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the EOs or their implementing regulations.

Proposed prime Contractors and subcontractors that have participated in a previous Contract or subcontract subject to the EO and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of Contracts and subcontracts unless such Contractor submits a report covering the delinquent period or such other period specified by FHWA or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

# Special Provision to Item 000

## Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246)



### 1. GENERAL

#### 1.1. As used in these Specifications:

- “Covered area” means the geographical area described in the solicitation from which this Contract resulted;
- “Director” means Director, Office of Federal Contract Compliance Programs (OFCCP), U.S. Department of Labor (DOL), or any person to whom the Director delegates authority;
- “Employer identification number” means the federal Social Security number used on the employer’s quarterly federal tax return, U.S. Treasury Department Form 941; and
- “Minority” includes:
  - Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race);
  - Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
  - American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

1.2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it will physically include in each subcontract of more than \$10,000 the provisions of these Specifications and the Notice that contains the applicable goals for minority and female participation that are set forth in the solicitations from which this Contract resulted.

1.3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by DOL in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) will be in conformance with that Plan for those trades that have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or subcontractor participating in an approved Plan is individually required to comply with its obligations under the equal employment opportunity (EEO) clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or subcontractors toward a goal in an approved Plan does not excuse any covered Contractor’s or subcontractor’s failure to take good faith efforts to achieve the Plan goals and timetables.

1.4. The Contractor will implement the specific affirmative action standards provided in Sections 1.7.1.– Section 1.7.16. of this Specification. The goals set forth in the solicitation from which this Contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction Contractors performing Contracts in geographical areas where they do not have a federal or federally assisted construction Contract will apply the minority and female goals established for the geographical area where the Contract is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any OFCCP office

or any federal procurement contracting officer. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.

- 1.5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women will excuse the Contractor's obligations under these Specifications, Executive Order (EO) 11246, or the regulations promulgated pursuant thereto.
- 1.6. For the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by DOL.
- 1.7. The Contractor will take specific affirmative actions to ensure EEO. The evaluation of the Contractor's compliance with these Specifications will be based on its effort to achieve maximum results from its actions. The Contractor will document these efforts fully and will implement affirmative action steps at least as extensive as the following.
  - 1.7.1. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor will specifically ensure that all foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - 1.7.2. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
  - 1.7.3. Maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, recruitment source, or community organization, and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred to the Contractor by the union or, if referred, not employed by the Contractor, this will be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
  - 1.7.4. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement have not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
  - 1.7.5. Develop on-the-job training opportunities or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by DOL. The Contractor will provide notice of these programs to the sources compiled under Section 1.7.2.
  - 1.7.6. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in publications such as the company newspaper and annual report; by specifically reviewing the policy with all management personnel and with all minority and female employees at least once annually; and by posting it on bulletin boards accessible to all employees at each location where construction work is performed.
  - 1.7.7. Review, at least annually, the company's EEO policy and affirmative action obligations under these Specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions, including specific review of these items with onsite supervisory personnel such as



superintendents and general foremen, before the initiation of construction work at any jobsite. A written record must be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

- 1.7.8. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and subcontractors with whom the Contractor does or anticipates doing business.
- 1.7.9. Direct its recruitment efforts, both oral and written, to minority, female, and community organizations; to schools with minority and female students; and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 mo. before the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor will send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- 1.7.10. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after-school, summer, and vacation employment to minority and female youth both onsite and in other areas of a Contractor's workforce.
- 1.7.11. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR 60-3.
- 1.7.12. At least annually, conduct an inventory and evaluation at least of all minority and female personnel for promotional opportunities, and encourage these employees to seek or to prepare for such opportunities through appropriate training or other means.
- 1.7.13. Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the EEO policy and the Contractor's obligations under these Specifications are being carried out.
- 1.7.14. Ensure that all facilities and company activities are non-segregated, except that separate or single-user toilet and necessary changing facilities will be provided to assure privacy between the sexes.
- 1.7.15. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- 1.7.16. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 1.8. Contractors are encouraged to participate in voluntary associations that assist in fulfilling one or more of their affirmative action obligations (Sections 1.7.1.–1.7.16. of this Specifications). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant may be asserted as fulfilling any one or more of its obligations under Sections 1.7.1–1.7.16. of this Specification, provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's, and failure of such a group to fulfill an obligation will not be a defense for the Contractor's noncompliance.
- 1.9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide EEO and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the EO if a particular group is employed in a substantially disparate manner (e.g., even though the Contractor

has achieved its goals for women generally, the Contractor may be in violation of the EO if a specific minority group of women is underused).

- 1.10. The Contractor must not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 1.11. The Contractor will not enter into any subcontract with any person or firm debarred from Government Contracts pursuant to EO 11246.
- 1.12. The Contractor will carry out such sanctions and penalties for violation of these Specifications and of the Equal Opportunity Clause, including suspension, termination, and cancellation of existing subcontracts as may be imposed or ordered pursuant to EO 11246, as amended, and its implementing regulations, by OFCCP. Any Contractor who fails to carry out such sanctions and penalties will be in violation of these Specifications and EO 11246, as amended.
- 1.13. The Contractor, in fulfilling its obligations under these Specifications, will implement specific affirmative action steps, at least as extensive as those standards prescribed in Section 1.7 of this Specification, to achieve maximum results from its efforts to ensure EEO. If the Contractor fails to comply with the requirements of the EO, the implementing regulations, or these Specifications, the Director will proceed in accordance with 41 CFR 60-4.8.
- 1.14. The Contractor will designate a responsible official to monitor all employment-related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government, and to keep records. Records must at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, Social Security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records must be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, Contractors will not be required to maintain separate records.
- 1.15. Nothing herein provided will be construed as a limitation on the application of other laws that establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).
- 1.16. In addition to the reporting requirements set forth elsewhere in this Contract, the Contractor and the subcontractors holding subcontracts, not including material suppliers, of \$10,000 or more, will submit for every month of July during which work is performed, employment data as contained under Form PR 1391 (Appendix C to 23 CFR 230), and in conformance with the included instructions.

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# Special Provision to Item 000

## On-the-Job Training Program

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### 1. DESCRIPTION

The primary objective of this Special Provision is the training and advancement of minorities, women, and economically disadvantaged persons toward journeyworker status. Accordingly, make every effort to enroll minority, women, and economically disadvantaged persons to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended to, and will not be used to, discriminate against any applicant for training, whether he or she is a member of a minority group or not.

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### 2. TRAINEE ASSIGNMENT

Training assignments are based on the past volume of State-let highway construction Contracts awarded with the Department. Contractors meeting the selection criteria will be notified of their training assignment at the beginning of the reporting year by the Department's Civil Rights Division.

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### 3. PROGRAM REQUIREMENTS

Fulfill all the requirements of the On-the-Job Training Program, including the maintenance of records and submittal of periodic reports documenting program performance. Trainees will be paid at least 60% of the appropriate minimum journeyworker's rate specified in the Contract for the first half of the training period, 75% for the third quarter, and 90% for the last quarter, respectively.

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### 4. REIMBURSEMENT

If requested, Contractors may be reimbursed \$0.80 per training hour at no additional cost to the Department. Training may occur on this project, all other Department Contracts, or locally administered federal aid projects with concurrence of the local government entity. However, reimbursement for training is not available on projects to the extent that such projects do not contain federal funds.

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### 5. COMPLIANCE

The Contractor will have fulfilled the contractual responsibilities by having provided acceptable training to the number of trainees specified in their goal assignment. Noncompliance may be cause for corrective and appropriate measures in accordance with Article 8.7., "Default of Contract," which may be used to comply with the sanctions for noncompliance pursuant to 23 CFR 230.

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## Special Provision 000

# Cargo Preference Act Requirements in Federal Aid Contracts



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### 1. DESCRIPTION

All recipients of federal financial assistance are required to comply with the U.S. Department of Transportation's Cargo Preference Act requirements, 46 CFR 381, "Use of United States-Flag Vessels."

This requirement applies to material or equipment that is acquired specifically for a federal-aid highway project. It is not applicable to goods or materials that come into inventories independent of an FHWA-funded Contract.

When oceanic shipments are necessary for materials or equipment acquired for a specific federal-aid construction project, the Contractor agrees to:

- use privately owned United States-flag commercial vessels to ship at least 50% of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this Contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels;
- furnish a legible copy of a rated, onboard commercial ocean bill of lading in English for each shipment of cargo described in Paragraph (b)(1) of 46 CFR 381, Section 7, "Federal Grant, Guaranty, Loan and Advance of Funds Agreements," within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, to both the Engineer (through the prime Contractor in the case of subcontractor bills of lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590; and
- insert the substance of the provisions of this clause in all subcontracts issued pursuant to this Contract.

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# Special Provision 000

## Important Notice to Contractors

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### 1. GENERAL

In accordance with Texas Transportation Code §223.012, the Engineer will evaluate Contractor performance based on quality, safety, and timeliness of the project.

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### 2. DEFINITIONS

- 2.1. **Project Recovery Plan (PRP).** A formal, enforceable plan developed by the Contractor, in consultation with the District, that documents the cause of noted quality, safety, and timeliness issues and specifies how the Contractor proposes to correct project-specific performance deficiencies.

In accordance with 43 TAC §9.23, the District will request a PRP if the Contractor's performance on a project is below the Department's acceptable standards and will monitor the Contractor's compliance with the established plan.

- 2.2. **Corrective Action Plan (CAP).** A formal, enforceable plan developed by the Contractor, and proposed for adoption by the Construction Division or Maintenance Division, that documents the cause of noted quality, safety, and timeliness issues and specifies how the Contractor proposes to correct statewide performance deficiencies.

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### 3. CONTRACTOR EVALUATIONS

In accordance with 43 TAC §9.23, the Engineer will schedule evaluations at the following intervals, at minimum:

- interim evaluations at or within 30 days after the anniversary of the Notice to Proceed, for Contracts extending beyond 1 yr. and
- final evaluation, upon project closeout.

In case of a takeover agreement, neither the Surety nor its performing Contractor will be evaluated.

In addition to regularly scheduled evaluations, the Engineer may schedule an interim evaluation at any time to formally communicate issues with quality, safety, or timeliness. Upon request, work with the Engineer to develop a PRP to document expectations for correcting deficiencies.

Comply with the PRP as directed. Failure to comply with the PRP may result in additional remedial actions available to the Engineer under Item 5, "Control of the Work." Failure to meet a PRP to the Engineer's satisfaction may result in immediate referral to the Performance Review Committee for consideration of further action against the Contractor.

The Engineer will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards or comply with a PRP, including consideration of sufficient time.

Follow the escalation ladder if there is a disagreement regarding an evaluation or disposition of a PRP. The Contractor may submit additional documentation pertaining to the dispute. The District Engineer's decision on a Contractor's evaluation score and recommendation of action required in a PRP or follow-up for noncompliance is final.

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**4. DIVISION OVERSIGHT**

Upon request of the Construction Division or Maintenance Division, develop and submit for Division approval a proposed CAP to document expectations for correcting deficiencies in the performance of projects statewide.

Comply with the CAP as directed. The CAP may be modified at any time up to completion or resolution after written approval of the premise of change from the Division. Failure to meet an adopted or revised adopted CAP to the Division's satisfaction within 120 days will result in immediate referral to the Performance Review Committee for consideration of further action against the Contractor.

The Division will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards or comply with a CAP, including consideration of sufficient time and associated costs as appropriate.

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**5. PERFORMANCE REVIEW COMMITTEE**

The Performance Review Committee, in accordance with 43 TAC §9.24, will review at minimum all final evaluations, history of compliance with PRPs, any adopted CAPs including agreed modifications, any information about events outside a Contractor's control contributing to the Contractor's performance, and any documentation submitted by the Contractor and may recommend one or more of the following actions:

- take no action,
- reduce the Contractor's bidding capacity,
- prohibit the Contractor from bidding on one or more projects,
- immediately suspend the Contractor from bidding for a specified period of time, by reducing the Contractor's bidding capacity to zero, or
- prohibit the Contractor from being awarded a Contract on which they are the apparent low bidder.

The Deputy Executive Director will determine any further action against the Contractor.

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**6. APPEALS PROCESS**

In accordance with 43 TAC §9.25, the Contractor may appeal remedial actions determined by the Deputy Executive Director.

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# Special Provision 000

## Certificate of Interested Parties (Form 1295)

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Submit Form 1295, "Certificate of Interested Parties," in the following instances:

- at Contract execution for Contracts awarded by the Commission,
- at Contract execution for Contracts awarded by the District Engineer or Chief Engineer with an award amount of \$1 million or more,
- at any time an existing Contract awarded by the District Engineer or Chief Engineer increases in value to \$1 million or more because of changes in the Contract,
- at any time there is an increase of \$1 million or more to an existing Contract (e.g., change orders, extensions, and renewals), and
- at any time there is a change to the information in Form 1295, when the form was filed for an existing Contract.

Form 1295 and instructions for completing and filing the form are available on the Texas Ethics Commission website.

# Special Provision to Item 000

## Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)



### 1. GENERAL

In addition to the affirmative action requirements of the Special Provision titled “Standard Federal Equal Employment Opportunity Construction Contract Specifications” as set forth elsewhere in this proposal, the Bidder’s attention is directed to the specific requirements for use of minorities and females as set forth below.

### 2. GOALS

Goals for minority and female participation are hereby established in accordance with 41 CFR 60-4.

The goals for minority and female participation expressed in percentage terms for the Contractor’s aggregate workforce in each trade on all construction work in the covered area are as follows:

Goals for Minority Participation in Each Trade (%)	Goals for Female Participation in Each Trade (%)
See Table 1	6.9

These goals are applicable to all the Contractor’s construction work (whether it is federal or federally assisted or not) performed in the covered area. If the Contractor performs construction work in a geographical area located outside the covered area, it will apply the goals established for such geographical area where the work is actually performed. Regarding this second area, the Contractor also is subject to the goals for both its federally involved and non-federally involved construction. The Contractor’s compliance with the Executive Order (EO) and the regulations in 41 CFR 60-4 will be based on its implementation of the Standard Federal Equal Employment Opportunity Construction Contract Specifications Special Provision and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the Contract, and in each trade, and the Contractor must make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority and female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor’s goals will be a violation of the Contract, the EO, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

The overall good performance of other Contractors and subcontractors toward a goal in an approved plan does not excuse any covered Contractor’s or subcontractor’s failure to make good faith efforts to achieve the goals contained in these provisions. Contractors or subcontractors participating in the plan must be able to demonstrate their participation and document their compliance with the provisions of this plan.

### 3. SUBCONTRACTING

The Contractor must provide written notification to the Department within 10 working days of award of any construction subcontract more than \$10,000 at any tier for construction work under the Contract resulting from this solicitation pending concurrence of the Department in the award. The notification will list the names, address, and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and geographical area in which the Contract is to be performed.



#### 4. COVERED AREA

As used in this Special Provision, and in the Contract resulting from this solicitation, the geographical area covered by these goals for female participation is the State of Texas. The geographical area covered by these goals for other minorities comprises the counties in the State of Texas as indicated in Table 1.

#### 5. REPORTS

The Contractor is hereby notified that he may be subject to the Office of Federal Contract Compliance Programs (OFCCP) reporting and recordkeeping requirements as provided for under EO 11246 as amended. OFCCP will provide direct notice to the Contractor as to the specific reporting requirements that it will be expected to fulfill.

**Table 1**  
**Goals for Minority Participation**

County	Participation, %	County	Participation, %
Anderson	22.5	Chambers	27.4
Andrews	18.9	Cherokee	22.5
Angelina	22.5	Childress	11.0
Aranas	44.2	Clay	12.4
Archer	11.0	Cochran	19.5
Armstrong	11.0	Coke	20.0
Atascosa	49.4	Coleman	10.9
Austin	27.4	Collin	18.2
Bailey	19.5	Collingsworth	11.0
Bandera	49.4	Colorado	27.4
Bastrop	24.2	Comal	47.8
Baylor	11.0	Comanche	10.9
Bee	44.2	Concho	20.0
Bell	16.4	Cooke	17.2
Bexar	47.8	Coryell	16.4
Blanco	24.2	Cottle	11.0
Borden	19.5	Crane	18.9
Bosque	18.6	Crockett	20.0
Bowie	19.7	Crosby	19.5
Brazoria	27.3	Culberson	49.0
Brazos	23.7	Dallam	11.0
Brewster	49.0	Dallas	18.2
Briscoe	11.0	Dawson	19.5
Brooks	44.2	Deaf Smith	11.0
Brown	10.9	Delta	17.2
Burleson	27.4	Denton	18.2
Burnet	24.2	DeWitt	27.4
Caldwell	24.2	Dickens	19.5
Calhoun	27.4	Dimmit	49.4
Callahan	11.6	Donley	11.0
Cameron	71.0	Duval	44.2
Camp	20.2	Eastland	10.9
Carson	11.0	Ector	15.1
Cass	20.2	Edwards	49.4
Castro	11.0	Ellis	18.2

County	Participation, %	County	Participation, %
El Paso	57.8	Kenedy	44.2
Erath	17.2	Kent	10.9
Falls	18.6	Kerr	49.4
Fannin	17.2	Kimble	20.0
Fayette	27.4	King	19.5
Fisher	10.9	Kinney	49.4
Floyd	19.5	Kleberg	44.2
Foard	11.0	Knox	10.9
Fort Bend	27.3	Lamar	20.2
Franklin	17.2	Lamb	19.5
Freestone	18.6	Lampasas	18.6
Frio	49.4	LaSalle	49.4
Gaines	19.5	Lavaca	27.4
Galveston	28.9	Lee	24.2
Garza	19.5	Leon	27.4
Gillespie	49.4	Liberty	27.3
Glasscock	18.9	Limestone	18.6
Goliad	27.4	Lipscomb	11.0
Gonzales	49.4	Live Oak	44.2
Gray	11.0	Llano	24.2
Grayson	9.4	Loving	18.9
Gregg	22.8	Lubbock	19.6
Grimes	27.4	Lynn	19.5
Guadalupe	47.8	Madison	27.4
Hale	19.5	Marion	22.5
Hall	11.0	Martin	18.9
Hamilton	18.6	Mason	20.0
Hansford	11.0	Matagorda	27.4
Hardeman	11.0	Maverick	49.4
Hardin	22.6	McCulloch	20.0
Harris	27.3	McLennan	20.7
Harrison	22.8	McMullen	49.4
Hartley	11.0	Medina	49.4
Haskell	10.9	Menard	20.0
Hays	24.1	Midland	19.1
Hemphill	11.0	Milam	18.6
Henderson	22.5	Mills	18.6
Hidalgo	72.8	Mitchell	10.9
Hill	18.6	Montague	17.2
Hockley	19.5	Montgomery	27.3
Hood	18.2	Moore	11.0
Hopkins	17.2	Morris	20.2
Houston	22.5	Motley	19.5
Howard	18.9	Nacogdoches	22.5
Hudspeth	49.0	Navarro	17.2
Hunt	17.2	Newton	22.6
Hutchinson	11.0	Nolan	10.9
Irion	20.0	Nueces	41.7
Jack	17.2	Ochiltree	11.0
Jackson	27.4	Oldham	11.0
Jasper	22.6	Orange	22.6
Jeff Davis	49.0	Palo Pinto	17.2
Jefferson	22.6	Panola	22.5
Jim Hogg	49.4	Parker	18.2
Jim Wells	44.2	Parmer	11.0
Johnson	18.2	Pecos	18.9
Jones	11.6	Polk	27.4
Karnes	49.4	Potter	9.3
Kaufman	18.2	Presidio	49.0
Kendall	49.4	Randall	9.3

County	Participation, %	County	Participation, %
Rains	17.2	Reagan	20.0
Real	49.4	Throckmorton	10.9
Red River	20.2	Titus	20.2
Reeves	18.9	Tom Green	19.2
Refugio	44.2	Travis	24.1
Roberts	11.0	Trinity	27.4
Robertson	27.4	Tyler	22.6
Rockwall	18.2	Upshur	22.5
Runnels	20.0	Upton	18.9
Rusk	22.5	Uvalde	49.4
Sabine	22.6	Val Verde	49.4
San Augustine	22.5	Van Zandt	17.2
San Jacinto	27.4	Victoria	27.4
San Patricio	41.7	Walker	27.4
San Saba	20.0	Waller	27.3
Schleicher	20.0	Ward	18.9
Scurry	10.9	Washington	27.4
Shackelford	10.9	Webb	87.3
Shelby	22.5	Wharton	27.4
Sherman	11.0	Wheeler	11.0
Smith	23.5	Wichita	12.4
Somervell	17.2	Wilbarger	11.0
Starr	72.9	Willacy	72.9
Stephens	10.9	Williamson	24.1
Sterling	20.0	Wilson	49.4
Stonewall	10.9	Winkler	18.9
Sutton	20.0	Wise	18.2
Swisher	11.0	Wood	22.5
Tarrant	18.2	Yoakum	19.5
Taylor	11.6	Young	11.0
Terrell	20.0	Zapata	49.4
Terry	19.5	Zavala	49.4

# Special Provision to Item 000

## Disadvantaged Business Enterprise in Federal-Aid Contracts



### 1. DESCRIPTION

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's (DOT) policy of ensuring nondiscrimination in the award and administration of DOT-assisted Contracts and creating a level playing field on which firms owned and controlled by individuals who are determined to be socially and economically disadvantaged can compete fairly for DOT-assisted Contracts.

### 2. DISADVANTAGED BUSINESS ENTERPRISE IN FEDERAL-AID CONTRACTS

2.1. **Policy.** It is the policy of the DOT and the Texas Department of Transportation (Department) that DBEs, as defined in 49 CFR Part 26, Subpart A, and the Department's DBE Program, will have the opportunity to participate in the performance of Contracts financed in whole or in part with federal funds. The DBE requirements of 49 CFR Part 26, and the Department's DBE Program, apply to this Contract as follows.

The Contractor must solicit DBEs through reasonable and available means, as defined in 49 CFR Part 26, Appendix A, and the Department's DBE Program, or show a good faith effort to meet the DBE goal for this Contract.

The Contractor, subrecipient, or subcontractor will not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. Carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted Contracts. Failure to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as the Department deems appropriate.

The requirements of this Special Provision must be physically included in any subcontract.

By signing the Contract proposal, the Bidder is certifying that the DBE goal as stated in the proposal will be met by obtaining commitments from eligible DBEs or that the Bidder will provide acceptable evidence of good faith effort to meet the commitment.

#### 2.2. Definitions.

2.2.1. **Administrative Reconsideration.** A process by which the low bidder may request reconsideration when the Department determines the good faith effort (GFE) requirements have not been met.

2.2.2. **Commercially Useful Function (CUF).** A CUF occurs when a DBE has the responsibility for the execution of the work and carrying out such responsibilities by actually performing, managing, and supervising the work.

2.2.3. **Disadvantaged Business Enterprise (DBE).** A for-profit small business certified through the Texas Unified Certification Program in accordance with 49 CFR Part 26, that is at least 51% owned by one or more socially and economically disadvantaged individuals, or in the case of a publicly owned business, in which is at least 51% of the stock is owned by one or more socially and economically disadvantaged individuals, and whose management and daily business operations are controlled by one or more of the individuals who own it.

- 2.2.4. **DBE Joint Venture.** An association of a DBE firm and one or more other firms to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills, and knowledge, and in which the DBE is responsible for a distinct, clearly defined portion of the work of the Contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.
- 2.2.5. **DOT.** The U.S. Department of Transportation, including the Office of the Secretary, the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Aviation Administration (FAA).
- 2.2.6. **Federal-Aid Contract.** Any Contract between the Department and a Contractor that is paid for in whole or in part with DOT financial assistance.
- 2.2.7. **Good Faith Effort.** All necessary and reasonable steps to achieve the contract goal which, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to obtain enough DBE participation, even if not fully successful. Good faith efforts are evaluated before award and throughout performance of the Contract. For guidance on good faith efforts, see 49 CFR Part 26, Appendix A.
- 2.2.8. **North American Industry Classification System (NAICS).** A designation that best describes the primary business of a firm. The NAICS is described in the North American Industry Classification Manual—United States, which is available on the Internet at the U.S. Census Bureau website:  
<http://www.census.gov/eos/www/naics/>.
- 2.2.9. **Race-Conscious.** A measure or program that is focused specifically on assisting only DBEs, including women-owned businesses.
- 2.2.10. **Race-Neutral DBE Participation.** Any participation by a DBE through customary competitive procurement procedures.
- 2.2.11. **Texas Unified Certification Program (TUCP) Directory.** An online directory listing all DBEs currently certified by the TUCP. The Directory identifies DBE firms whose participation on a Contract may be counted toward achievement of the assigned DBE Contract goal.
- 2.3. **Contractor's Responsibilities.**
- 2.3.1. **DBE Liaison Officer.** Designate a DBE liaison officer who will administer the Contractor's DBE program and who will be responsible for maintenance of records of efforts and contacts made to subcontract with DBEs.
- 2.3.2. **Compliance Tracking System (CTS).** This Contract is subject to electronic Contract compliance tracking. Contractors and DBEs are required to provide any noted and requested Contract compliance-related data electronically in the Department's tracking system. This includes commitments, payments, substitutions, and good faith efforts. Contractors and DBEs are responsible for responding by any noted response date or due date to any instructions or request for information, and to check the system on a regular basis. A Contractor is responsible for ensuring all DBEs have completed all requested items and that their contact information is accurate and up-to-date. The Department may require additional information related to the Contract to be provided electronically through the system at any time before, during, or after contract award. The system is web-based and can be accessed at the following Internet address: <https://txdot.txdotcms.com/>.
- In its sole discretion, the Department may require that contract compliance tracking data be submitted by Contractors and DBEs in an alternative format prescribed by the Department.
- 2.3.3. **Apparent Low Bidder.** The apparent low bidder must submit DBE commitments to satisfy the DBE goal or submit good faith effort Form 2603 and supporting documentation demonstrating why the goal could not be achieved, in whole or part, no later than 5 calendar days after bid opening. The means of transmittal and the

risk of timely receipt of the information will be the bidder's responsibility and no extension of the 5-calendar-day timeframe will be allowed for any reason.

- 2.3.4. **DBE Contractor.** A DBE Contractor may receive credit toward the DBE goal for work performed by its own forces and work subcontracted to DBEs. If a DBE subcontracts to a non-DBE, that information must be reported monthly.

- 2.3.5. **DBE Committal.** Only those DBEs certified by the TUCP are eligible to be used for goal attainment. The Department maintains the TUCP DBE Directory. The Directory can be accessed at the following Internet address: <https://txdot.txdotcms.com/FrontEnd/VendorSearchPublic.asp?TN=txdot&XID=2340>.

A DBE must be certified on the day the commitment is considered and at time of subcontract execution. It is the Contractor's responsibility to ensure firms identified for participation are approved certified DBE firms.

The Bidder is responsible to ensure that all submittals are checked for accuracy. Any and all omissions, deletions, and/or errors that may affect the end result of the commitment package are the sole liabilities of the bidder.

Commitments in excess of the goal are considered race-neutral commitments.

- 2.3.6. **Good Faith Effort Requirements.** A Contractor who cannot meet the Contract goal, in whole or in part, must make adequate good faith efforts to obtain DBE participation as so stated and defined in 49 CFR Part 26, Appendix A.

- 2.3.6.1. **Administrative Reconsideration.** If the Department determines that the apparent low bidder has failed to satisfy the good faith efforts requirement, the Department will notify the Bidder of the failure and will give the Bidder an opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so..

The Bidder must request an administrative reconsideration of that determination within 3 days of the date of receipt of the notice. The request must be submitted directly to the Texas Department of Transportation, Civil Rights Division, 125 East 11th Street, Austin, Texas 78701-2483.

If a request for administrative reconsideration is not filed within the period specified the determination made is final and further administrative appeal is barred.

If a reconsideration request is timely received, the reconsideration decision will be made by the Department's DBE liaison officer or, if the DBE liaison officer took part in the original determination, the Department's executive director will appoint a department employee to perform the administrative reconsideration. The employee will hold a senior leadership position and will report directly to the executive director.

The meeting or written documentation must be provided or held within 7 days of the date the request was submitted.

The Department will provide to the Bidder a written decision if the Bidder did or did not make adequate good faith efforts to meet the Contract goal. The reconsideration decision is final and is not administratively appealed to DOT.

- 2.3.7. **Determination of DBE Participation.** The work performed by the DBE must be reasonably construed to be included in the work area and NAICS work code identified by the Contractor in the approved commitment.

Participation by a DBE on a Contract will not be counted toward DBE goals until the amount of the participation has been paid to the DBE.

Payments made to a DBE that was not on the original commitment may be counted toward the Contract goal if that DBE was certified as a DBE before the execution of the subcontract and has performed a Commercially Useful Function.

The total amount paid to the DBE for work performed with its own forces is counted toward the DBE goal. When a DBE subcontracts part of the work of its Contract to another firm, the value of the subcontracted work may be counted toward DBE goals only if the subcontractor is itself a DBE.

DBE Goal credit for the DBE subcontractors leasing of equipment or purchasing of supplies from the Contractor or its affiliates is not allowed. Project materials or supplies acquired from an affiliate of the Contractor cannot directly or indirectly (second or lower tier subcontractor) be used for DBE goal credit.

If a DBE firm is declared ineligible due to DBE decertification after the execution of the DBE's subcontract, the DBE firm may complete the work and the DBE firm's participation will be counted toward the Contract goal. If the DBE firm is decertified before the DBE firm has signed a subcontract, the Contractor is obligated to replace the ineligible DBE firm or demonstrate that it has made good faith efforts to do so.

The Contractor may count 100% of its expenditure to a DBE manufacturer. According to 49 CFR 26.55(e)(1)(i), a DBE manufacturer is a firm that operates or maintains a factory or establishment that produces, on the premises, the materials, supplies, articles, or equipment required under the Contract and of the general character described by the specifications.

The Contractor may count only 60% of its expenditure to a DBE regular dealer. According to 49 CFR 26.55(e)(2)(i), a DBE regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles, or equipment of the general character described by the specifications and required under the Contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. A firm may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business if the firm both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment must be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis. A long-term lease with a third-party transportation company is not eligible for 60% goal credit.

With respect to materials or supplies purchased from a DBE that is neither a manufacturer nor a regular dealer, the Contractor may count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a jobsite.

A Contractor may count toward its DBE goal a portion of the total value of the Contract amount paid to a DBE joint venture equal to the distinct, clearly defined portion of the work of the Contract performed by the DBE.

2.3.8. **Commercially Useful Function.** It is the Contractor's obligation to ensure that each DBE used on federal-assisted contracts performs a commercially useful function on the Contract.

The Department will monitor performance during the Contract to ensure each DBE is performing a CUF.

Under the terms established in 49 CFR 26.55, a DBE performs a CUF when it is responsible for execution of the work of the Contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved.

With respect to material and supplies used on the Contract, a DBE must be responsible for negotiating price, determining quality and quantity, ordering the material, installing the material, if applicable, and paying for the material itself.

With respect to trucking, the DBE trucking firm must own and operate at least one fully licensed, insured, and operational truck used on the Contract. The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Contract. The DBE may also lease trucks from a non-DBE firm, including from an owner-operator. The DBE that leases trucks equipped with drivers from a non-DBE is entitled to credit for the total value of transportation services provided by non-DBE leased trucks equipped with drivers not to exceed the value of transportation services on the Contract provided by DBE-owned trucks or leased trucks with DBE employee drivers. Additional participation by non-DBE owned trucks equipped with drivers receives credit only for the fee or commission it receives as a result of the lease arrangement.

A DBE does not perform a CUF when its role is limited to that of an extra participant in a transaction, Contract, or project through which funds are passed to obtain the appearance of DBE participation. The Department will evaluate similar transactions involving non-DBEs to determine whether a DBE is an extra participant.

If a DBE does not perform or exercise responsibility for at least 30% of the total cost of its Contract with its own work force, or the DBE subcontracts a greater portion of the work than would be expected on the basis of normal industry practice for the type of work involved, the Department will presume that the DBE is not performing a CUF.

If the Department determines that a DBE is not performing a CUF, no work performed by such DBE will count as eligible participation. The denial period of time may occur before or after a determination has been made by the Department.

In case of the denial of credit for non-performance of a CUF, the Contractor will be required to provide a substitute DBE to meet the Contract goal or provide an adequate good faith effort when applicable.

- 2.3.8.1. **Rebuttal of a Finding of No Commercially Useful Function.** Consistent with the provisions of 49 CFR 26.55(c)(4)&(5), before the Department makes a final finding that no CUF has been performed by a DBE, the Department will notify the DBE and provide the DBE the opportunity to provide rebuttal information.

CUF determinations are not subject to administrative appeal to DOT.

- 2.3.9. **Joint Check.** The use of joint checks between a Contractor and a DBE is allowed with Department approval. To obtain approval, the Contractor must submit a completed Form 2178, "DBE Joint Check Approval," to the Department.

The Department will closely monitor the use of joint checks to ensure that such a practice does not erode the independence of the DBE nor inhibit the DBE's ability to perform a CUF. When joint checks are used, DBE credit toward the Contract goal will be allowed only when the subcontractor is performing a CUF in accordance with 49 CFR 26.55(c)(1).

Long-term or open-ended joint checking arrangements may be a basis for further scrutiny and may result in the lack of participation towards the Contract goal requirement if DBE independence cannot be established.

Joint checks will not be allowed simply for the convenience of the Contractor.

If the proper procedures are not followed or the Department determines that the arrangements result in a lack of independence for the DBE involved, no credit for the DBE's participation as it relates to the material cost will be used toward the Contract goal requirement, and the Contractor will need to make up the difference elsewhere on the project.

- 2.3.10. **DBE Termination and Substitution.** No DBE named in the commitment submitted under Section 2.3.5. will be terminated for convenience, in whole or part, without the Department's approval. This includes, but is not



limited to, instances in which a Contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm.

Unless consent is provided, the Contractor will not be entitled to any payment for work or material unless it is performed or supplied by the listed DBE.

The Contractor, before submitting its request to terminate, must first give written notice to the DBE of its intent to terminate and the reason for the termination. The Contractor will copy the Department on the Notice of Intent to terminate.

The DBE has 5 calendar days to respond to the Contractor's notice and will advise the Contractor and the Department of the reasons, if any, why it objects to the proposed termination of its subcontract and why the Department should not approve the prime Contractor's request for termination.

The Department may provide a shorter response time if required in a particular case as a matter of public necessity.

The Department will consider both the Contractor's request and DBE's stated position before approving the request. The Department may provide a written approval only if it agrees, for reasons stated in its concurrence document, that the Contractor has good cause to terminate the DBE. If the Department does not approve the request, the Contractor must continue to use the committed DBE firm in accordance with the Contract. For guidance on what good cause includes, see 49 CFR 26.53.

Good cause does not exist if the Contractor seeks to terminate, reduce, or substitute a DBE it relied upon to obtain the Contract so that the Contractor can self-perform the work for which the DBE firm was engaged.

When a DBE subcontractor is terminated, make good faith efforts to find, as a substitute for the original DBE, another DBE to perform, at least to the extent needed to meet the established Contract goal, the work that the original DBE was to have performed under the Contract.

Submit the completed Form 2228, "DBE Termination Substitution Request," within seven (7) days, which may be extended for an additional 7 days if necessary at the request of the Contractor. The Department will provide a written determination to the Contractor stating whether or not good faith efforts have been demonstrated. If the Department determines that good faith efforts were not demonstrated, the Contractor will have the opportunity to appeal the determination to the Civil Rights Division.

- 2.3.11. **Reports and Records.** By the 15th of each month and after work begins, report payments to meet the DBE goal and for DBE race-neutral participation on projects with or without goals. These payment reports will be required until all DBE subcontracting or material supply activity is completed. Negative payment reports are required when no activity has occurred in a monthly period.

Notify the Area Engineer if payment to any DBE subcontractor is withheld or reduced.

Before receiving final payment from the Department, the Contractor must indicate a final payment on the compliance tracking system. The final payment is a summary of all payments made to the DBEs on the project.

All records must be retained for a period of 3 years following completion of the Contract work, and must be available at reasonable times and places for inspection by authorized representatives of the Department or the DOT. Provide copies of subcontracts or agreements and other documentation upon request.

- 2.3.12. **Failure to Comply.** If the Department determines the Contractor has failed to demonstrate good faith efforts to meet the assigned goal, the Contractor will be given an opportunity for reconsideration by the Department.

A Contractor's failure to comply with the requirements of this Special Provision will constitute a material breach of this Contract. In such a case, the Department reserves the right to terminate the Contract; to deduct the amount of DBE goal not accomplished by DBEs from the money due or to become due the Contractor; or to secure a refund, not as a penalty but as liquidated damages, to the Department or such other remedy or remedies as the Department deems appropriate.

2.3.13. **Investigations.** The Department may conduct reviews or investigations of participants as necessary. All participants, including, but not limited to, DBEs and complainants using DBE Subcontractors to meet the Contract goal, are required to cooperate fully and promptly with compliance reviews, investigations, and other requests for information.

2.3.14. **Falsification and Misrepresentation.** If the Department determines that a Contractor or subcontractor was a knowing and willing participant in any intended or actual subcontracting arrangement contrived to artificially inflate DBE participation or any other business arrangement determined by the Department to be unallowable, or if the Contractor engages in repeated violations, falsification, or misrepresentation, the Department may:

- refuse to count any fraudulent or misrepresented DBE participation;
- withhold progress payments to the Contractor commensurate with the violation;
- reduce the Contractor's prequalification status;
- refer the matter to the Office of Inspector General of the US Department of Transportation for investigation; and/or
- seek any other available contractual remedy.

# Special Provision 000

## Schedule of Liquidated Damages



For Dollar Amount of Original Contract		Dollar Amount of Daily Contract Administration Liquidated Damages per Working Day
From More Than	To and including	
0	1,000,000	760
1,000,000	3,000,000	968
3,000,000	5,000,000	1107
5,000,000	15,000,000	1527
15,000,000	25,000,000	2095
25,000,000	50,000,000	3072
50,000,000	Over 50,000,000	5093

In addition to the amount shown in Table 1, the Liquidated Damages will be increased by the amount shown in Item 8 "Prosecution and Progress," of the General Notes for Road User Cost (RUC), when applicable.

# Special Provision to Item 6

## Control of Materials



Item 6, "Control of Materials" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 1.1. "Buy America," and Section 1.2., "Buy America Exceptions,"** are voided and replaced by the following.

- 1.1. **Buy America.** Comply with the latest provisions of Build America, Buy America Act (BABA Act) of the Bipartisan Infrastructure Law and applicable CFR, which restrict funds being made available from Federal financial assistance programs unless all the iron products, steel products, manufactured products, and construction materials used in the project are produced in the United States. Use iron or steel products, manufactured products, or construction materials produced in the United States for all permanently installed materials and products except when defined in Section 1.1.5., "Buy America Exceptions."

A material is solely classified based on its status at the time it is brought to the work site as either an iron or steel product, construction material, manufactured product, or Section 70917(c) material. Refer to the Buy America Material Classification Sheet found in the general notes or [txdot.gov](http://txdot.gov) for additional clarification on material classification.

- 1.1.1. **Iron or Steel.** Iron or steel products means articles, materials, or supplies that consist of iron or steel or a combination of both. For iron or steel products, manufacturing includes any process that modifies the chemical content, physical shape or size, or final finish of a product. The manufacturing process begins with initial melting and mixing and continues through fabrication (e.g., cutting, drilling, welding, bending.) and coating (e.g., paint, galvanizing, epoxy).

For iron or steel products, submit a notarized original FORM D-9-USA-1 (Department Form 1818) with the proper attachments for verification of compliance.

- 1.1.2. **Section 70917(c) Materials.** Section 70917(c) materials mean cement and cementitious material; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives. Section 70917(c) materials do not require domestic sourcing or Buy America certification.

- 1.1.3. **Construction Materials.** Construction materials are classified as articles, materials, or supplies that consist of only one of the items listed in bullets below. Minor additions (as determined by the plans or the Engineer) to any of the items listed is still a construction material.

- non-ferrous metals,
- plastic and polymer-based products (including polyvinyl chloride, composite building materials, and polymers used in fiber optic cables),
- glass (including optic glass),
- fiber optic cable (including drop cable),
- optical fiber,
- lumber,
- engineered wood, or
- drywall.

For construction materials, submit a Construction Material Buy America Certification Form (Department Form 2806) for verification of compliance that all manufacturing processes, as required, occurred in the

United States. Each construction material has specific certification requirements stated below. Provide additional documentation as requested.

Details shown on the plans provide additional clarification on Buy America requirements.

For non-ferrous metals, certification requires all manufacturing processes, from initial smelting or melting through final shaping, coating, and assembly, occurred in the United States.

For plastic and polymer-based products (including polyvinyl chloride, composite building materials, and polymers used in fiber optic cables), certification requires all manufacturing processes, from initial combination of constituent plastic or polymer-based inputs, or, where applicable, constituent composite materials, until the item is in its final form, occurred in the United States.

For glass (including optic glass), certification requires all manufacturing processes, from initial batching and melting of raw materials through annealing, cooling, and cutting, occurred in the United States.

For fiber optic cable (including drop cable), certification requires all manufacturing processes, from the initial ribboning (if applicable), through buffering, fiber stranding and jacketing, occurred in the United States. All manufacturing processes also include the standards for glass and optical fiber, but not for non-ferrous metals, plastic and polymer-based products, or any others.

For optical fiber, certification requires all manufacturing processes, from the initial preform fabrication stage through the completion of the draw, occurred in the United States.

For lumber, certification requires all manufacturing processes, from initial debarking through treatment and planing, occurred in the United States.

For engineered wood, certification requires all manufacturing processes from the initial combination of constituent materials until the wood product is in its final form, occurred in the United States.

For drywall, certification requires all manufacturing processes, from initial blending of mined or synthetic gypsum plaster and additives through cutting and drying of sandwiched panels, occurred in the United States.

1.1.4. **Manufactured Products.** Materials classified as a manufactured product are currently waived from Buy America requirements by an FHWA general waiver and are not required to be domestically sourced. However, iron or steel products incorporated into manufactured products must meet iron and steel compliance requirements.

1.1.5. **Buy America Exceptions.** Use of iron, steel, construction materials, and manufactured products manufactured in the United States is required unless the material meets an exception below.

- A waiver exists exempting the material from Buy America compliance.
- The total value of the non-compliant products (other than iron or steel products) is no more than the lesser of \$1,000,000 or 5% of Total Applicable Costs for the project. Total Applicable Cost means the actual cost of all materials requiring Buy America compliance including iron, steel, or other materials that are within the scope of existing waivers. Contractor must provide documentation showing under threshold in advance for Engineer's consideration.
- The total value of foreign iron and steel products, including delivery, does not exceed 0.1% of the total Contract cost or \$2,500, whichever is greater. The Contractor must provide documentation showing under threshold in advance for the Engineer's consideration.
- Foreign steel may be allowed when the Contract contains an alternate item for a foreign source iron or steel product and the Contract is awarded based on the alternate item.

- The materials are temporarily installed or are supplies, tools, and equipment not incorporated into the project. Temporarily installed means the materials and products must be removed at the end of the project or may be removed at the Contractor's convenience with the Engineer's approval.

## Special Provision to Item 8

### Prosecution and Progress



Item 8, "Prosecution and Progress," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

This Item is supplemented by the following.

#### 9. INCENTIVE USING ROAD-USER COST OR CONTRACT ADMINISTRATION LIQUIDATED DAMAGE VALUES AND DISINCENTIVE USING ROAD-USER COST

This Special Provision is for the application of incentives and disincentives as follows:

- incentives for early Contract completion using contract administration liquidated damage or early substantial completion of work ahead of time using daily road-user cost values as basis and
- disincentives for late substantial completion of work using daily road-user costs.

Incentive provisions based on contract administration liquidated damages will apply when shown on the plans. Incentive provisions based on road-user cost will apply when shown on the plans. Disincentive provisions based on road-user cost will apply when road-user cost incentive provisions are as shown on the plans. The disincentive provisions based on road-user cost will also apply when shown separately on the plans (without an associated road-user cost incentive).

Definitions are as follows.

- **Contract Completion.** The final acceptance date (day) unless performance, establishment, and maintenance periods occur. In the case of performance, establishment, and maintenance periods, completion will be considered when all work is complete and accepted, except for performance, establishment, and maintenance periods, with time computed to the suspension of time charges for the acceptance process.
- **Substantial Completion of Work.** The date (day) when all project work (or the work for a specified milestone or phase) requiring lane or shoulder closures or obstructions is completed, and traffic is following the lane arrangement as shown on the plans for the finished roadway (or the specified milestone or phase of work); all pavement construction and resurfacing are complete; and traffic control devices and pavement markings are in their final position (or as shown on the plans for the specified milestone of work). The Engineer may make an exception for permanent pavement markings provided the lack of markings does not cause a disruption to traffic flow or an unsafe condition for the traveling public, and work zone pavement markings are in place.

#### 10. TIME ALLOWED FOR CONTRACT OR SUBSTANTIAL COMPLETION

Time allowed for Contract or substantial completion, including milestones, will be addressed by either or a combination of the following.

- **A + B.** When A + B bidding provisions are included in the Contract, the B working days bid will be considered as the time allowed for Contract or substantial completion as applicable.
- **Department-Established Time.** The plans will show either the number of working days or a specific date for the purposes of computing early Contract or early substantial completion incentives or disincentives.

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## 11. TIME CHARGE ADJUSTMENTS

Time charge adjustments will be made in accordance with the schedule required to meet Article 8.1., "Prosecution of Work" and Article 8.5., "Project Schedules," the proposal, and the plans. For Contracts with milestone dates, time charges for the completion incentives and disincentives will not be adjusted for weather, weekends, holidays, or other unforeseeable events not under the control or responsibility of the Department. However, time charges for completion incentives or disincentives may be adjusted by the Engineer when:

- work under the control of the Department, such as extension of limits or changes in scope, changes the actual duration of completion;
- delays occur because of unadjusted utilities or unclear right of way when clearance is not the responsibility of the Contractor; or
- catastrophic events occur, such as a declared state of emergency or natural disaster, if the event directly affects the Contractor's prosecution.

11.1. **Incentives.** As shown on the plans and in accordance with the Contract, the Department will pay an incentive for early Contract completion or early substantial completion of work under the number of working days specified in the Contract. The maximum number of working days used in computing the credit will be 30 days for each milestone and Contract completion incentive unless otherwise specified in the Contract. The amount of the credit will be added to money due or to become due to the Contractor.

11.2. **Early Contract Completion Incentive.** The incentive will be based on the difference between the actual early Contract completion days and the Contract completion days in the Contract. The difference will then be multiplied by the daily contract administration liquidated damage value shown in the proposal.

11.3. **Early Substantial Completion of Work Incentive.** The incentive will be based on the differences between the actual early substantial completion of work and the Contract days allowed to substantially complete the work (or the specified milestone or phase of work). The difference will then be multiplied by the daily road-user cost values specified for substantial Contract completion (or road-user cost specified for the pertinent milestone or phase of work).

11.4. **Disincentives for Failure to Substantially Complete Work on Time.** As shown on the plans and in accordance with the Contract, failure to substantially complete the work (or specified milestone or phase of work) within the established number of working days will result in the assessment of disincentives using the daily road-user cost shown on the plans for each working day in excess of those allowed. The road-user cost disincentive deductions will be in addition to any Contract administration liquidated damages, in accordance with Article 8.6., "Failure to Complete Work on Time." The amount of the disincentive will be deducted from money due or to become due to the Contractor. The road-user cost disincentives will be assessed not as a penalty, but for added expense incurred by the traveling public.



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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 8.1., "Prosecution of Work,"** is voided and replaced by the following.

Begin work within 60 calendar days after the authorization date to begin work. Prosecute the work continuously to completion within the working days specified. Unless otherwise shown on the plans, work may be prosecuted in concurrent phases if no changes are required to the traffic control plan or if a revised traffic control plan is approved. Notify the Engineer at least 24 hr. before beginning work or before beginning any new operation. Do not start new operations to the detriment of work already begun. Minimize interference to traffic.

For Contracts with callout work and work orders, begin work in the right of way within the specified time and continuously prosecute the work until completion.

# Special Specification 3002

## Reinforced Paving Mat for Asphalt Pavement Overlays



### 1. DESCRIPTION

Furnish and place a high-strength (HS) paving mat within the pavement structure as a moisture barrier and stress-relieving interlayer. The HS paving mat must have an elastomeric polymer coating; bitumen coatings are not eligible for consideration.

- 1.1. **Quality Control.** Before installing the paving mat, arrange a meeting onsite with the manufacturer's representative and, when applicable, the paving mat installer. Notify the Engineer at least 3 days in advance of the time of the meeting.

A manufacturer's representative must be present, at minimum, for the first day of installation of the engineered paving mat and available thereafter upon request by the Engineer.

### 2. MATERIALS

- 2.1. **Paving Mat.** Provide paving mat in accordance with Table 1. Provide a copy of the manufacturer's specifications to the Engineer at the preconstruction meeting or no later than 5 working days before installation. Material must be certified as manufactured in the United States.

**Table 1**  
**Paving Mat Properties**

Property	Test Method	Min	Max
Asphalt Retention, L/m <sup>2</sup>	D6140	0.46	–
Fabric Weight, g/m <sup>2</sup>	D5261	237	–
Tensile Strength, MD & CMD, ken/m	D5035	50	–
Strain at Maximum Load, %	D5035	–	5
Puncture Strength, N	D6241	1,780	–
Melting Point, °C	D276	232	–
Permeability, cm/sec.	D5084	–	3.2 × 10 <sup>-11</sup>
Recyclability Without Screening, %	AASHTO T 283-07	>95% of control	–
Reinforcement Fiberglass Strand Spacing, in.	–	–	<0.25
60 min. Coating Solubility Test <sup>1</sup>	–	Pass	

1. Submerge a 2-in. × 2-in. sample in D-Limonene or other approved solvent for 60 min. The result is passing if the solvent remains clear.

- 2.1.1. **Storage and Handling.** Store the paving mat in accordance with the manufacturer's recommendations in a dry covered condition free of dust, dirt, and moisture.

- 2.2. **Tack Coat.** Furnish a PG 64-28 or higher binder tack coat as shown on the plans that meets Item 300, "Asphalts, Oils, and Emulsions." The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with the Specification. The Engineer will obtain the sample from the asphalt distributor immediately before use. The rate must be shown on the plans and paid for separately.

### 3. CONSTRUCTION

- 3.1. **Surface Preparation.** Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing any

material. Remove vegetation from pavement edges. Mill rutted and low spots in the pavement or place a leveling course as shown on the plans.

Do not place asphalt binder or the paving mat when weather conditions, in the judgment of the Engineer, are not suitable. Air and pavement temperatures must allow the tack coat to hold the paving mat in place. The air temperature must be 50°F and rising for placement of the asphalt tack coat.

- 3.2. **Tack Coat.** Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply a thin, uniform tack coat to all contact surfaces of curbs, structures, and all joints. Prevent splattering of tack coat when placed adjacent to curb, gutter, and structures. Roll the tack coat using a pneumatic tire roller to remove streaks and other irregular patterns when directed. Apply tack coat to an area 4 in. wider than the paving mat, and wide enough to cover any overlaps. Do not allow traffic on the tack coat.

- 3.3. **Paving Mat Placement.** Place the paving mat promptly onto the tack coat with minimum folds or wrinkles. As directed, wrinkles or folds greater than 1 in. must be slit and laid flat or pulled out and replaced. Apply additional tack coat as needed to repaired areas, or to any other areas as directed, to achieve adequate bond to the substrate. Remove and replace damaged paving mat with cuts, tears, or any other apparent damage in conformance with the manufacturer's recommendations. Replacement paving mat must be of the same type of material and must be paid for by the Contractor.

Pneumatic tire rolling must be used to remove air bubbles and to maximize paving mat contact with the pavement surface, in conformance with the manufacturer's specifications and to the satisfaction of the Engineer. Longitudinal overlaps require 1 in.–2 in. minimum. Transverse overlaps require 2 in.–4 in. minimum.

Broadcast clean sand or loose asphalt concrete mix to cover any excess tack coat that bleeds through the paving mat under normal construction traffic. Remove any excess sand from the interlayer before placing the hot-mix asphalt (HMA) overlay.

Do not allow traffic, except necessary construction traffic or emergency vehicles, on the paving mat, unless approved. If traffic on the interlayer is approved, lightly broadcast clean sand over the paving mat interlayer. Remove any loose sand before paving.

Closely follow placement of the paving mat with the first lift of the HMA overlay. Place overlays on the same day, unless otherwise approved. If rain falls on the paving mat before the placement of the first lift of HMA overlay, allow the paving mat to dry before the HMA is placed. Do not place mix at temperatures higher than the melting point of the paving mat.

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#### 4. MEASUREMENT

- 4.1. **Tack Coat.** Tack coat material will be measured in gallons at the applied temperature by strapping the distributor tank before and after road application.
- 4.2. **Paving Mat.** The paving mat will be measured by the square yard of roadway on which it is placed.

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#### 5. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Paving Mat" and "Tack Coat" of the type and grade specified. This price is full compensation for cleaning the existing pavement; furnishing, preparing, hauling, and placing all materials; all manipulation, including rolling; and all labor, tools, equipment, and incidentals necessary to complete the work.

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# Special Specification 6016

## Temporary Speed Monitoring System

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### 1. DESCRIPTION

Furnish, install, relocate, operate, maintain, and remove various components of an automated, portable, real-time temporary speed monitoring system as shown on the plans or as directed. The system is for one travel direction only.

Furnish a system capable of providing real-time speed information to motorists. The notification to the motorist occurs by display panels activated through real-time speed data collected by the system.

The system must operate continuously when deployed. This equipment must be a packaged system that operates as a standalone system meeting the Specifications. Conditions that require multiple deployments of the system at a given time might exist and must be shown on the plans. The Department reserves the right to terminate this Specification at any time if it determines this system is not performing in accordance with this Specification or the Contractor has not met the responsibilities identified in this Specification.

Temporary speed monitoring systems used on this project must remain the property of the Contractor.

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### 2. MATERIALS

Provide materials and software that comply with this Special Specification and the plans. The system must comply with manufacturer's specifications and recommendations, and National Transportation Communications for ITS Protocol (NTCIP) 1203. The Contractor must maintain an adequate inventory of parts to support maintenance and repairs of the speed monitoring system within allowed downtime limits.

Furnish, assemble, fabricate, or install materials referenced in this Specification that are corrosion-resistant, in good working condition, and in strict accordance with the plans or as directed.

Provide all equipment, supplies, materials, and labor to make the system operational. Assume all communication costs, including cellular telephone service, FCC licensing, wireless data networks, satellite and internet subscription charges, solar power system support, and battery charging and maintenance. The Contractor must assume all responsibilities for all damaged equipment due to such events as crashes, vandalism, and adverse weather that may occur during the Contract period.

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### 3. EQUIPMENT

Ensure the system comprises all items required to provide an operational system. Any equipment furnished in accordance with this Specification must be in good working condition. The equipment furnished and installed must include the following.

- Power
- Noninvasive sensors capable of detecting vehicle speed
- Display panels
- Portable trailers
- Controller unit
- Communication system

#### 3.1. Power.

- 3.1.1. **Batteries.** Provide unit equipped with heavy-duty, deep-cycle batteries that power the system components 24 hr. per day for 7 days during darkness and inclement weather.
- 3.1.2. **Battery Regulator and Recharging System.** Provide a unit equipped with an internal controller that regulates the amount of current delivered to the batteries and prevents overcharging.
- 3.1.3. **Solar Panels.** Provide unit equipped with solar panels that generate enough power to enable the system to continually recharge the batteries.
- 3.2. **Speed Detection Sensors.** Provide noninvasive sensors that detect speed in miles per hour. Position the detection system so that it detects up to eight approaching lanes and differentiates by direction. The system must use approved noninvasive sensors. Furnish units with an effective detection range that meets the areas specified on the plans with a reaction speed range of 5 mph–99 mph. Sensor mounting options must be approved.
- 3.3. **Display Panel.** Provide panels that contain LED technology and display oncoming vehicle speeds from 10 mph–99 mph. Each panel must be capable of being controlled by the system coordinator in case of system malfunction.

Provide display panels that consist of at least two characters, each at least 18 in. in height. Provide a sign message legibility distance of 600 ft. for nighttime conditions and 800 ft. for normal daylight conditions. Provide display panels with yellow legend on a black background. The display panel must be displayed under a static work zone speed limit sign comparing it to the driver's speed. Provide static speed limit signs in accordance with the TMUTCD.

Provide display panels equipped with a photocell that automatically adjusts the display for day and night operation.

Provide display panels equipped with an operator-set high-speed cutoff feature.

- 3.4. **Portable Trailer.** Provide heavy-duty portable trailers with trailer lights and delineated with retroreflective material. Other mounting options that produce cost savings or meet special conditions may be possible, but only with approval.
- 3.5. **Controller Unit.** Provide a local remote controller unit that controls the system. The controller unit must continuously monitor the sensors, and when the sensors sense a vehicle, the controller must activate the appropriate messages on the display panel. Archive all messages displayed and the associated speeds with time and date stamps.

When the sensors do not detect a speed reading, the default message must be a blank screen or as specified on the plans.

The controller should restart automatically in case of power failure and must display a blank screen until the system can re-establish normal operation.

Provide password-protected login for local and remote access, and the ability to be remotely configured.

The controller should have automated error detection and correction mechanisms.

- 3.6. **Environmental Requirements.**
- 3.6.1. **Meteorological Conditions.** Provide equipment that operates and meets this Specification under the following atmospheric conditions.
- **Ambient Temperature.** -40°F–135°F (-40°C–57°C).
  - **Relative Humidity.** 5%–90% noncondensing.
  - **Rain.** 3-in. per hour rate.

- **Snow.** 5-in. per hour rate.
- **Fog.** 200-ft. visibility.
- **Wind Velocity.** AASHTO 2013 LTS Design Specification, “Wind Velocity and Ice Zones.”

The system operation and accuracy must not be degraded by inclement weather.

### 3.7. **System Communication Requirements.**

- 3.7.1. **Communication Requirements.** When shown on the plans, provide a point-to-point communications link between the speed monitoring system controller and the display panels, other means subject to approval.

The system must be capable of providing constant communication to and from the display panel, the controller, and the sensors. It must also support communications between the controller and the Traffic Management Center (TMC).

The communication system must have a lag time of no more than 1 sec. from the sensor to the controller and the display panel. If the system is not able to operate within the 1-sec. lag time, the system must be considered inoperative. All other communications between sources such as the controller, the TMC, and the cloud may be accomplished by cellular modem, radio frequency, or other means that provide reasonable performance as approved.

The communication system must have an automatic error detection and correction mechanism that addresses anomalies in the detection data to ensure the integrity of all traffic condition data and motorist information messages. Any required configuration of the speed monitoring communication system must be performed automatically during system initialization.

If communication is lost for more than 10 consecutive minutes, the system must revert to a fail-safe condition.

Data must be integrated as shown on the plans.

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## 4. **CONSTRUCTION**

- 4.1. **Alignment.** Allow for directional adjustment and aiming after initial installation. Perform basic alignment of the detectors either manually or electronically. Perform this step on the sensors per the manufacturer's guidelines and recommendations.
- 4.2. **Installation.** Install speed monitoring system in accordance with the manufacturer's specifications to achieve specified accuracy and reliability. Install speed monitoring system so that proper operation of the equipment commences within 15 sec. after restoration of power. Install all system components at the locations shown on the plans or as directed.

Follow the temporary speed monitoring system timeframe and duration for system implementation as shown on the plans or as directed.

Use established industry and utility safety practices to erect assemblies near overhead or underground utilities.

- 4.3. **Performance.** If the system displays the default blank message or unrealistic values for more than 24 hr., or five times while the system is deployed, the Engineer may declare a system defective and require replacement of the appropriate equipment at no additional cost. Failure may be determined by any method, including, but not limited to, remote or direct observation, monitoring systems, and data received and collected by the TMC.

**Report.** Provide a system capable of generating a daily report that documents equipment stoppages and resumptions, and message activations, during the entire time the system is operational. Submit a report to

the Department at least monthly or as scheduled on the plans. Also submit a daily report during any time the system is not operational.

Reports must indicate the date, time, and location of any activity necessary to maintain operation of the temporary speed monitoring system and record the time and date stamps for any events when the system failed. Each entry must include the following information.

- Equipment on which work was performed
- Cause of equipment malfunction (if known)
- Description of the type of work performed
- Time required to repair equipment malfunction

Provide speed distribution bins per hour of each day for the entire duration the system is operational. The report must indicate the date, time, and location of each distribution bin.

Provide frequency of above-posted speed violations per month for the entire duration the system is operational. The report must indicate the date, time, and location of each violation. Dates and times of alerts and warning activations must be system-generated.

Provide calculated 85th percentile speeds per hour of each day for the entire duration the system is operational. The report must indicate the date, time, and location of each system reported.

- 4.3.1. **Consequences of Failed Performance.** Failure to satisfy the performance requirements is considered a defect. Upon any notification of failure of any duration, the Contractor must complete a repair within the maximum allowable 24 hr. The equipment is also subject to rejection. The rejected equipment may be offered again for retest provided all noncompliances have been corrected.

If a failure pattern develops in similar units within the system, implement corrective measures, including modification or replacement of units, to all similar units within the system as directed. Perform the corrective measures without additional cost or time extension of the Contract period within 24 hr. after the failure pattern is identified.

- 4.4. **Experience Requirements.**

- 4.4.1. **Contractor Experience Requirements.** Contractor or designated subcontractor must meet the following experience requirements.

- 4.4.1.1. **Completed Project.** Demonstrate experience from one successfully completed project in which project personnel installed, tested, and integrated various network equipment combined as a system to create an operational function. This may include such systems as high-water detection and warning systems; variable speed limit systems; wrong-way detection and warning systems; roadway weather detection and warning systems; travel time delay estimate systems; queue detection systems; or similar applications of technology requiring specialized equipment, electrical design, and networking.

Submit the names, addresses, and telephone numbers of the references that can be contacted to verify the experience requirements.

- 4.5. **Documentation Requirements.** Provide a compliance matrix documenting conformance to this Specification.

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## 5. SYSTEM COORDINATOR

The Contractor's responsible person (CRP) identified under Item 7, "Legal Relations and Responsibilities," must designate a system coordinator responsible for overseeing the placement of the devices and for testing and calibrating the equipment. The system coordinator must be locally available to maintain system components, move portable devices as necessary, and respond to emergency situations. The system

coordinator is responsible for moving system components that interfere with construction operations and relocating the components to another area. The CRP must provide a local or toll-free telephone number to the Engineer to contact the system coordinator for the maintenance of the system at any time. The system coordinator must be accessible 7 days per week, 24 hr. per day, while the system is deployed, and must respond within 2 hr.

Submit a schedule of implementation for approval at the preconstruction meeting. The temporary speed monitoring system must be continually monitored throughout all periods of deployment. The decision to deploy, relocate, or remove field equipment is made by the Department and must be accomplished by the system coordinator.

Technical support must be available for all periods of operation.

If communication between any field equipment is lost, provide a means and staff to manually program a display message within 2 hr. of notification.

System operator local control functions and remote management operations must be password-protected per Department policy.

## 6. MEASUREMENT

This Item will be measured by each directional temporary speed monitoring system furnished, installed, or relocated, or by the number of days furnished and installed. All temporary speed monitoring system components must be set up in the work area and operational before the time can be considered measurable. When measurement by the day used is specified, a day will be measured for each temporary speed monitoring system set up and fully operational on the worksite.

When this Item is measured by the day and more than one temporary speed monitoring system is needed and operational simultaneously, a multisystem bid item code must be used. The bid item code description must reflect the number of systems operating concurrently. The total number of days measured for that bid item will include all systems combined. For example, a project may require two systems deployed at different locations and operating concurrently over time. In this example, the total number of days measured for the individual bid item code description would include the sum of both systems combined.

## 7. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit bid price for "Temporary Speed Monitoring System" of the number of systems specified when measured by the day. This price is full compensation for the use of all equipment, including labor to set up, furnish, operate, relocate, adjust, and remove equipment; replacement parts; maintenance; all related consumables; software; programming; onsite system coordinator; and incidentals necessary to complete the work. This price also includes any costs associated with communications (e.g., cellular fees); power; and damage from vandalism, weather, or traffic incidents.

- 7.1. **Deduction for Failed System.** Should the system malfunction for 24 consecutive hours without the Contractor correcting the deficiency, the payment for the day will be deducted.