

Control	0033-05-089, ETC.
Project	F 2B24(277), ETC.
Highway	US 83, ETC.
County	JONES, ETC.

## ADDENDUM ACKNOWLEDGMENT

**Each bidder is required to acknowledge receipt of an addendum issued for a specific project. This page is provided for the purpose of acknowledging an addendum.**

FAILURE TO ACKNOWLEDGE RECEIPT OF AN ADDENDUM WILL RESULT IN THE BID NOT BEING READ.

In order to properly acknowledge an addendum place a mark in the box next to the respective addendum.

- ADDENDUM NO. 1
- ADDENDUM NO. 2
- ADDENDUM NO. 3
- ADDENDUM NO. 4
- ADDENDUM NO. 5

In addition, the bidder by affixing their signature to the signature page of the proposal is acknowledging that they have taken the addendum(s) into consideration when preparing their bid and that the information contained in the addendum will be included in the contract, if awarded by the Commission or other designees.

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# PROPOSAL TO THE TEXAS TRANSPORTATION COMMISSION

## 2014 SPECIFICATIONS

### WORK CONSISTING OF WIDEN ROAD - ADD SHOULDERS JONES COUNTY, TEXAS, Etc.

The quantities in the proposal are approximate. The quantities of work and materials may be increased or decreased as considered necessary to complete the work as planned and contemplated.

This project is to be completed in 528 working days and will be accepted when fully completed and finished to the satisfaction of the Executive Director or designee.

Provide a proposal guaranty in the form of a Cashier's Check, Teller's Check (including an Official Check) or Bank Money Order on a State or National Bank or Savings and Loan Association, or State or Federally chartered Credit Union made payable to the Texas Transportation Commission in the following amount:

ONE HUNDRED THOUSAND (Dollars) ( \$100,000 )

A bid bond may be used as the required proposal guaranty. The bond form may be detached from the proposal for completion. The proposal may not be disassembled to remove the bond form. The bond must be in accordance with Item 2 of the specifications.

Any addenda issued amending this proposal and/or the plans that have been acknowledged by the bidder, become part of this proposal.

By signing the proposal the bidder certifies:

1. the only persons or parties interested in this proposal are those named and the bidder has not directly or indirectly participated in collusion, entered into an agreement or otherwise taken any action in restraint of free competitive bidding in connection with the above captioned project.
2. in the event of the award of a contract, the organization represented will secure bonds for the full amount of the contract.
3. the signatory represents and warrants that they are an authorized signatory for the organization for which the bid is submitted and they have full and complete authority to submit this bid on behalf of their firm.
4. that the certifications and representations contained in the proposal are true and accurate and the bidder intends the proposal to be taken as a genuine government record.

• **Signed:** \*\*

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Print Name:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Title:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Company:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

- Signatures to comply with Item 2 of the specifications.

\*\*Note: Complete (1) for single venture, through (2) for joint venture and through (3) for triple venture.

\* **When the working days field contains an asterisk (\*) refer to the Special Provisions and General Notes.**

## **NOTICE TO CONTRACTORS**

**ANY CONTRACTORS INTENDING TO BID ON ANY WORK TO BE AWARDED BY THIS DEPARTMENT MUST SUBMIT A SATISFACTORY “AUDITED FINANCIAL STATEMENT” AND “EXPERIENCE QUESTIONNAIRE” AT LEAST TEN DAYS PRIOR TO THE LETTING DATE.**

**UNIT PRICES MUST BE SUBMITTED IN ACCORDANCE WITH ITEM 2 OF THE STANDARD SPECIFICATIONS OR SPECIAL PROVISION TO ITEM 2 FOR EACH ITEM LISTED IN THIS PROPOSAL.**

# TEXAS DEPARTMENT OF TRANSPORTATION

## BID BOND

KNOW ALL PERSONS BY THESE PRESENTS,

That we, (Contractor Name) \_\_\_\_\_  
\_\_\_\_\_

Hereinafter called the Principal, and (Surety Name) \_\_\_\_\_  
\_\_\_\_\_

a corporation or firm duly authorized to transact surety business in the State of Texas, hereinafter called the Surety, are held and firmly bound unto the Texas Department of Transportation, hereinafter called the Oblige, in the sum of not less than two percent (2%) of the department's engineer's estimate, rounded to the nearest one thousand dollars, not to exceed one hundred thousand dollars (\$100,000) as a proposal guaranty (amount displayed on the cover of the proposal), the payment of which sum will and truly be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the principal has submitted a bid for the following project identified as:

<b>Control</b>	<b>0033-05-089, ETC.</b>
<b>Project</b>	<b>F 2B24(277), ETC.</b>
<b>Highway</b>	<b>US 83, ETC.</b>
<b>County</b>	<b>JONES, ETC.</b>

NOW, THEREFORE, if the Oblige shall award the Contract to the Principal and the Principal shall enter into the Contract in writing with the Oblige in accordance with the terms of such bid, then this bond shall be null and void. If in the event of failure of the Principal to execute such Contract in accordance with the terms of such bid, this bond shall become the property of the Oblige, without recourse of the Principal and/or Surety, not as a penalty but as liquidated damages.

Signed this \_\_\_\_\_ Day of \_\_\_\_\_ 20\_\_\_\_\_

By: \_\_\_\_\_  
(Contractor/Principal Name)

\_\_\_\_\_  
(Signature and Title of Authorized Signatory for Contractor/Principal)

\*By: \_\_\_\_\_  
(Surety Name)

\_\_\_\_\_  
(Signature of Attorney-in-Fact)

Impressed  
Surety Seal  
Only

\*Attach Power of attorney (Surety) for Attorney-in-Fact

**This form may be removed from the proposal.**

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# BIDDER'S CHECK RETURN

## IMPORTANT

The space provided for the return address must be completed to facilitate the return of your bidder's check. Care must be taken to provide a legible, accurate, and complete return address, including zip code. A copy of this sheet should be used for each different return address.

## NOTE

Successful bidders will receive their guaranty checks with the executed contract.

RETURN BIDDERS CHECK TO (PLEASE PRINT):


<b>Control</b>	<b>0033-05-089, ETC.</b>
<b>Project</b>	<b>F 2B24(277), ETC.</b>
<b>Highway</b>	<b>US 83, ETC.</b>
<b>County</b>	<b>JONES, ETC.</b>

## IMPORTANT

### PLEASE RETURN THIS SHEET IN ITS ENTIRETY

Please acknowledge receipt of this check(s) at your earliest convenience by signing below in longhand, in ink, and returning this acknowledgement in the enclosed self addressed envelope.

Check Received By: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_

For (Contractor's Name): \_\_\_\_\_

Project \_\_\_\_\_ County \_\_\_\_\_

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## NOTICE TO THE BIDDER

In the space provided below, please enter your total bid amount for this project. Only this figure will be read publicly by the Department at the public bid opening.

It is understood and agreed by the bidder in signing this proposal that the total bid amount entered below is not binding on either the bidder or the Department. It is further agreed that **the official total bid amount for this proposal will be determined by multiplying the unit bid prices for each pay item by the respective estimated quantities shown in this proposal and then totaling all of the extended amounts.**

\$ \_\_\_\_\_  
**Total Bid Amount**

Control 0001-03-030  
 Project STP 2000(938)HES  
 Highway SH 20  
 County EL PASO

ALT	ITEM	DESC	SP	Bid Item Description	Unit	Quantity	Bid Price	Amount	Seq
	104	509	X	REMOV CONC (SDWLK)	MSY	266.400	\$10.000	\$2,664.00	1
							Total Bid Amount	\$2,664.00	

Signed \_\_\_\_\_  
 Title \_\_\_\_\_  
 Date \_\_\_\_\_

Additional Signature for Joint Venture:

Signed \_\_\_\_\_  
 Title \_\_\_\_\_  
 Date \_\_\_\_\_

**EXAMPLE OF BID PRICES SUBMITTED BY COMPUTER PRINTOUT**

EXAMPLE

EXAMPLE

EXAMPLE

EXAMPLE

# EXAMPLES

## BID PRICES SUBMITTED BY HAND WRITTEN FORMAT

ALT	ITEM-CODE			UNIT BID PRICE <u>ONLY</u> WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC NO	S.P. NO.				
	190	026		RED OAK 1 1/2 - 1 3/4 GAL BB	EA	9.000	1
					L	E	

**Unit price for each plant in place**

	249	014		FLEX BASE(DEL)(DENSOT)(TY A GR4 CL2)	TON	56,787.00	14
					L	E	

**Unit price for each ton of Flexible Base**

	430	001	001	CL A CONC FOR EXT STR (CULV)	CY	45.000	27
					L	E	

**Unit price for each cubic yard of Concrete**

	610	007	001	RDWY ILL ASSEM(TY ST 50T-8-8)(.4 KW)S	EA	13.000	7
					L	E	

**Unit price of each Roadway Illumination Assembly**

EXAMPLE

EXAMPLE

EXAMPLE

EXAMPLE

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PROJECT F 2B24(277) , ETC.  
 COUNTY JONES , ETC.

Proposal Sheet  
 TxDOT  
 FORM 234

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	100	6002		PREPARING ROW  DOLLARS and CENTS	STA	70.200	1
	104	6017		REMOVING CONC (DRIVEWAYS)  DOLLARS and CENTS	SY	289.000	2
	105	6074		REMOVING STAB BASE AND ASPH PAV (4")  DOLLARS and CENTS	SY	7,077.000	3
	106	6001		OBLITERATING ABANDONED ROAD  DOLLARS and CENTS	STA	7.770	4
	110	6001		EXCAVATION (ROADWAY)  DOLLARS and CENTS	CY	45,781.000	5
	132	6002		EMBANKMENT (FINAL)(DENS CONT)(TY A)  DOLLARS and CENTS	CY	189,902.000	6
	164	6009		BROADCAST SEED (TEMP) (WARM)  DOLLARS and CENTS	SY	93,780.000	7
	164	6011		BROADCAST SEED (TEMP) (COOL)  DOLLARS and CENTS	SY	93,780.000	8
	164	6036		DRILL SEEDING (PERM) (RURAL) (CLAY)  DOLLARS and CENTS	AC	39.140	9
	168	6001		VEGETATIVE WATERING  DOLLARS and CENTS	MG	1,595.000	10
	247	6053	005	FL BS (CMP IN PLC)(TYD GR1-2)(FNAL POS)  DOLLARS and CENTS	CY	31,327.000	11
	251	6067		REWORK BS MTL (TY A)(2")(DENS CONT)  DOLLARS and CENTS	SY	17,604.000	12

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	260	6012		LIME(HYD,COM OR QK)(SLRY)OR QK(DRY) DOLLARS and CENTS	TON	2,045.000	13
	260	6079		LIME TRT (SUBGRADE)(6") DOLLARS and CENTS	SY	108,163.000	14
	310	6005		PRIME COAT (AE-P) DOLLARS and CENTS	GAL	23,108.000	15
	351	6013		FLEXIBLE PAVEMENT STRUCTURE REPAIR(4") DOLLARS and CENTS	SY	2,670.000	16
	354	6021		PLANE ASPH CONC PAV(0" TO 2") DOLLARS and CENTS	SY	767.000	17
	354	6043		PLANE ASPH CONC PAV (1") DOLLARS and CENTS	SY	28,301.000	18
	354	6044		PLANE ASPH CONC PAV (7") DOLLARS and CENTS	SY	14,509.000	19
	354	6045		PLANE ASPH CONC PAV (2") DOLLARS and CENTS	SY	25,211.000	20
	354	6100		PLANE ASPH CONC PAV (5") DOLLARS and CENTS	SY	18,991.000	21
	360	6004	001	CONC PVMT (CONT REINF - CRCP) (10") DOLLARS and CENTS	SY	7,074.000	22
	400	6005		CEM STABIL BKFL DOLLARS and CENTS	CY	332.000	23
	400	6008		CUT & RESTORE ASPH PAVING DOLLARS and CENTS	SY	28.000	24

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	401	6001		FLOWABLE BACKFILL  DOLLARS and CENTS	CY	13.000	25
	402	6001		TRENCH EXCAVATION PROTECTION  DOLLARS and CENTS	LF	190.000	26
	403	6001		TEMPORARY SPL SHORING  DOLLARS and CENTS	SF	256.000	27
	416	6001		DRILL SHAFT (18 IN)  DOLLARS and CENTS	LF	225.000	28
	416	6003		DRILL SHAFT (30 IN)  DOLLARS and CENTS	LF	320.000	29
	416	6004		DRILL SHAFT (36 IN)  DOLLARS and CENTS	LF	1,980.000	30
	416	6006		DRILL SHAFT (48 IN)  DOLLARS and CENTS	LF	21.000	31
	416	6018		DRILL SHAFT (SIGN MTS) (24 IN)  DOLLARS and CENTS	LF	26.000	32
	416	6019		DRILL SHAFT (SIGN MTS) (30 IN)  DOLLARS and CENTS	LF	52.000	33
	416	6020		DRILL SHAFT (SIGN MTS) (36 IN)  DOLLARS and CENTS	LF	68.000	34
	416	6022		DRILL SHAFT (SIGN MTS) (48 IN)  DOLLARS and CENTS	LF	90.000	35
	420	6014	001	CL C CONC (ABUT)(HPC)  DOLLARS and CENTS	CY	136.400	36

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	420	6030	001	CL C CONC (CAP)(HPC)  DOLLARS and CENTS	CY	93.000	37
	420	6038	001	CL C CONC (COLUMN)(HPC)  DOLLARS and CENTS	CY	55.000	38
	422	6002		REINF CONC SLAB (HPC)  DOLLARS and CENTS	SF	33,120.000	39
	422	6016		APPROACH SLAB (HPC)  DOLLARS and CENTS	CY	243.000	40
	423	6001	005	RETAINING WALL (MSE)  DOLLARS and CENTS	SF	63,019.000	41
	425	6039	001	PRESTR CONC GIRDER (TX54)  DOLLARS and CENTS	LF	3,942.620	42
	427	6004	003	SILICONE RESIN PAINT FINISH  DOLLARS and CENTS	SF	1,388.000	43
	432	6001		RIPRAP (CONC)(4 IN)  DOLLARS and CENTS	CY	52.250	44
	432	6002		RIPRAP (CONC)(5 IN)  DOLLARS and CENTS	CY	560.500	45
	432	6045		RIPRAP (MOW STRIP)(4 IN)  DOLLARS and CENTS	CY	401.000	46
	450	6023	001	RAIL (TY SSTR)  DOLLARS and CENTS	LF	2,926.000	47
	450	6024	001	RAIL (TY SSTR)(HPC)  DOLLARS and CENTS	LF	1,528.000	48



ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	454	6018		SEALED EXPANSION JOINT (4 IN) (SEJ - M) DOLLARS and CENTS	LF	208.000	49
	460	6009		CMP AR (GAL STL DES 2) DOLLARS and CENTS	LF	286.000	50
	460	6010		CMP AR (GAL STL DES 3) DOLLARS and CENTS	LF	56.000	51
	462	6004	002	CONC BOX CULV (4 FT X 3 FT) DOLLARS and CENTS	LF	61.000	52
	462	6007	002	CONC BOX CULV (5 FT X 3 FT) DOLLARS and CENTS	LF	1,916.000	53
	462	6010	002	CONC BOX CULV (6 FT X 3 FT) DOLLARS and CENTS	LF	76.000	54
	462	6054	002	CONC BOX CULV (6 FT X 3 FT)(EXTEND) DOLLARS and CENTS	LF	30.000	55
	462	6057	002	CONC BOX CULV (6 FT X 6 FT)(EXTEND) DOLLARS and CENTS	LF	26.000	56
	462	6058	002	CONC BOX CULV (7 FT X 3 FT)(EXTEND) DOLLARS and CENTS	LF	36.000	57
	462	6096	002	CONC BOX CULV (8 FT X 3 FT) DOLLARS and CENTS	LF	159.000	58
	462	6099	002	CONC BOX CULV (6 FT X 2 FT) DOLLARS and CENTS	LF	50.000	59
	462	6165	002	CONC BOX CULVERT (10 FT X 4 FT)(EXTEND) DOLLARS and CENTS	LF	78.000	60

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	464	6003	001	RC PIPE (CL III)(18 IN)  DOLLARS and CENTS	LF	381.000	61
	464	6005	001	RC PIPE (CL III)(24 IN)  DOLLARS and CENTS	LF	737.000	62
	465	6147	001	INLET(COMPL)(PSL)(SFG)(4FTX4FT-4FTX- 4FT)  DOLLARS and CENTS	EA	1.000	63
	466	6130		HEADWALL (CH - PW - S) (DIA= 24 IN)  DOLLARS and CENTS	EA	1.000	64
	466	6152		WINGWALL (FW - 0) (HW=5 FT)  DOLLARS and CENTS	EA	1.000	65
	467	6052		SET (TY I)(S=10 FT)(HW=5FT)(4:1)(C)  DOLLARS and CENTS	EA	1.000	66
	467	6150		SET (TY I)(S= 4 FT)(HW= 5 FT)(4:1) (C)  DOLLARS and CENTS	EA	1.000	67
	467	6152		SET (TY I)(S= 4 FT)(HW= 5 FT)(6:1) (C)  DOLLARS and CENTS	EA	1.000	68
	467	6177		SET (TY I)(S= 5 FT)(HW= 4 FT)(4:1) (C)  DOLLARS and CENTS	EA	4.000	69
	467	6205		SET (TY I)(S= 6 FT)(HW= 3 FT)(4:1) (C)  DOLLARS and CENTS	EA	2.000	70
	467	6212		SET (TY I)(S= 6 FT)(HW= 4 FT)(4:1) (C)  DOLLARS and CENTS	EA	8.000	71
	467	6227		SET (TY I)(S= 6 FT)(HW= 7 FT)(3:1) (C)  DOLLARS and CENTS	EA	4.000	72

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	467	6270		SET (TY I)(S= 8 FT)(HW= 4 FT)(4:1) (C) DOLLARS and CENTS	EA	2.000	73
	467	6358		SET (TY II) (18 IN) (RCP) (4: 1) (C) DOLLARS and CENTS	EA	6.000	74
	467	6362		SET (TY II) (18 IN) (RCP) (6: 1) (C) DOLLARS and CENTS	EA	10.000	75
	467	6388		SET (TY II) (24 IN) (RCP) (3: 1) (C) DOLLARS and CENTS	EA	1.000	76
	467	6390		SET (TY II) (24 IN) (RCP) (4: 1) (C) DOLLARS and CENTS	EA	2.000	77
	467	6391		SET (TY II) (24 IN) (RCP) (4: 1) (P) DOLLARS and CENTS	EA	1.000	78
	467	6394		SET (TY II) (24 IN) (RCP) (6: 1) (C) DOLLARS and CENTS	EA	22.000	79
	467	6525		SET (TY II) (DES 2) (CMP) (6: 1) (P) DOLLARS and CENTS	EA	10.000	80
	467	6537		SET (TY II) (DES 3) (CMP) (6: 1) (P) DOLLARS and CENTS	EA	2.000	81
	480	6001		CLEAN EXIST CULVERTS DOLLARS and CENTS	EA	3.000	82
	496	6001		REMOV STR (BOX CULVERT) DOLLARS and CENTS	EA	3.000	83
	496	6002		REMOV STR (INLET) DOLLARS and CENTS	EA	3.000	84

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	496	6004		REMOV STR (SET)  DOLLARS and CENTS	EA	13.000	85
	496	6005		REMOV STR (WINGWALL)  DOLLARS and CENTS	EA	9.000	86
	496	6006		REMOV STR (HEADWALL)  DOLLARS and CENTS	EA	2.000	87
	496	6007		REMOV STR (PIPE)  DOLLARS and CENTS	LF	614.000	88
	500	6001		MOBILIZATION  DOLLARS and CENTS	LS	1.000	89
	502	6001	008	BARRICADES, SIGNS AND TRAFFIC HAN- DLING  DOLLARS and CENTS	MO	30.000	90
	506	6002	005	ROCK FILTER DAMS (INSTALL) (TY 2)  DOLLARS and CENTS	LF	180.000	91
	506	6011	005	ROCK FILTER DAMS (REMOVE)  DOLLARS and CENTS	LF	180.000	92
	506	6038	005	TEMP SEDMT CONT FENCE (INSTALL)  DOLLARS and CENTS	LF	7,134.000	93
	506	6039	005	TEMP SEDMT CONT FENCE (REMOVE)  DOLLARS and CENTS	LF	7,134.000	94
	506	6041	005	BIODEG EROSN CONT LOGS (INSTL) (12")  DOLLARS and CENTS	LF	860.000	95
	506	6043	005	BIODEG EROSN CONT LOGS (REMOVE)  DOLLARS and CENTS	LF	860.000	96

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	508	6001		CONSTRUCTING DETOURS  DOLLARS and CENTS	SY	7,915.000	97
	512	6009		PORT CTB (FUR & INST)(LOW PROF)(TY 1)  DOLLARS and CENTS	LF	320.000	98
	512	6010		PORT CTB (FUR & INST)(LOW PROF)(TY 2)  DOLLARS and CENTS	LF	40.000	99
	512	6013		PORT CTB (DES SOURCE)(SGL SLP)(TY 1)  DOLLARS and CENTS	LF	9,420.000	100
	512	6025		PORT CTB (MOVE)(SGL SLP)(TY 1)  DOLLARS and CENTS	LF	12,900.000	101
	512	6033		PORT CTB (MOVE)(LOW PROF)(TY 1)  DOLLARS and CENTS	LF	260.000	102
	512	6034		PORT CTB (MOVE)(LOW PROF)(TY 2)  DOLLARS and CENTS	LF	40.000	103
	512	6037		PORT CTB (STKPL)(SGL SLP)(TY 1)  DOLLARS and CENTS	LF	9,420.000	104
	512	6045		PORT CTB (STKPL)(LOW PROF)(TY 1)  DOLLARS and CENTS	LF	320.000	105
	512	6046		PORT CTB (STKPL)(LOW PROF)(TY 2)  DOLLARS and CENTS	LF	40.000	106
	514	6001		PERM CTB (SGL SLOPE) (TY 1) (42 )  DOLLARS and CENTS	LF	4,457.000	107
	530	6006		DRIVEWAYS (SURF TREAT)  DOLLARS and CENTS	SY	735.000	108

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	530	6024		TURNOUTS (RAP)  DOLLARS and CENTS	SY	443.000	109
	533	6001		RUMBLE STRIPS (SHOULDER)  DOLLARS and CENTS	LF	10,811.000	110
	540	6001	001	MTL W-BEAM GD FEN (TIM POST)  DOLLARS and CENTS	LF	3,750.000	111
	540	6006	001	MTL BEAM GD FEN TRANS (THRIE-BEAM)  DOLLARS and CENTS	EA	8.000	112
	540	6016	001	DOWNSTREAM ANCHOR TERMINAL SEC- TION  DOLLARS and CENTS	EA	11.000	113
	542	6001		REMOVE METAL BEAM GUARD FENCE  DOLLARS and CENTS	LF	825.000	114
	542	6002		REMOVE TERMINAL ANCHOR SECTION  DOLLARS and CENTS	EA	3.000	115
	542	6004		RM MTL BM GD FENCE TRANS (THRIE- BEAM)  DOLLARS and CENTS	EA	1.000	116
	544	6001		GUARDRAIL END TREATMENT (INSTALL)  DOLLARS and CENTS	EA	15.000	117
	544	6003		GUARDRAIL END TREATMENT (REMOVE)  DOLLARS and CENTS	EA	2.000	118
	545	6003		CRASH CUSH ATTEN (MOVE & RESET)  DOLLARS and CENTS	EA	16.000	119
	545	6005		CRASH CUSH ATTEN (REMOVE)  DOLLARS and CENTS	EA	13.000	120

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	545	6019		CRASH CUSH ATTEN (INSTL)(S)(N)(TL3) DOLLARS and CENTS	EA	13.000	121
	560	6025		RELOCATE EXISTING MAILBOX DOLLARS and CENTS	EA	16.000	122
	610	6004		RELOCATE RD IL ASM (TRANS-BASE) DOLLARS and CENTS	EA	3.000	123
	610	6009		REMOVE RD IL ASM (TRANS-BASE) DOLLARS and CENTS	EA	3.000	124
	610	6198		IN RD IL (TY SA) 40B-8 (250W EQ) LED DOLLARS and CENTS	EA	7.000	125
	610	6214		IN RD IL (TY SA) 40T-8 (250W EQ) LED DOLLARS and CENTS	EA	38.000	126
	610	6215		IN RD IL (TY SA) 40T-8-8 (250W EQ) LED DOLLARS and CENTS	EA	1.000	127
	618	6046		CONDT (PVC) (SCH 80) (2") DOLLARS and CENTS	LF	9,955.000	128
	618	6047		CONDT (PVC) (SCH 80) (2") (BORE) DOLLARS and CENTS	LF	880.000	129
	620	6007		ELEC CONDR (NO.8) BARE DOLLARS and CENTS	LF	11,230.000	130
	620	6008		ELEC CONDR (NO.8) INSULATED DOLLARS and CENTS	LF	23,710.000	131
	624	6002		GROUND BOX TY A (122311)W/APRON DOLLARS and CENTS	EA	16.000	132

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	624	6008		GROUND BOX TY C (162911)W/APRON DOLLARS and CENTS	EA	1.000	133
	624	6028		REMOVE GROUND BOX DOLLARS and CENTS	EA	3.000	134
	628	6002		REMOVE ELECTRICAL SERVICES DOLLARS and CENTS	EA	1.000	135
	628	6067		ELC SRV TY A 240/480 100(NS)AL(E)SP(O) DOLLARS and CENTS	EA	2.000	136
	628	6116		ELC SRV TY D 120/240 060(NS)AL(E)SP(O) DOLLARS and CENTS	EA	1.000	137
	636	6002	001	ALUMINUM SIGNS (TY G) DOLLARS and CENTS	SF	135.000	138
	636	6003	001	ALUMINUM SIGNS (TY O) DOLLARS and CENTS	SF	1,185.250	139
	644	6001		IN SM RD SN SUP&AM TY10BWG(1)SA(P) DOLLARS and CENTS	EA	77.000	140
	644	6004		IN SM RD SN SUP&AM TY10BWG(1)SA(T) DOLLARS and CENTS	EA	16.000	141
	644	6007		IN SM RD SN SUP&AM TY10BWG(1)SA(U) DOLLARS and CENTS	EA	2.000	142
	644	6017		IN SM RD SN SUP&AM TY10BWG(2)SA(P) DOLLARS and CENTS	EA	2.000	143
	644	6027		IN SM RD SN SUP&AM TYS80(1)SA(P) DOLLARS and CENTS	EA	1.000	144



ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	644	6030		IN SM RD SN SUP&AM TYS80(1)SA(T) DOLLARS and CENTS	EA	11.000	145
	644	6033		IN SM RD SN SUP&AM TYS80(1)SA(U) DOLLARS and CENTS	EA	8.000	146
	644	6065		IN BRIDGE MNT CLEARANCE SGN ASSM(TY S) DOLLARS and CENTS	EA	2.000	147
	644	6068		RELOCATE SM RD SN SUP&AM TY 10BWG DOLLARS and CENTS	EA	3.000	148
	644	6076		REMOVE SM RD SN SUP&AM DOLLARS and CENTS	EA	56.000	149
	647	6001		INSTALL LRSS (STRUCT STEEL) DOLLARS and CENTS	LB	1,219.900	150
	647	6003		REMOVE LRSA DOLLARS and CENTS	EA	1.000	151
	650	6025		INS OH SN SUP(25 FT CANT) DOLLARS and CENTS	EA	3.000	152
	650	6032		INS OH SN SUP(30 FT CANT) DOLLARS and CENTS	EA	1.000	153
	650	6064		INS OH SN SUP(55 FT BRDG) DOLLARS and CENTS	EA	1.000	154
	650	6084		INS OH SN SUP(75 FT BRDG) DOLLARS and CENTS	EA	1.000	155
	650	6204		REMOVE OVERHD SIGN SUP DOLLARS and CENTS	EA	1.000	156

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	658	6010		INSTL DEL ASSM (D-SW)SZ 2(WC)GND DOLLARS and CENTS	EA	6.000	157
	658	6040		INSTL DEL ASSM (D-DW)SZ 2(WC)GND DOLLARS and CENTS	EA	11.000	158
	658	6047		INSTL OM ASSM (OM-2Y)(WC)GND DOLLARS and CENTS	EA	38.000	159
	658	6063		INSTL DEL ASSM (D-SW)SZ 1(BRF)GF2(BR) DOLLARS and CENTS	EA	39.000	160
	658	6065		INSTL DEL ASSM (D-SY)SZ 1(BRF)GF2(BR) DOLLARS and CENTS	EA	19.000	161
	658	6069		INSTL DEL ASSM (D-SW)SZ (BRF)CTB (BR) DOLLARS and CENTS	EA	35.000	162
	658	6070		INSTL DEL ASSM (D-SY)SZ (BRF)CTB (BR) DOLLARS and CENTS	EA	52.000	163
	658	6078		INSTL OM ASSM (OM-4)(TWT)WAS DOLLARS and CENTS	EA	2.000	164
	662	6064		WK ZN PAV MRK REMOV (W)6"(BRK) DOLLARS and CENTS	LF	820.000	165
	662	6067		WK ZN PAV MRK REMOV (W)6"(SLD) DOLLARS and CENTS	LF	23,832.000	166
	662	6098		WK ZN PAV MRK REMOV (Y)6"(SLD) DOLLARS and CENTS	LF	31,175.000	167
	662	6111		WK ZN PAV MRK SHT TERM (TAB)TY Y-2 DOLLARS and CENTS	EA	1,026.000	168

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	666	6036	007	REFL PAV MRK TY I (W)8"(SLD)(100MIL) DOLLARS and CENTS	LF	7,365.000	169
	666	6039	007	REFL PAV MRK TY I (W)12"(LNDP)(100MIL) DOLLARS and CENTS	LF	399.000	170
	666	6208	007	REFL PAV MRK TY II (Y) 6" (BRK) DOLLARS and CENTS	LF	1,190.000	171
	666	6210	007	REFL PAV MRK TY II (Y) 6" (SLD) DOLLARS and CENTS	LF	10,014.000	172
	666	6243	007	PAVEMENT SEALER (YLD TRI) DOLLARS and CENTS	EA	35.000	173
	666	6306	007	RE PM W/RET REQ TY I (W)6"(BRK)(100MIL) DOLLARS and CENTS	LF	3,160.000	174
	666	6309	007	RE PM W/RET REQ TY I (W)6"(SLD)(100MIL) DOLLARS and CENTS	LF	47,009.000	175
	666	6318	007	RE PM W/RET REQ TY I (Y)6"(BRK)(100MIL) DOLLARS and CENTS	LF	1,190.000	176
	666	6321	007	RE PM W/RET REQ TY I (Y)6"(SLD)(100MIL) DOLLARS and CENTS	LF	40,046.000	177
	668	6074		PREFAB PAV MRK TY C (W) (12") (SLD) DOLLARS and CENTS	LF	1,146.000	178
	668	6076		PREFAB PAV MRK TY C (W) (24") (SLD) DOLLARS and CENTS	LF	167.000	179
	668	6077		PREFAB PAV MRK TY C (W) (ARROW) DOLLARS and CENTS	EA	6.000	180

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	668	6083		PREFAB PAV MRK TY C (W) (LNDP ARROW) DOLLARS and CENTS	EA	8.000	181
	668	6085		PREFAB PAV MRK TY C (W) (WORD) DOLLARS and CENTS	EA	6.000	182
	668	6092		PREFAB PAV MRK TY C (W) (36")(YLD TRI) DOLLARS and CENTS	EA	9.000	183
	672	6007		REFL PAV MRKR TY I-C DOLLARS and CENTS	EA	34.000	184
	672	6009		REFL PAV MRKR TY II-A-A DOLLARS and CENTS	EA	740.000	185
	672	6010		REFL PAV MRKR TY II-C-R DOLLARS and CENTS	EA	578.000	186
	677	6001		ELIM EXT PAV MRK & MRKS (4") DOLLARS and CENTS	LF	19,387.000	187
	678	6002		PAV SURF PREP FOR MRK (6") DOLLARS and CENTS	LF	6,491.000	188
	678	6006		PAV SURF PREP FOR MRK (12") DOLLARS and CENTS	LF	1,146.000	189
	678	6008		PAV SURF PREP FOR MRK (24") DOLLARS and CENTS	LF	84.000	190
	678	6009		PAV SURF PREP FOR MRK (ARROW) DOLLARS and CENTS	EA	1.000	191
	678	6023		PAV SURF PREP FOR MRK (36")(YLD TRI) DOLLARS and CENTS	EA	35.000	192

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	690	6009		REMOVAL OF CABLES  DOLLARS and CENTS	LF	2,145.000	193
	690	6127		REMOVE LUMINAIRE POLE  DOLLARS and CENTS	EA	4.000	194
	3076	6001		D-GR HMA TY-B PG64-22  DOLLARS and CENTS	TON	14,087.000	195
	3076	6015		D-GR HMA TY-C PG64-22  DOLLARS and CENTS	TON	6,075.000	196
	3077	6023		SP MIXES SP-C SAC-B PG70-22  DOLLARS and CENTS	TON	10,020.000	197
	3077	6033		SP MIXES SP-C SAC-A PG76-22  DOLLARS and CENTS	TON	4,253.000	198
	3077	6075		TACK COAT  DOLLARS and CENTS	GAL	13,050.000	199
	6001	6002		PORTABLE CHANGEABLE MESSAGE SIGN  DOLLARS and CENTS	EA	2.000	200
	6010	6002		CCTV FIELD EQUIPMENT (DIGITAL)  DOLLARS and CENTS	EA	1.000	201
	6010	6003		CCTV FIELD CONTROLLER  DOLLARS and CENTS	EA	1.000	202
	6010	6004		CCTV MOUNT (POLE)  DOLLARS and CENTS	EA	1.000	203
	6027	6003		CONDUIT (PREPARE)  DOLLARS and CENTS	LF	195.000	204

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	6027	6008		GROUND BOX (PREPARE)  DOLLARS and CENTS	EA	4.000	205
	6038	6004		MULTIPOLYMER PAV MRK (W)(6")(SLD)  DOLLARS and CENTS	LF	2,595.000	206
	6038	6005		MULTIPOLYMER PAV MRK (W)(6")(BRK)  DOLLARS and CENTS	LF	70.000	207
	6038	6013		MULTIPOLYMER PAV MRK (W)(24")(SLD)  DOLLARS and CENTS	LF	84.000	208
	6038	6017		MULTIPOLYMER PAV MRK (Y)(6")(SLD)  DOLLARS and CENTS	LF	2,205.000	209
	6038	6028		MULTIPOLYMER PAV MRK (W) 36" YLD TRI  DOLLARS and CENTS	EA	35.000	210
	6064	6055	001	ITS POLE (60 FT)(90 MPH)  DOLLARS and CENTS	EA	1.000	211
	6064	6084	001	ITS POLE MNT CAB (TY 2)(CONF 2)  DOLLARS and CENTS	EA	1.000	212
	6185	6002	002	TMA (STATIONARY)  DOLLARS and CENTS	DAY	136.000	213
	6185	6005	002	TMA (MOBILE OPERATION)  DOLLARS and CENTS	DAY	34.000	214
	6247	6003		INSTALL OF FIELD HARD ETHERNET SWITCH  DOLLARS and CENTS	EA	1.000	215
	6247	6005		INSTALL OF CELLULAR MODEM  DOLLARS and CENTS	EA	1.000	216

# **CERTIFICATION OF INTEREST IN OTHER BID PROPOSALS FOR THIS WORK**

By signing this proposal, the bidding firm and the signer certify that the following information, as indicated by checking "Yes" or "No" below, is true, accurate, and complete.

- A. Quotation(s) have been issued in this firm's name to other firm(s) interested in this work for consideration for performing a portion of this work.

\_\_\_\_\_ YES

\_\_\_\_\_ NO

- B. If this proposal is the low bid, the bidder agrees to provide the following information prior to award of the contract.

1. Identify firms which bid as a prime contractor and from which the bidder received quotations for work on this project.
2. Identify all the firms which bid as a prime contractor to which the bidder gave quotations for work on this project.

# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

<p>1. Type of Federal Action:</p> <ul style="list-style-type: none"> <li>a. contract</li> <li>b. grant</li> <li>c. cooperative agreement</li> <li>d. loan</li> <li>e. loan guarantee</li> <li>f. loan insurance</li> </ul>	<p>2. Status of Federal Action:</p> <ul style="list-style-type: none"> <li>a. bid/offer/application</li> <li>b. initial award</li> <li>c. post-award</li> </ul>	<p>3. Report Type:</p> <ul style="list-style-type: none"> <li>a. initial filing</li> <li>b. grant</li> </ul> <p style="margin-left: 20px;">For material change only:  year _____ quarter _____  date of last report _____</p>
<p>4. Name and Address of Reporting Entity:</p> <p>? Prime                      ? Subawardee</p> <p style="margin-left: 40px;">Tier _____, if known:</p> <p><b>Congressional District</b>, if known:</p>		<p>5. <b>If Reporting Entity in No. 4 is Subawardee</b>, Enter Name and Address of Prime:</p> <p><b>Congressional District</b>, if known:</p>
<p>6. Federal Department/Agency:</p>	<p>7. Federal Program Name/Description:</p> <p>CFDA Number, if applicable: _____</p>	
<p>8. Federal Action Number, if known:</p>	<p>9. Award Amount, if known:</p> <p>\$ _____</p>	
<p>10. a. Name and Address of Lobbying Entity (if individual, last name, first name, MI):</p>		<p>b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):</p>
<p>(attach Continuation Sheet(s) SF-LLL-A, if necessary)</p>		
<p>11. Amount of Payment (check all that apply):</p> <p>\$ _____ actual                      planned</p>	<p>13. Type of Payment (check all that apply):</p> <ul style="list-style-type: none"> <li>a. retainer</li> <li>b. one-time fee</li> <li>c. commission</li> <li>d. contingent fee</li> <li>e. deferred</li> <li>f. other; specify: _____</li> </ul>	
<p>12. Form of Payment (check all that apply)</p> <ul style="list-style-type: none"> <li>a. cash</li> <li>b. in-kind; specify:                      nature _____ value _____</li> </ul>		
<p>14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11:</p> <p>(attach Continuation Sheet(s) SF-LLL-A, if necessary)</p>		
<p>15. Continuation Sheet(s) SF-LLL-A attached:                      ? Yes                      ? No</p>		
<p>16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</p>	<p>Signature: _____</p> <p>Print Name: _____</p> <p>Title: _____</p> <p>Telephone No: _____ Date: _____</p>	
<p>FEDERAL USE ONLY</p>		<p>Authorized for Local Reproduction Standard Form - LLL</p>



## **INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity or this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

# DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

0348-0046

## CONTINUATION SHEET

Reporting Entity: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_

# CONTRACTOR'S ASSURANCE

(Subcontracts-Federal Aid Projects)

By signing this proposal, the contractor is giving assurances that all subcontract agreements will incorporate the Standard Specification and Special Provisions to Section 9.9., Payment Provisions for Subcontractors, all subcontract agreements exceeding \$2,000 will incorporate the applicable Wage Determination Decision, and all subcontract agreements will incorporate the following:

Special Provision	Certification of Nondiscrimination in Employment
Special Provision	Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)
Special Provision	Standard Federal Equal Employment Opportunity
Construction	Construction Specifications (Executive Order 11246)
Form FHWA 1273	Required Contract Provisions Federal-aid Construction Contracts (Form FHWA 1273 must also be physically attached to subcontracts and all lower-tier subcontracts)
Special Provision	Nondiscrimination (Include provisions of Sections 3.1 – 3.6 in all subcontracts and agreements for materials)
Special Provision	Cargo Preference Act Requirements in Federal-Aid Contracts
Special Provision	Disadvantaged Business Enterprise in Federal-Aid Contracts

# ENGINEER SEAL

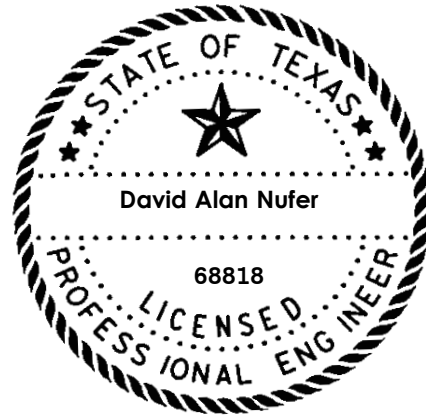
Control 0033-05-089, ETC.

Project F 2B24(277), ETC.

Highway US 83, ETC.

County JONES, ETC.

The enclosed Texas Department of Transportation Specifications, Special Specifications, Special Provisions, General Notes and Specification Data in this document have been selected by me, or under my responsible supervision as being applicable to this project. Alteration of a sealed document without proper notification to the responsible engineer is an offense under the Texas Engineering Practice Act.



The seal appearing on this document was authorized by  
*David Alan Nufer, P.E.*  
SEPTEMBER 20, 2023

**Control:** 0033-05-089, ETC

**County:** Jones, ETC

**Highway:** US 83, ETC

## **ABILENE DISTRICT GENERAL NOTES 2014 SPECIFICATIONS**

### **General**

Contractor questions on this project are to be addressed to the following individual(s):

Bryce Turentine, P.E. / Phone: 325-690-9821 / [Bryce.Turentine@txdot.gov](mailto:Bryce.Turentine@txdot.gov)

Chad Carter, P.E. / Phone: 325-676-6850 / [Chad.W.Carter@txdot.gov](mailto:Chad.W.Carter@txdot.gov)

(Abilene Area Office)

Contractor questions will be accepted through email, phone, and in person by the above individuals.

For Q&A's on Proposals navigate

to <https://tableau.txdot.gov/views/ProjectInformationDashboard/NoticetoContractors>

Use the dashboard to navigate to the project you are interested in by scrolling or filtering the dashboard using the controls on the left. Hover over the blue hyperlink for the project you want to view the Q&A for and click on the link in the window that pops up.

All relevant project documentation including contract time, cross sections, etc will be posted on the districts FTP website. <https://ftp.dot.state.tx.us/pub/txdot-info/Pre-Letting%20Responses/>

### Modified Standards

#### SETB-FW-S (MOD)

Failure to make necessary corrections to SW3P based on SW3P inspections will be cause for withholding the monthly estimate until such corrections have been made.

Failure to make necessary corrections to traffic control items based on barricade inspections will be cause for withholding the monthly estimate until such corrections have been made.

Provide ingress/egress to the adjacent properties in areas under construction. Phased construction of driveways and streets shall be required to provide uninterrupted access to adjacent properties. Coordinate work with the property owners before beginning any construction in the vicinity of the drive.

Mailbox manipulation made necessary because of construction shall be done in accordance with Item 560, except that this work will not be paid for directly, but will be considered subsidiary to the permanent installation pay item. For temporary mailbox supports, use type 6 as shown on the MB-21(1) standards.

Cut neat, straight lines with vertical faces along pavement edges or along joints between existing asphalt or concrete pavement and new pavement perpendicular or parallel to the direction of traffic by methods described in applicable bid items, or as directed. Provide clean edges or joints

**Control:** 0033-05-089, ETC

**County:** Jones, ETC

**Highway:** US 83, ETC

without jagged appearance or chunks broken out. This work is considered subsidiary to various bid items.

## **Environmental**

### **Endangered and Protected Species Migratory Birds**

- a. **Bird nesting season is typically 15Feb through 15Sep annually.**
- b. The Contractor will avoid disturbing, destroying, removing, or relocating migratory birds and active nests found in trees, culverts, bridges, on the ground, or anywhere they are encountered.
- c. Perform all tree trimming and other vegetation clearing activities during the non-breeding season (typically 15Sep-15Feb annually). Perform any inactive nest removal and bird exclusion methods to prevent birds from establishing nests. Phasing of work during construction may be necessary to stay in compliance.
- d. When active nests are unexpectedly encountered on-site during construction, the Contractor will stop work and immediately notify the Engineer. Take measures to avoid disturbance of these birds, their occupied nest, eggs, and/or young, in accordance with the Migratory Bird Treaty Act, Texas Parks and Wildlife Code, and TxDOT policy.
- e. The Engineer will notify the Contractor when work may resume.
- f. The Contractor should be prepared to prevent migratory birds from building nests by utilizing nest prevention methods, such as bird-deterrent netting and bird-repelling sprays and/or gels, between 15Feb and 15Sep. The Contractor can discuss other preventative measures with the Engineer and/or District Environmental Staff.

### **Best Management Practices**

1. Bird BMPs
  - a. Not disturbing, destroying, or removing active nests, including ground nesting birds, during the nesting season.
  - b. Avoiding the removal of unoccupied, inactive nests, as practicable.
  - c. Preventing the establishment of active nests during the nesting season on TxDOT owned and operated facilities and structures proposed for replacement or repair.
  - d. Not collecting, capturing, relocating, or transporting birds, eggs, young, or active nests without a permit.

### **Item 5, "Control of Work"**

Use Method C for construction surveying.

All known utilities are identified in the plans, including the crossing of power lines. Use this information to identify potential issues with power poles and power lines prior to bidding.

Make necessary arrangements with utility owners regarding temporary protections such as bracing power poles, and de-energizing power lines. The Department will not reimburse the cost

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of such temporary protections to the Contractor, unless the Engineer determines that inadequate information was available at the time the project was bid. **“Call Before You Dig” “Call 811”**

Provide notification to the District Traffic Engineering Section by telephone at 325-676-6991 and by email at [ABL\\_TrafficFix@txdot.gov](mailto:ABL_TrafficFix@txdot.gov) when planning drilling or excavation work in areas where existing TxDOT underground utilities exist. Visual evidence of TxDOT underground utilities in the area include illumination poles, ground boxes, flashing beacons, traffic signals, etc. This notification must be provided 72 hours in advance of performing the work.

Drilled shaft locations or excavation areas must be staked prior to the notification so that the underground utilities can be located in relationship to the proposed work. Preserve and document the marked utility locations to prevent unnecessary secondary notifications. Notify the Engineer of conflicts between proposed work and underground utilities.

Repair damaged TxDOT utilities in accordance with current electrical regulations, or as directed by the Engineer when damage is due to failure to provide notification to the District Signal Shop or failure to notify the Engineer of conflicts between proposed work and underground utilities. Repair work for these reasons will be performed at the Contractor's expense unless documentation is available to demonstrate otherwise as determined by the Engineer.

Obtain approval from the Engineer of staked locations for illumination foundations, pull boxes, and power source prior to construction.

“When a precast or cast-in-place concrete element is included in the plans, a precast concrete alternative may be submitted in accordance with “Standard Operating Procedure for Alternate Precast Proposal Submission” found online at

[Alternate Precast Proposal Submission \(txdot.gov\)](#)

Acceptance or denial of an alternate is at the sole discretion of the Engineer. Impacts to the project schedule and any additional costs resulting from the use of alternates are the sole responsibility of the Contractor.”

### **Item 6, “Control of Materials”**

To comply with the latest provisions of Build America, Buy America Act (BABA Act) of the Bipartisan Infrastructure Law, the contractor must submit a notarized original of the TxDOT Construction Material Buy America Certification Form for all items classified as construction materials. This form is not required for materials classified as a manufactured product.

Refer to the Buy America Material Classification Sheet for clarification on material categorization.

The Buy America Material Classification Sheet is located at the below link.

<https://www.txdot.gov/business/resources/materials/buy-america-material-classification-sheet.html> for clarification on material categorization.

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**Item 7, “Legal Relations and Responsibilities”**

Do not initiate activities in a project specific location (PSL), associated with a U.S. Army Corps of Engineers (USACE) permit area that has not been previously evaluated by the USACE as part of the permit review of this project. Such activities include, but are not limited to, haul roads, equipment staging areas, borrow and disposal sites. Associated defined here means materials are delivered to or from the PSL. The permit area includes all waters of the U.S. or associated wetlands affected by activities associated with this project. Special restrictions may be required for such work. Be responsible for any and all consultations with the USACE regarding activities, including project specific locations (PSLs) that have not been previously evaluated by the USACE. Provide the department with a copy of all consultation(s) or approval(s) from the USACE prior to initiating activities.

The contractor may proceed with activities in PSLs that do not affect a USACE permit area if a self determination has been made that the PSL is non-jurisdictional or proper USACE clearances have been obtained in jurisdictional areas or have been previously evaluated by the USACE as part of the permit review of this project. The contractor is solely responsible for documenting any determination(s) that their activities do not affect a USACE permit area. Maintain copies of their determination(s) for review by the department or any regulatory agency.

Document and coordinate with the USACE, if required, prior to any excavation hauled from or embankment hauled into a USACE permit area by either (1) or (2) below.

**(1) Restricted Use of Materials for the Previously Evaluated Permit Areas.**

Document both the project specific location (PSL) and their authorization. Maintain copies for review by the department or any regulatory agency. When an area within the project limits has been evaluated by the USACE as part of the permit process for this project:

- a. Suitable excavation of required material in the areas shown on the plans and cross sections as specified in Item 110 is used for permanent or temporary fill (Item 132, Embankment) within a USACE permit area;
- b. Suitable embankment (Item 132) from within the USACE permit area is used as fill within a USACE evaluated area; and,
- c. Unsuitable excavation or excess excavation [“Waste”] (Item 110) that is disposed of at a location approved by the Engineer within a USACE evaluated area.

**(2) Contractor Materials from Areas Other than Previously Evaluated Areas.**

Provide the department with a copy of all USACE coordination or approval(s) prior to initiating any activities for an area within the project limits that has not been evaluated by the USACE or for any off right of way locations used for the following, but not limited to, haul roads, equipment staging areas, borrow and disposal sites:

- a. Item 132, Embankment, used for temporary or permanent fill within a USACE permit area; and,
- b. Unsuitable excavation or excess excavation [“Waste”] (Item 110, Excavation) that is disposed of outside a USACE evaluated area.



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The total area disturbed for this project is **36.25** acres. The disturbed area in this project, all project locations in the Contract, and the Contractor project specific locations (PSLs), within 1 mile of the project limits, for the Contract will further establish the authorization requirements for storm water discharges. The Department will obtain an authorization to discharge storm water from the Texas Commission on Environmental Quality (TCEQ) for the construction activities shown on the plans. The Contractor is to obtain required authorization from the TCEQ for Contractor PSLs for construction support activities on or off the ROW. When the total area disturbed in the Contract and PSLs within 1 mile of the project limits exceeds 5 acres, provide a copy of the Contractor NOI for PSLs on the ROW to the Engineer and to the government that operates a separate storm sewer system.

Provide one SW3P Notification Board for this project. Notification Boards are to be placed at locations within the right-of-way but outside the clear zone as directed by the Engineer. Consider this work to be subsidiary to the various bid items of the contract.

The Contractor's attention is directed to the Texas Aggregate Quarry Pit Safety Act. Any pit or quarry meeting the definition of an unacceptable unsafe location as defined in the Act is subject to regulations set forth in this Act. A copy of the Texas Administrative Code, Title 43, Part, 1, Chapter 21, Subchapter M may be viewed at

[https://texreg.sos.state.tx.us/public/readtac\\$ext.ViewTAC?tac\\_view=5&ti=43&pt=1&ch=21&sc h=M&rl=Y](https://texreg.sos.state.tx.us/public/readtac$ext.ViewTAC?tac_view=5&ti=43&pt=1&ch=21&sc h=M&rl=Y)

Roadway closures during the following key dates and/or special events are prohibited.

Outlaws & Legends Music Fest

**Hard hats are required at all times during construction when construction personnel are in TxDOT Right-of-Way.**

Patrol vehicles must be clearly marked to correspond with the officer's agency and equipped with appropriate lights to identify them as law enforcement. For patrol vehicles not owned by a law enforcement agency, markings will be retroreflective and legible from 100 ft. from both sides and the rear of the vehicle. Lights will be high intensity and visible from all angles.

**LIGHTING STANDARDS FOR HIGHWAY MAINTENANCE OR CONSTRUCTION VEHICLES AND SERVICE VEHICLES**

VEHICLE LIGHTING SUMMARY

<b>Vehicle</b>	<b>Color of Flashing Lights</b>	<b>Transportation Code</b>
Police Vehicles	Red/Blue/White/Amber	547.305 & 547.702
Fire/EMS Vehicles	Red/Blue/White/Amber	547.305 & 547.702
Volunteer Fire/EMS	Red/Blue/White/Amber	547.305 & 547.702
School Bus Red/White (rooftop)	Red/Blue/White/Amber	547.305 & 547.701

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Highway Maintenance or Construction Vehicles and Service Vehicles	Amber/Blue	547.105 & TxDOT
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**Item 8 “Prosecution and Progress”**

Each contract awarded by the Department stands on its own and as such, is separate from other contracts. A Contractor awarded multiple contracts must be capable and sufficiently staffed to concurrently process and/or execute all contracts at the same time.

Coordinate and update the work schedule with the project inspector daily. Give a minimum of 24 hours of notice to project inspector if work requiring inspection or testing is to be performed. Failure to do so may cause that work to be delayed or postponed if TxDOT personnel are not available. Work performed without suitable inspection, as determined by the Engineer, may be ordered removed and replaced at Contractor’s expense.

This project includes a delayed start provision of 60 days for Contractor Mobilization.

Prepare the progress schedule as a Critical Path Method (CPM).

Additional Project Specific Liquidated Damages of \$1,000 per working day apply to this project and will be added in Table 1 of SP 000-1243 to determine Dollar Amount of Daily Contract Administration Liquidated Damages per Working Day.

In addition, per Special Provision 008-006, the contractor will be awarded an incentive as outlined below for each day of early completion for each Milestone. Further, the contractor will be assessed disincentive as shown below for each day in excess of the Milestone duration.

**Milestone 1**

The time charges for the Milestone 1 will begin when northbound US 83 to FM 3034 traffic is detoured to frontage road to construct Exit Ramp PR200R Phase 2A and will cease when Exit Ramp PR200R is open to traffic.

Complete Milestone 1 in 39 working days.

The road-user cost (RUC) liquidated damages for Milestone 1 is \$1,000 per day.

For each day Milestone 1 is completed early an incentive credit of \$1,000 per day for a maximum credit of up to 10 days (10,000 maximum) incentive credit. Saturdays and Sundays are **not** included in the incentive calculation.

If it takes longer than 39 working days to complete Milestone 1 then the road-user cost (RUC) liquidated damages of \$1,000 per day will be assessed each day as liquidated damages until milestone 1 is complete with no maximum limits on damages.

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### **Milestone 2**

The time charges for Milestone 2 begin when US 83 northbound and southbound traffic is shifted to proposed east and west frontage roads Phase 3A and will cease when new FM 3034 intersection constructed Phase 3A is open to traffic.

Complete Milestone 2 in 38 working days.

The road-user cost (RUC) liquidated damages for Milestone 2 is \$1,000 per day.

For each day Milestone 2 is completed early an incentive credit of \$1,000 per day for maximum credit of up to 10 days (\$10,000 maximum) incentive credit. Saturdays and Sundays are **not** included in the incentive calculation.

If it takes longer than 38 working days to complete Milestone 2 then the road-user cost (RUC) liquidated damages of \$1,000 per day will be assessed each day as liquidated damages until Milestone 2 is complete with no maximum limit on damages.

### **Item 9, “Measurement and Payment”**

The progress payment period shall end on the 25<sup>th</sup> of each month, unless directed by the Area Office Engineer. Material on Hand (MOH) is due two business days before estimate cut off.

**Item 100, “Preparing Right of Way”** The Contractor's attention is directed to potential regulations against burning within the project limits. Abide by all local ordinances and county imposed burn bans. When burning is prohibited, dispose of material in accordance with regulations set forth by other regulatory agencies including the Texas Commission for Environmental Quality. The cost of burning or disposal of any product is subsidiary to various bid items.

### **Item 105, “Removing Stabilized Base and Asphalt Pavement”**

Material removed under this item becomes property of the contractor and shall be removed from the project.

### **Item 164, “Seeding for Erosion Control”**

Quantities shown are approximate; limits of the temporary and permanent seeding will be determined during construction.

Temporary seeding will be required in several small areas as work progresses to comply with the storm water pollution prevention plan and may require multiple mobilizations of seeding crew.

### **Item 168, “Vegetative Watering”**

Water rate for this project shall be ¼” of water per acre every two weeks for a 3-month period.

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**Item 204, “Sprinkling for Dust Control”**

Sprinkle for dust control as directed. Payment for this item will be subsidiary to the various bid items.

**Item 351, “Flexible Pavement Structure Repair”**

The quantity shown in the plans for pavement structure repair is estimated. The Engineer will determine specific locations to be repaired. Unless otherwise shown in the plans, multiple locations throughout the project will be repaired, and may vary significantly in length and width.

**Item 354, “Planing and Texturing Pavement”**

Stockpile all unused planed materials at west side of US83/US277 on the south side of Mulberry Creek approximately 3.1 miles from north end of project

RAP from project may be used in project hotmix upon Engineers approval.

Build stockpiles in horizontal layers with a maximum height of 10 feet, as directed. Minimize driving on the stockpile to prevent excessive compaction.

**Item 416, “Drilled Shaft Foundations”**

Place riprap around the illumination foundation as shown on Standard Sheet RID (2)-20.

Riprap will be paid for under Item 432.

All soil, water, and slurry removed from drilled shafts shall be captured and disposed of properly. No discharge of these materials into, or in close proximity to, the surrounding water will be allowed.

**Item 420, “Concrete Substructures”**

In addition to the elements shown in Table 1, the following elements are Plans Quantity Elements.

- Interior Bent Column Concrete
- Interior Bent Cap Concrete

**Item 420, 427, “Concrete Substructures” & “Surface Finishes for Concrete”**

Provide a Surface Area 1 finish using an Adhesive Grout Coating or Rub Finish as directed.

Precast SET’s are not allowed on skewed structures on this project.

**Item 421, “Hydraulic Cement Concrete”**

Use a cement meeting the requirements of Ty II when Mix Design Option 7 is selected for cast in place concrete.

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Class C fly ash and Type I cement will not be allowed for any mix unless approved by the Engineer.

As a minimum, curing facility includes concrete curing tank, heater and a concrete recording thermometer. Provide a recorder with the capability to chart temperatures for 24 hours, 7 days and 30 day periods of time.

Air Entrainment requirements are waived with exception to bridge deck concrete, and rails, top slabs of direct traffic culverts and approach slabs. Air Entrainment is required for all slip formed concrete (bridge rail, concrete traffic barrier, pavement, etc.).

**Item 422, “Concrete Superstructures”**

Provide either a carpet drag or broom finish for micro-texture to deck and approach slabs.

**Item 423, “Retaining Wall**

Use the approved Mechanically Stabilized Earth (MSE) wall systems listed at:

<https://www.txdot.gov/business/resources/highway/bridge/approved-systems/mechanically-stabilized-earth.html>

**Item 432, “Riprap”**

Provide tooled contraction joints at a maximum spacing of 25 feet and ½” fiber board every 150 feet when constructing cable median barrier mow strips. The depth for tooled joints shall be sufficient to ensure cracking at the joints. The depth for fiber board joints shall be the full depth of the mow strip.

Provide structural fiber reinforced or conventionally reinforced concrete for formed M.B.G.F. concrete mow strip.

Meet the following requirements when using structural fiber reinforcement:

- If slip forming, use an approved method that ensures adequate concrete consolidation. Sprinkle and consolidate the subgrade before the concrete is placed. Finish the surface with a wood float or broom finish as approved. Immediately after finishing operation, cure the riprap according to Item 420, “Concrete Structures”.

**Item 440, “Reinforcement for Concrete”**

Provide epoxy coated reinforcement for all reinforcement in abutment caps, wingwalls, and backwalls (drilled shaft reinforcement excluded); interior bent caps (column and drilled shaft reinforcement excluded); cast-in-place portions of bridge deck (PCP reinforcement and bridge girder reinforcement excluded); bridge railing; and approach slab.

**Item 502, “Barricades, Signs and Traffic Handling”**

Provide the Engineer with written notification seven (7) days in advance of major traffic changes. A major traffic change is defined as the temporary (greater than one day) or permanent relocation of traffic lanes typically in an urban setting. The notice will, at a minimum, include

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the expected date, time and scope of the traffic change. The Department will utilize the information provided to inform the traveling public of the changes. Failure to provide advance notice, or to provide accurate information, will result in delaying the work until such time that the public has been notified.

Additional signs, barricades and traffic handling may be necessary to complete the work shown herein and will be provided by the contractor as required and will be considered subsidiary to this item.

Provide separate attenuators for each work area within a common lane closure as approved or directed by the Engineer.

In sections where traffic is restricted to one lane, two-way traffic, flaggers will be stationed at each end of that section with two-way communication devices and a pilot car will control operations.

Pilot car is subsidiary to Item 502.

Relocate existing roadside signs to temporary supports as approved by the engineer.

All safety appurtenances such as signs, delineators, object markers and route markers will be in place prior to opening each phase of the construction to traffic, unless otherwise directed.

During construction on all underpass structures erect and maintain accurate clearance signs in accordance with the "Texas Manual on Uniform Traffic Control Device for Streets and Highways". The mounting method for the temporary clearance sign is subject to approval of the Engineer. Temporary clearance signs are considered subsidiary to the various bid items.

Movement of construction equipment and haul trucks will be prohibited from crossing the median unless specifically authorized by the Engineer. Ingress and egress to main lanes will be at entrance and exit ramps.

The Contractor Force Account "Safety Contingency" that has been established for this project is intended to be utilized for work zone enhancements, to improve the effectiveness of the Traffic Control Plan, that could not be foreseen in the project planning and design stage. These enhancements will be mutually agreed upon by the Engineer and the Contractor's Responsible Person based on weekly or more frequent traffic management reviews on the project. The Engineer may choose to use existing bid items if it does not slow the implementation of enhancement.

The Contractor's person responsible for TCP compliance must be available by local telephone and have a response time within 45 minutes.

Work will not be allowed on both sides of the roadbed at the same time.

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Equip all work vehicles within 30 feet of the traveled way with a functioning amber strobe light or rotating beacon visible from all directions.

Repair barricades within the timeline shown on the barricade inspection report. Failure to comply will cease all work until barricades are repaired to the satisfaction of the Department.

Replace all damaged traffic control devices immediately. Remove any damaged traffic control devices from the project within 24 hours.

Conflicting guide signs shall be covered as approved by the Engineer. This work shall be subsidiary to Item 502.

Reduced regulatory speed limit signs should only be posted in the vicinity of ongoing work activity as shown on BC (3)-21 and not throughout the entire project. Removing, relocating or covering speed limit signs shall be considered subsidiary to item 502.

#### **Item 504, “Field Office for Laboratory”**

##### **Field Laboratory:**

Furnish a “Type D” structure for the asphalt mix control laboratory for the Engineer’s exclusive use. In addition to the requirements of Item 504, furniture and equipment to be furnished by the Contractor shall include:

- eye wash station
- first-aid kit
- two fire extinguishers
- Provide internet connectivity for use by TxDOT lab testing personnel at all laboratory structures on this project.

#### **Item 508, “Detours”**

Upon removal of the detour, shape the area to match adjacent areas and sections as directed.

#### **Item 512, “Portable Concrete Traffic Barrier**

The contractor shall furnish new low-profile concrete traffic barrier (LPCB) sections using LPCB-13 standard. Upon completion, all LPCB section will be delivered to TxDOT and stockpiled at East ROW of Loop 322 just north of BUS 20 approximately 7.0 miles from the project limits.

The state will furnish the portable concrete traffic barrier (PCTB) sections stockpiled at East ROW of Loop 322 just north of BUS 20 approximately 7.0 miles from the project limits. All PCTB sections will be hauled by the Contractor to the project site. Upon completion, all PCTB sections will be returned to their original location. Make arrangements at the storage sites for the loading and unloading of the PCTB.

Quick-Bolt (SSC-B) joint installation shall be used.

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**Item 514, “Permanent Concrete Traffic Barrier”**

Use Class “C” concrete with air entrainment for Permanent Concrete Traffic Barrier.

**Item 530, “Intersections, Driveways, and Turnouts”**

Excavation and embankment necessary to construct the intersections and driveways according to the details shown elsewhere shall be considered subsidiary to this item.

**Item 533, “Milled Rumble Strips”**

The milled rumble strips should be placed on shoulder according to RS(1-4)-23 standards and the shoulder widths as shown below.

- Shoulder width of 2 feet or less the rumble strip will begin on the edge line as shown in the standards.
- Shoulder width of greater than 2 feet or less than 9 feet the rumble strip will be centered on the shoulder.
- Shoulder width of equal or greater than 9 feet the rumble strip will be 3 feet from the edge line.

Guidance markings are considered subsidiary to this item.

**Item 540, “Metal Beam Guard Fence”**

Steel posts for metal beam guard fence may be field cut to proper rail height with a power saw when approved by the engineer.

Core drill 1 ¼ diameter holes through existing slab. Percussion or impact drilling is not permitted. Patch spalls, when directed by the engineer, in accordance with item 429, “Concrete Structure Repair”, at the contractor’s expense.

**Item 542, “Removing Metal Beam Guard Fence”**

Metal beam guard fence and posts shall be disposed of by the Contractor.

**Item 585, “Ride Quality for Pavement Surfaces”**

The Engineer reserves the right to prohibit corrective work and assess the penalty for each occurrence of localized roughness per Article 585.3.4.2.3.2.

Use pay adjustment schedule 1 (one) for Ride Quality bonus/penalty calculation on new pavement. On pavement with just overlay use schedule 3 (three) for Ride Quality bonus/penalty calculations on this project.

CSJ: 0033-05-089	Ride Schedule 1
CSJ: 0033-06-121	Ride Schedule 1
CSJ: 3068-01-012	Ride Schedule 1
CSJ: 3068-01-015	Ride Schedule 3



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**Item 610, “Roadway Illumination Assemblies”**

Ballast for luminaries shall be rated 480 volts.

The Contractor is responsible for fixture testing costs; see Materials and Test Division test method TEX-1110.

Contractor should refer to the Texas Department of Transportation’s Highway Illumination Manual, January 2018, Chapter 6, and Section 7 for additional information on lateral placement of illumination foundations as described in note 6 on RID (2)-20.

<http://onlinemanuals.txdot.gov/txdotmanuals/hwi/index.htm>

Fabricate steel roadway illumination poles in accordance with TxDOT standard RIP-19. Poles fabricated according to RIP-19 require no shop drawings.

Alternate designs to RIP-19 or the use of aluminum to fabricate poles will require the submission of shop drawings electronically.

For instructions on submitting shop drawings electronically go to TxDOT home page, Business with TxDOT, Bridge information, Shop drawings. File is titled: Guide to Electronic Shop Drawing Submittal

Place riprap around the illumination foundation as shown on Standard Sheet RID (2)-20. Riprap will be paid for under item 432.

**Item 618, “Conduit”**

All conduit shall be SCH 80 PVC.

High density polyethylene (HDPE) may be substituted for all proposed conduit.

High density polyethylene (HDPE) may be threaded and used with threaded PVC connectors or couplings.

Conduit elbows will be the long radius variety.

Rigid metal conduit elbows 1” and larger that are required to be installed on conduit system, will not be paid for separately, but will be considered subsidiary to the various bid items.

All couplings and connections shall be tight and waterproof. Each end of every PVC pipe connection and/or coupling shall be cleaned with PVC cleaner and glued thoroughly with PVC sealer. Proposed and existing conduit shall be brought into a pull box and elbowed unless otherwise shown. Where a rigid metal conduit run terminates, a bushing shall be provided to protect the wire from abrasion.

The conduit shall be placed at a minimum depth of 2 feet unless otherwise shown on the plans or directed by the Engineer. If utility lines or other obstacles are at the 2-foot minimum depth then

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the conduit shall be routed under the utility or obstacle unless otherwise approved by the Engineer.

The conduit shall be placed on a 2-inch sand cushion and then backfilled with a minimum of 6 inches of sand fill. The remainder of the trench shall be backfilled with flexible base or soil as required by location of conduit on the project.

Use materials from prequalified material producers list as shown on the Texas department of Transportation (TxDOT) – Construction Division’s (CST) material producer list. Category is “Roadway Illumination and Electrical Supplies”.

**Item 620, “Electrical Conductors”**

A bare copper wire No. 8 AWG or larger will be installed in every conduit throughout the electrical system in accordance with Item 620, the electrical detail sheets, and the latest edition of the National Electric Code (NEC).

Grounding Conductors that share the same conduit, junction box, ground box or structure shall be bonded together at every accessible point in accordance with the current National Electrical Code.

Labeling conductors with label marker is acceptable.

Use ONLY certified persons to perform electrical work. See Item 7.18 “Electrical Requirements” for additional details.

For both transformer and shoe- base type illumination poles, provide double-pole breakaway fuse holder as shown on the Texas department of Transportation (TxDOT) – Construction Division’s (CST) material producer list. Category is “Roadway Illumination and Electrical Supplies”. Fuse holder is shown on the list under Items 610 and 620. Provide 10-amp time delay fuses.

Use breakaway connectors listed on materials from pre-qualified material producers list.

**Item 628, “Electrical Service”**

Coordinate through the inspector or Area Engineer to coordinate new electrical services with the District Traffic Engineering Section by telephone at 325-676-6991 and by email at [ABL-ElectricalServiceRequest@txdot.gov](mailto:ABL-ElectricalServiceRequest@txdot.gov) to insure the meter is installed under the proper account name.

Provide at least 30 days prior notification for new electrical services to be energized. Provide at least 30 days prior notification for existing electrical service disconnects.

Any service installed by others shall comply with all TxDOT Standards from weather head to fixtures.

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Photocell enclosed in pedestal services shall be orientated in a northerly direction unless otherwise directed.

**Item 636 “Signs”**

Process for getting bridge height for signs.

Contractor will measure bridge height according to the TxDot Sign Guidelines and Applications Manual (Chap. 6 Sec. 2) and the Engineer or his representative will verify this information for sign manufacturing.

**Item 644, “Small Roadside Sign Supports and Assemblies”**

Use the latest edition of the “Standard Highway Sign Designs for Texas” for Sign types for which design details are not shown on the plans.

Sign placement shall be in accordance with the latest edition of the TMUTCD & TxDOT’s Sign Crew Field Book located at the following addresses.

TMUTCD - <https://www.txdot.gov/business/resources/signage/tmutcd.html>

TxDOT’s Sign Crew Field Book - <http://onlinemanuals.txdot.gov/txdotmanuals/sfb/index.htm>

Before final sign installation, stake all sign locations for approval by the engineer.

All triangle slip base small sign mounts installed under this item shall utilize clamp type bases.

Remove entire small sign foundation.

**Item 647, “Large Roadside Sign Supports and Assemblies”**

Structural supports for large roadside signs shall be designed using 90 MPH wind velocities.

**Item 656, “Foundations for Traffic Control Devices”**

Drilled shaft foundations for electrical use shall be grounded using a grounding rod.

**Item 658, “Delineator and Object Marker Assemblies”**

Delineators and object marker assemblies will use winged channel posts. The winged channel posts will be 1.12 lb/ft and 6.5 ft in length.

All MGBF delineation shall be equivalent to Shure-tite GF2 (BRF) mounted on posts.

Use a minimum 2 inch long lag screws with washers to attach flexible GF2 barrier reflectors to wooden post. For steel posts, use an approved adhesive, or other method approved by Engineer.

Concrete Barrier Reflectors shall be equivalent to Shure-tite CTB “Cup Mount” Delineator (8”). Attach delineators to concrete rail with concrete anchors as approved by the Engineer.

**Item 662, “Work Zone Pavement Markings”**

Dispose of tabs and paper in an approved trash receptacle. (Reference Standard SW3P, waste material)

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**Item 666, “Retro reflectorized Pavement Markings”**

All longitudinal pavement markings (including profile pavement markings) must meet minimum retro reflectivity requirements.

Establish a true and correct alignment with a method approved by the Engineer. This work will be considered subsidiary.

Contractor is responsible for re-establishing location and alignment for new pavement markings matching pavement marking alignment prior to construction activities. This work will be considered subsidiary.

**Item 672, “Raised Pavement Markers”**

Provide a complete system of raised pavement markers at locations indicated on the plans and as directed by the engineer. The plans are intended to show typical conditions, which can be extended to similar conditions throughout this project as approved or directed.

Bituminous adhesive shall be used on this project.

**Item 677, “Eliminating Existing Pavement Markings and Markers”**

Remove the existing raised pavement markings (RPMs) and profile pavement markings as the work progresses, or as directed by the Engineer. Removal methods shall be approved by the Engineer. Properly dispose of materials removed. Removal of existing profile pavement markings will be paid for directly. Removal of RPMs will not be paid for directly but will be subsidiary to the pertinent bid items.

**Item 3076, “Dense-Graded Hot-Mix Asphalt”**

The Engineer reserves the right to test all sources even if the source is listed in the Bituminous Source Rated Quality Catalog.

Provide the testing lab samples to calibrate the ignition oven no later than five (5) working days prior to mix design verification.

Paving operations will not be allowed to begin until TxDOT has tested and obtained passing Hamburg results on the trial batch.

A maximum of 0.50% anti-stripping agent will be allowed for each specified mix type.

Dilution of tack coat is not allowed.

Do not exceed a laydown width of 16’ per pass.

Substitute Binders will not be allowed unless RAP is used in the production of the mixture. RAS will not be allowed in surface mixes.

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**Highway:** US 83, ETC

A warm mix additive will be required for hotmix hauls over 50 miles.

Unless otherwise directed by the engineer, a warm mix additive will be required when paving during November 1<sup>st</sup> through March 15<sup>th</sup>.

The use of a tapered longitudinal joint will be required for pavement thicker than 2 inches.

Provide PG 64-22 tack coat at a rate of 0.10 gal/sy.

The Contractor will be required to tack 100% of the surfaces with uniform coverage prior to the subsequent lift. The type and grade of tack will be approved by the Engineer prior to use.

Tack all vertical joints unless otherwise directed.

**Item 3077, "Superpave Mixtures"**

Furnish aggregate for final US 83 mainlane surfaces with a surface aggregate classification of "A". Furnish aggregate for all other final surfaces with a surface aggregate classification of "B".

The Engineer reserves the right to test all sources even if the source is listed in the Bituminous Source Rated Quality Catalog.

Provide the testing lab samples to calibrate the ignition oven no later than five (5) working days prior to mix design verification.

Paving operations will not be allowed to begin until TxDOT has tested and obtained passing Hamburg results on the trial batch.

A maximum of 0.50% anti-stripping agent will be allowed for each specified mix type.

Dilution of tack coat is not allowed.

Do not exceed a laydown width of 16' per pass.

Substitute Binders will not be allowed unless RAP or RAS is used in the production of the mixture.

A maximum of 10% fractionated RAP will be allowed in surface mixes.

RAS will not be allowed in surface mixes.

A warm mix additive will be required for hotmix hauls over 50 miles.

Unless otherwise directed by the engineer, a warm mix additive will be required when paving during November 1<sup>st</sup> through March 15<sup>th</sup>.

**Control:** 0033-05-089, ETC

**County:** Jones, ETC

**Highway:** US 83, ETC

The maximum allowable dust / asphalt ratio that will be allowed is 0.6 to 1.2.

The use of a tapered longitudinal joint will be required for pavement thicker than 2 inches.

Use a self-propelled, wheel-mounted material transfer vehicle (MTV) capable of receiving hot mix from the haul trucks separate from the paver on this project. Minimum requirements for the MTV are a storage capacity of approximately 25 tons, a pivoting discharge conveyor, and a means of completely remixing the ACP prior to placement.

Provide PG 64-22 tack coat at a rate of 0.10 gal/sy.

The Contractor will be required to tack 100% of the surfaces with uniform coverage prior to the subsequent lift. The type and grade of tack will be approved by the Engineer prior to use.

Tack all vertical joints unless otherwise directed.

Cement and kiln dust will not be allowed to be used as mineral fillers.

Shoulders shall not be placed prior to adjoining main lanes.

Final surface of driveway shall not be placed prior to adjoining surface.

**Item 6185, "Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)"**

Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA) will not be considered a major item of work on this project.

TMA,s will only be paid while workers are present or to protect a blunt object.

The contractor will be responsible for determining if one or more of these operations will be ongoing at the same time to determine the total number of TMAs needed for the project. The Contractor must get approval from the Engineer for any changes in the number of TMA as shown in the plans.

If a TMA is used for both mobile and stationary traffic control on the same day, it will be paid for as stationary for that day.

**Control:** 0033-05-089, ETC

**County:** Jones, ETC

**Highway:** US 83, ETC

**Requirements for CSJ's 0033-05-089, 0033-06-121, 3068-01-012**

BASIS OF ESTIMATE FOR STATIONARY TMAs				
		TMA (Stationary)		
Phase	Standard	Required	Additional	TOTAL
1A	TCP(2-1)-18	1	0	1
2	TCP(2-1)-18	1	0	1
2	TCP(2-6)-18	1	0	1
3A	TCP(2-1)-18	1	0	1
3B	TCP(2-1)-18	1	0	1

Basis of Estimate for Mobile TMAs				
		TMA (Mobile)		
Phase	Standard	Required	Additional	TOTAL
1B	TCP(3-2)-13	2	0	2
1B	TCP(3-2)-13	2	0	2
1C	TCP(3-3)-14	2	0	2
2	TCP(3-2)-13	2	0	2
3A	TCP(3-2)-13	2	0	2
3A	TCP(3-3)-14	2	0	2
3B	TCP(3-2)-13	2	0	2

**Requirements for CSJ 3068-01-015**

BASIS OF ESTIMATE FOR STATIONARY TMAs				
		TMA (Stationary)		
Phase	Standard	Required	Additional	TOTAL
1	TCP(1-2)-18	1	0	1
2	TCP(1-2)-18	1	0	1
3	TCP(1-2)-18	1	0	1
3 & 4	TCP(1-1)-18	1	0	1

Basis of Estimate for Mobile TMAs				
		TMA (Mobile)		
Phase	Standard	Required	Additional	TOTAL
4	TCP(3-1)-13	2	0	2
4	TCP(3-3)-14	2	0	2

CONTROL : 0033-05-089, ETC  
PROJECT : F 2B24(277), ETC  
HIGHWAY : US 83, ETC  
COUNTY : JONES, ETC

TEXAS DEPARTMENT OF TRANSPORTATION

**GOVERNING SPECIFICATIONS AND SPECIAL PROVISIONS**

ALL SPECIFICATIONS AND SPECIAL PROVISIONS APPLICABLE TO THIS PROJECT ARE IDENTIFIED AS FOLLOWS:

STANDARD SPECIFICATIONS: ADOPTED BY THE TEXAS DEPARTMENT OF  
----- TRANSPORTATION NOVEMBER 1, 2014.  
STANDARD SPECIFICATIONS ARE INCORPORATED  
INTO THE CONTRACT BY REFERENCE.

ITEMS 1 TO 9 INCL., GENERAL REQUIREMENTS AND COVENANTS  
ITEM 100 PREPARING RIGHT OF WAY (103)  
ITEM 104 REMOVING CONCRETE  
ITEM 105 REMOVING TREATED AND UNTREATED BASE AND ASPHALT PAVEMENT  
ITEM 106 OBLITERATING ABANDONED ROAD  
ITEM 110 EXCAVATION (132)  
ITEM 132 EMBANKMENT (100)(160)(204)(210)(216)(260)(400)  
ITEM 164 SEEDING FOR EROSION CONTROL (162)(166)(168)  
ITEM 166 FERTILIZER (520)  
ITEM 168 VEGETATIVE WATERING  
ITEM 247 FLEXIBLE BASE (105)(204)(210)(216)(520)  
ITEM 251 REWORKING BASE COURSES (204)(210)(216)(247)(520)  
ITEM 260 LIME TREATMENT (ROAD-MIXED) (105)(132)(204)(210)(216)  
(247)(300)(310)(520)<3096>  
ITEM 310 PRIME COAT (300)(316)<3096>  
ITEM 316 SEAL COAT (210)(300)(302)(340)(520)<3096>  
ITEM 351 FLEXIBLE PAVEMENT STRUCTURE REPAIR (132)(204)(247)(260)  
(263)(275)(276)(292)(310)(316)(330)(334)(340)<341><3076>  
ITEM 354 PLANING AND TEXTURING PAVEMENT  
ITEM 360 CONCRETE PAVEMENT (421)(422)(438)(440)(529)(585)  
ITEM 400 EXCAVATION AND BACKFILL FOR STRUCTURES (110)(132)(401)  
(402)(403)(416)(420)(421)(423)  
ITEM 401 FLOWABLE BACKFILL (421)  
ITEM 402 TRENCH EXCAVATION PROTECTION  
ITEM 403 TEMPORARY SPECIAL SHORING (410)(411)(423)  
ITEM 416 DRILLED SHAFT FOUNDATIONS (405)(420)(421)(423)(440)(448)  
ITEM 420 CONCRETE SUBSTRUCTURES (400)(404)(421)(422)(426)(427)  
(440)(441)(448)  
ITEM 422 CONCRETE SUPERSTRUCTURES (420)(421)(424)(438)(440)(448)  
(454)<780>



ITEM 423 RETAINING WALLS (110) (132) (216) (400) (416) (420) (421) (424)  
 (440) (445)  
 ITEM 425 PRECAST PRESTRESSED CONCRETE STRUCTURAL MEMBERS (409)  
 (420) (421) (424) (426) (427) (434) (440) (442) (445)  
 ITEM 427 SURFACE FINISHES FOR CONCRETE (420)  
 ITEM 432 RIPRAP (247) (420) (421) (431) (440)  
 ITEM 450 RAILING (420) (421) (422) (424) (440) (441) (442) (445) (446)  
 (448)  
 ITEM 454 BRIDGE EXPANSION JOINTS (442)  
 ITEM 460 CORRUGATED METAL PIPE (400) (402) (403) (445) (467) (476)  
 ITEM 462 CONCRETE BOX CULVERTS AND DRAINS (400) (402) (403) (420)  
 (421) (422) (424) (440) (464) (476)  
 ITEM 464 REINFORCED CONCRETE PIPE (400) (402) (403) (467) (476)  
 ITEM 465 JUNCTION BOXES, MANHOLES, AND INLETS (400) (420) (421) (424)  
 (440) (471)  
 ITEM 466 HEADWALLS AND WINGWALLS (400) (420) (421) (432) (440) (464)  
 ITEM 467 SAFETY END TREATMENT (400) (420) (421) (432) (440) (442) (445)  
 (460) (464)  
 ITEM 480 CLEANING EXISTING CULVERTS  
 ITEM 496 REMOVING STRUCTURES  
 ITEM 500 MOBILIZATION  
 ITEM 502 BARRICADES, SIGNS, AND TRAFFIC HANDLING  
 ITEM 504 FIELD OFFICE AND LABORATORY  
 ITEM 506 TEMPORARY EROSION, SEDIMENTATION, AND ENVIRONMENTAL  
 CONTROLS (161) (432) (556)  
 ITEM 508 CONSTRUCTING DETOURS  
 ITEM 512 PORTABLE TRAFFIC BARRIER (420) (421) (424) (440) (442)  
 ITEM 514 PERMANENT CONCRETE TRAFFIC BARRIER (400) (416) (420) (421)  
 (424) (440) (442) (448)  
 ITEM 530 INTERSECTIONS, DRIVEWAYS, AND TURNOUTS (247) (260) (263)  
 (275) (276) (292) (316) (330) (334) (340) <341> (360) (421) (440)  
 <3076>  
 ITEM 533 MILLED RUMBLE STRIPS  
 ITEM 540 METAL BEAM GUARD FENCE (421) (441) (445) <492> (529)  
 ITEM 542 REMOVING METAL BEAM GUARD FENCE  
 ITEM 544 GUARDRAIL END TREATMENTS  
 ITEM 545 CRASH CUSHION ATTENUATORS (421)  
 ITEM 560 MAILBOX ASSEMBLIES  
 ITEM 610 ROADWAY ILLUMINATION ASSEMBLIES (416) (421) (432) (441) (442)  
 (445) (449) (614) (616) (618) (620) (622) (624) (628)  
 ITEM 618 CONDUIT (400) (476)  
 ITEM 620 ELECTRICAL CONDUCTORS (610) (628)  
 ITEM 624 GROUND BOXES (420) (421) (432) (440) (618) (620)  
 ITEM 628 ELECTRICAL SERVICES (441) (445) (449) (618) (620) (627) (656)  
 ITEM 636 SIGNS (643)  
 ITEM 644 SMALL ROADSIDE SIGN ASSEMBLIES (421) (440) (441) (442) (445)  
 (636) (643) (656)  
 ITEM 647 LARGE ROADSIDE SIGN SUPPORTS AND ASSEMBLIES (416) (421)  
 (440) (441) (442) (445) (636)  
 ITEM 650 OVERHEAD SIGN SUPPORTS (416) (420) (421) (441) (442) (445)  
 (449) (618) (636) (654)  
 ITEM 658 DELINEATOR AND OBJECT MARKER ASSEMBLIES (445)  
 ITEM 662 WORK ZONE PAVEMENT MARKINGS (666) (668) (672) (677)  
 ITEM 666 RETROREFLECTORIZED PAVEMENT MARKINGS (316) (502) (662) (677)

(678) <6438>  
 ITEM 668 PREFABRICATED PAVEMENT MARKINGS (678)  
 ITEM 672 RAISED PAVEMENT MARKERS (677) (678)  
 ITEM 677 ELIMINATING EXISTING PAVEMENT MARKINGS AND MARKERS (300)  
 (302) (316) <3096>  
 ITEM 678 PAVEMENT SURFACE PREPARATION FOR MARKINGS (677)  
 ITEM 690 MAINTENANCE OF TRAFFIC SIGNALS (416) (421) (476) (610) (618)  
 (620) (622) (624) (625) (627) (628) (636) (656) (680) (682) (684)  
 (685) (686) (687) (688)

SPECIAL PROVISIONS: SPECIAL PROVISIONS WILL GOVERN AND TAKE  
 ----- PRECEDENCE OVER THE SPECIFICATIONS ENUMERATED  
 HEREON WHEREVER IN CONFLICT THEREWITH.

REQUIRED CONTRACT PROVISIONS, FEDERAL-AID CONSTRUCTION CONTRACTS  
 (FORM FHWA 1273)

WAGE RATES

SPECIAL PROVISION "NONDISCRIMINATION" (000---002)  
 SPECIAL PROVISION "CERTIFICATION OF NONDISCRIMINATION IN EMPLOYMENT"  
 (000---003)  
 SPECIAL PROVISION "NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO  
 ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE  
 ORDER 11246" (000---004)  
 SPECIAL PROVISION "STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY  
 CONSTRUCTION CONTRACT SPECIFICATIONS" (000---005)  
 SPECIAL PROVISION "ON THE JOB TRAINING PROGRAM" (000---006)  
 SPECIAL PROVISION "CERTIFICATE OF INTERESTED PARTIES (FORM 1295)"  
 (000--1019)  
 SPECIAL PROVISION "SCHEDULE OF LIQUIDATED DAMAGES" (000--1243)  
 SPECIAL PROVISION "IMPORTANT NOTICE TO CONTRACTORS" (000--1591)  
 SPECIAL PROVISION "CARGO PREFERENCE ACT REQUIREMENTS IN FEDERAL AID  
 CONTRACTS" (000---241)  
 SPECIAL PROVISION "DISADVANTAGED BUSINESS ENTERPRISE IN FEDERAL AID  
 CONTRACTS" (000---394)  
 SPECIAL PROVISION "IMPORTANT NOTICE TO CONTRACTORS" (000---395)  
 SPECIAL PROVISION "NOTICE OF CONTRACTOR PERFORMANCE EVALUATIONS"  
 (000---659)  
 SPECIAL PROVISIONS TO ITEM 2 (002---009) (002---013) (002---014)  
 (002---015)  
 SPECIAL PROVISIONS TO ITEM 3 (003---011) (003---013)  
 SPECIAL PROVISIONS TO ITEM 5 (005---002) (005---003)  
 SPECIAL PROVISIONS TO ITEM 6 (006---001) (006---012) (006---040)  
 SPECIAL PROVISIONS TO ITEM 7 (007---004) (007---008) (007---010)  
 (007---011) (007---013)  
 SPECIAL PROVISIONS TO ITEM 8 (008---006) (008---030) (008---033)  
 (008---054) (008---055)  
 SPECIAL PROVISIONS TO ITEM 9 (009---010) (009---016)  
 SPECIAL PROVISION TO ITEM 247 (247---005)  
 SPECIAL PROVISION TO ITEM 300 (300---020)  
 SPECIAL PROVISION TO ITEM 302 (302---003)  
 SPECIAL PROVISION TO ITEM 316 (316---002)  
 SPECIAL PROVISION TO ITEM 334 (334---004)



- ITEM 6005 TESTING, TRAINING, DOCUMENTATION, FINAL ACCEPTANCE, AND WARRANTY
- ITEM 6006 ELECTRONIC COMPONENTS
- ITEM 6010 CCTV FIELD EQUIPMENT (6005) (6006)
- ITEM 6027 PREPARATION OF EXISTING CONDUITS, GROUND BOXES, OR MANHOLES (465) (618) (624)
- ITEM 6038 MULTI-POLYMER PAVEMENT MARKINGS (MPM) (677)
- ITEM 6064 INTELLIGENT TRANSPORTATION SYSTEM (ITS) POLE WITH CABINET (416) (421) (440) (441) (442) (445) (449) (496) (618) (620) (740)
- ITEM 6185 TRUCK MOUNTED ATTENUATOR (TMA) AND TRAILER ATTENUATOR (TA)
- ITEM 6247 INSTALLATION OF TRAFFIC MANAGEMENT EQUIPMENT
- ITEM 6438 MOBILE RETROREFLECTIVITY DATA COLLECTION FOR PAVEMENT MARKINGS

GENERAL: THE ABOVE-LISTED SPECIFICATION ITEMS ARE THOSE UNDER WHICH  
----- PAYMENT IS TO BE MADE. THESE, TOGETHER WITH SUCH OTHER  
PERTINENT ITEMS, IF ANY, AS MAY BE REFERRED TO IN THE ABOVE-  
LISTED SPECIFICATION ITEMS, AND INCLUDING THE SPECIAL  
PROVISIONS LISTED ABOVE, CONSTITUTE THE COMPLETE SPECIFI-  
CATIONS FOR THIS PROJECT.

**Control**    **0033-05-089, ETC.**  
**Project**    **F 2B24(277), ETC.**  
**Highway**   **US 83, ETC.**  
**County**    **JONES, ETC.**

**DISADVANTAGED BUSINESS ENTERPRISE  
REQUIREMENTS**

The following goal for disadvantaged business enterprises is established:

**DBE  
6.0%**

**Certification of DBE Goal Attainment**

**By signing the proposal, the Bidder certifies that the above DBE goal will be met by committing to DBE participation that meets or exceeds the goal or providing adequate documentation of good faith efforts (GFE) to achieve the goal.**

**The DBE participation or GFE must be submitted within five (5) calendar days after bid opening. If the fifth day falls on a weekend or a day when TxDOT offices are closed, the deadline moves to the next business day.**

**The Department may impose remedies as defined by state or local law if a bidder fails to submit required documentation, including forfeiting the bid proposal guaranty and exclusion from rebidding on the contract if it is re-advertised.**

## **CHILD SUPPORT STATEMENT**

**Under Section 231.006, Family Code, the vendor or applicant certifies that the individual or business entity named in this contract, bid, or application is not ineligible to receive the specified grant, loan, or payment and acknowledges that this contract may be terminated and payment may be withheld if this certification is inaccurate.**

## CONFLICT OF INTEREST CERTIFICATION

Pursuant to Texas Government Code Section 2261.252(b), the Department is prohibited from entering into contracts in which Department officers and employees have a financial interest.

By signing the Contract, the Contractor certifies that it is not prohibited from entering into a Contract with the Department as a result of a financial interest as defined under Texas Government Code Section 2261.252(b), and that it will exercise reasonable care and diligence to prevent any actions or conditions that could result in a conflict of interest with the Department.

The Contractor also certifies that none of the following individuals, nor any of their family members within the second degree of affinity or consanguinity, owns 1% or more interest or has a financial interest as defined under Texas Government Code Section 2261.252(b) in the Contractor:

- Any member of the Texas Transportation Commission; and
- The Department's Executive Director, General Counsel, Chief of Procurement and Field Support Operations, Director of Procurement, and Director of Contract Services.

Violation of this certification may result in action by the Department.

## E-VERIFY CERTIFICATION

Pursuant to Texas Transportation Code §223.051, all TxDOT contracts for construction, maintenance, or improvement of a highway must include a provision requiring Contractors and subcontractors to use the U.S. Department of Homeland Security's E-Verify system to determine employment eligibility. By signing the contract, the Contractor certifies that prior to the award of the Contract:

- the Contractor has registered with and will, to the extent permitted by law, utilize the United States Department of Homeland Security's E-Verify system during the term of the Contract to determine the eligibility of all persons hired to perform duties within Texas during the term of the agreement; and
- the Contractor will require that all subcontractors also register with and, to the extent permitted by law, utilize the United States Department of Homeland Security's E-Verify system during the term of the subcontract to determine the eligibility of all persons hired to perform duties within Texas during the term of the agreement.

Violation of this requirement constitutes a material breach of the Contract, subjects a subcontractor to removal from the Contract, and subjects the Contractor or subcontractors to possible sanctions in accordance with Title 43, Texas Administrative Code, Chapter 10, Subchapter F, "Sanctions and Suspension for Ethical Violations by Entities Doing Business with the Department."



## Certification Regarding Disclosure of Public Information

Pursuant to Subchapter J, Chapter 552, Texas Government Code, contractors executing a contract with a governmental body that results in the expenditure of at least \$1 million in public funds must:

- 1) preserve all contracting information\* as provided by the records retention requirements applicable to Texas Department of Transportation (TxDOT) for the duration of the contract,
- 2) on request of TxDOT, promptly provide any contracting information related to the contract that is in the custody or possession of the entity, and
- 3) on completion of the contract, either:
  - A. provide, at no cost to TxDOT, all contracting information related to the contract that is in the custody or possession of the entity, or
  - B. preserve the contracting information related to the contract as provided by the records retention requirements applicable to TxDOT

The requirements of Subchapter J, Chapter 552, Government Code, may apply to this contract, and the contractor or vendor agrees that the contract can be terminated if the contractor or vendor knowingly or intentionally fails to comply with a requirement of that subchapter.

By entering into Contract, the Contractor agrees to:

- provide, or make available, to TxDOT and any authorized governmental investigating or auditing agency all records, including electronic and payment records related to the contract, for the same period provided by the records retention schedule applicable to TxDOT, and
- ensure that all subcontracts include a clause requiring the same.

\* As defined in Government Code §552.003, "Contracting information" means the following information maintained by a governmental body or sent between a governmental body and a vendor, contractor, potential vendor, or potential contractor:

- 1) information in a voucher or contract relating to the receipt or expenditure of public funds by a governmental body;
- 2) solicitation or bid documents relating to a contract with a governmental body;
- 3) communications sent between a governmental body and a vendor, contractor, potential vendor, or potential contractor during the solicitation, evaluation, or negotiation of a contract;
- 4) documents, including bid tabulations, showing the criteria by which a governmental body evaluates each vendor, contractor, potential vendor, or potential contractor responding to a solicitation and, if applicable, an explanation of why the vendor or contractor was selected; and
- 5) communications and other information sent between a governmental body and a vendor or contractor related to the performance of a final contract with the governmental body or work performed on behalf of the governmental body.

## CERTIFICATION TO NOT BOYCOTT ISRAEL

Pursuant to Texas Government Code §2271.002, the Department must include a provision requiring a written verification affirming that the Contractor does not boycott Israel, as defined in Government Code §808.001, and will not boycott Israel during the term of the contract. This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not boycott Israel and will not boycott Israel during the term of this contract. "Boycott" means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes.

Violation of this certification may result in action by the Department.

## CERTIFICATION TO NOT BOYCOTT ENERGY COMPANIES

Pursuant to Texas Government Code §2274.002, the Department must include a provision requiring a written verification affirming that the Contractor does not boycott energy companies, as defined in Government Code §809.001, and will not boycott energy companies during the term of the contract. This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not boycott energy companies and will not boycott energy companies during the term of this contract. "Boycott" means taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations with a company because the company: (1) engages in the exploration, production, utilization, transportation, sale, or manufacturing of fossil fuel-based energy and does not commit or pledge to meet environmental standards beyond applicable federal and state law; or (2) does business with a company described by (1).

Violation of this certification may result in action by the Department.

## CERTIFICATION TO NOT DISCRIMINATE AGAINST FIREARM ENTITIES OR FIREARM TRADE ASSOCIATIONS

Pursuant to Texas Government Code §2274.002, the Department must include a provision requiring a written verification affirming that the Contractor:

- 1) does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association, as defined in Government Code §2274.001, and
- 2) will not discriminate against a firearm entity or firearm trade association during the term of the contract.

This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not discriminate against a firearm entity or firearm trade association as described and will not do so during the term of this contract. "Discriminate against a firearm entity or firearm trade association" means, with respect to the entity or association, to: (1) refuse to engage in the trade of any goods or services with the entity or association based solely on its status as a firearm entity or firearm trade association; (2) refrain from continuing an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association; or (3) terminate an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association. "Discriminate against a firearm entity or firearm trade association" does not include: (1) the established policies of a merchant, retail seller, or platform that restrict or prohibit the listing or selling of ammunition, firearms, or firearm accessories; (2) a company's refusal to engage in the trade of any goods or services, decision to refrain from continuing an existing business relationship, or decision to terminate an existing business relationship to comply with federal, state, or local law, policy, or regulations or a directive by a regulatory agency, or for any traditional business reason that is specific to the customer or potential customer and not based solely on an entity's or association's status as a firearm entity or firearm trade association.

Violation of this certification may result in action by the Department.

# PROHIBITION ON CERTAIN TELECOMMUNICATIONS EQUIPMENT OR SERVICES

The Federal Register Notice issued the Final Rule and states that the amendment to 2 CFR 200.216 is effective on August 13, 2020. The new 2 CFR 200.471 regulation provides clarity that the telecommunications and video surveillance costs associated with 2 CFR 200.216 are unallowable for services and equipment from these specific providers. OMB's Federal Register Notice includes the new 2 CFR 200.216 and 2 CFR 200.471 regulations.

<https://www.federalregister.gov/documents/2020/08/13/2020-17468/guidance-for-grants-and-agreements>

Per the Federal Law referenced above, use of services, systems, or services or systems that contain components produced by any of the following manufacturers is strictly prohibited for use on this project. Therefore, for any telecommunications, CCTV, or video surveillance equipment, services or systems cannot be manufactured by, or have components manufactured by:

- Huawei Technologies Company,
- ZTE Corporation (any subsidiary and affiliate of such entities),
- Hytera Communications Corporation,
- Hangzhou Hikvision Digital Technology Company,
- Dahua Technology Company (any subsidiary and affiliate of such entities).

Violation of this prohibition will require replacement of the equipment at the contractor's expense.

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

**II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)**

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

#### **6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### **10. Assurances Required:**

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:



(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in paragraph 4. of this section. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

(i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;

(ii) The classification is used in the area by the construction industry; and

(iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is used in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov). The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov), refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division

under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.* Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

## 2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph

2.a. of this section or Section V, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901–3907](#).

### 3. Records and certified payrolls (29 CFR 5.5)

a. *Basic record requirements (1) Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

(2) *Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

(3) *Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

(4) *Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

b. *Certified payroll requirements (1) Frequency and method of submission.* The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to the contracting

agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

(2) *Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker ( e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHD/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

(3) *Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in [29 CFR part 3](#); and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

(4) *Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.

(5) *Signature*. The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification*. The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention*. The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents*. The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access* (1) *Required record disclosures and access to workers*. The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.

(2) *Sanctions for non-compliance with records and worker access requirements*. If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures*. Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address

of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

#### **4. Apprentices and equal employment opportunity (29 CFR 5.5)**

a. *Apprentices* (1) *Rate of pay*. Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits*. Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio*. The allowable ratio of apprentices to journeyworkers on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates*. Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity*. The use of apprentices and journeyworkers under this part must be in conformity with

the equal employment opportunity requirements of Executive Order 11246, as amended, and [29 CFR part 30](#).

c. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeyworkers shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

**6. Subcontracts.** The contractor or subcontractor must insert FHWA-1273 in any subcontracts, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

**9. Disputes concerning labor standards.** As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.** a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

**11. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

## V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or

mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)\* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

\* \$31 as of January 15, 2023 (See 88 FR 88 FR 2210) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

### 3. Withholding for unpaid wages and liquidated damages

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section IV paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901](#)–3907.

**4. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the

event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

**5. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or

d. Informing any other person about their rights under CWHSSA or this part.

### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;

- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

## **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and

health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

## **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

**IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)**

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

**X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

**1. Instructions for Certification – First Tier Participants:**

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

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**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

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**3. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily

excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

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#### **4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

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#### **XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or

cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

#### **XII. USE OF UNITED STATES-FLAG VESSELS:**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B)**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

The wage rates listed herein are those predetermined by the Secretary of Labor and State Statute and listed in the United States Department of Labor's (USDOL) General Decisions dated 01-05-2024 and are the minimum wages to be paid accordingly for each specified classification. To determine the applicable wage rate zone, a list entitled "TEXAS COUNTIES IDENTIFIED BY WAGE RATE ZONES" is provided in the contract. Any wage rate that is not listed herein and not in the USDOL's general decision, must be requested by the contractor through the completion of an Additional Classification and Wage Rate Request and be submitted for approval. IMPORTANT NOTICE FOR STATE PROJECTS: only the controlling wage rate zone applies to the contract. Effective 01-05-2024.

CLASS. #	CLASSIFICATION DESCRIPTION	ZONE TX02 *(TX20240002)	ZONE TX03 *(TX20240003)	ZONE TX04 *(TX20240004)	ZONE TX05 *(TX20240005)	ZONE TX06 *(TX20240006)	ZONE TX07 *(TX20240007)	ZONE TX08 *(TX20240008)	ZONE TX24 *(TX20240024)	ZONE TX25 *(TX20240025)	ZONE TX27 *(TX20240027)	ZONE TX28 *(TX20240028)	ZONE TX29 *(TX20240029)	ZONE TX30 *(TX20240030)	ZONE TX37 *(TX20240037)	ZONE TX38 *(TX20240038)	ZONE TX42 *(TX20240042)
1428	Agricultural Tractor Operator						\$12.69					\$12.35			\$11.75		
1300	Asphalt Distributor Operator	\$14.87	\$13.48	\$13.88	\$15.72	\$15.58	\$15.55	\$15.72	\$13.28	\$15.32	\$15.62	\$14.36	\$14.25	\$14.03	\$13.75	\$14.06	\$14.40
1303	Asphalt Paving Machine Operator	\$13.40	\$12.25	\$12.35	\$13.87	\$14.05	\$14.36	\$14.20	\$13.26	\$13.99	\$14.68	\$12.92	\$13.44	\$12.53	\$14.00	\$14.32	\$12.99
1106	Asphalt Raker	\$12.28	\$10.61	\$12.02	\$14.21	\$11.65	\$12.12	\$11.64	\$11.44	\$12.69	\$12.05	\$11.34	\$11.67	\$11.40	\$12.59	\$12.36	\$11.78
1112	Batching Plant Operator, Asphalt																
1115	Batching Plant Operator, Concrete																
1214	Blaster																
1615	Boom Truck Operator						\$18.36										
1444	Boring Machine Operator																
1305	Broom or Sweeper Operator	\$11.21	\$10.33	\$10.08	\$11.99		\$11.04	\$11.62		\$11.74	\$11.41	\$10.30		\$10.23	\$10.60	\$12.68	\$11.05
1144	Communications Cable Installer																
1124	Concrete Finisher, Paving and Structures	\$13.55	\$12.46	\$13.16	\$12.85	\$12.64	\$12.56	\$12.77	\$12.44	\$14.12	\$13.04	\$13.38	\$12.64	\$12.80	\$12.79	\$12.98	\$13.32
1318	Concrete Pavement Finishing Machine Operator				\$16.05		\$15.48			\$16.05		\$19.31				\$13.07	
1315	Concrete Paving, Curing, Float, Texturing Machine Operator											\$16.34				\$11.71	
1333	Concrete Saw Operator				\$14.67					\$14.48	\$17.33					\$13.99	
1399	Concrete/Gunite Pump Operator																
1344	Crane Operator, Hydraulic 60 tons or less				\$18.22		\$18.36			\$18.12	\$18.04	\$20.21			\$18.63	\$13.86	
1345	Crane Operator, Hydraulic Over 80 Tons																
1342	Crane Operator, Lattice Boom 80 Tons or Less	\$16.82	\$14.39	\$13.85	\$17.27		\$15.87			\$17.27		\$14.67			\$16.42	\$14.97	\$13.87
1343	Crane Operator, Lattice Boom Over 80 Tons				\$20.52		\$19.38			\$20.52		\$17.49			\$25.13	\$15.80	
1306	Crawler Tractor Operator	\$13.96	\$16.63	\$13.62	\$14.26		\$15.67			\$14.07	\$13.15	\$13.38			\$14.60	\$13.68	\$13.50
1351	Crusher or Screen Plant Operator																
1446	Directional Drilling Locator						\$11.67										
1445	Directional Drilling Operator				\$20.32		\$17.24										
1139	Electrician	\$20.96		\$19.87	\$19.80		\$26.35		\$20.27	\$19.80		\$20.92				\$27.11	\$19.87
1347	Excavator Operator, 50,000 pounds or less	\$13.46	\$12.56	\$13.67	\$17.19		\$12.88	\$14.38	\$13.49	\$17.19		\$13.88			\$14.09	\$12.71	\$14.42
1348	Excavator Operator, Over 50,000 pounds		\$15.23	\$13.52	\$17.04		\$17.71			\$16.99	\$18.80	\$16.22				\$14.53	\$13.52
1150	Flagger	\$9.30	\$9.10	\$8.50	\$10.28	\$8.81	\$9.45	\$8.70		\$10.06	\$9.71	\$9.03	\$8.81	\$9.08	\$9.90	\$10.33	\$8.10
1151	Form Builder/Setter, Structures	\$13.52	\$12.30	\$13.38	\$12.91	\$12.71	\$12.87	\$12.38	\$12.26	\$13.84	\$12.98	\$13.07	\$13.61	\$12.82	\$14.73	\$12.23	\$12.25
1160	Form Setter, Paving & Curb	\$12.36	\$12.16	\$13.93	\$11.83	\$10.71	\$12.94			\$13.16	\$12.54	\$11.33	\$10.69		\$13.33	\$12.34	\$13.93
1360	Foundation Drill Operator, Crawler Mounted				\$17.99					\$17.99						\$17.43	
1363	Foundation Drill Operator, Truck Mounted		\$16.86	\$22.05	\$21.51		\$16.93			\$21.07	\$20.20	\$20.76		\$17.54	\$21.39	\$15.89	\$22.05
1369	Front End Loader Operator, 3 CY or Less	\$12.28	\$13.49	\$13.40	\$13.85		\$13.04	\$13.15	\$13.29	\$13.69	\$12.64	\$12.89			\$13.51	\$13.32	\$12.17
1372	Front End Loader Operator, Over 3 CY	\$12.77	\$13.69	\$12.33	\$14.96		\$13.21	\$12.86	\$13.57	\$14.72	\$13.75	\$12.32			\$13.19	\$13.17	\$13.02
1329	Joint Sealer																
1172	Laborer, Common	\$10.30	\$9.86	\$10.08	\$10.51	\$10.71	\$10.50	\$10.24	\$10.58	\$10.72	\$10.45	\$10.30	\$10.25	\$10.03	\$10.54	\$11.02	\$10.15
1175	Laborer, Utility	\$11.80	\$11.53	\$12.70	\$12.17	\$11.81	\$12.27	\$12.11	\$11.33	\$12.32	\$11.80	\$11.53	\$11.23	\$11.50	\$11.95	\$11.73	\$12.37
1346	Loader/Backhoe Operator	\$14.18	\$12.77	\$12.97	\$15.68		\$14.12			\$15.18	\$13.58	\$12.87		\$13.21	\$14.13	\$14.29	\$12.90
1187	Mechanic	\$20.14	\$15.47	\$17.47	\$17.74	\$17.00	\$17.10			\$17.68	\$18.94	\$18.58	\$17.00	\$16.61	\$18.46	\$16.96	\$17.47

CLASS. #	CLASSIFICATION DESCRIPTION	ZONE TX02 *(TX20240002)	ZONE TX03 *(TX20240003)	ZONE TX04 *(TX20240004)	ZONE TX05 *(TX20240005)	ZONE TX06 *(TX20240006)	ZONE TX07 *(TX20240007)	ZONE TX08 *(TX20240008)	ZONE TX24 *(TX20240024)	ZONE TX25 *(TX20240025)	ZONE TX27 *(TX20240027)	ZONE TX28 *(TX20240028)	ZONE TX29 *(TX20240029)	ZONE TX30 *(TX20240030)	ZONE TX37 *(TX20240037)	ZONE TX38 *(TX20240038)	ZONE TX42 *(TX20240042)
1380	Milling Machine Operator Motor Grader Operator,	\$15.54	\$14.64	\$12.22	\$14.29		\$14.18			\$14.32	\$14.35	\$12.86			\$14.75	\$13.53	\$12.80
1390	Fine Grade	\$17.49	\$16.52	\$16.88	\$17.12	\$18.37	\$18.51	\$16.69	\$16.13	\$17.19	\$18.35	\$17.07	\$17.74	\$17.47	\$17.08	\$15.69	\$20.01
1393	Motor Grader Operator, Rough	\$16.15	\$14.62	\$15.83	\$16.20	\$17.07	\$14.63	\$18.50		\$16.02	\$16.44	\$15.12	\$16.85	\$14.47	\$17.39	\$14.23	\$15.53
1413	Off Road Hauler			\$10.08	\$12.26		\$11.88			\$12.25		\$12.23			\$13.00	\$14.60	
1196	Painter, Structures Pavement Marking Machine Operator					\$21.29	\$18.34						\$21.29			\$18.62	
1396	Operator	\$16.42		\$13.10	\$13.55		\$19.17	\$12.01		\$13.63	\$14.60	\$13.17		\$16.65	\$10.54	\$11.18	\$13.10
1443	Percussion or Rotary Drill Operator																
1202	Piledriver															\$14.95	
1205	Pipelayer		\$11.87	\$14.64	\$13.17	\$11.17	\$12.79		\$11.37	\$13.24	\$12.66	\$13.24	\$11.17	\$11.67		\$12.12	\$14.64
1384	Reclaimer/Pulverizer Operator	\$12.85			\$11.90		\$12.88			\$11.01		\$10.46					
1500	Reinforcing Steel Worker	\$13.50	\$14.07	\$17.53	\$16.17		\$14.00			\$16.18	\$12.74	\$15.83		\$17.10		\$15.15	\$17.72
1402	Roller Operator, Asphalt	\$10.95		\$11.96	\$13.29		\$12.78	\$11.61		\$13.08	\$12.36	\$11.68			\$11.71	\$11.95	\$11.50
1405	Roller Operator, Other	\$10.36		\$10.44	\$11.82		\$10.50	\$11.64		\$11.51	\$10.59	\$10.30		\$12.04	\$12.85	\$11.57	\$10.66
1411	Scraper Operator	\$10.61	\$11.07	\$10.85	\$12.88		\$12.27		\$11.12	\$12.96	\$11.88	\$12.43		\$11.22	\$13.95	\$13.47	\$10.89
1417	Self-Propelled Hammer Operator																
1194	Servicer	\$13.98	\$12.34	\$14.11	\$14.74		\$14.51	\$15.56	\$13.44	\$14.58	\$14.31	\$13.83		\$12.43	\$13.72	\$13.97	\$14.11
1513	Sign Erector Slurry Seal or Micro-Surfacing Machine Operator																
1708	Machine Operator																
1341	Small Slipform Machine Operator									\$15.96							
1515	Spreader Box Operator	\$12.60		\$13.12	\$14.71		\$14.04			\$14.73	\$13.84	\$13.68		\$13.45	\$11.83	\$13.58	\$14.05
1705	Structural Steel Welder															\$12.85	
1509	Structural Steel Worker						\$19.29									\$14.39	
1339	Subgrade Trimmer																
1143	Telecommunication Technician																
1145	Traffic Signal/Light Pole Worker Trenching Machine Operator, Heavy						\$16.00										
1440	Trenching Machine Operator, Light						\$18.48										
1437	Light																
1609	Truck Driver Lowboy-Float	\$14.46	\$13.63	\$13.41	\$15.00	\$15.93	\$15.66			\$16.24	\$16.39	\$14.30	\$16.62	\$15.63	\$14.28	\$16.03	\$13.41
1612	Truck Driver Transit-Mix				\$14.14					\$14.14							
1600	Truck Driver, Single Axle Truck Driver, Single or Tandem Axle Dump Truck	\$12.74	\$10.82	\$10.75	\$13.04	\$11.61	\$11.79	\$13.53	\$13.16	\$12.31	\$13.40	\$10.30	\$11.61		\$11.97	\$11.46	\$10.75
1606	Truck Driver, Tandem Axle Tractor with Semi Trailer	\$11.33	\$14.53	\$11.95	\$12.95		\$11.68		\$14.06	\$12.62	\$11.45	\$12.28		\$13.08	\$11.68	\$11.48	\$11.10
1607	Tunneling Machine Operator, Heavy	\$12.49	\$12.12	\$12.50	\$13.42		\$12.81	\$13.16		\$12.86	\$16.22	\$12.50			\$13.80	\$12.27	\$12.50
1441	Tunneling Machine Operator, Light																
1442	Welder		\$14.02		\$14.86		\$15.97		\$13.74	\$14.84					\$13.78		
1706	Work Zone Barricade Servicer	\$10.30	\$12.88	\$11.46	\$11.70	\$11.57	\$11.85	\$10.77		\$11.68	\$12.20	\$11.22	\$11.51	\$12.96	\$10.54	\$11.67	\$11.76

Notes:

\*Represents the USDOL wage decision.

Any worker employed on this project shall be paid at the rate of one and one half (1-1/2) times the regular rate for every hour worked in excess of forty (40) hours per week.

For reference, the titles and descriptions for the classifications listed here are detailed further in the AGC of Texas' *Standard Job Classifications and Descriptions for Highway, Heavy, Utilities, and Industrial Construction in Texas* posted on the AGC's Web site for any contractor.

**TEXAS COUNTIES IDENTIFIED BY  
WAGE RATE ZONES: 2, 3, 4, 5, 6, 7, 8, 24, 25, 27, 28, 29, 30, 37, 38, 42**

County Name	Zone	County Name	Zone	County Name	Zone	County Name	Zone
Anderson	28	Donley	37	Karnes	27	Reagan	37
Andrews	37	Duval	30	Kaufman	25	Real	37
Angelina	28	Eastland	37	Kendall	7	Red River	28
Aransas	29	Ector	2	Kenedy	30	Reeves	8
Archer	25	Edwards	8	Kent	37	Refugio	27
Armstrong	2	El Paso	24	Kerr	27	Roberts	37
Atascosa	7	Ellis	25	Kimble	37	Robertson	7
Austin	38	Erath	28	King	37	Rockwall	25
Bailey	37	Falls	28	Kinney	8	Runnels	37
Bandera	7	Fannin	28	Kleberg	27	Rusk	4
Bastrop	7	Fayette	27	Knox	37	Sabine	28
Baylor	37	Fisher	37	Lamar	28	San Augustine	28
Bee	27	Floyd	37	Lamb	37	San Jacinto	38
Bell	7	Foard	37	Lampasas	7	San Patricio	29
Bexar	7	Fort Bend	38	LaSalle	30	San Saba	37
Blanco	27	Franklin	28	Lavaca	27	Schleicher	37
Borden	37	Freestone	28	Lee	27	Scurry	37
Bosque	28	Frio	27	Leon	28	Shackelford	37
Bowie	4	Gaines	37	Liberty	38	Shelby	28
Brazoria	38	Galveston	38	Limestone	28	Sherman	37
Brazos	7	Garza	37	Lipscomb	37	Smith	4
Brewster	8	Gillespie	27	Live Oak	27	Somervell	28
Briscoe	37	Glasscock	37	Llano	27	Starr	30
Brooks	30	Goliad	29	Loving	37	Stephens	37
Brown	37	Gonzales	27	Lubbock	2	Sterling	37
Burleson	7	Gray	37	Lynn	37	Stonewall	37
Burnet	27	Grayson	25	Madison	28	Sutton	8
Caldwell	7	Gregg	4	Marion	28	Swisher	37
Calhoun	29	Grimes	28	Martin	37	Tarrant	25
Callahan	25	Guadalupe	7	Mason	27	Taylor	2
Cameron	3	Hale	37	Matagorda	27	Terrell	8
Camp	28	Hall	37	Maverick	30	Terry	37
Carson	2	Hamilton	28	McCulloch	37	Throckmorton	37
Cass	28	Hansford	37	McLennan	7	Titus	28
Castro	37	Hardeman	37	McMullen	30	Tom Green	2
Chambers	38	Hardin	38	Medina	7	Travis	7
Cherokee	28	Harris	38	Menard	37	Trinity	28
Childress	37	Harrison	42	Midland	2	Tyler	28
Clay	25	Hartley	37	Milam	28	Upshur	4
Cochran	37	Haskell	37	Mills	37	Upton	37
Coke	37	Hays	7	Mitchell	37	Uvalde	30
Coleman	37	Hemphill	37	Montague	37	Val Verde	8
Collin	25	Henderson	28	Montgomery	38	Van Zandt	28
Collingsworth	37	Hidalgo	3	Moore	37	Victoria	6
Colorado	27	Hill	28	Morris	28	Walker	28
Comal	7	Hockley	37	Motley	37	Waller	38
Comanche	37	Hood	28	Nacogdoches	28	Ward	37
Concho	37	Hopkins	28	Navarro	28	Washington	28
Cooke	37	Houston	28	Newton	28	Webb	3
Coryell	7	Howard	37	Nolan	37	Wharton	27
Cottle	37	Hudspeth	8	Nueces	29	Wheeler	37
Crane	37	Hunt	25	Ochiltree	37	Wichita	5
Crockett	8	Hutchinson	37	Oldham	37	Wilbarger	37
Crosby	2	Irion	2	Orange	38	Willacy	30
Culberson	8	Jack	28	Palo Pinto	28	Williamson	7
Dallam	37	Jackson	27	Panola	28	Wilson	7
Dallas	25	Jasper	28	Parker	25	Winkler	37
Dawson	37	Jeff Davis	8	Parmer	37	Wise	25
Deaf Smith	37	Jefferson	38	Pecos	8	Wood	28
Delta	25	Jim Hogg	30	Polk	28	Yoakum	37
Denton	25	Jim Wells	27	Potter	2	Young	37
DeWitt	27	Johnson	25	Presidio	8	Zapata	30
Dickens	37	Jones	25	Rains	28	Zavala	30
Dimmit	30			Randall	2		

# Special Provision to Item 000

## Nondiscrimination



### 1. DESCRIPTION

All recipients of federal financial assistance are required to comply with various nondiscrimination laws including Title VI of the Civil Rights Act of 1964, as amended, (Title VI). Title VI forbids discrimination against anyone in the United States on the grounds of race, color, or national origin by any agency receiving federal funds.

Texas Department of Transportation, as a recipient of Federal financial assistance, and under Title VI and related statutes, ensures that no person shall on the grounds of race, religion (where the primary objective of the financial assistance is to provide employment per 42 U.S.C. § 2000d-3), color, national origin, sex, age or disability be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any Department programs or activities.

### 2. DEFINITION OF TERMS

Where the term “contractor” appears in the following six nondiscrimination clauses, the term “contractor” is understood to include all parties to contracts or agreements with the Texas Department of Transportation.

### 3. NONDISCRIMINATION PROVISIONS

During the performance of this contract, the contractor agrees as follows:

- 3.1. **Compliance with Regulations.** The Contractor shall comply with the Regulations relative to nondiscrimination in Federally-assisted programs of the Department of Transportation (hereinafter, “DOT”) Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- 3.2. **Nondiscrimination.** The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- 3.3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- 3.4. **Information and Reports:** The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Texas Department of Transportation to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to the Recipient, or the Texas Department of Transportation as appropriate, and shall set forth what efforts it has made to obtain the information.

- 3.5. **Sanctions for Noncompliance.** In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the Recipient shall impose such contract sanctions as it or the Texas Department of Transportation may determine to be appropriate, including, but not limited to:
- withholding of payments to the contractor under the contract until the contractor complies, and/or
  - cancellation, termination or suspension of the contract, in whole or in part.
- 3.6. **Incorporation of Provisions.** The contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the Recipient or the Texas Department of Transportation may direct as a means of enforcing such provisions including sanctions for non-compliance: Provided, however, that, in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the Recipient to enter into such litigation to protect the interests of the Recipient, and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.



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# Special Provision to Item 000

## Certification of Nondiscrimination in Employment

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### 1. GENERAL

By signing this proposal, the Bidder certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, or if he has not participated in a previous contract of this type, or if he has had previous contract or subcontracts and has not filed, he will file with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note**—The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

## Special Provision to Item 000

# Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)



### 1. GENERAL

In addition to the affirmative action requirements of the Special Provision titled "Standard Federal Equal Employment Opportunity Construction Contract Specifications" as set forth elsewhere in this proposal, the Bidder's attention is directed to the specific requirements for utilization of minorities and females as set forth below.

### 2. GOALS

2.1. Goals for minority and female participation are hereby established in accordance with 41 CFR 60-4.

2.2. The goals for minority and female participation expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area are as follows:

Goals for minority participation in each trade, %	Goals for female participation in each trade, %
See Table 1	6.9

2.3. These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it will apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and non-federally involved construction. The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 will be based on its implementation of the Standard Federal Equal Employment Opportunity Construction Contract Specifications Special Provision and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the Contract, and in each trade, and the Contractor must make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority and female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals will be a violation of the Contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

2.4. A Contractor or subcontractor will be considered in compliance with these provisions by participation in the Texas Highway-Heavy Branch, AGC, Statewide Training and Affirmative Action Plan. Provided that each Contractor or subcontractor participating in this plan must individually comply with the equal opportunity clause set forth in 41 CFR 60-1.4 and must make a good faith effort to achieve the goals set forth for each participating trade in the plan in which it has employees. The overall good performance of other Contractors and subcontractors toward a goal in an approved plan does not excuse any covered Contractor's or subcontractor's failure to make good faith efforts to achieve the goals contained in these provisions. Contractors or subcontractors participating in the plan must be able to demonstrate their participation and document their compliance with the provisions of this Plan.

### 3. SUBCONTRACTING

The Contractor must provide written notification to the Department within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the Contract resulting from this solicitation pending concurrence of the Department in the award. The notification will list the names,

address and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the Contract is to be performed.

#### 4. COVERED AREA

As used in this special provision, and in the Contract resulting from this solicitation, the geographical area covered by these goals for female participation is the State of Texas. The geographical area covered by these goals for other minorities are the counties in the State of Texas as indicated in Table 1.

#### 5. REPORTS

The Contractor is hereby notified that he may be subject to the Office of Federal Contract Compliance Programs (OFCCP) reporting and record keeping requirements as provided for under Executive Order 11246 as amended. OFCCP will provide direct notice to the Contractor as to the specific reporting requirements that he will be expected to fulfill.

**Table 1**  
**Goals for Minority Participation**

County	Participation, %	County	Participation, %
Anderson	22.5	Chambers	27.4
Andrews	18.9	Cherokee	22.5
Angelina	22.5	Childress	11.0
Aransas	44.2	Clay	12.4
Archer	11.0	Cochran	19.5
Armstrong	11.0	Coke	20.0
Atascosa	49.4	Coleman	10.9
Austin	27.4	Collin	18.2
Bailey	19.5	Collingsworth	11.0
Bandera	49.4	Colorado	27.4
Bastrop	24.2	Comal	47.8
Baylor	11.0	Comanche	10.9
Bee	44.2	Concho	20.0
Bell	16.4	Cooke	17.2
Bexar	47.8	Coryell	16.4
Blanco	24.2	Cottle	11.0
Borden	19.5	Crane	18.9
Bosque	18.6	Crockett	20.0
Bowie	19.7	Crosby	19.5
Brazoria	27.3	Culberson	49.0
Brazos	23.7	Dallam	11.0
Brewster	49.0	Dallas	18.2
Briscoe	11.0	Dawson	19.5
Brooks	44.2	Deaf Smith	11.0
Brown	10.9	Delta	17.2
Burleson	27.4	Denton	18.2
Burnet	24.2	DeWitt	27.4
Caldwell	24.2	Dickens	19.5
Calhoun	27.4	Dimmit	49.4
Callahan	11.6	Donley	11.0
Cameron	71.0	Duval	44.2
Camp	20.2	Eastland	10.9
Carson	11.0	Ector	15.1
Cass	20.2	Edwards	49.4
Castro	11.0	Ellis	18.2

County	Participation, %	County	Participation, %
El Paso	57.8	Kenedy	44.2
Erath	17.2	Kent	10.9
Falls	18.6	Kerr	49.4
Fannin	17.2	Kimble	20.0
Fayette	27.4	King	19.5
Fisher	10.9	Kinney	49.4
Floyd	19.5	Kleberg	44.2
Foard	11.0	Knox	10.9
Fort Bend	27.3	Lamar	20.2
Franklin	17.2	Lamb	19.5
Freestone	18.6	Lampasas	18.6
Frio	49.4	LaSalle	49.4
Gaines	19.5	Lavaca	27.4
Galveston	28.9	Lee	24.2
Garza	19.5	Leon	27.4
Gillespie	49.4	Liberty	27.3
Glasscock	18.9	Limestone	18.6
Goliad	27.4	Lipscomb	11.0
Gonzales	49.4	Live Oak	44.2
Gray	11.0	Llano	24.2
Grayson	9.4	Loving	18.9
Gregg	22.8	Lubbock	19.6
Grimes	27.4	Lynn	19.5
Guadalupe	47.8	Madison	27.4
Hale	19.5	Marion	22.5
Hall	11.0	Martin	18.9
Hamilton	18.6	Mason	20.0
Hansford	11.0	Matagorda	27.4
Hardeman	11.0	Maverick	49.4
Hardin	22.6	McCulloch	20.0
Harris	27.3	McLennan	20.7
Harrison	22.8	McMullen	49.4
Hartley	11.0	Medina	49.4
Haskell	10.9	Menard	20.0
Hays	24.1	Midland	19.1
Hemphill	11.0	Milam	18.6
Henderson	22.5	Mills	18.6
Hidalgo	72.8	Mitchell	10.9
Hill	18.6	Montague	17.2
Hockley	19.5	Montgomery	27.3
Hood	18.2	Moore	11.0
Hopkins	17.2	Morris	20.2
Houston	22.5	Motley	19.5
Howard	18.9	Nacogdoches	22.5
Hudspeth	49.0	Navarro	17.2
Hunt	17.2	Newton	22.6
Hutchinson	11.0	Nolan	10.9
Irion	20.0	Nueces	41.7
Jack	17.2	Ochiltree	11.0
Jackson	27.4	Oldham	11.0
Jasper	22.6	Orange	22.6
Jeff Davis	49.0	Palo Pinto	17.2
Jefferson	22.6	Panola	22.5
Jim Hogg	49.4	Parker	18.2
Jim Wells	44.2	Parmer	11.0
Johnson	18.2	Pecos	18.9
Jones	11.6	Polk	27.4
Karnes	49.4	Potter	9.3
Kaufman	18.2	Presidio	49.0
Kendall	49.4	Randall	9.3

<b>County</b>	<b>Participation, %</b>	<b>County</b>	<b>Participation, %</b>
Rains	17.2	Reagan	20.0
Real	49.4	Throckmorton	10.9
Red River	20.2	Titus	20.2
Reeves	18.9	Tom Green	19.2
Refugio	44.2	Travis	24.1
Roberts	11.0	Trinity	27.4
Robertson	27.4	Tyler	22.6
Rockwall	18.2	Upshur	22.5
Runnels	20.0	Upton	18.9
Rusk	22.5	Uvalde	49.4
Sabine	22.6	Val Verde	49.4
San Augustine	22.5	Van Zandt	17.2
San Jacinto	27.4	Victoria	27.4
San Patricio	41.7	Walker	27.4
San Saba	20.0	Waller	27.3
Schleicher	20.0	Ward	18.9
Scurry	10.9	Washington	27.4
Shackelford	10.9	Webb	87.3
Shelby	22.5	Wharton	27.4
Sherman	11.0	Wheeler	11.0
Smith	23.5	Wichita	12.4
Somervell	17.2	Wilbarger	11.0
Starr	72.9	Willacy	72.9
Stephens	10.9	Williamson	24.1
Sterling	20.0	Wilson	49.4
Stonewall	10.9	Winkler	18.9
Sutton	20.0	Wise	18.2
Swisher	11.0	Wood	22.5
Tarrant	18.2	Yoakum	19.5
Taylor	11.6	Young	11.0
Terrell	20.0	Zapata	49.4
Terry	19.5	Zavala	49.4

# Special Provision to Item 000

## Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246)



### 1. GENERAL

1.1. As used in these specifications:

- "Covered area" means the geographical area described in the solicitation from which this Contract resulted;
- "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
- "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.
- "Minority" includes:
  - Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
  - Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
  - American Indian or Alaskan Native (all persons having origins in any of the original peoples of North American and maintaining identifiable tribal affiliations through membership and participation or community identification).

1.2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it will physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this Contract resulted.

1.3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U. S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) will be in accordance with that plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the equal employment opportunity (EEO) clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

1.4. The Contractor will implement the specific affirmative action standards provided in Section 1.7.1. through Section 1.7.16. of these specifications. The goals set forth in the solicitation from which this Contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction Contractors performing Contracts in geographical areas where they do not have a Federal or federally assisted construction Contract will apply the minority and female goals established for the geographical area where the Contract is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or any Federal procurement contracting officer. The

Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.

- 1.5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women will excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 1.6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U. S. Department of Labor.
- 1.7. The Contractor will take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications will be based upon its effort to achieve maximum results from its actions. The Contractor will document these efforts fully, and will implement affirmative action steps at least as extensive as the following:
  - 1.7.1. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor will specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - 1.7.2. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
  - 1.7.3. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this will be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
  - 1.7.4. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral Process has impeded the Contractor's efforts to meet its obligations.
  - 1.7.5. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor will provide notice of these programs to the sources compiled under 7b above.
  - 1.7.6. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and Collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
  - 1.7.7. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other

employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., before the initiation of construction work at any job site. A written record must be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

- 1.7.8. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- 1.7.9. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month before the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor will send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- 1.7.10. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's workforce.
- 1.7.11. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- 1.7.12. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- 1.7.13. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- 1.7.14. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities will be provided to assure privacy between the sexes.
- 1.7.15. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- 1.7.16. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 1.8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (Section 7.1. through Section 7.16.). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under Section 7.1. through Section 7.16. of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation will not be a defense for the Contractor's noncompliance.
- 1.9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor



may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

- 1.10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 1.11. The Contractor will not enter into any Subcontract with any person or firm debarred from Government Contracts pursuant to Executive Order 11246.
- 1.12. The Contractor will carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties will be in violation of these specifications and Executive Order 11246, as amended.
- 1.13. The Contractor, in fulfilling its obligations under these specifications, will implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director will proceed in accordance with 41 CFR 60-4.8.
- 1.14. The Contractor will designate a responsible official to monitor all employment-related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records must at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records must be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, Contractors shall not be required to maintain separate records.
- 1.15. Nothing herein provided will be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).
- 1.16. In addition to the reporting requirements set forth elsewhere in this Contract, the Contractor and the subcontractors holding subcontracts, not including material suppliers, of \$10,000 or more, will submit for every month of July during which work is performed, employment data as contained under Form PR 1391 (Appendix C to 23 CFR, Part 230), and in accordance with the included instructions.

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# Special Provision to Item 000

## On-the-Job Training Program

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### 1. DESCRIPTION

The primary objective of this Special Provision is the training and advancement of minorities, women and economically disadvantaged persons toward journeyworker status. Accordingly, make every effort to enroll minority, women and economically disadvantaged persons to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and will not be used to discriminate against any applicant for training, whether or not he/she is a member of a minority group.

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### 2. TRAINEE ASSIGNMENT

Training assignments are based on the past volume of state-let highway construction contracts awarded with the Department. Contractors meeting the selection criteria will be notified of their training assignment at the beginning of the reporting year by the Department's Office of Civil Rights.

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### 3. PROGRAM REQUIREMENTS

Fulfill all of the requirements of the On-the-Job Training Program including the maintenance of records and submittal of periodic reports documenting program performance. Trainees will be paid at least 60% of the appropriate minimum journeyworker's rate specified in the Contract for the first half of the training period, 75% for the third quarter, and 90% for the last quarter, respectively.

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### 4. REIMBURSEMENT

If requested, Contractors may be reimbursed \$0.80 per training hour at no additional cost to the Department. Training may occur on this project, all other Department contracts, or local-administered federal-aid projects with concurrence of the local government entity. However, reimbursement for training is not available on projects to the extent that such projects that do not contain federal funds.

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### 5. COMPLIANCE

The Contractor will have fulfilled the contractual responsibilities by having provided acceptable training to the number of trainees specified in their goal assignment. Noncompliance may be cause for corrective and appropriate measures pursuant to Article 8.7., "Abandonment of Work or Default of Contract," which may be used to comply with the sanctions for noncompliance pursuant to 23 CFR Part 230.

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## Special Provision 000

### Certificate of Interested Parties (Form 1295)

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Submit a notarized Form 1295, "Certificate of Interested Parties," in the following instances:

- at Contract execution for Contracts awarded by the Commission;
- at Contract execution for Contracts awarded by the District Engineer or Chief Engineer with an award amount of \$1,000,000 or more; at any time an existing Contract awarded by the District Engineer or Chief Engineer increases in value to \$1,000,000 or more due to changes in the Contract; at any time there is an increase of \$1,000,000 or more to an existing Contract (change orders, extensions, and renewals); or
- at any time there is a change to the information in Form 1295, when the form was filed for an existing Contract.

Form 1295 and instructions on completing and filing the form are available on the Texas Ethics Commission website.

# Special Provision 000

## Important Notice to Contractors



For Dollar Amount of Original Contract		Dollar Amount of Daily Contract Administration Liquidated Damages per Working Day
From More Than	To and including	
0	1,000,000	618
1,000,000	3,000,000	832
3,000,000	5,000,000	940
5,000,000	15,000,000	1317
15,000,000	25,000,000	1718
25,000,000	50,000,000	2411
50,000,000	Over 50,000,000	4265

In addition to the amount shown in Table 1, the Liquidated Damages will be increased by the amount shown in Item 8 of the General Notes for Road User Cost (RUC), when applicable.

# Special Provision 000

## Important Notice to Contractors



As of May 3, 2024, utilities within the project limits have not been cleared. The Department anticipates clearance by the dates listed below. Unless otherwise stated, clearance of these obstructions will be performed by their owners. Estimated clearance dates are not anticipated to interfere with the Contractor's operations. In the event the clearance dates are not met, requests for additional compensation or time will be made in accordance with the Standard Specifications.

The Contractor is invited to review the mapped information of obstructions on file with the Engineer.

UTILITY			
Utility Owner	Approximate Location	Estimated Clearance Date	Effect on Construction
AEP Electric	FM 3034 Crossing STA 27+40	September 30, 2024	None
AEP Electric	FM 3034 Crossing STA 27+50	September 30, 2024	None
AEP Electric	NB US 83 Longitudinal STA 165+00	September 30, 2024	None
AT&T Communications	BUS 83 Connect Longitudinal STA 165+00	September 30, 2024	None
AT&T Communications	BUS 83 Connect Longitudinal STA 181+00	September 30, 2024	None
AT&T Communications	FM 3034 Crossing STA 26+00	September 30, 2024	None
AT&T Communications	FM 3034 Longitudinal STA 25+00	September 30, 2024	None
AT&T Communications	FM 3034 Crossing STA 48+00	September 30, 2024	None
AT&T Communications	FM 3034 Longitudinal STA 49+10	September 30, 2024	None
AT&T Communications	FM 3034 Crossing STA 49+55	September 30, 2024	None
AT&T Communications	FM 3034 Longitudinal STA 48+00	September 30, 2024	None
AT&T Electric	NB US 83 Longitudinal STA 220+10	September 30, 2024	None
AT&T Communications	US 83 WFR Longitudinal STA 186+00	September 30, 2024	None
ATMOS ENERGY GAS	FM 3034 Crossing STA 19+78	September 30, 2024	None
ATMOS ENERGY Gas	FM 3034 Crossing STA 48+40	September 30, 2024	None
ATMOS ENERGY GAS	US 83 WFR Crossing STA 187+97	September 30, 2024	None
CITY OF ABILENE Water	US 83 Crossing STA 218+30	September 30, 2024	None
CITY OF ABILENE Water	US 83 Longitudinal STA 198+00	September 30, 2024	None
CITY OF HAMLIN Water	US 83 WFR Longitudinal STA 198+00	September 30, 2024	None
CITY OF HAMLIN Water	US 83 WFR Crossing STA 196+70	September 30, 2024	None
FIBERLIGHT Communications	US 83 Longitudinal STA 220+00	September 30, 2024	None
FIBERLIGHT Communications	BUS 83 Connect Crossing STA 192+30	September 30, 2024	None
FIBERLIGHT Communications	US 83 Longitudinal STA 165+00	September 30, 2024	None
FIBERLIGHT Communications	US 83 Longitudinal STA 192+50	September 30, 2024	None

<b>UTILITY</b>			
<b>Utility Owner</b>	<b>Approximate Location</b>	<b>Estimated Clearance Date</b>	<b>Effect on Construction</b>
FIBERLIGHT Communications	US 83 WFR Longitudinal STA 198+00	September 30, 2024	None
HAWLEY ISD Sanitary Sewer	BUS 83 Connect Longitudinal STA 200+50	September 30, 2024	None
HAWLEY WSC Water	US 83 Main Longitudinal STA 197+50	September 30, 2024	None
HAWLEY WSC Water	FM 3034 Longitudinal STA 13+90	September 30, 2024	None
OPTIMUM Communications	US 83 Longitudinal STA 221+18	September 30, 2024	None
OPTIMUM Communications	US 83 EFR Longitudinal STA 84+86	September 30, 2024	None
OPTIMUM Communications	NB US 83 Longitudinal STA 30+00	September 30, 2024	None
TAYLOR ELEC COOP Electric	SB US 83 Longitudinal STA 190+00	September 30, 2024	None

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# Special Provision 000

## Cargo Preference Act Requirements in Federal Aid Contracts

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### 1. DESCRIPTION

All recipients of federal financial assistance are required to comply with the U.S. Department of Transportation's (DOT) Cargo Preference Act Requirements, 46 CFR Part 381, Use of United States-Flag Vessels.

This requirement applies to material or equipment that is acquired specifically for a Federal-aid highway project. It is not applicable to goods or materials that come into inventories independent of a Federal Highway Administration (FHWA) funded contract.

When oceanic shipments are necessary for materials or equipment acquired for a specific Federal-aid construction project, the contractor agrees to:

- Utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
- Furnish a legible copy of a rated, on-board commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of 46 CFR Part 381 Section 7, "Federal Grant, Guaranty, Loan and Advance of Funds Agreements," within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, to both the Engineer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- Insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

# Special Provision to Item 000

## Disadvantaged Business Enterprise in Federal-Aid Contracts



### 1. DESCRIPTION

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's (DOT) policy of ensuring nondiscrimination in the award and administration of DOT-assisted Contracts and creating a level playing field on which firms owned and controlled by individuals who are determined to be socially and economically disadvantaged can compete fairly for DOT-assisted Contracts.

### 2. DISADVANTAGED BUSINESS ENTERPRISE IN FEDERAL-AID CONTRACTS

2.1. **Policy.** It is the policy of the DOT and the Texas Department of Transportation (Department) that DBEs, as defined in 49 CFR Part 26, Subpart A, and the Department's DBE Program, will have the opportunity to participate in the performance of Contracts financed in whole or in part with federal funds. The DBE requirements of 49 CFR Part 26, and the Department's DBE Program, apply to this Contract as follows.

The Contractor will solicit DBEs through reasonable and available means, as defined in 49 CFR Part 26, Appendix A, and the Department's DBE Program, or show a good faith effort to meet the DBE goal for this Contract.

The Contractor, subrecipient, or subcontractor will not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. Carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted Contracts. Failure to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as the Department deems appropriate.

The requirements of this Special Provision must be physically included in any subcontract.

By signing the Contract proposal, the Bidder is certifying that the DBE goal as stated in the proposal will be met by obtaining commitments from eligible DBEs or that the Bidder will provide acceptable evidence of good faith effort to meet the commitment.

#### 2.2. Definitions.

2.2.1. **Administrative Reconsideration.** A process by which the low bidder may request reconsideration when the Department determines the good faith effort (GFE) requirements have not been met.

2.2.2. **Commercially Useful Function (CUF).** A CUF occurs when a DBE has the responsibility for the execution of the work and carrying out such responsibilities by actually performing, managing, and supervising the work.

2.2.3. **Disadvantaged Business Enterprise (DBE).** A for-profit small business certified through the Texas Unified Certification Program in accordance with 49 CFR Part 26, that is at least 51% owned by one or more socially and economically disadvantaged individuals, or in the case of a publicly owned business, in which is at least 51% of the stock is owned by one or more socially and economically disadvantaged individuals, and whose management and daily business operations are controlled by one or more of the individuals who own it.

2.2.4. **DBE Joint Venture.** An association of a DBE firm and one or more other firms to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills, and knowledge, and



in which the DBE is responsible for a distinct, clearly defined portion of the work of the Contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.

- 2.2.5. **DOT.** The U.S. Department of Transportation, including the Office of the Secretary, the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Aviation Administration (FAA).
- 2.2.6. **Federal-Aid Contract.** Any Contract between the Department and a Contractor that is paid for in whole or in part with DOT financial assistance.
- 2.2.7. **Good Faith Effort.** All necessary and reasonable steps to achieve the contract goal which, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to obtain sufficient DBE participation, even if not fully successful. Good faith efforts are evaluated prior to award and throughout performance of the Contract. For guidance on good faith efforts, see 49 CFR Part 26, Appendix A.
- 2.2.8. **North American Industry Classification System (NAICS).** A designation that best describes the primary business of a firm. The NAICS is described in the North American Industry Classification Manual—United States, which is available on the Internet at the U.S. Census Bureau website: <http://www.census.gov/eos/www/naics/>.
- 2.2.9. **Race-Conscious.** A measure or program that is focused specifically on assisting only DBEs, including women-owned businesses.
- 2.2.10. **Race-Neutral DBE Participation.** Any participation by a DBE through customary competitive procurement procedures.
- 2.2.11. **Texas Unified Certification Program (TUCP) Directory.** An online directory listing all DBEs currently certified by the TUCP. The Directory identifies DBE firms whose participation on a Contract may be counted toward achievement of the assigned DBE Contract goal.
- 2.3. **Contractor's Responsibilities.**
- 2.3.1. **DBE Liaison Officer.** Designate a DBE liaison officer who will administer the Contractor's DBE program and who will be responsible for maintenance of records of efforts and contacts made to subcontract with DBEs.
- 2.3.2. **Compliance Tracking System (CTS).** This Contract is subject to electronic Contract compliance tracking. Contractors and DBEs are required to provide any noted and requested Contract compliance-related data electronically in the Department's tracking system. This includes commitments, payments, substitutions, and good faith efforts. Contractors and DBEs are responsible for responding by any noted response date or due date to any instructions or request for information, and to check the system on a regular basis. A Contractor is responsible for ensuring all DBEs have completed all requested items and that their contact information is accurate and up-to-date. The Department may require additional information related to the Contract to be provided electronically through the system at any time before, during, or after contract award. The system is web-based and can be accessed at the following Internet address: <https://txdot.txdotcms.com/>.
- In its sole discretion, the Department may require that contract compliance tracking data be submitted by Contractors and DBEs in an alternative format prescribed by the Department.
- 2.3.3. **Apparent Low Bidder.** The apparent low bidder must submit DBE commitments to satisfy the DBE goal or submit good faith effort Form 2603 and supporting documentation demonstrating why the goal could not be achieved, in whole or part, no later than 5 calendar days after bid opening. The means of transmittal and the risk of timely receipt of the information will be the bidder's responsibility and no extension of the 5-calendar-day timeframe will be allowed for any reason.

2.3.4. **DBE Contractor.** A DBE Contractor may receive credit toward the DBE goal for work performed by its own forces and work subcontracted to DBEs. In the event a DBE subcontracts to a non-DBE, that information must be reported monthly.

2.3.5. **DBE Committal.** Only those DBEs certified by the TUCP are eligible to be used for goal attainment. The Department maintains the TUCP DBE Directory. The Directory can be accessed at the following Internet address: <https://txdot.txdotcms.com/FrontEnd/VendorSearchPublic.asp?TN=txdot&XID=2340>.

A DBE must be certified on the day the commitment is considered and at time of subcontract execution. It is the Contractor's responsibility to ensure firms identified for participation are approved certified DBE firms.

The Bidder is responsible to ensure that all submittals are checked for accuracy. Any and all omissions, deletions, and/or errors that may affect the end result of the commitment package are the sole liabilities of the bidder.

Commitments in excess of the goal are considered race-neutral commitments.

2.3.6. **Good Faith Effort Requirements.** A Contractor who cannot meet the Contract goal, in whole or in part, must make adequate good faith efforts to obtain DBE participation as so stated and defined in 49 CFR Part 26, Appendix A.

2.3.6.1. **Administrative Reconsideration.** If the Department determines that the apparent low bidder has failed to satisfy the good faith efforts requirement, the Department will notify the Bidder of the failure and will give the Bidder an opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so..

The Bidder must request an administrative reconsideration of that determination within 3 days of the date of receipt of the notice. The request must be submitted directly to the Texas Department of Transportation, Civil Rights Division, 125 East 11th Street, Austin, Texas 78701-2483.

If a request for administrative reconsideration is not filed within the period specified the determination made is final and further administrative appeal is barred.

If a reconsideration request is timely received, the reconsideration decision will be made by the Department's DBE liaison officer or, if the DBE liaison officer took part in the original determination, the Department's executive director will appoint a department employee to perform the administrative reconsideration. The employee will hold a senior leadership position and will report directly to the executive director.

The meeting or written documentation must be provided or held within 7 days of the date the request was submitted.

The Department will provide to the Bidder a written decision if the Bidder did or did not make adequate good faith efforts to meet the Contract goal. The reconsideration decision is final and is not administratively appealed to DOT.

2.3.7. **Determination of DBE Participation.** The work performed by the DBE must be reasonably construed to be included in the work area and NAICS work code identified by the Contractor in the approved commitment.

Participation by a DBE on a Contract will not be counted toward DBE goals until the amount of the participation has been paid to the DBE.

Payments made to a DBE that was not on the original commitment may be counted toward the Contract goal if that DBE was certified as a DBE before the execution of the subcontract and has performed a Commercially Useful Function.

The total amount paid to the DBE for work performed with its own forces is counted toward the DBE goal. When a DBE subcontracts part of the work of its Contract to another firm, the value of the subcontracted work may be counted toward DBE goals only if the subcontractor is itself a DBE.

DBE Goal credit for the DBE subcontractors leasing of equipment or purchasing of supplies from the Contractor or its affiliates is not allowed. Project materials or supplies acquired from an affiliate of the Contractor cannot directly or indirectly (second or lower tier subcontractor) be used for DBE goal credit.

If a DBE firm is declared ineligible due to DBE decertification after the execution of the DBE's subcontract, the DBE firm may complete the work and the DBE firm's participation will be counted toward the Contract goal. If the DBE firm is decertified before the DBE firm has signed a subcontract, the Contractor is obligated to replace the ineligible DBE firm or demonstrate that it has made good faith efforts to do so.

The Contractor may count 100% of its expenditure to a DBE manufacturer. According to 49 CFR 26.55(e)(1)(i), a DBE manufacturer is a firm that operates or maintains a factory or establishment that produces, on the premises, the materials, supplies, articles, or equipment required under the Contract and of the general character described by the specifications.

The Contractor may count only 60% of its expenditure to a DBE regular dealer. According to 49 CFR 26.55(e)(2)(i), a DBE regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles, or equipment of the general character described by the specifications and required under the Contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. A firm may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business if the firm both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment must be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis. A long-term lease with a third-party transportation company is not eligible for 60% goal credit.

With respect to materials or supplies purchased from a DBE that is neither a manufacturer nor a regular dealer, the Contractor may count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site.

A Contractor may count toward its DBE goal a portion of the total value of the Contract amount paid to a DBE joint venture equal to the distinct, clearly defined portion of the work of the Contract performed by the DBE.

2.3.8. **Commercially Useful Function.** It is the Contractor's obligation to ensure that each DBE used on federal-assisted contracts performs a commercially useful function on the Contract.

The Department will monitor performance during the Contract to ensure each DBE is performing a CUF.

Under the terms established in 49 CFR 26.55, a DBE performs a CUF when it is responsible for execution of the work of the Contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved.

With respect to material and supplies used on the Contract, a DBE must be responsible for negotiating price, determining quality and quantity, ordering the material, installing the material, if applicable, and paying for the material itself.

With respect to trucking, the DBE trucking firm must own and operate at least one fully licensed, insured, and operational truck used on the Contract. The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Contract. The DBE may also lease trucks from a non-DBE firm, including from an owner-operator. The DBE that leases trucks equipped with drivers from a non-DBE is entitled to credit for the total value of transportation services provided by non-DBE leased trucks equipped with drivers not to exceed the value of transportation services on the Contract

provided by DBE-owned trucks or leased trucks with DBE employee drivers. Additional participation by non-DBE owned trucks equipped with drivers receives credit only for the fee or commission it receives as a result of the lease arrangement.

A DBE does not perform a CUF when its role is limited to that of an extra participant in a transaction, Contract, or project through which funds are passed in order to obtain the appearance of DBE participation. The Department will evaluate similar transactions involving non-DBEs in order to determine whether a DBE is an extra participant.

If a DBE does not perform or exercise responsibility for at least 30% of the total cost of its Contract with its own work force, or the DBE subcontracts a greater portion of the work than would be expected on the basis of normal industry practice for the type of work involved, the Department will presume that the DBE is not performing a CUF.

If the Department determines that a DBE is not performing a CUF, no work performed by such DBE will count as eligible participation. The denial period of time may occur before or after a determination has been made by the Department.

In case of the denial of credit for non-performance of a CUF, the Contractor will be required to provide a substitute DBE to meet the Contract goal or provide an adequate good faith effort when applicable.

- 2.3.8.1. **Rebuttal of a Finding of No Commercially Useful Function.** Consistent with the provisions of 49 CFR 26.55(c)(4)&(5), before the Department makes a final finding that no CUF has been performed by a DBE, the Department will notify the DBE and provide the DBE the opportunity to provide rebuttal information.

CUF determinations are not subject to administrative appeal to DOT.

- 2.3.9. **Joint Check.** The use of joint checks between a Contractor and a DBE is allowed with Department approval. To obtain approval, the Contractor must submit a completed Form 2178, "DBE Joint Check Approval," to the Department.

The Department will closely monitor the use of joint checks to ensure that such a practice does not erode the independence of the DBE nor inhibit the DBE's ability to perform a CUF. When joint checks are utilized, DBE credit toward the Contract goal will be allowed only when the subcontractor is performing a CUF in accordance with 49 CFR 26.55(c)(1).

Long-term or open-ended joint checking arrangements may be a basis for further scrutiny and may result in the lack of participation towards the Contract goal requirement if DBE independence cannot be established.

Joint checks will not be allowed simply for the convenience of the Contractor.

If the proper procedures are not followed or the Department determines that the arrangements result in a lack of independence for the DBE involved, no credit for the DBE's participation as it relates to the material cost will be used toward the Contract goal requirement, and the Contractor will need to make up the difference elsewhere on the project.

- 2.3.10. **DBE Termination and Substitution.** No DBE named in the commitment submitted under Section 2.3.5. will be terminated for convenience, in whole or part, without the Department's approval. This includes, but is not limited to, instances in which a Contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm.

Unless consent is provided, the Contractor will not be entitled to any payment for work or material unless it is performed or supplied by the listed DBE.

The Contractor, prior to submitting its request to terminate, must first give written notice to the DBE of its intent to terminate and the reason for the termination. The Contractor will copy the Department on the Notice of Intent to terminate.

The DBE has 5 calendar days to respond to the Contractor's notice and will advise the Contractor and the Department of the reasons, if any, why it objects to the proposed termination of its subcontract and why the Department should not approve the prime Contractor's request for termination.

The Department may provide a shorter response time if required in a particular case as a matter of public necessity.

The Department will consider both the Contractor's request and DBE's stated position prior to approving the request. The Department may provide a written approval only if it agrees, for reasons stated in its concurrence document, that the Contractor has good cause to terminate the DBE. If the Department does not approve the request, the Contractor must continue to use the committed DBE firm in accordance with the Contract. For guidance on what good cause includes, see 49 CFR 26.53.

Good cause does not exist if the Contractor seeks to terminate, reduce, or substitute a DBE it relied upon to obtain the Contract so that the Contractor can self-perform the work for which the DBE firm was engaged.

When a DBE subcontractor is terminated, make good faith efforts to find, as a substitute for the original DBE, another DBE to perform, at least to the extent needed to meet the established Contract goal, the work that the original DBE was to have performed under the Contract.

Submit the completed Form 2228, "DBE Termination Substitution Request," within seven (7) days, which may be extended for an additional 7 days if necessary at the request of the Contractor. The Department will provide a written determination to the Contractor stating whether or not good faith efforts have been demonstrated. If the Department determines that good faith efforts were not demonstrated, the Contractor will have the opportunity to appeal the determination to the Civil Rights Division.

- 2.3.11. **Reports and Records.** By the 15th of each month and after work begins, report payments to meet the DBE goal and for DBE race-neutral participation on projects with or without goals. These payment reports will be required until all DBE subcontracting or material supply activity is completed. Negative payment reports are required when no activity has occurred in a monthly period.

Notify the Area Engineer if payment to any DBE subcontractor is withheld or reduced.

Before receiving final payment from the Department, the Contractor must indicate a final payment on the compliance tracking system. The final payment is a summary of all payments made to the DBEs on the project.

All records must be retained for a period of 3 years following completion of the Contract work, and must be available at reasonable times and places for inspection by authorized representatives of the Department or the DOT. Provide copies of subcontracts or agreements and other documentation upon request.

- 2.3.12. **Failure to Comply.** If the Department determines the Contractor has failed to demonstrate good faith efforts to meet the assigned goal, the Contractor will be given an opportunity for reconsideration by the Department.

A Contractor's failure to comply with the requirements of this Special Provision will constitute a material breach of this Contract. In such a case, the Department reserves the right to terminate the Contract; to deduct the amount of DBE goal not accomplished by DBEs from the money due or to become due the Contractor; or to secure a refund, not as a penalty but as liquidated damages, to the Department or such other remedy or remedies as the Department deems appropriate.

- 2.3.13. **Investigations.** The Department may conduct reviews or investigations of participants as necessary. All participants, including, but not limited to, DBEs and complainants using DBE Subcontractors to meet the

Contract goal, are required to cooperate fully and promptly with compliance reviews, investigations, and other requests for information.

2.3.14.

**Falsification and Misrepresentation.** If the Department determines that a Contractor or subcontractor was a knowing and willing participant in any intended or actual subcontracting arrangement contrived to artificially inflate DBE participation or any other business arrangement determined by the Department to be unallowable, or if the Contractor engages in repeated violations, falsification, or misrepresentation, the Department may:

- refuse to count any fraudulent or misrepresented DBE participation;
- withhold progress payments to the Contractor commensurate with the violation;
- reduce the Contractor's prequalification status;
- refer the matter to the Office of Inspector General of the US Department of Transportation for investigation; and/or
- seek any other available contractual remedy.

# Special Provision Item 000

## Important Notice to Contractors



The Contractor's attention is directed to the fact that there are experience requirements associated with the Intelligent Transportation Systems (ITS) items contained on this project. The Contractor or its subcontractor must provide information to the Engineer that they meet these requirements with the initial submittals for the associated bid items and before installing or testing ITS items. Following are the ITS items and requirements that must be met if the item is on this project.

### Category A. Pulling Fiber Optic Cable.

Contractor or subcontractor must meet the following experience requirements:

- three years continuous existence offering services in the installation of fiber optic cable through an outdoor conduit system and terminating in ground boxes, field cabinets or enclosures, or buildings; and
- three completed projects where the personnel pulled fiber optic cable, minimum 5-mi. in length, through an outdoor conduit system for each project. The completed fiber optic cable systems must have been in continuous satisfactory operation for a minimum of 1 yr.

### Category B. Splicing and Testing of Fiber Optic Cable.

Contractor or subcontractor must meet the following experience requirements:

- three years continuous existence offering services in the fields of fusion splicing and testing of fiber optic cable installed through a conduit system and terminating in ground boxes, field cabinets or enclosures, or buildings. Experience must include the following:
  - termination of a minimum of 48 fibers within a fiber distribution frame,
  - optical time-domain reflectometer (OTDR) testing and measurement of end-to-end attenuation of single mode and multimode fibers,
  - system troubleshooting and maintenance,
  - training of personnel in system maintenance,
  - use of water-tight splice enclosures, and
  - fusion splicing of fiber optic cable which meet the tolerable dB losses listed in Table 1 below; and

**Table 1**  
**Sample Table**

Mode	dB Loss Range
Single mode	0.05–0.10
Multimode	0.20–0.30

- three completed projects where the personnel performed fiber optic cable splicing and terminations, system testing, system troubleshooting and maintenance during the course of the project and provided training on system maintenance. Each project must have consisted of a minimum 5-mi. length of fiber optic cable. The completed fiber optic cable systems must have been in continuous satisfactory operation for a minimum of 1 yr.

### Category C. System Integration.

Contractor or subcontractor must meet the following experience requirements:

- three years of providing system integration on wire line and wireless projects including, but not limited to, programming of layer-2 Ethernet switches, integrating into existing systems and coordination with traffic management centers; and

- three completed projects requiring system integration and configuration of hardware including but not limited to Ethernet switches, video encoders and decoders, and radios.

#### **Category D. Dynamic Message Sign (DMS) Installation.**

Contractor or subcontractor must meet the following experience requirements:

- three years continuous existence offering services in the installation of DMS signs; and
- three completed projects consisting of a minimum of two signs in each project where the personnel installed, integrated, and tested DMS on outdoor, permanently mounted overhead structures and related sign control equipment. The completed sign system installations must have been in continuous satisfactory operation for a minimum of 1 yr.; and
- one project (may be one of the three projects in the preceding paragraph) in which the personnel worked in cooperation with technical representatives of the equipment supplier to perform the installation, integration, or acceptance testing of the work. The Contractor will not be required to furnish equipment on this project from the same supplier who was referenced in the qualification documentation.

#### **Category E. Closed Circuit Television (CCTV) Equipment Installation.**

Contractor or subcontractor must meet the following experience requirements:

- three years continuous existence offering services in the installation of CCTV camera systems;
- three completed projects consisting of a minimum of five cameras in each project where the personnel installed, tested, and integrated CCTV cameras on outdoor, permanently mounted structures and related camera control and transmission equipment. The completed CCTV camera system installations must have been in continuous satisfactory operation for a minimum of 1 yr.; and
- one project (may be one of the three projects in the preceding paragraph) in which the personnel worked in cooperation with technical representatives of the equipment supplier to perform installation, integration, or acceptance testing of the work. The Contractor will not be required to furnish equipment on this project from the same supplier who was referenced in the qualification documentation.

#### **Category F. Wireless Communications.**

Contractor or subcontractor must meet the following experience requirements:

- three years continuous existence offering services in the installation of wireless communications. Experience must include the following:
  - conducting radio installation studies, which include signal noise studies, spectrum analysis, antenna gain and radio power calculations, system attenuation, and measurement of standing wave ratios;
  - Installation, troubleshooting, and repair of broadband radio systems, which include equipment installation, configuration of radios, antenna calibration, and cabling; and
  - Installation, troubleshooting, and repair of interconnected Ethernet networks (LAN and WAN), which include cabling, switch or router configuration, and network analysis; and
- three projects consisting of wireless communications installation, troubleshooting, and repair. Each project must include transmitting signals over a minimum of 1-mi. distance and installation of a minimum of three devices; and
- one project (may be one of the three projects in the preceding paragraph) in which the personnel worked in cooperation with technical representatives of the equipment supplier to perform installation, integration, or acceptance testing of the work. The Contractor will not be required to furnish equipment on this project from the same supplier who was referenced in the qualification documentation.



**Category G. Radar Detection Systems.**

Contractor or subcontractor must meet the following experience requirements:

- three years continuous existence offering services in the installation of radar detection systems. Experience must include the following:
  - freeway and arterial management,
  - forward fire and side fire applications,
  - single zone and dual beam detection, and
  - equipment setup, testing, and troubleshooting; and
- three projects consisting of installation, configuration, and setup of radar detection systems; and
- one project (may be one of the three projects in the preceding paragraph) in which the personnel worked in cooperation with technical representatives of the equipment supplier to perform installation, integration, or acceptance testing of the work. The Contractor will not be required to furnish equipment on this project from the same supplier who was referenced in the qualification documentation.

Should the Contractor have subcontractors which meet the above requirements, and should these subcontractors be unable to complete the ITS items contained within the project, the Contractor must resubmit qualification material on alternate subcontractors for approval before the applicable category of work can be continued.

# Special Provision 000

## Notice of Contractor Performance Evaluations



### 1. GENERAL

In accordance with Texas Transportation Code §223.012, the Engineer will evaluate Contractor performance based on quality, safety, and timeliness of the project.

### 2. DEFINITIONS

- 2.1. **Project Recovery Plan (PRP)**—a formal, enforceable plan developed by the Contractor, in consultation with the District, that documents the cause of noted quality, safety, and timeliness issues and specifies how the Contractor proposes to correct project-specific performance deficiencies.

In accordance with Title 43, Texas Administrative Code (TAC), §9.23, the District will request a PRP if the Contractor's performance on a project is below the Department's acceptable standards and will monitor the Contractor's compliance with the established plan.

- 2.2. **Corrective Action Plan (CAP)**—a formal, enforceable plan developed by the Contractor, and proposed for adoption by the Construction or Maintenance Division, that documents the cause of noted quality, safety, and timeliness issues and specifies how the Contractor proposes to correct statewide performance deficiencies.

In accordance with 43 TAC §9.23, the Division will request a CAP if the average of the Contractor's statewide final evaluation scores falls below the Department's acceptable standards for the review period and will monitor the Contractor's compliance with the established plan.

### 3. CONTRACTOR EVALUATIONS

In accordance with Title 43, Texas Administrative Code (TAC) §9.23, the Engineer will schedule evaluations at the following intervals, at minimum:

- Interim evaluations—at or within 30 days after the anniversary of the notice to proceed, for Contracts extending beyond 1 yr., and
- Final evaluation—upon project closeout.

In case of a takeover agreement, neither the Surety nor its performing Contractor will be evaluated.

In addition to regularly scheduled evaluations, the Engineer may schedule an interim evaluation at any time to formally communicate issues with quality, safety, or timeliness. Upon request, work with the Engineer to develop a PRP to document expectations for correcting deficiencies.

Comply with the PRP as directed. Failure to comply with the PRP may result in additional remedial actions available to the Engineer under Item 5, "Control of the Work." Failure to meet a PRP to the Engineer's satisfaction may result in immediate referral to the Performance Review Committee for consideration of further action against the Contractor.

The Engineer will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards or comply with a PRP, including consideration of sufficient time.

Follow the escalation ladder if there is a disagreement regarding an evaluation or disposition of a PRP. The Contractor may submit additional documentation pertaining to the dispute. The District Engineer's decision

on a Contractor's evaluation score and recommendation of action required in a PRP or follow up for non-compliance is final.

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#### **4. DIVISION OVERSIGHT**

Upon request of the Construction or Maintenance Division, develop and submit for Division approval a proposed CAP to document expectations for correcting deficiencies in the performance of projects statewide.

Comply with the CAP as directed. The CAP may be modified at any time up to completion or resolution after written approval of the premise of change from the Division. Failure to meet an adopted or revised adopted CAP to the Division's satisfaction within 120 days will result in immediate referral to the Performance Review Committee for consideration of further action against the Contractor.

The Division will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards or comply with a CAP, including consideration of sufficient time and associated costs as appropriate.

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#### **5. PERFORMANCE REVIEW COMMITTEE**

The Performance Review Committee, in accordance with 43 TAC §9.24, will review at minimum all final evaluations, history of compliance with PRPs, any adopted CAPs including agreed modifications, any information about events outside a Contractor's control contributing to the Contractor's performance, and any documentation submitted by the Contractor and may recommend one or more of the following actions:

- take no action,
- reduce the Contractor's bidding capacity,
- prohibit the Contractor from bidding on one or more projects,
- immediately suspend the Contractor from bidding for a specified period of time, by reducing the Contractor's bidding capacity to zero, or
- prohibit the Contractor from being awarded a Contract on which they are the apparent low bidder.

The Deputy Executive Director will determine any further action against the Contractor.

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#### **6. APPEALS PROCESS**

In accordance with 43 TAC §9.25, the Contractor may appeal remedial actions determined by the Deputy Executive Director.

## Special Provision to Item 2

### Instructions to Bidders



Item 2, "Instructions to Bidders," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2.3., "Issuing Proposal Forms,"** second paragraph, is supplemented by the following.

The Department will not issue a proposal form if one or more of the following apply:

- the Bidder or affiliate of the Bidder that was originally determined as the apparent low Bidder on a project, but was deemed nonresponsive for failure to submit a DBE commitment as specified in Article 2.14., "Disadvantaged Business Enterprise (DBE)," is prohibited from rebidding that specific project.

**Article 2.7., "Nonresponsive Bid,"** is supplemented by the following:

The Department will not accept a nonresponsive bid. A bid that has one or more of the deficiencies listed below is considered nonresponsive:

- the Bidder failed to submit a DBE commitment as specified in Article 2.14., "Disadvantaged Business Enterprise (DBE)."

**Article 2.14., "Disadvantaged Business Enterprise (DBE),"** is added.

The apparent low bidder must submit DBE commitment information on federally funded projects with DBE goals within 5 calendar days (as defined in 49 CFR Part 26, Subpart A) of bid opening. For a submission that meets the 5-day requirement, administrative corrections will be allowed.

If the apparent low Bidder fails to submit their DBE information within the specified timeframe, they will be deemed nonresponsive and the proposal guaranty will become the property of the State, not as a penalty, but as liquidated damages. The Bidder forfeiting the proposal guaranty will not be considered in future proposals for the same work unless there has been a substantial change in the design of the work. The Department may recommend that the Commission:

- reject all bids, or
- award the Contract to the new apparent low Bidder, if the new apparent low Bidder submits DBE information within one calendar day of notification by the Department.

If the new apparent low Bidder is unable to submit the required DBE information within one calendar day:

- the new apparent low Bidder will not be deemed nonresponsive,
- the new apparent low Bidder's guaranty will not be forfeited,
- the Department will reject all bids, and
- the new apparent low Bidder will remain eligible to receive future proposals for the same project.

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## Special Provision to Item 2

### Instructions to Bidders

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Item 2, "Instructions to Bidders" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 3., "Issuing Proposal Forms,"** is supplemented by the following:

The Electronic State Business Daily (ESBD), the Integrated Contractor Exchange (iCX) system, and the project proposal are the official sources of advertisement and bidding information for the State and Local Lettings. Bidders should bid the project using the information found therein, including any addenda. These sources take precedence over information from other sources, including TxDOT webpages, which are unofficial and intended for informational purposes only.

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## Special Provision to Item 2

### Instructions to Bidders

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Item 2, "Instructions to Bidders," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 2.8.2., "Proposal Guaranty,"** third paragraph is replaced by the following.

It is the Bidder's responsibility to ensure the electronic bid bond is issued in the name or Department vendor identification numbers of the Bidder or Bidders.

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## Special Provision to Item 2

### Instructions to Bidders

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Item 2, "Instructions to Bidders," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2.3., "Issuing Proposal Forms,"** is supplemented by the following:

- the Bidder or affiliate of the Bidder that was originally determined as the apparent low Bidder on a project but was deemed nonresponsive for failure to register or participate in the Department of Homeland Security's (DHS) E-Verify system as specified in Article 2.15., "Department of Homeland Security (DHS) E-Verify System," is prohibited from rebidding that specific project.

**Article 2.7., "Nonresponsive Bid,"** is supplemented by the following:

- the Bidder failed to participate in the Department of Homeland Security's (DHS) as specified in Article 2.15., "Department of Homeland Security (DHS) E-Verify System."

**Article 2.15., "Department of Homeland Security (DHS) E-Verify System,"** is added.

The Department will not award a Contract to a Contractor that is not registered in the DHS E-Verify system. Remain active in E-Verify throughout the life of the Contract. In addition, in accordance with paragraph six of Article 8.2., "Subcontracting," include this requirement in all subcontracts and require that subcontractors remain active in E-Verify until their work is completed.

If the apparent low Bidder does not appear in the DHS E-Verify system before award, the Contractor must submit documentation showing that they are compliant within 5 calendar days after bid opening. A Contractor that fails to comply or respond within the deadline will be declared nonresponsive. The Bidder forfeiting the proposal guaranty will not be considered in future proposals for the same work unless there has been a substantial change in the scope of the work.

The Department may recommend that the Commission:

- reject all bids, or
- award the Contract to the new apparent low Bidder, if the Department is able to verify the Bidder's participation in the DHS E-Verify system.

If the Department is unable to verify the new apparent low Bidder's participation in the DHS E-Verify system:

- the new apparent low Bidder will not be deemed nonresponsive,
- the new apparent low Bidder's guaranty will not be forfeited,
- the Department will reject all bids,
- the new apparent low Bidder will remain eligible to receive future proposals for the same project, and
- the proposal guaranty of the original low bidder will become the property of the State, not as a penalty, but as liquidated damages.

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## Special Provision to Item 3 Award and Execution Contract

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Item 3, Award and Execution of Contract," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 4.3, "Insurance."** The first sentence is voided and replaced by the following:

For construction and building Contracts, submit a certificate of insurance showing coverages in accordance with Contract requirements. For routine maintenance Contracts, refer to Article 8, "Beginning of Work."

**Article 8, "Beginning of Work."** The first sentence is supplemented by the following:

For a routine maintenance Contract, do not begin work until a certificate of insurance showing coverages in accordance with the Contract requirements is provided and accepted.



## Special Provision to Item 3

### Award and Execution of Contract



Item 3, "Award and Execution of Contract" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 4.3 "Insurance" is being amended by the following:**

**Table 2**  
**Insurance Requirements**

<b>Type of Insurance</b>	<b>Amount of Coverage</b>
Commercial General Liability Insurance	Not Less Than: \$600,000 each occurrence
Business Automobile Policy	Not Less Than: \$600,000 combined single limit
Workers' Compensation	Not Less Than: Statutory
All Risk Builder's Risk Insurance (For building-facilities contracts only)	100% of Contract Price

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## Special Provision to Item 5

### Control of the Work

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Item 5, "Control of the Work," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 5.1, "Authority of Engineer,"** is voided and replaced by the following.

The Engineer has the authority to observe, test, inspect, approve, and accept the work. The Engineer decides all questions about the quality and acceptability of materials, work performed, work progress, Contract interpretations, and acceptable Contract fulfillment. The Engineer has the authority to enforce and make effective these decisions.

The Engineer acts as a referee in all questions arising under the terms of the Contract. The Engineer's decisions will be final and binding.

The Engineer will pursue and document actions against the Contractor as warranted to address Contract performance issues. Contract remedies include, but are not limited to, the following:

- conducting interim performance evaluations requiring a Project Recovery Plan, in accordance with Title 43, Texas Administrative Code (TAC) §9.23,
- requiring the Contractor to remove and replace defective work, or reducing payment for defective work,
- removing an individual from the project,
- suspending the work without suspending working day charges,
- assessing standard liquidated damages to recover the Department's administrative costs, including additional project-specific liquidated damages when specified in the Contract in accordance with 43 TAC §9.22,
- withholding estimates,
- declaring the Contractor to be in default of the Contract, and
- in case of a Contractor's failure to meet a Project Recovery Plan, referring the issue directly to the Performance Review Committee for consideration of further action against the Contractor in accordance with 43 TAC §9.24.

The Engineer will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards, including consideration of sufficient time.

Follow the issue escalation ladder if there is disagreement regarding the application of Contract remedies.

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## Special Provision to Item 5

### Control of the Work

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Item 5, "Control of the Work" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 5.4, "Coordination of Plans, Specifications, and Special Provisions," the last sentence of the last paragraph is replaced by the following:**

Failure to promptly notify the Engineer will constitute a waiver of all contract claims against the Department for misunderstandings or ambiguities that result from the errors, omissions, or discrepancies.

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## Special Provision to Item 6

### Control of Materials

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For this project, Item 6, "Control of Materials," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Article 4., "Sampling, Testing, and Inspection,"** is supplemented by the following:

Meet with the Engineer and choose either the Department or a Department-selected Commercial Lab (CL) for conducting the subset of project-level sampling and testing shown in Table 1, "Select Guide Schedule Sampling and Testing." Selection may be made on a test by test basis. CLs will meet the testing turnaround times shown (includes test time and time for travel/sampling and reporting) and in all cases issue test reports as soon as possible.

If the Contractor chooses a Department-selected CL for any Table 1 sampling and testing:

- notify the Engineer, District Lab, and the CL of project scheduling that may require CL testing;
- provide the Engineer, District Lab, and CL at least 24 hours' notice by phone and e-mail;
- reimburse the Department for CL Table 1 testing using the contract fee schedule for the CL (including mileage and travel/standby time) at the minimum guide schedule testing frequencies;
- reimburse the Department for CL Table 1 testing above the minimum guide schedule frequencies for retesting when minimum frequency testing results in failures to meet specification limits;
- agree with the Engineer and CL upon a policy regarding notification for testing services;
- give any cancellation notice to the Engineer, District Lab, and CL by phone and e-mail;
- reimburse the Department a \$150 cancellation fee to cover technician time and mileage charges for previously scheduled work cancelled without adequate notice, which resulted in mobilization of technician and/or equipment by the CL; and
- all CL charges will be reimbursed to the Department by a deduction from the Contractor's monthly pay estimate.

If the CL does not meet the Table 1 turnaround times, testing charge to the Contractor will be reduced by 50% for the first late day and an additional 5% for each succeeding late day.

Approved CL project testing above the minimum testing frequencies in the Guide Schedule of Sampling and Testing, and not as the result of failing tests, will be paid by the Department.

Other project-level Guide Schedule sampling and testing not shown on Table 1 will be the responsibility of the Department.

**Table 1**  
**Select Guide Schedule Sampling and Testing (Note 1)**

<b>TxDOT Test</b>	<b>Test Description</b>	<b>Turn-Around Time (Calendar days)</b>
<b>SOILS/BASE</b>		
Tex-101-E	Preparation of Soil and Flexible Base Materials for Testing (included in other tests)	
Tex-104-E	Liquid Limit of Soils (included in 106-E)	
Tex-105-E	Plastic Limit of Soils (included in 106-E)	
Tex-106-E	Calculating the Plasticity Index of Soils	7
Tex-110-E	Particle Size Analysis of Soils	6
Tex-113-E	Moisture-Density Relationship of Base Materials	7
Tex-114-E	Moisture-Density Relationship of Subgrade and Embankment Soil	7
Tex-115-E	Field Method for In-Place Density of Soils and Base Materials	2
Tex-116-E	Ball Mill Method for the Disintegration of Flexible Base Material	5
Tex-117-E, Part II	Triaxial Compression Tests For Disturbed Soils and Base Materials (Part II)	6
Tex-113-E w/ Tex-117-E	Moisture-Density Relationship of Base Materials <b>with</b> Triaxial Compression Tests For Disturbed Soils and Base Materials (Part II)	10
Tex-140-E	Measuring Thickness of Pavement Layer	2
Tex-145-E	Determining Sulfate Content in Soils - Colorimetric Method	4
<b>HOT MIX ASPHALT</b>		
Tex-200-F	Sieve Analysis of Fine and Coarse Aggregate (dry, from ignition oven with known correction factors)	1 (Note 2)
Tex-203-F	Sand Equivalent Test	3
Tex-206-F, w/ Tex-207-F, Part I, w/ Tex-227-F	<b>(Lab-Molded Density of Production Mixture – Texas Gyrotory)</b> Method of Compacting Test Specimens of Bituminous Mixtures <b>with</b> Density of Compacted Bituminous Mixtures, Part I - Bulk Specific Gravity of Compacted Bituminous Mixtures, <b>with</b> Theoretical Maximum Specific Gravity of Bituminous Mixtures	1 (Note 2)
Tex-207-F, Part I &/or Part VI	<b>(In-Place Air Voids of Roadway Cores)</b> Density of Compacted Bituminous Mixtures, Part I- Bulk Specific Gravity of Compacted Bituminous Mixtures <b>&amp;/or</b> Part VI - Bulk Specific Gravity of Compacted Bituminous Mixtures Using the Vacuum Method	1 (Note 2)
Tex-207-F, Part V	Density of Compacted Bituminous Mixtures, Part V- Determining Mat Segregation using a Density-Testing Gauge	3
Tex-207-F, Part VII	Density of Compacted Bituminous Mixtures, Part VII - Determining Longitudinal Joint Density using a Density-Testing Gauge	4
Tex-212-F	Moisture Content of Bituminous Mixtures	3
Tex-217-F	Deleterious Material and Decantation Test for Coarse Aggregate	4
Tex-221-F	Sampling Aggregate for Bituminous Mixtures, Surface Treatments, and LRA (included in other tests)	
Tex-222-F	Sampling Bituminous Mixtures (included in other tests)	
Tex-224-F	Determination of Flakiness Index	3
Tex-226-F	Indirect Tensile Strength Test (production mix)	4
Tex-235-F	Determining Draindown Characteristics in Bituminous Materials	3
Tex-236-F (Correction Factors)	Asphalt Content from Asphalt Paving Mixtures by the Ignition Method (Determining Correction Factors)	4
Tex-236-F	Asphalt Content from Asphalt Paving Mixtures by the Ignition Method (Production Mixture)	1 (Note 2)
Tex-241-F w/ Tex-207-F, Part I, w/ Tex-227-F	<b>(Lab-Molded Density of Production Mixture – Superpave Gyrotory)</b> Superpave Gyrotory Compacting of Specimens of Bituminous Mixtures (production mixture) <b>with</b> Density of Compacted Bituminous Mixtures, Part I- Part I - Bulk Specific Gravity of Compacted Bituminous Mixtures, <b>with</b> Theoretical Maximum Specific Gravity of Bituminous Mixtures	1 (Note 2)
Tex-242-F	Hamburg Wheel-Tracking Test (production mix, molded samples)	3
Tex-244-F	Thermal Profile of Hot Mix Asphalt	1
Tex-246-F	Permeability of Water Flow of Hot Mix Asphalt	3
Tex-280-F	Flat and Elongated Particles	3
Tex-530-C	Effect of Water on Bituminous Paving Mixtures (production mix)	4

AGGREGATES		
Tex-400-A	Sampling Flexible Base, Stone, Gravel, Sand, and Mineral Aggregates	3
Tex-410-A	Abrasion of Coarse Aggregate Using the Los Angeles Machine	5
Tex-411-A	Soundness of Aggregate by Use of Sodium Sulfate or Magnesium Sulfate	12
Tex-461-A	Degradation of Coarse Aggregate by Micro-Deval Abrasion	5
CHEMICAL		
Tex-612-J	Acid Insoluble Residue for Fine Aggregate	4
GENERAL		
HMA Production Specialist [TxAPA – Level 1-A] (\$/hr)		
HMA Roadway Specialist [TxAPA – Level 1-B] (\$/hr)		
Technician Travel/Standby Time (\$/hr)		
Per Diem (\$/day – meals and lodging)		
Mileage Rate (\$/mile from closest CL location)		
<b>Note 1– Turn-Around Time includes test time and time for travel/sampling and reporting.</b> <b>Note 2 – These tests require turn-around times meeting the governing specifications. Provide test results within the stated turn-around time.</b> <b>CL is allowed one additional day to provide the signed and sealed report.</b>		

# Special Provision to Item 6

## Control of Materials



Item 6, "Control of Materials" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 6.10., "Hazardous Materials,"** is voided and replaced by the following:

Comply with the requirements of Article 7.12., "Responsibility for Hazardous Materials."

Notify the Engineer immediately when a visual observation or odor indicates that materials on sites owned or controlled by the Department may contain hazardous materials. Except as noted herein, the Department is responsible for testing, removing, and disposing of hazardous materials not introduced by the Contractor. The Engineer may suspend work wholly or in part during the testing, removing, or disposing of hazardous materials, except in the case where hazardous materials are introduced by the Contractor.

Use materials that are free of hazardous materials. Notify the Engineer immediately if materials are suspected to contain hazardous materials. If materials delivered to the project by the Contractor are suspected to contain hazardous materials, have an approved commercial laboratory test the materials for the presence of hazardous materials as approved. Remove, remediate, and dispose of any of these materials found to contain hazardous materials. The work required to comply with this section will be at the Contractor's expense if materials are found to contain hazardous materials. Working day charges will not be suspended and extensions of working days will not be granted for activities related to handling hazardous material introduced by the Contractor. If suspected materials are not found to contain hazardous materials, the Department will reimburse the Contractor for hazardous materials testing and will adjust working day charges if the Contractor can show that this work impacted the critical path.

**10.1. Painted Steel Requirements.** Coatings on existing steel contain hazardous materials unless otherwise shown on the plans. Remove paint and dispose of steel coated with paint containing hazardous materials in accordance with the following:

**10.1.1. Removing Paint From Steel** For contracts that are specifically for painting steel, Item 446, "Field Cleaning and Painting Steel" will be included as a pay item. Perform work in accordance with that item.

For projects where paint must be removed to allow for the dismantling of steel or to perform other work, the Department will provide for a separate contractor (third party) to remove paint containing hazardous materials prior to or during the Contract. Remove paint covering existing steel shown not to contain hazardous materials in accordance with Item 446, "Field Cleaning and Painting Steel."

**10.1.2. Removal and Disposal of Painted Steel.** For steel able to be dismantled by unbolting, paint removal will not be performed by the Department. The Department will remove paint, at locations shown on the plans or as agreed, for the Contractor's cutting and dismantling purposes. Utilize Department cleaned locations for dismantling when provided or provide own means of dismantling at other locations.

Painted steel to be retained by the Department will be shown on the plans. For painted steel that contains hazardous materials, dispose of the painted steel at a steel recycling or smelting facility unless otherwise shown on the plans. Maintain and make available to the Engineer invoices and other records obtained from the facility showing the received weight of the steel and the facility name. Dispose of steel that does not contain hazardous material coatings in accordance with federal, state and local regulations.

**10.2. Asbestos Requirements.** The plans will indicate locations or elements where asbestos containing materials (ACM) are known to be present. Where ACM is known to exist or where previously unknown ACM has been found, the Department will arrange for abatement by a separate contractor prior to or during the Contract. Notify the Engineer of proposed dates of demolition or removal of structural elements with ACM at least 60 days before beginning work to allow the Department sufficient time for abatement.

The Department of State Health Services (DSHS), Asbestos Programs Branch, is responsible for administering the requirements of the National Emissions Standards for Hazardous Air Pollutants, 40 CFR Part 61, Subpart M and the Texas Asbestos Health Protection Rules (TAHPR). Based on EPA guidance and regulatory background information, bridges are considered to be a regulated "facility" under NESHAP. Therefore, federal standards for demolition and renovation apply.

The Department is required to notify the DSHS at least 10 working days (by postmarked date) before initiating demolition or renovation of each structure or load bearing member shown on the plans. If the actual demolition or renovation date is changed or delayed, notify the Engineer in writing of the revised dates in sufficient time to allow for the Department's notification to DSHS to be postmarked at least 10 days in advance of the actual work.

Failure to provide the above information may require the temporary suspension of work under Article 8.4., "Temporary Suspension of Work or Working Day Charges," due to reasons under the control of the Contractor. The Department retains the right to determine the actual advance notice needed for the change in date to address post office business days and staff availability.

**10.3. Lead Abatement.** Provide traffic control as shown on the plans, and coordinate and cooperate with the third party and the Department for managing or removing hazardous materials. Work for the traffic control shown on the plans and coordination work will not be paid for directly but will be subsidiary to pertinent Items.



# Special Provision to Item 6

## Control of Materials



Item 6, "Control of Materials" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 1.1. "Buy America,,"** This section is voided and replaced by the following:

- 1.1. **Buy America.** Comply with the latest provisions of Build America, Buy America Act (BABA Act) of the Bipartisan Infrastructure Law and applicable CFR, which restrict funds being made available from Federal financial assistance programs unless all the iron products, steel products, manufactured products, and construction materials used in the project are produced in the United States. Use iron or steel products, manufactured products, or construction materials produced in the United States for all permanently installed materials and products except when defined in Section 1.1.5., "Buy America Exceptions."

A material is solely classified based on its status at the time it is brought to the work site as either an iron or steel product, construction material, manufactured product, or Section 70917(c) material. Refer to the Buy America Material Classification Sheet found in the general notes or [txdot.gov](http://txdot.gov) for additional clarification on material classification.

- 1.1.1. **Iron or Steel.** Iron or steel products means articles, materials, or supplies that consist of iron or steel or a combination of both. For iron or steel products, manufacturing includes any process that modifies the chemical content, physical shape or size, or final finish of a product. The manufacturing process begins with initial melting and mixing and continues through fabrication (cutting, drilling, welding, bending, etc.) and coating (paint, galvanizing, epoxy, etc.).

For iron or steel products submit a notarized original FORM D-9-USA-1 (Department Form 1818) with the proper attachments for verification of compliance.

- 1.1.2. **Section 70917(c) Materials.** Section 70917(c) materials mean cement and cementitious material; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives. Section 70917(c) materials do not require domestic sourcing or Buy America certification.

- 1.1.3. **Construction Materials.** Construction materials are classified as articles, materials, or supplies that consist of only one of the items listed in bullets below. Minor additions (as determined by plans or Engineer) to any of the items listed is still a construction material.

- non-ferrous metals,
- plastic and polymer-based products (including polyvinyl chloride, composite building materials, and polymers used in fiber optic cables),
- glass (including optic glass),
- fiber optic cable (including drop cable),
- optical fiber,
- lumber,
- engineered wood, or
- drywall.

For construction materials, submit a Construction Material Buy America Certification Form (Department Form 2806) for verification of compliance that all manufacturing processes, as required, occurred in the

United States. Each construction material has specific certification requirements stated below. Provide additional documentation as requested.

Details shown on the plans provide additional clarification on Buy America requirements.

For non-ferrous metals, certification requires all manufacturing processes, from initial smelting or melting through final shaping, coating, and assembly, occurred in the United States.

For plastic and polymer-based products (including polyvinyl chloride, composite building materials, and polymers used in fiber optic cables), certification requires all manufacturing processes, from initial combination of constituent plastic or polymer-based inputs, or, where applicable, constituent composite materials, until the item is in its final form, occurred in the United States.

For glass (including optic glass), certification requires all manufacturing processes, from initial batching and melting of raw materials through annealing, cooling, and cutting, occurred in the United States.

For fiber optic cable (including drop cable), certification requires all manufacturing processes, from the initial ribboning (if applicable), through buffering, fiber stranding and jacketing, occurred in the United States. All manufacturing processes also include the standards for glass and optical fiber, but not for non-ferrous metals, plastic and polymer-based products, or any others.

For optical fiber, certification requires all manufacturing processes, from the initial preform fabrication stage through the completion of the draw, occurred in the United States.

For lumber, certification requires all manufacturing processes, from initial debarking through treatment and planing, occurred in the United States.

For engineered wood, certification requires all manufacturing processes from the initial combination of constituent materials until the wood product is in its final form, occurred in the United States.

For drywall, certification requires all manufacturing processes, from initial blending of mined or synthetic gypsum plaster and additives through cutting and drying of sandwiched panels, occurred in the United States.

1.1.4. **Manufactured Products.** Materials classified as a manufactured product are currently waived from Buy America requirements by an FHWA general waiver and are not required to be domestically sourced. However, iron or steel products incorporated into manufactured products must meet iron and steel compliance requirements.

1.1.5. **Buy America Exceptions.** Use of iron, steel, construction materials, and manufactured products manufactured in the United States is required unless the material meets an exception below.

- A waiver exists exempting the material from Buy America compliance.
- The total value of the non-compliant products (other than iron or steel products) is no more than the lesser of \$1,000,000 or 5% of Total Applicable Costs for the project. Total Applicable Cost means the actual cost of all materials requiring Buy America compliance including iron, steel, or other materials that are within the scope of existing waivers. Contractor must provide documentation showing under threshold in advance for Engineer's consideration.
- The total value of foreign iron and steel products, including delivery, does not exceed 0.1% of the total Contract cost or \$2,500, whichever is greater. Contractor must provide documentation showing under threshold in advance for Engineer's consideration.
- Foreign steel may be allowed when the Contract contains an alternate item for a foreign source iron or steel product and the Contract is awarded based on the alternate item.

- The materials are temporarily installed or are supplies, tools and equipment not incorporated into the project. Temporarily installed means the materials and products must be removed at the end of the project or may be removed at the contractor's convenience with Engineers approval.

# Special Provision to Item 7

## Legal Relations and Responsibilities



Item 7, "Legal Relations and Responsibilities," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 7.7.2., "Texas Pollutant Discharge Elimination System (TPDES) Permits and Storm Water Pollution Prevention Plans (SWP3)," is voided and replaced by the following:**

**7.2. Texas Pollution Discharge Elimination System (TPDES) Permits and Storm Water Pollution Prevention Plans (SWP3).**

**7.2.1. Projects with less than one acre of soil disturbance including required associated project specific locations (PSL's) per TPDES GP TXR 150000.**

No posting or filing will be required for soil disturbances within the right of way. Adhere to the requirements of the SWP3.

**7.2.2. Projects with one acre but less than five acres of soil disturbance including required associated PSL's per TPDES GP TXR 150000.**

The Department will be considered a primary operator for Operational Control Over Plans and Specifications as defined in TPDES GP TXR 150000 for construction activity in the right of way. The Department will post a small site notice along with other requirements as defined in TPDES GP TXR 150000 as the entity of having operational control over plans and specifications for work shown on the plans in the right of way.

The Contractor will be considered a Primary Operator for Day-to-Day Operational Control as defined in TPDES GP TXR 150000 for construction activity in the right of way. In addition to the Department's actions, the Contractor will post a small site notice along with other requirements as defined in TPDES GP TXR 150000 as the entity of having day-to-day operational control of the work shown on the plans in the right of way. This is in addition to the Contractor being responsible for TPDES GP TXR 150000 requirements for on- right of way and off- right of way PSL's. Adhere to all requirements of the SWP3 as shown on the plans. The Contractor will be responsible for Implement the SWP3 for the project site in accordance with the plans and specifications, TPDES General Permit TXR150000, and as directed.

**7.2.3. Projects with 5 acres or more of soil disturbance including required associated PSL's per TPDES GP TXR 150000.**

The Department will be considered a primary operator for Operational Control Over Plans and Specifications as defined in TPDES GP TXR 150000 for construction activities in the right of way. The Department will post a large site notice, file a notice of intent (NOI), notice of change (NOC), if applicable, and a notice of termination (NOT) along with other requirements per TPDES GP TXR 150000 as the entity having operational control over plans and specifications for work shown on the plans in the right of way.

The Contractor will be considered a primary operator for Day-to-Day Operational Control as defined in TPDES GP TXR 150000 for construction activities in the right of way. In addition to the Department's actions, the Contractor shall file a NOI, NOC, if applicable, and NOT and post a large site notice along with other requirements as the entity of having day-to-day operational control of the work shown on the plans in the right of way. This is in addition to the Contractor

being responsible for TPDES GP TXR 150000 requirements for on- right of way and off- right of way PSL's. Adhere to all requirements of the SWP3 as shown on the plans.

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## Special Provision to Item 7

### Legal Relations and Responsibilities

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Item 7, "Legal Relations and Responsibilities" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 19.1., Minimum Wage Requirements for Federally Funded Contracts.** The second paragraph is voided and replaced by the following:

Submit electronic payroll records to the Engineer using the Department's payroll system.

**Section 19.2., Minimum Wage Requirements for State Funded Contracts.** The second paragraph is voided and replaced by the following:

Submit electronic payroll records to the Engineer using the Department's payroll system.

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## Special Provision to Item 7

### Legal Relations and Responsibilities

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Item 7, "Legal Relations and Responsibilities," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 7.2.4., "Public Safety and Convenience."** The first paragraph is deleted and replaced by the following.

Ensure the safety and convenience of the public and property as provided in the Contract and as directed. Keep existing roadways open to traffic or construct and maintain detours and temporary structures for safe public travel. Manage construction to minimize disruption to traffic. Maintain the roadway in a good and passable condition, including proper drainage and provide for ingress and egress to adjacent property.

If the construction of the project requires the closing of a highway, as directed, coordinate the closure with the Engineer and work to ensure all lanes and ramps possible are available during peak traffic periods before, during, and after significant traffic generator events to avoid any adverse economic impact on the municipalities during:

- dates or events as shown on the plans, and
- other dates as directed.

# Special Provision to Item 007

## Legal Relations and Responsibilities



Item 7, "Legal Relations and Responsibilities," of the Standard Specifications is amended with respect to the clauses cited below.

**Section 2.6., "Barricades, Signs, and Traffic Handling,"** the first paragraph is voided and replaced by the following:

- 2.6. **Barricades, Signs, and Traffic Handling.** Comply with the requirements of Item 502 "Barricades, Signs, and Traffic Handling," and as directed. Provide traffic control devices that conform to the details shown on the plans, the TMUTCD, and the Department's Compliant Work Zone Traffic Control Device List maintained by the Traffic Safety Division. When authorized or directed, provide additional signs or traffic control devices not required by the plans.

**Section 2.6.1., "Contractor Responsible Person and Alternative,"** is voided and replaced by the following:

- 2.6.1. **Contractor Responsible Person and Alternative.** Designate in writing, a Contractor's Responsible Person (CRP) and an alternate to be the representative of the Contractor who is responsible for taking or directing corrective measures regarding the traffic control. The CRP or alternate must be accessible by phone 24 hr. per day and able to respond when notified. The CRP and alternate must comply with the requirements of Section 2.6.5., "Training."

**Section 2.6.2, "Flaggers,"** the first paragraph is voided and replaced by the following:

- 2.6.2. **Flaggers.** Designate in writing, a flagger instructor who will serve as a flagging supervisor and is responsible for training and assuring that all flaggers are qualified to perform flagging duties. Certify to the Engineer that all flaggers will be trained and make available upon request a list of flaggers trained to perform flagging duties.

**Section 2.6.5, "Training,"** is voided and replaced by the following:

- 2.6.5. **Training.** Train workers involved with the traffic control using Department-approved training as shown on the "Traffic Control Training" Material Producer List.

Coordinate enrollment, pay associated fees, and successfully complete Department-approved training or Contractor-developed training. Training is valid for the period prescribed by the provider. Except for law enforcement personnel training, refresher training is required every 4 yr. from the date of completion unless otherwise specified by the course provider. The Engineer may require training at a frequency instead of the period prescribed based on the Department's needs. Training and associated fees will not be measured or paid for directly but are considered subsidiary to pertinent Items.

Certify to the Engineer that workers involved in traffic control and other work zone personnel have been trained and make available upon request a copy of the certification of completion to the Engineer. Ensure the following is included in the certification of completion:

- name of provider and course title,
- name of participant,
- date of completion, and
- date of expiration.



Where Contractor-developed training or a Department-approved training course does not produce a certification, maintain a log of attendees. Make the log available upon request. Ensure the log is legible and includes the following:

- printed name and signature of participant,
- name and title of trainer, and
- date of training.

2.6.5.1. **Contractor-developed Training.** Develop and deliver Contractor-developed training meeting the minimum requirements established by the Department. The outline for this training must be submitted to the Engineer for approval at the preconstruction meeting. The CRP or designated alternate may deliver the training instead of the Department-approved training. The work performed and materials furnished to develop and deliver the training will not be measured or paid for directly but will be considered subsidiary to pertinent Items.

2.6.5.1.1. **Flagger Training Minimum Requirements.** A Contractor's certified flagging instructor is permitted to train other flaggers.

2.6.5.1.2. **Optional Contractor-developed Training for Other Work Zone Personnel.** For other work zone personnel, the Contractor may provide training meeting the curriculum shown below instead of Department-approved training.

Minimum curriculum for Contractor-provided training is as follows:

Contractor-developed training must provide information on the use of personnel protection equipment, occupational hazards and health risks, and other pertinent topics related to traffic management. The type and amount of training will depend on the job duties and responsibilities. Develop training applicable to the work being performed. Develop training to include the following topics.

- The Life You Save May Be Your Own (or other similar company safety motto).
- Purpose of the training.
  - It's the Law.
  - To make work zones safer for workers and motorist.
  - To understand what is needed for traffic control.
  - To save lives including your own.
- Personal and Co-Worker Safety.
  - **High Visibility Safety Apparel.** Discuss compliant requirements; inspect regularly for fading and reduced reflective properties; if night operations are required, discuss the additional and appropriate required apparel in addition to special night work risks; if moving operations are underway, discuss appropriate safety measures specific to the situation and traffic control plan.
  - **Blind Areas.** A blind area is the area around a vehicle or piece of construction equipment not visible to the operators, either by line of sight or indirectly by mirrors. Discuss the "Circle of Safety" around equipment and vehicles; use of spotters; maintain eye contact with equipment operators; and use of hand signals.
  - **Runovers and Backovers.** Remain alert at all times; keep a safe distance from traffic; avoid turning your back to traffic and if you must then use a spotter; and stay behind protective barriers, whenever possible. Note: It is not safe to sit on or lean against a concrete barrier, these barriers can deflect four plus feet when struck by a vehicle.
  - Look out for each other, warn co-workers.
  - Be courteous to motorists.
  - Do not run across active roadways.
  - Workers must obey traffic laws and drive courteously while operating vehicles in the work zones.
  - Workers must be made aware of company distracted driving policies.
- **Night Time Operations.** Focus should be placed on projects with a nighttime element.

- **Traffic Control Training.** Basics of Traffic Control.
  - Identify work zone traffic control supervisor and other appropriate persons to report issues to when they arise.
  - Emphasize that work zone traffic control devices must be in clean and in undamaged condition. If devices have been hit but not damaged, put back in their correct place and report to traffic control supervisor. If devices have been damaged, replace with new one and report to traffic control supervisor. If devices are dirty, faded or have missing or damaged reflective tape clean or replace and report to traffic control supervisor. Show examples of non-acceptable device conditions. Discuss various types of traffic control devices to be used and where spacing requirements can be found.
  - **Channelizing Devices and Barricades with Slanted Stripes.** Stripes are to slant in the direction you want traffic to stay or move to; demonstrate this with a device.
  - **Traffic Queuing.** Workers must be made aware of traffic queuing and the dangers created by it. Workers must be instructed to immediately notify the traffic control supervisor and other supervisory personnel if traffic is queuing beyond advance warning sign and devices or construction limits.
  - **Signs.** Signs must be straight and not leaning. Report problems to the traffic control supervisor or other as designated for immediate repair. Covered signs must be fully covered. If covers are damaged or out of place, report to traffic control supervisor or other as designated.

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## Special Provision to Item 7

### Legal Relations and Responsibilities

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Item 7, "Legal Relations and Responsibilities" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 7.20., "Security Incidents,"** is added.

- 20.1. Reporting of Security Incidents.** Immediately notify the Department's [Cyber Security Operations Center \(CSOC\) via the Report Cybersecurity Incident Page](#) on [www.txdot.gov](http://www.txdot.gov), of any potential cybersecurity incident or breach involving Department data. A breach of system security is the unauthorized acquisition of computerized data that compromises the security, confidentiality, or integrity of sensitive personal information maintained by a person, including data that is encrypted if the person accessing the data has the key required to decrypt the data.
- 20.2. Liability for costs incurred.** The Department reserves the right to hold the Contractor liable for all costs incurred by the Department to resolve a security incident introduced by the Contractor, their Subcontractors, or their Suppliers.

## Special Provision to Item 8

### Prosecution and Progress



Item 8, "Prosecution and Progress" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

This item is supplemented by the following:

9. **Incentive Using Road-User Cost or Contract Administration Liquidated Damage Values and Disincentive Using Road-User Cost.** This special provision is for the application of incentives and disincentives as follows:
- incentives for early Contract completion using contract administration liquidated damage or substantial completion of work ahead of time using daily road-user cost values as basis and
  - disincentives for late substantial completion of work using daily road-user costs.
- Incentive provisions, based on contract administration liquidated damages, will apply when shown on the plans. Incentive provisions, based on road-user cost, will apply when shown on the plans. Disincentive provisions, based on road-user cost, will apply when road-user cost incentive provisions are shown on the plans. The disincentive provisions, based on road-user cost, will also apply when shown separately on the plans (without an associated road-user cost incentive). Definitions are as follows:
- **Contract Completion** - The final acceptance date (day) unless performance, establishment and maintenance periods occur. In the case of performance, establishment and maintenance periods, completion shall be considered when all work is complete and accepted except for performance, establishment and maintenance periods, with time computed to the suspension of time charges for the acceptance process.
  - **Substantial Completion of Work** - The date (day) when all project work (or the work for a specified milestone or phase) requiring lane or shoulder closures or obstructions is completed, and traffic is following the lane arrangement as shown on the plans for the finished roadway (or the specified milestone or phase of work); all pavement construction and resurfacing are complete; and traffic control devices and pavement markings are in their final position (or as called for on the plans for the specified milestone of work). The Engineer may make an exception for permanent pavement markings provided the lack of markings does not cause a disruption to traffic flow or an unsafe condition for the traveling public, and work zone pavement markings are in place.

When A + B Bidding provisions are included in the Contract, the B working days bid will be considered as the time allowed for completion, contract or substantial as applicable. In addition, the plans will show either the number of working days or a specific date for the purposes of computing substantial completion incentives or disincentives.

Time charge adjustments will be made in accordance with the schedule required to meet Article 8.1, "Prosecution of Work" and Article 8.5, "Project Schedules," the proposal, and the plans. For Contracts with milestone dates, time charges for the completion incentives and disincentives will not be adjusted for weather, weekends, holidays, or other unforeseeable events not under the control or responsibility of the Department. However, time charges for completion incentives or disincentives may be adjusted by the Engineer when;

- work, under the control of the Department, such as extension of limits or changes in scope, change the actual duration of completion,

- delays occur due to unadjusted utilities or unclear right-of-way when clearance is not the responsibility of the Contractor, or
- catastrophic events occur, such as a declared state of emergency or natural disaster, if the event directly affects the Contractor's prosecution.

- 9.1. **Incentives.** When shown on the plans and in accordance with the Contract, the Department will pay an incentive for the early Contract completion or substantial completion of work under the number of working days stipulated in the Contract. The maximum number of working days used in computing the credit will be 30 days for each milestone and Contract completion incentive unless otherwise shown in the Contract. The amount of the credit will be added to money due or to become due to the Contractor.
- 9.1.1. **Early Contract Completion Incentive.** The incentive will be based on the difference between the actual early Contract completion days and the Contract completion days in the Contract. The difference will then be multiplied by the daily contract administration liquidated damage value shown in the proposal.
- 9.1.2. **Early Substantial Completion of Work Incentive.** The incentive will be based on the differences between the actual early substantial completion of work and the Contract days allowed to substantially complete the work (or the specified milestone or phase of work). The difference will then be multiplied by the daily road-user cost values specified for substantial Contract completion (or road-user cost specified for the corresponding milestone or phase of work).
- 9.2. **Disincentives for Failure to Substantially Complete Work on Time.** When shown on the plans and in accordance with the Contract, failure to substantially complete the work (or specified milestone or phase of work) within the established number of working days will result in the assessment of disincentives using the daily road-user cost shown on the plans for each working day in excess of those allowed. The road-user cost disincentive deductions will be in addition to any Contract administration liquidated damages, in accordance with Article 8.6, "Failure to Complete Work on Time." The amount of the disincentive will be deducted from money due or to become due to the Contractor. The road-user cost disincentives will be assessed not as a penalty, but for added expense incurred by the traveling public.

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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress" of the Standard Specification is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 8.2., "Subcontracting,"** is supplemented by the following paragraph, which is added as paragraph six to this article:

The Contractor certifies by signing the Contract that the Contractor will not enter into any subcontract with a subcontractor that is not registered in the Department of Homeland Security's (DHS) E-Verify system. Require that all subcontractors working on the project register and require that all subcontractors remain active in the DHS E-Verify system until their work is complete on the project.

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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Article 8.7.2., "Wrongful Default,"** is revised and replaced by the following:

If it is determined after the Contractor is declared in default, that the Contractor was not in default, the rights and obligations of all parties will be the same as if termination had been issued for the convenience of the public as provided in Article 8.8 "Termination of Contract."

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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 3., "Computation of Contract Time for Completion."** The second paragraph is voided and replaced by the following:

The development of the conceptual time determination is intended to establish the number of working days on the Contract. Upon request, the Engineer will provide the conceptual time determination schedule to the Contractor for informational purposes only. The schedule assumes generic resources, production rates, sequences of construction, and average weather conditions based on historic data. Schedule labor, equipment, procurement of materials, subcontractor work, and all other necessary means to prosecute the work within the number of working days specified by the Contract.



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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 8.1., "Prosecution of Work."** The article is voided and replaced by the following.

Begin work within 60 calendar days after the authorization date to begin work. Prosecute the work continuously to completion within the working days specified. Unless otherwise shown on the plans, work may be prosecuted in concurrent phases if no changes are required to the traffic control plan or if a revised traffic control plan is approved. Notify the Engineer at least 24 hr. before beginning work or before beginning any new operation. Do not start new operations to the detriment of work already begun. Minimize interference to traffic.

For Contracts with callout work and work orders, begin work in the right of way within the specified time and continuously prosecute the work until completion.

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# Special Provision to Item 009

## Measurement and Payment

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Item 009 "Measurement and Payment" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 9.5., "PROGRESS PAYMENTS" is supplemented with the following:**

It is the Department's desire to pay a Contractor for work through the last working day of the month; however, the use of early cut-off dates for monthly estimates and MOH is a project management practice to manage workload at the Area Office level. Approval for using early cut-off dates is at the District's discretion. The earliest cut-off date for estimates is the 25<sup>th</sup> of the month.

**Article 9.6., "PAYMENT FOR MATERIAL ON HAND (MOH)" first paragraph is amended as follows:**

If payment for MOH is desired, request compensation for the invoice cost of acceptable nonperishable materials that have not been used in the work before the request, and that have been delivered to the work location or are in acceptable storage places. Nonperishable materials are those that do not have a shelf life or whose characteristics do not materially change when exposed to the elements. Include only materials that have been sampled, tested, approved, or certified, and are ready for incorporation into the work. Only materials which are completely constructed or fabricated on the Contractor's order for a specific Contract and are so marked and on which an approved test report has been issued are eligible. Payment for MOH may include the following types of items: concrete traffic barrier, precast concrete box culverts, concrete piling, reinforced concrete pipe, and illumination poles. Any repairs required after fabricated materials have been approved for storage will require approval of the Engineer before being made and will be made at the Contractor's expense. Include only those materials and products, when cumulated under an individual item or similar bid items, that have an invoice cost of at least \$1,000 in the request for MOH payment (e.g. For MOH eligibility, various sizes of conductor are considered similar bid items and may be cumulated to meet the threshold; for small roadside signs, the sign supports, mounting bolts, and the sign face is considered one bid item or similar bid items for more than one pay item for sign supports.) Requests for MOH are to be submitted at least two days before but not later than the estimate cutoff date unless otherwise agreed. If there is a need to request MOH after the established cut-off date, the district can make accommodation as the need arises. This needed accommodation is to be the exception, though, and not the rule.

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## Special Provision to Item 9

### Measurement and Payment

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Item 9, "Measurement and Payment" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 7.1.4.3., "Standby Equipment Costs,"** is voided and replaced by the following:

7.1.4.3.           **Standby Equipment Costs.** Payment for standby equipment will be made in accordance with Section 9.7.1.4., "Equipment." The 15% markup will be paid when standby is associated with extra work but will not be paid when standby is associated with damages.

**Section 7.1.4.3.1., "Contractor-Owned Equipment,"** is voided and replaced by the following:

7.1.4.3.1.       **Contractor-Owned Equipment.** For Contractor-owned equipment:

- Standby will be paid at 50% of the monthly Rental Rate Blue Book rate after the regional and age adjustment factors have been applied. Operating costs will not be allowed. Calculate the standby rate as follows.

$$\text{Standby rate} = (\text{FHWA hourly rate} - \text{operating costs}) \times 50\%$$

- If an hourly rate is needed, divide the monthly Rental Rate Blue Book rate by 176.
- No more than 8 hr. of standby will be paid during a 24-hr. day period, nor more than 40 hr. per week.
- Standby costs will not be allowed during periods when the equipment would have otherwise been idle.

# Special Provision to Item 247

## Flexible Base



Item 247, "Flexible Base," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 247.2.1., "Aggregate."** This Section is voided and replaced by the following.

Furnish aggregate of the type and grade shown on the plans and meeting the requirements shown in Table 1. Each source must meet Table 1 requirements for liquid limit, plasticity index, and wet ball mill for the grade specified. Do not use additives, such as but not limited to cement, emulsion, foamed asphalt, or lime, to modify aggregates to meet the requirements of Table 1, unless otherwise shown on the plans.

Unless otherwise shown on the plans, the unconfined compressive strength is waived when the flexible base material meets the #200 sieve requirement.

**Table 1**  
**Material Requirements**

Property	Test Method	Grade 1-2 <sup>3</sup>	Grade 3	Grade 4	Grade 5 <sup>3</sup>
Master gradation sieve size (cumulative % retained)		–	–		–
2-1/2"		0	0		0
1-3/4"		0-10	0-10		0-5
7/8"	<a href="#">Tex-110-E</a>	10-35	–		10-35
3/8"		30-65	–		35-65
#4		45-75	45-75		45-75
#40		65-90	50-85		70-90
#200 <sup>1, 2</sup>		85-95	–		–
Liquid limit, % Max	<a href="#">Tex-104-E</a>	40	40	As shown on the plans	35
Plasticity index, Max		10	12		10
Plasticity index, Min	<a href="#">Tex-106-E</a>	As shown on the plans	As shown on the plans		As shown on the plans
Wet ball mill, % Max		40	–		40
Wet ball mill, % Max increase passing the #40 sieve	<a href="#">Tex-116-E</a>	20	–		20
Min compressive strength <sup>2</sup> , psi		–	–		–
lateral pressure 0 psi	<a href="#">Tex-117-E</a>	35	–		–
lateral pressure 3 psi		–	–		90
lateral pressure 15 psi		175	–		175

1. The #200 sieve test is only required to meet the waiver of the unconfined compressive strength. The #200 sieve test requirement is only applicable to stockpile samples from Section 247.2.4.
2. Compressive strength and #200 sieve test requirements are waived when the flexible base is mixed with or without existing material and treated with cement, emulsion, foamed asphalt, or lime, unless otherwise shown on the plans.
3. Grade 3 may be substituted for Grade 1-2 or Grade 5 when the flexible base is mixed with or without existing material and treated with cement, emulsion, foamed asphalt, or lime, as approved. The Grade 3 flexible base must meet the wet ball mill requirements of Grade 1-2 or Grade 5.

**Section 247.2.1.2.4., "Type D."** The third sentence is voided and replaced by the following.

Crushed concrete must meet the requirements in Section 247.2.1.3., "Recycled Material," and be managed in a way to provide for uniform quality.

**Section 247.2.1.3., "Recycled Material."** This Section is voided and replaced by the following.

Reclaimed asphalt pavement (RAP) and other recycled materials may be used as shown on the plans. Request approval to blend two or more sources of recycled materials. When RAP is allowed, do not exceed 20% RAP by weight, unless otherwise shown on the plans. The percentage limitations for other recycled materials are as shown on the plans.

Provide recycled materials, other than RAP, that have a maximum sulfate content of 3,000 ppm when tested in accordance with [Tex-145-E](#). Certify accordance with [DMS-11000](#), "Evaluating and Using Nonhazardous Recyclable Materials Guidelines." In addition, recycled materials must be free of reinforcing steel and other objectionable material and have at most 1.5% deleterious material when tested in accordance with [Tex-413-A](#). The liquid limit, plasticity index, wet ball mill, and compressive strength for all recycled materials are waived. When using RAP, crush RAP so that 100% passes the 2-in. sieve and does not exceed a maximum percent loss from decantation of 5.0% when tested in accordance with [Tex-406-A](#). Test RAP without removing the asphalt. The final product must meet the requirements shown in Table 1 for the grade specified, except when the Department requires a specific amount of Department-furnished RAP be added to the blend, unless otherwise shown on the plans.

The Contractor is responsible for uniformly blending the recycled material with the flexible base material to build a stockpile to meet the percentages required. Any Contractor-furnished surplus of recycled materials must remain the property of the Contractor. Remove Contractor-owned recycled materials from the project, and dispose of them in conformance with federal, state, and local regulations before project acceptance.

**Section 247.2.4., "Stockpile Approval."** This Section is added.

Stockpile is approved when the Engineer's test results meet the material requirements shown in Table 1.

**Section 247.2.4.1., "Sampling."** This Section is added.

The Contractor and the Engineer will sample flexible base from completed stockpiles in accordance with [Tex-100-A](#). Personnel conducting sampling must be certified by the Department-approved soils and base certification program.

Sampling stockpiles may be located at the production site or at the project location. The Contractor must witness the Engineer's sampling and sample the stockpile for their own testing, and label as deemed necessary.

Sample the stockpile for the Engineer as shown on the plans. When the Contractor samples the stockpile for the Engineer, the Engineer will witness the sampling of material designated for the Engineer and the Materials and Tests Division (MTD). The Engineer will label their sampling containers as "Engineer" and "MTD," or as deemed necessary.

The Engineer will take immediate possession of the sample containers for the Engineer and MTD. The Engineer will maintain custody of the samples until all testing and reporting are completed.

**Section 247.2.4.2., "Referee Testing."** This Section is added.

Referee testing is applicable for stockpile testing only. MTD is the referee laboratory. MTD may designate a laboratory from the Department's MPL for *Commercial Laboratories Approved for Flexible Base Referee Requests* as the referee laboratory as deemed necessary. The designated laboratory must not perform any testing under this Item for the Engineer or Contractor.

The Contractor may request referee testing when the Engineer's test results fail to meet any of the material requirements shown in Table 1 and when the Contractor's sample from Section 247.2.4.1., "Sampling," for the same failing Department test passes. The tests must be performed by a laboratory on the Department's MPL for *Commercial Laboratories Approved for Flexible Base Referee Requests*. Submit the request by email within 5 working days after receiving failing test results from the Engineer. Include completed test reports passing the applicable requirements shown in Table 1 in the email.

Record and submit completed test reports electronically on Department-provided templates in their original format meeting the applicable material requirements shown in Table 1. Use Department-provided templates to record and calculate all test data. The Engineer and the Contractor will provide any available test results to the other party when requested.

**Section 247.4.3., "Compaction."** The first paragraph is voided and replaced by the following.

Compact using density control unless otherwise shown on the plans. Multiple lifts are permitted as shown on the plans or approved. Bring each layer to the moisture content directed. When necessary, sprinkle the material in accordance with Item 204, "Sprinkling." Maintain moisture during compaction within  $\pm 2.0\%$  of the optimum moisture content as determined in accordance with [Tex-113-E](#).

**Section 247.4.3.2., "Density Control."** This Section is voided and replaced by the following.

Compact to at least 100% of the maximum dry density and within  $\pm 2.0\%$  of the optimum moisture content as determined in accordance with [Tex-113-E](#), unless otherwise shown on the plans. Provide the Engineer with the beginning and ending station numbers of the area completed for testing. The Engineer will determine roadway density and moisture content of completed sections in accordance with [Tex-115-E](#), Part I. The Engineer will determine random locations for testing in accordance with [Tex-115-E](#), Part IV. Do not achieve density by drying the material after compaction.

When the density is less than 100% of the maximum dry density, the Engineer may perform additional testing to determine the extent of the area to correct. The Engineer may accept the section if no more than one of the five most recent density tests is below the specified density and the failing test is no more than 3 pcf below the specified density.

**Section 247.4.3.3., "Miscellaneous and Small Areas."** This Section is added.

Miscellaneous areas are those that typically involve handwork or discontinuous paving operations, such as temporary detours, driveways, mailbox turnouts, crossovers, gores, spot level-up areas, and other similar areas. Miscellaneous and small areas are not subject to random sampling procedure but may be tested as directed.

**Section 247.4.6., "Ride Quality."** This Section is voided and replaced by the following.

Measurement of ride quality only applies to the final travel lanes that receive a one- or two-course surface treatment for the final riding surface, unless otherwise shown on the plans. Measure the ride quality of the base course either before or after the application of the prime coat, as directed, and before placement of the surface treatment. Use a certified profiler operator on the Department's MPL. When requested, furnish the Engineer with documentation for the person certified to operate the profiler.

Provide all profile data to the Engineer in electronic data files within 3 days of measuring the ride quality using the format specified in [Tex-1001-S](#). The Engineer will use Department software to evaluate longitudinal profiles to determine areas requiring corrective action. Correct 0.1-mi. sections with an average international roughness index (IRI) value greater than 100 in. per mile to an IRI value of 100 in. per mile or less, unless otherwise shown on the plans. Re-profile and correct sections that fail to maintain ride quality before the placement of the surface treatment, as directed. Unless ride deterioration is due to environmental impact, traffic, or other incidents outside the Contractor's control, perform this work at no additional expense to the Department, as approved.

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## Special Provision to Item 300 Asphalt, Oils, and Emulsions

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Item 300, "Asphalt, Oils, and Emulsions" of the Standard Specifications is replaced by Special Specification [3096](#), "Asphalts, Oils, and Emulsions." All Item 300 Special Provisions are no longer available, beginning with the April 2022 letting.

# Special Provision to Item 302

## Aggregates for Surface Treatments



Item 302, "Aggregates for Seal Coats," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

Section 2.1., "Aggregate." Tables 2 and 3 are voided and replaced by the following.

**Table 2**  
**Aggregate Gradation Requirements (Cumulative % Retained<sup>1</sup>)**

Sieve	Grade								
	1	2	3S <sup>2</sup>	3		4S <sup>2</sup>	4	5S <sup>2</sup>	5
				Non-Lightweight	Lightweight				
1"	-	-	-	-	-	-	-	-	-
7/8"	0-2	0	-	-	-	-	-	-	-
3/4"	20-35	0-2	0	0	0	-	-	-	-
5/8"	85-100	20-40	0-5	0-5	0-2	0	0	-	-
1/2"	-	80-100	55-85	20-40	10-25	0-5	0-5	0	0
3/8"	95-100	95-100	95-100	80-100	60-80	60-85	20-40	0-5	0-5
1/4"	-	-	-	95-100	95-100	-	-	65-85	-
#4	-	-	-	-	-	95-100	95-100	95-100	50-80
#8	99-100	99-100	99-100	98-100	98-100	98-100	98-100	98-100	98-100

1. Round test results to the nearest whole number.
2. Single-size gradation.

**Table 3**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement <sup>1</sup>	
		Minimum	Maximum
SAC	<a href="#">AQMP</a>	As shown on the plans	
Deleterious Material <sup>2</sup> , %	<a href="#">Tex-217-F</a> , Part I	-	2.0
Decantation, %	<a href="#">Tex-406-A</a>	-	1.5
Flakiness Index, %	<a href="#">Tex-224-F</a>	-	17
Gradation	<a href="#">Tex-200-F</a> , Part I	Table 2 Requirements	
Los Angeles Abrasion, %	<a href="#">Tex-410-A</a>	-	35
Magnesium Sulfate Soundness, 5 Cycle, %	<a href="#">Tex-411-A</a>	-	25
Micro-Deval Abrasion, %	<a href="#">Tex-461-A</a>	Note 3	
Coarse Aggregate Angularity <sup>4</sup> , 2 Crushed Faces, %	<a href="#">Tex-460-A</a> , Part I	85	-
Additional Requirements for Lightweight Aggregate			
Dry Loose Unit Wt., lb./cu. ft.	<a href="#">Tex-404-A</a>	35	60
Pressure Slaking, %	<a href="#">Tex-431-A</a>	-	6.0
Freeze-Thaw Loss, %	<a href="#">Tex-432-A</a>	-	10.0
Water Absorption, 24hr., %	<a href="#">Tex-433-A</a>	-	12.0

1. Material requirements are listed below, unless otherwise shown on the plans.
2. Not required for lightweight aggregate.
3. Used to estimate the magnesium sulfate soundness loss in accordance with Section 2.1.1.
4. Only required for crushed gravel.



**Section 2.1.1., “Micro-Deval Abrasion,”** is added.

The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source per project that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula.

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved by the Engineer. The Engineer may require additional testing before granting approval.

**Section 2.2., “Precoating.”** The third paragraph is voided and replaced by the following.

The Engineer retains the right to remove precoat material from aggregate samples in accordance with [Tex-210-F](#), or as recommended by the Construction Division, and test the aggregate to verify compliance with Table 2 and Table 3 requirements. Gradation testing may be performed with precoat intact.

**Section 2.3., “Sampling,”** is added.

Personnel who conduct sampling and witnessing of sampling must be certified by the Department-approved certification program. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning construction and when personnel changes are made. At any time during the project, the Engineer may perform production tests as deemed necessary in accordance with Item 5, “Control of the Work.”

The Engineer will sample aggregate from stockpiles located at the production site, intermediate distribution site, or project location in accordance with [Tex-221-F](#), Section 3.2.3. The Engineer will split each sample into 2 equal portions in accordance with [Tex-200-F](#), Section 3.3, and label these portions “Engineer” and “Contractor” or “Supplier.” Witness the sampling and splitting, and take immediate possession of the samples labeled “Contractor” or “Supplier”.

**Section 2.4., “Reporting and Responsibilities,”** is added.

The Engineer will provide test results to the Contractor and Supplier within 10 working days from the date the stockpile was sampled for sources listed on the Department’s Bituminous Rated Source Quality Catalog (BRSQC), unless otherwise directed. The Engineer will provide test results for the LA Abrasion ([Tex-410-A](#)) and Magnesium Sulfate Soundness ([Tex-411-A](#)) tests within 30 calendar days for sources not listed on the BRSQC, or for sources not meeting the requirements of Section 2.1.1., “Micro-Deval Abrasion.” The Engineer will report to the other party within 24 hours when any test result does not meet the requirements listed in Table 2 or Table 3.

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## Special Provision to Item 316

### Seal Coat

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Item 316, "Seal Coat" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 4.8, "Asphalt Placement"** is supplemented by the following:

4.8.5. Collect all samples in accordance with Tex-500-C, "Sampling Bituminous Materials, Pre-Molded Joint Fillers, and Joint Sealers" from the distributor and with witness by the Engineer.

At least once per project, collect split samples of each binder grade and source used. The Engineer will submit one split sample to MTD for testing and retain the other split sample.

In addition, collect one sample of each binder grade and source used on the project for each production day. The Engineer will retain these samples.

The Engineer will keep all retained samples for one yr., for hot-applied binders and cutback asphalts; or for two mo., for emulsified asphalts. The Engineer may submit retained samples to MTD for testing as necessary or as requested by MTD.

# Special Provision to Item 334

## Hot-Mix Cold-Laid Asphalt Concrete Pavement



Item 334, "Hot-Mix Cold-Laid Asphalt Concrete Pavement," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed hereby.

**Section 334.4.1.2., "Job-Mix Formula Approval."** Table 5 is voided and replaced by the following:

**Table 5**  
**Laboratory Mixture Design Properties**

<b>Property</b>	<b>Test Method</b>	<b>Requirement</b>
Target laboratory-molded density, % <sup>1</sup>	<a href="#">Tex-207-F</a>	94.0 ± 1.5
Hveem stability, Min	<a href="#">Tex-208-F</a>	35
Cantabro loss, %, Max	<a href="#">Tex-245-F</a>	10
Hydrocarbon-volatile content, %, Max	<a href="#">Tex-213-F</a>	0.6
Moisture content, %, Max <sup>2</sup>	<a href="#">Tex-212-F</a>	1.0
Boil test, %, Max <sup>3</sup>	<a href="#">Tex-530-C</a>	10

1. Unless otherwise shown on the plans.
2. Unless otherwise approved.
3. Limit may be increased or eliminated when approved.

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## Special Provision to Item 340 Dense-Graded Hot-Mix (Small Quantity)

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Item 340, "Dense-Graded Hot-Mix (Small Quantity)" of the Standard Specifications is replaced by Special Specification [3076](#), "Dense-Graded Hot-Mix Asphalt," Section 4.9.4., "Exempt Production." All Item 340 Special Provisions and bid codes are no longer available, beginning with the February 2022 letting.

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## Special Provision to Item 341 Dense-Graded Hot-Mix Asphalt

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Item 341, "Dense-Graded Hot-Mix Asphalt" of the Standard Specifications is replaced by Special Specification [3076](#), "Dense-Graded Hot-Mix Asphalt." All Item 341 Special Provisions and bid codes are no longer available, beginning with the February 2020 letting.

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## Special Provision to Item 342 Permeable Friction Course (PFC)

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Item 342, "Permeable Friction Course (PFC)" of the Standard Specifications is replaced by Special Specification [3079](#), "Permeable Friction Course." All Item 342 Special Provisions and bid codes are no longer available, beginning with the April 2022 letting.

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## Special Provision to Item 344 Superpave Mixtures

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Item 344, "Superpave Mixtures" of the Standard Specifications is replaced by Special Specification [3077](#), "Superpave Mixtures." All Item 344 Special Provisions and bid codes are no longer available, beginning with the February 2020 letting.

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## Special Provision to Item 347 Thin Overlay Mixture (TOM)

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Item 347, "Thin Overlay Mixture (TOM)" of the Standard Specifications is replaced by Special Specification [3081](#), "Thin Overlay Mixture (TOM)". All Item 347 Special Provisions and bid codes are no longer available, beginning with the April 2022 letting.



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## Special Provision to Item 348 Thin Bonded Friction Courses

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Item 348, "Thin Bonded Friction Courses" of the Standard Specifications is replaced by Special Specification [3082](#), "Thin Bonded Friction Courses." All Item 348 Special Provisions and bid codes are no longer available, beginning with the April 2022 letting.

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## Special Provision to Item 360 Concrete Pavement

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Item 360, "Concrete Pavement" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 360.2.1., "Materials,"** the third paragraph is voided and replaced by the following:

For continuously reinforced concrete pavements, use a coarse aggregate with a rated coefficient of thermal expansion of not more than  $5.5 \times 10^{-6}$  in./in./°F as listed in the Department's *Concrete Rated Source Quality Catalog*.

**Section 360.4.8.3., "Surface Texture,"** the second paragraph is voided and replaced by the following:

A metal-tine texture finish is required unless otherwise shown on the plans. Provide transverse or longitudinal tining unless otherwise shown on the plans. Immediately following the carpet drag, apply a single coat of evaporation retardant, if needed, at the rate recommended by the manufacturer. Provide the metal-tine finish immediately after the concrete surface has set enough for consistent tining. Operate the metal-tine device to obtain grooves approximately 3/16 in. deep, with a minimum depth of 1/8 in., and approximately 1/12 in. wide. Do not overlap a previously tined area. Use manual methods to achieve similar results on ramps, small or irregular areas, and narrow width sections of pavements. Repair damage to the edge of the slab and joints immediately after texturing. Do not tine pavement that will be overlaid or that is scheduled for blanket diamond grinding or shot blasting.

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## Special Provision to Item 420

### Concrete Substructure

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Item 420, "Concrete Substructures" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Article 420.6., "Payment."** The first paragraph is replaced by the following:

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for the class of concrete and element identified and by the special designation when appropriate. This price is full compensation for furnishing, hauling, and mixing concrete materials; furnishing, bending, fabricating, splicing, welding and placing the required reinforcement; clips, blocks, metal spacers, ties, wire, or other materials used for fastening reinforcement in place; placing, finishing, and curing concrete; mass placement controls; applying ordinary surface finish; furnishing and placing drains, metal flashing strips, and expansion-joint material; excavation, subgrade preparation; and forms and falsework, equipment, labor, tools, and incidentals.

# Special Provision to Item 421

## Hydraulic Cement Concrete



Item 421, "Hydraulic Cement Concrete" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 421.2., "Materials,"** the second sentence of the first paragraph is voided and replaced by the following.

Provide aggregates from sources listed in the Department's Concrete Rated Source Quality Catalog (CRSQC).

**Article 421.2.2., Supplementary Cementing Materials (SCM),** is voided and replaced with the following.

Supplementary Cementitious Materials (SCM).

- **Coal Ash.** Furnish sources of fly ash, , Modified fly ash (MFA), harvested coal ash, and Ground Bottom Ash (GBA) conforming to [DMS-4610](#), "Coal Ash."
- **Slag Cement.** Furnish Slag Cement in accordance with [DMS-4620](#), "Slag Cement."
- **Silica Fume.** Furnish silica fume in accordance with [DMS-4630](#), "Silica Fume."
- **Natural Pozzolans.** Furnish Natural Pozzolans in accordance with [DMS-4635](#), "Natural Pozzolans."

**Article 421.3.1.3., "Agitators and Truck and Stationary Mixers,"** the first paragraph is voided and replaced by the following.

Provide stationary and truck mixers capable of combining the ingredients of the concrete into a thoroughly mixed and uniform mass and capable of discharging the concrete so that the requirements of [Tex-472-A](#) are met.

**Article 421.3.1.3., "Agitators and Truck and Stationary Mixers,"** is supplemented with the following.

Truck mixers with automated water and chemical admixture measurement and slump and slump flow monitoring equipment meeting the requirement of ASTM C94 will be allowed. Provide data every 6 mo. substantiating the accuracy of slump, slump flow, temperature, water, and chemical admixture measurements. The slump measured by the automated system must be within 1 in. of the slump measured in accordance with [Tex-415-A](#). The concrete temperature measured by the automated system must be within 1°F of concrete temperature measured in accordance with [Tex-422-A](#). The Engineer will not use the automated measurements for acceptance.

Article 421.4.2., "Mix Design Proportioning," Table 8 is voided and replaced by the following.

**Table 8**  
**Concrete Classes**

Class of Concrete	Design Strength, <sup>1</sup> Min $f'_c$ (psi)	Max w/cm Ratio	Coarse Aggregate Grades <sup>2,3,4</sup>	Cement Types	Mix Design Options	Exceptions to Mix Design Options	General Usage <sup>5</sup>
A	3,000	0.60	1-4, 8	I, II, I/II, IL, IP, IS, IT, V	1, 2, 4, & 7	When the cementitious material content does not exceed 520 lb./cu. yd., any coal ash or natural pozzolan listed in the MPL may be used at a cement replacement of 20% to 50%.	Curb, gutter, curb & gutter, conc. retards, sidewalks, driveways, back-up walls, anchors, non-reinforced drilled shafts
B	2,000	0.60	2-7				Riprap, traffic signal controller foundations, small roadside signs, and anchors
C <sup>6</sup>	3,600	0.45	1-6	I, II, I/II, IP, IL, IS, IT, V	1-8		Drilled shafts, bridge substructure, traffic rail, culverts except top slab of direct traffic culverts, headwalls, wing walls, inlets, manholes, traffic barrier
E	3,000	0.50	2-5	I, II, I/II, IL, IP, IS, IT, V	1-8	When the cementitious material content does not exceed 520 lb./cu. yd., any coal ash or natural pozzolan listed in the MPL may be used at a cement replacement of 20% to 50%.	Seal concrete
F <sup>6</sup>	Note <sup>7</sup>	0.45	2-5	I, II, I/II, IP, IL, IS, IT, V			Railroad structures; occasionally for bridge piers, columns, bents, post-tension members
H <sup>6</sup>	Note <sup>7</sup>	0.45	3-6	I, II, I/II, III, IP, IL, IS, IT, V	1-4, 8	<p>Mix design options 1-8 allowed for cast-in-place concrete and the following precast elements unless otherwise stated in the plans:</p> <ul style="list-style-type: none"> <li>■ Bridge Deck Panels,</li> <li>■ Retaining Wall Systems,</li> <li>■ Coping,</li> <li>■ Sound Walls,</li> <li>■ Wall Columns,</li> <li>■ Traffic Rail,</li> <li>■ Traffic Barrier,</li> <li>■ Long/Arch Span Culverts, and</li> <li>■ precast concrete products included in Item 462, "Concrete Box Culverts and Drains, Item 464, "Reinforced Concrete Pipe," and Item 465, "Junction Boxes, Manholes, and Inlets."</li> </ul> <p>Do not use Type III cement in mass placement concrete. Up to 20% of blended cement may be replaced with listed SCMs when Option 4 is used for precast concrete. Options 6, &amp; 7 allowed for cast-in-place Class H concrete.</p>	Precast concrete, post-tension members
S <sup>6</sup>	4,000	0.45	2-5	I, II, I/II, IP, IL, IS, IT, V	1-8		Bridge slabs, top slabs of direct traffic culverts, approach slabs

Class of Concrete	Design Strength, <sup>1</sup> Min $f_c$ (psi)	Max w/cm Ratio	Coarse Aggregate Grades <sup>2,3,4</sup>	Cement Types	Mix Design Options	Exceptions to Mix Design Options	General Usage <sup>5</sup>
P	See Item 360, "Concrete Pavement."	0.50	2-3	I, II, I/II, IL, IP, IS, IT, V	1-8	When the cementitious material content does not exceed 520 lb./cu. yd., any coal ash or natural pozzolan listed in the MPL's may be used at a cement replacement of 20% to 50%.	Concrete pavement
CO <sup>6</sup>	4,600	0.40	6	I, II, I/II, IP, IL, IS, IT, V	1-8		Bridge deck concrete overlay
LMC <sup>6</sup>	4,000	0.40	6-8		Latex-modified concrete overlay		
SS <sup>6</sup>	3,600	0.45	4-6	I, II, I/II, IP, IL, IS, IT, V	1-8	Use a Min cementitious material content of 658 lb./cu. yd. of concrete. Limit the alkali loading to 4.0 lbs./cu. yd. or less when using Option 7.	Slurry displacement shafts, underwater drilled shafts
K <sup>6</sup>	Note <sup>7</sup>	0.40	Note <sup>7</sup>	I, II, I/II, III, IP, IL, IS, IT, V	1-8		Note <sup>7</sup>
HES	Note <sup>7</sup>	0.45	Note <sup>7</sup>	I, IL, II, I/II, III		Mix design options do not apply. 700 lb. of cementitious material per cubic yard limit does not apply.	Concrete pavement, concrete pavement repair
"X" (HPC) <small>6,8,9</small>	Note <sup>10</sup>	0.45	Note <sup>10</sup>	I, II, I/II, III, IP, IL, IS, IT, V	1-4, & 8	Max coal ash replacement for Option 3 may be increased to 50%. Up to 20% of a blended cement may be replaced with listed SCMs for Option 4. Do not use Option 8 for precast concrete.	
"X" (SRC) <small>6,8,9</small>	Note <sup>10</sup>	0.45	Note <sup>10</sup>	I/II, II, IP, IL (MS or HS), IS, IT (MS or HS), V	1-4, & 7	When using coal ash, only use coal ashes allowed for SRC as listed in the Coal Ash MPL. Type III-MS may be used where allowed. Type I, Type IL, and Type III cements may be used when natural pozzolans are used or when coal ashes allowed for SRC as listed in the Coal Ash MPL are used, and with a Max w/cm of 0.40. Up to 20% of blended cement may be replaced with listed SCMs when Option 4 is used for precast concrete. Use Option 7 for precast concrete where allowed.	

1. Design strength must be attained within 56 days.
2. Do not use Grade 1 coarse aggregate except in massive foundations with 4 in. Min clear spacing between reinforcing steel bars, unless otherwise permitted. Do not use Grade 1 aggregate in drilled shafts.
3. Use Grade 8 aggregate in extruded curbs unless otherwise approved.
4. Other grades of coarse aggregate maybe used in non-structural concrete classes when allowed by the Engineer.
5. For information only.
6. Structural concrete classes.
7. As shown on the plans or specified.
8. "X" denotes class of concrete shown on the plans or specified.
9. (HPC): High Performance Concrete, (SRC): Sulfate Resistant Concrete.
10. Same as class of concrete shown on the plans.

**Article 421.4.2.2., “Aggregates,”** is supplemented by the following.

Use the following equation to determine if the aggregate combination meets the sand equivalency requirement when blending fine aggregate or using an intermediate aggregate:

$$\frac{(SE_1 \times P_1) + (SE_2 \times P_2) + (SE_{ia} \times P_{ia})}{100} \geq 80\%$$

where:

$SE_1$  = sand equivalency (%) of fine aggregate 1

$SE_2$  = sand equivalency (%) of fine aggregate 2

$SE_{ia}$  = sand equivalency (%) of intermediate aggregate passing the 3/8 in. sieve

$P_1$  = percent by weight of fine aggregate 1 of the fine aggregate blend

$P_2$  = percent by weight of fine aggregate 2 of the fine aggregate blend

$P_{ia}$  = percent by weight of intermediate aggregate passing the 3/8 in. sieve

**Article 421.4.2.3., Chemical Admixtures,”** the second paragraph is voided and replaced with the following.

Use a 30% calcium nitrite solution when a corrosion-inhibiting admixture is required. Dose the admixture at the rate of gallons of admixture per cubic yard of concrete shown on the plans. Use set retarding admixtures, as needed, to control setting time to ensure concrete containing corrosion inhibiting admixtures remain workable for the entire duration of the concrete placement. Perform setting time testing and slump loss testing during trial batch testing.

**Article 421.4.2.5., “Slump,”** the second paragraph is voided and not replaced. Table 9 is voided and replaced with below:

**Table 9**  
**Placement Slump Requirements**

General Usage	Placement Slump Range, <sup>1,2</sup> in.
Walls (over 9 in. thick), caps, columns, piers	3 – 7
Bridge slabs, top slabs of direct traffic culverts, approach slabs, concrete overlays, latex-modified concrete for bridge deck overlays	3 – 6
Inlets, manholes, walls (less than 9 in. thick), bridge railing, culverts, concrete traffic barrier, concrete pavement (formed)	4 – 6
Precast concrete	4 – 9
Underwater concrete placements	6 – 8-1/2
Drilled shafts, slurry displaced and underwater drilled shafts	See Item 416, “Drilled Shaft Foundations.”
Curb, gutter, curb and gutter, concrete retards, sidewalk, driveways, seal concrete, anchors, riprap, small roadside sign foundations, concrete pavement repair, concrete repair	As approved

1. Max slump values may be increase above these values shown using chemical admixtures, provided the admixture treated concrete has the same or lower water-to-cementitious ratio and does not exhibit segregation or excessive bleeding. Request approval to increase slump limits in advance for proper evaluation by the Engineer.
2. For fiber reinforced concrete, perform slump before addition of fibers.

**Article 421.4.2.6., “Mix Design Options,”** is voided and replaced with the following.

**Option 1.** Replace cement with at least the minimum dosage listed in the MPL for the coal ash or natural pozzolan used in the mixture. Do not replace more than 50% of the cement. Conduct Option 8 testing as listed on the MPL.

**Option 2.** Replace 35% to 50% of the cement with slag cement.

**Option 3.** Replace 35% to 50% of the cement with a combination of coal ash, slag cement, natural pozzolan, or at least 3% silica fume; however, no more than 10% may be silica fume.

**Option 4.** Use Type IP, Type IS, or Type IT cement as allowed in Table 8 for each class of concrete. When replacing blended cements with additional SCM's, the replacement limits in Option 3 will apply to the final cementitious mixture. When using coal

ash or natural pozzolans not having a minimum dosage listed in the MPL in the final cementitious mixture, perform Option 8 testing.

**Option 5.** Option 5 is left intentionally blank.

**Option 6.** Use a lithium nitrate admixture at a minimum dosage determined by testing conducted in accordance with [Tex-471-A](#). Before use of the mix, provide an annual certified test report signed and sealed by a licensed professional engineer, from a laboratory listed on the MPL, certified by the Materials and Tests Division as being capable of testing according to [Tex-471-A](#).

**Option 7.** Ensure the total alkali contribution from the cement in the concrete does not exceed 3.5 lb. per cubic yard of concrete when using hydraulic cement not containing SCMs calculated as follows:

$$\text{lb. alkali per cu. yd.} = \frac{(\text{lb. cement per cu. yd.}) \times (\% \text{ Na}_2\text{O equivalent in cement})}{100}$$

In the above calculation, use the maximum cement alkali content reported on the cement mill certificate.

**Option 8.** Use Table 10 when deviating from Options 1–3 or when required by the Coal Ash MPL. Perform required testing annually and submit results to the Engineer. Laboratories performing ASTM C1260, ASTM C1567, and ASTM C1293 testing must be listed on the MPL. Before use of the mix, provide a certified test report signed and sealed by a licensed professional engineer demonstrating the proposed mixture in accordance with the requirements of Table 10.

Provide a certified test report signed and sealed by a licensed professional engineer, when HPC is required, and less than 20% of the cement is replaced with SCMs, demonstrating ASTM C1876 test results indicate the uniaxial resistivity of the concrete is greater than 15.6 kΩ-cm tested immediately after either of the following curing schedules:

- Moisture cure specimens 56 days at 73°F.
- Moisture cure specimens 7 days at 73°F followed by 21 days at 100°F.



**Table 10**  
**Option 8 Testing and Mix Design Requirements**

Scenario	ASTM C1260 Result		Testing Requirements for Mix Design Materials or Prescriptive Mix Design Options
	Mix Design Fine Aggregate	Mix Design Coarse Aggregate	
<b>A</b>	> 0.10%	> 0.10%	Determine the dosage of SCMs needed to limit the 14-day expansion of each aggregate <sup>1</sup> to 0.10% when tested individually in accordance with ASTM C1567.
<b>B</b>	≤ 0.10%	≤ 0.10%	Use the Min replacement listed in the Coal Ash MPL, or when Option 8 is listed on the MPL, use a Min of 40% coal ash with a Max CaO <sup>2</sup> content of 25%, or use any ternary combination which replaces 35% to 50% of cement.
	≤ 0.10%	ASTM C1293 1 yr. Expansion ≤ 0.04%	Use a minimum of 20% of any coal ash; or Use any ternary combination which replaces 20% to 50% of cement.
<b>C</b>	≤ 0.10%	> 0.10%	Determine the dosage of SCMs needed to limit the 14-day expansion of coarse and intermediate <sup>1</sup> aggregate to ≤0.10% when tested individually in accordance with ASTM C1567.
<b>D</b>	> 0.10%	≤ 0.10%	Use the Min replacement listed in the Coal Ash MPL, or when Option 8 is listed on the MPL, use a Min of 40% coal ash with a Max CaO <sup>2</sup> content of 25%, or use any ternary combination which replaces 35% to 50% of cement.
	> 0.10%	ASTM C1293 1 yr. Expansion ≤ 0.04%	Determine the dosage of SCMs needed to limit the 14-day expansion of each fine aggregate to ≤0.10% when individually tested in accordance with ASTM C1567.

1. Intermediate size aggregates will fall under the requirements of mix design coarse aggregate.
2. Average the CaO content from the previous ten values as listed on the test certificate.

**Article 421.4.2.7., “Optimized Aggregate Gradation (OAG) Concrete,”** the first sentence of the first paragraph is voided and replaced by the following.

The gradations requirements in Table 4 and Table 6 do not apply when OAG concrete is specified or used by the Contractor unless otherwise shown on the plans.

The fineness modulus for fine aggregate listed in Table 5, does not apply when OAG concrete is used,

**Article 421.4.6.2., Delivering Concrete,”** the third paragraph is supplemented by the following.

When truck mixers are equipped with automated water or chemical admixture measurement and slump or slump flow monitoring equipment, the addition of water or chemical admixtures during transit is allowed. Reports generated by this equipment must be submitted to the Engineer daily.

**Article 421.4.6.2., “Delivering Concrete,”** the fifth paragraph is voided and replaced with the following. Begin the discharge of concrete delivered in truck mixers within the times listed in Table 14. Concrete delivered after these times, and concrete that has not begun to discharge within these times will be rejected.

**Article 421.4.8.3., “Testing of Fresh Concrete,”** is voided and replaced with the following.

**Testing Concrete.** The Engineer, unless specified in other Items or shown on the plans, will test the fresh and hardened concrete in accordance with the following methods:

- Slump. [Tex-415-A](#);
- Air Content. [Tex-414-A](#) or [Tex-416-A](#);
- Temperature. [Tex-422-A](#);
- Making and Curing Strength Specimens. [Tex-447-A](#);
- Compressive Strength. [Tex-418-A](#);
- Flexural Strength. [Tex-448-A](#); and
- Maturity. [Tex-426-A](#).

Flexural strength and maturity specimens will not be made unless specified in other items or shown on the plans.

Concrete with slump less than minimum required after all addition of water withheld will be rejected, unless otherwise allowed by the Engineer. Concrete with slump exceeding maximum allowed may be used at the Contractor's option. If used, Engineer will make, test, and evaluate strength specimens as specified in Article 421.5., "Acceptance of Concrete." Acceptance of concrete not meeting air content or temperature requirements will be determined by Engineer. Fresh concrete exhibiting segregation and excessive bleeding will be rejected.

**Article 421.4.8.3.1., "Job-Control Testing,"** is voided and not replaced.

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## Special Provision to Item 423

### Retaining Walls

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Item 423, "Retaining Walls" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2.1., "General"** is supplemented with the following:

Construct permanent retaining walls approved for use in accordance with [DMS 4800](#), "Proprietary Earth Retaining Wall System," and on the Approved System list for Concrete Block Retaining Walls Systems and Mechanically Stabilized Earth Panel Type Systems.

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# Special Provision to Item 425

## Precast Prestressed Concrete Structural Members

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Item 425, "Precast Prestressed Concrete Structural Members" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 2.3., "Prestressing Steel."** The first bullet is voided and replaced with the following.

- Seven-wire steel strand meeting [DMS-4500](#), "Steel Strand, Uncoated Seven-Wire Low Relaxation for Prestressed Concrete."

**Section 2.3., "Prestressing Steel."** The second paragraph is voided and replaced with the following.

Use 7-wire steel strand produced by a prequalified manufacturer on the list in the Department MPL maintained by the Materials and Tests Division. The Department may take samples in accordance with [Tex-710-I](#) to verify compliance with specification requirements.

# Special Provision to Item 426

## Post-Tensioning



Item 426, "Post-Tensioning" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 2.1., "Prestressing Steel."** The first bullet is voided and replaced with the following.

- Seven-wire steel strand meeting [DMS-4500](#), "Steel Strand, Uncoated Seven-Wire Low Relaxation for Prestressed Concrete," or

**Section 2.2., "Post-Tensioning System."** The second bulleted item is voided and replaced with the following:

- Provide pre-packaged grouts in accordance with [DMS-4670](#), "Grouts for Post-Tensioning." Do not use grouts that exceed the manufacturers' recommended shelf life or 6 mo. after date of manufacture, whichever is less.

**Section 4.2., "Required Submittals."** The section is voided and replaced with the following.

4.2. **Required Submittals.** Submit information required in this Section for post-tensioned elements, in addition to forming and falsework plans required by Item 420, "Concrete Substructures," and Item 424, "Precast Concrete Structural Members (Fabrication)." Include all necessary construction information in these submittals for cast-in-place and precast construction including, but not limited to the information required in this Section.

4.2.1. **Design Calculations.** Provide design procedures, coefficients, allowable stresses, tendon spacing, and clearances in accordance with the AASHTO LRFD *Bridge Design Specifications* and PTI/ASBI M50 unless otherwise shown on the plans. Submit enough calculations to support the proposed system and method of post-tensioning including friction loss diagrams. When the required jacking force for a particular type of tendon, duct, and configuration is furnished on the plans, design calculations are not required except to adjust for conditions different from those shown on the plans.

4.2.2. **Post-Tensioning Details.** Provide drawings with details that meet the requirements of PTI/ASBI M50 and this Specification.

4.2.3. **Grouting Plan.** Submit for approval written grouting procedures at least four weeks before the start of the element's construction. Include items required by PTI M55.

Include the names of people responsible for PT installation and grouting operations, with the foreman of each grouting crew certified as a PTI Level 2 Bonded PT Field Specialist and ASBI Certified Grouting Technician.

4.2.4. **Stressing Safety Plan.** Provide a plan to protect the public, workers, and Department personnel on and around the vicinity where post-tensioning operations are occurring.

Submit for approval, a detailed safety plan which identifies potential risk associated with post-tensioning operations, including but not limited to:

- tendon alignment,
- temporary shoring,
- ram operations, and
- stand anchorage.

**Section 4.3., “Design Calculations.”** The section is voided and replaced with the following.

- 4.3. **Packaging, Storing, and Handling of Post-Tensioning Components.** Package, store, and handle post-tensioning steel, grout, duct, and other accessories in accordance with PTI/ASBI M50 and PTI M55 unless otherwise indicated. Acceptance and rejection criteria for strand will follow PTI/ASBI M50 and PTI M55.

The following exceptions apply:

- grout storage onsite will be limited to 30 days unless approval by the Engineer is given in advance of material delivery,
- install grout caps and ensure vents are closed at all times so that water and other contaminants cannot enter the duct before strand installation, and
- do not flush ducts at any time.

**Section 4.4., “Packaging, Storing, and Handling of Post-Tensioning Components.”** The section is voided and replaced with the following.

- 4.4. **Duct and Prestressing Steel Installation for Post-Tensioning.** Follow PTI/ASBI M50 for duct and prestressing steel installation procedures and requirements unless otherwise specified. Verify that concrete strength requirements on the plans are met for stressing and staged loading of post-tensioned structural elements.

Stress the tendons within seven days of installing the strand in the ducts unless otherwise approved in advance. Follow the tensioning procedure noted in the approved post-tensioning details.

**Section 4.5., “Duct and Prestressing Steel Installation for Post-Tensioning.”** The section is voided and replaced with the following.

- 4.5. **Grouting.** Grout in accordance with PTI M55.

Grout within 14 days of tendon stressing unless otherwise specified or approved. Obtain approval to extend the grouting time before stressing tendons.

Do not allow the grout temperature to exceed 85°F during mixing and pumping. Do not grout when the ambient temperature is below 35°F. Field-test the grout in accordance with Table 1 during grout installation. Perform field-testing by trained personnel at the Contractor’s expense while witnessed by the Engineer. Pump at the lowest pressure possible that will maintain a continuous flow of grout.

**Table1**  
**Requirements for Field-Testing of Grout**

Test	Frequency	Requirement
Schupak Pressure Bleed Test (ASTM C1741)	1 per day	Per <a href="#">DMS-4670</a>
Fluidity test ( <a href="#">Tex-437-A</a> , Method 2)	2 every 2 hr. 2 min. per day	per <a href="#">DMS-4670</a>
Compressive Strength test (3" × 6" cylinders)	1 per day	per <a href="#">DMS-4670</a>
Mud Balance test ( <a href="#">Tex-130-E</a> , Part II) <sup>1,2</sup>	2 per day	per <a href="#">PTI M55</a>

1. Take one sample from the mixer and one sample from the farthest duct outlet.
2. Verify wet density is within the range established by the department.

**Section 4.6., “Grouting.”** The section is voided and not replaced.

**Article 5., “MEASUREMENT AND PAYMENT.”** The section is voided and replaced with the following.

5. **MEASUREMENT**

This Item will be measured by the each PT element or member. An element or member is defined by one of the following individual components.

- PT Cap

- PT Column
- PT Bent
- Other elements shown in the plans.

The PT may extend into other elements which is subsidiary to the main element being post-tensioned.

6.

#### **PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "PT" for the member type shown on the plans. This price is full compensation for submittals, mock-ups, prestressing steel, post-tensioning, ducts, grout fittings, grout, end anchorages, bearing plates, equipment, labor, materials, tools, and incidentals. Materials furnished for testing will not be paid for directly.

Post-tensioning of precast members, tensioned at a fabrication plant, will not be paid for directly but will be subsidiary to pertinent Items.

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## Special Provision to Item 427

### Surface Finishes for Concrete

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Item 427, "Surface Finishes for Concrete" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 427.2.1 "Coatings,"** is supplemented with the following:

**Epoxy Waterproofing.** Provide Type X Epoxy per [DMS-6100](#) "Epoxies and Adhesives." Match color of coating with Federal Standard 595C color 35630, concrete gray, unless otherwise shown on the plans.

**Article 427.4.2.2 "Application,"** is supplemented with the following:

**Epoxy Waterproofing.** Mix epoxy per manufacturer's instructions. Apply the coating on a dry surface at a maximum application rate of 100 sq. ft per gallon. Apply a thin uniform film of mixed epoxy to the substrate by the use of a short nap roller or brush. The epoxy may be sprayed following the thinning requirements of the manufacturer. No more than 15% reduction is permitted.

Match the color of the applied coating with the color standard shown on the plans. Apply when ambient temperature is between 50°F and 100°F.

**Article 427.6 "Payment,"** the second paragraph is voided and replaced in its entirety with:

When a surface finish for concrete is specified as a pay item, the work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Adhesive Grout Finish," "Concrete Paint Finish," "Opaque Sealer Finish," "Silicone Resin Paint Finish," "Epoxy Waterproof Finish," or "Blast Finish." This price is full compensation for materials; cleaning and preparing surfaces; application of materials; and equipment, labor, tools, and incidentals.



# Special Provision to Item 434

## Bridge Bearings



Item 434, "Bridge Bearings" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 434.2.1., "Plain and Laminated Elastomeric Bearings,"** is voided and replaced with the following:

Manufacturers of plain and laminated elastomeric bearing pads must be approved in accordance with DMS-7365, "Qualification Procedure for Elastomeric Bridge Bearing Pad Manufacturers." The Materials and Tests Division (MTD) maintains a list of approved elastomeric bridge bearing pad manufacturers.

Manufacturers that produce laminated elastomeric bearings with a top steel plate or special components (steel guide bars and bottom plate) must comply with AASHTO's NTPEP Committee Work Plan for Evaluation of Plain and Laminated Elastomeric Bridge Bearing Manufacturers. DMS-7365 does not apply to manufacturers of bridge bearings where the laminated elastomeric bearing pad is a component of the completed bearing assembly.

**Section 434.2.1.1., "Elastomer,"** is voided and replaced with the following:

Provide elastomer for bearings formulated from previously unvulcanized 100% virgin polychloroprene rubber polymers meeting the physical properties, heat resistance, and compression set requirements of AASHTO M 251, Table X1.1, unless otherwise shown on the plans. Do not provide bearings containing previously vulcanized synthetic rubber or other synthetic rubber-like polymers. Perform material tests on the finished product in accordance with the applicable test methods. Do not use standard laboratory test slabs for this purpose. Prepare test specimens from the finished product in accordance with ASTM D3183.

Obtain approval for each elastomer formulation before use on Department projects. Submit certified test results to MTD to prequalify and obtain approval of a particular formulation. Show actual test values obtained and the required values for the physical properties, heat resistance, and compression set of the elastomer when tested for compliance with the minimum requirements of AASHTO M 251, Table X1.1.

Forward samples (freight prepaid) to MTD, or their contracted testing laboratory when directed.

Submit only elastomer of the type or types to be supplied. Submit prequalification samples consisting of 2 finished bearing pads typical of the formulation and workmanship for Department projects. Submit 2 samples of each type when laminated and plain bearing pads are required. Laminated sample bearing pads may represent both plain bearing pads and laminated bearing pads for an elastomer formulation.

Plain sample bearing pads must measure 9 in. × 19 in. × 1 in. Laminated sample bearing pads must measure 9 in. × 14 in. × 1-1/2 in. with the following number of steel laminates:

- 50 durometer—3 steel laminates,
- 60 durometer—2 steel laminates, and
- 70 durometer—2 steel laminates.

Bond strength testing of laminated prequalification samples will be performed by the Department in accordance with [Tex-601-J](#), Part I—"Bond Strength Test Method 1". The tested sample must achieve a minimum bond strength of 40 lbf/in. of width.

Presence of chlorinated compounds (neoprene) in the elastomer will be verified by the Department in accordance with [Tex-601-J](#), Part III—Chlorinated Compound Test Method 3.

Costs associated with testing elastomer formulations failing to conform to the requirements of this Item are borne by the bearing manufacturer. This cost will be assessed at the rate established by MTD at the time of testing.

Certify that the submitted samples are of the same basic elastomer formulation and of equivalent cure as the finished products to be furnished on Department projects.

Complete prequalification testing will be performed for each formulation at least once every 2 yr. and when necessary.

**Section 434.2.1.2., "Steel Laminates,"** is voided and replaced with the following:

Provide steel laminates, for laminated bearing pads, of commercial grade steel strip or sheet with a thickness of 0.105 ±0.015 in.

**Section 434.2.2.1.2., "Laminated Elastomeric Bearing Pad and Steel Plate,"** the first paragraph is amended to include the following sentence:

Bearing manufacturers that produce the laminated elastomeric bearing pad component of a sliding elastomeric bearing must comply with AASHTO's NTPEP Committee Work Plan for Evaluation of Plain and Laminated Elastomeric Bridge Bearing Manufacturers.

**Section 434.3.1., "Plain and Laminated Elastomeric Bearings,"** is voided and replaced with the following:

Electronically submit shop drawings for the complete assembly before fabrication of laminated elastomeric bearings with or without steel top plates or special components in accordance with the plans and Item 441, "Steel Structures." Provide a bearing layout with the shop drawings.

Mold together components of a laminated bearing pad to form an integral unit free of voids or separations in the elastomer or between the elastomer and the steel laminates or plates, unless otherwise shown on the plans. Provide well-vulcanized elastomer between the laminates or plates and on the outer surfaces of the bearing pad that is uniform and integral and resists separation by mechanical means into separate, definite, well-defined elastomeric layers. Evidence of this layered construction, either at the outer surfaces or within the bearing pad, will be cause for rejection. Repair of damaged elastomer on sides of laminated bearing pads is not allowed for product acceptance. Repair of damaged elastomer on top or bottom surfaces of laminated bearing pads is allowed when approved.

Cover edges of steel laminates with 1/8 in. to 1/4 in. of elastomer except exposure of the laminates will be permitted at approved laminate restraining devices and around holes entirely enclosed in the finished structure. Position laminates within 1/8 in. of plan location.

Plain bearing pads may be molded individually, cut from previously molded strips or slabs molded to the full thickness of the finished bearing pads, or extruded and cut to length. The finish of cut surfaces must be ANSI 250, or smoother. The finished bearing pads must have no voids or separations detectable either at the bearing surfaces or within the bearing pad. Plain elastomeric bearing pads must be well vulcanized, uniform, and integral units of such construction that the bearing pad is incapable of being separated by any mechanical means into separate, definite, well-defined elastomeric layers. Evidence of layered construction either at the outer surfaces or within the bearing pad will be cause for rejection.

The permissible variation from the dimensions and configuration shown on the plans for both plain and laminated bearing pads will be as listed in AASHTO M 251, Table 2. Flash tolerance, finish, and appearance must meet the requirements of the latest edition of the Rubber Handbook published by the US Tire Manufacturer's Association, RMA F3 and T.063 for molded bearings, and RMA F2 for extruded bearings.

Perform required welding in accordance with Item 441, "Steel Structures." Manufacture guide bars, when required, so adjacent top and bottom bar surfaces are parallel to within 1/16 in. in the assembled position. The tolerance for diameter of anchor bolt holes is +1/8 in., -0. The maximum deviation for flatness of steel plates is 1/16 in. in any 24 in. or as shown on the plans.

**Section 434.3.1.1., "Marking,"** the first paragraph is voided and replaced with the following:

Mark the bearing type on the surface of each bearing as shown on the plans. The marking must remain legible until placement in the structure. Permanently mark, in addition, laminated bearings with:

- manufacturer's name or trademark,
- lot number, and
- date of manufacture (month-year).

**Section 434.3.1.2., "Testing and Acceptance,"** is voided and replaced with the following:

Perform testing, inspection, and acceptance of plain and laminated elastomeric bearing pads in accordance with DMS-7365, "Qualification Procedure for Elastomeric Bridge Bearing Pad Manufacturers."

For laminated elastomeric bearings with a steel top plate or special components (steel guide bars and bottom plate), apply a compression load of 2,250 psi or a stress approved by the Engineer to each bearing. Provide calibrated equipment per ASTM E4 for this compression testing. Each bearing will be acceptable if there is no visible evidence of bond failure or other damage and if the finished bearing meets other pertinent portions of this Item. Samples may be taken if the quality of production becomes questionable.

**Section 434.3.2.1., "Lower Component,"** is voided and replaced with the following:

Manufacture one additional bearing lower component per project for testing purposes. Notify MTD, which will sample a bearing lower component at random from the lot, after bearings have been manufactured for a project. Forward selected samples (freight prepaid) to MTD, or to their contracted testing laboratory when directed. Lower component samples will be tested to the following:

- [Tex-601-J](#), Part II—"Adhesion Test Method 2." Adhesion between the PTFE material and steel plate must meet a minimum 20 lb. per inch of width;
- [Tex-601-J](#), Part III—"Chlorinated Compound Test Method 3." Laminated bearing pad elastomer must contain chlorinated compounds (neoprene); and
- PTFE physical properties in accordance with Table 1, with the exception of Melting Point Testing (ASTM D4894).

Costs associated with testing sliding elastomeric bearing lower component project samples failing to conform to these requirements are borne by the bearings manufacturer. This cost will be assessed at the rate established by Construction Division at the time of testing.

**Section 434.3.3.2., "Testing and Acceptance."** The last paragraph is voided.

# Special Provision to Item 440

## Reinforcement for Concrete



Item 440, "Reinforcement for Concrete," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 440.2., "Materials,"** is supplemented with the following.

- 2.7. **Welded Deformed Bar Mat Reinforcement.** Provide welded deformed bar mats in accordance with ASTM A184 except as otherwise noted in this Specification. Fabricate welded bar mats from deformed steel bars in accordance with ASTM A706 by securely connecting every intersection with a process of electrical resistance welding that employs the principle of fusion combined with pressure. The bars must be assembled by automatic machines or by other suitable mechanical means that will assure accurate spacing and alignment of all bars of the finished product.
- 2.14. **Zinc-Coated, Hot-Dip Galvanized Class I or Class II Steel Reinforcement.** Provide zinc-coated, hot-dip galvanized Class I or Class II steel reinforcement in accordance with ASTM A767, Grade 60 or Grade 75, when shown on the plans and as allowed.
- 2.15. **Continuously Hot-Dip Galvanized Reinforcement (CGR).** Provide CGR in accordance with ASTM A1094 steel reinforcement, Grade 60 or Grade 75, when shown on the plans and as allowed.

**Section 440.2.1., "Approved Mills."** The second paragraph is voided and not replaced.

**Section 440.2.5., "Weldable Reinforcing Steel,"** is supplemented with the following.

All welding operations must be performed before hot-dip galvanizing.

**Section 440.2.8., "Mechanical Couplers,"** is voided and replaced with the following.

Use couplers of the type specified in [DMS-4510](#), "Mechanical Couplers for Reinforcing Steel," Section 4510.6.1., "General Requirements," when mechanical splices in reinforcing steel bars are shown on the plans.

Furnish only couplers pre-qualified in accordance with [DMS-4510](#), "Mechanical Couplers for Reinforcing Steel." Ensure sleeve-wedge type couplers are not used on coated reinforcing. Sample mechanical couplers in accordance with [Tex-743-I](#) for testing before use on individual projects. Test the mechanical couplers for every project in which mechanical couplers are used in accordance with [Tex-744-I](#). Furnish couplers only at locations shown on the plans.

Furnish couplers for stainless reinforcing steel with the same alloy designation as the reinforcing steel.

Provide hot-dip or mechanically galvanized couplers when splicing galvanized reinforcing or CGR.

**Section 440.2.11., "Low Carbon/Chromium Reinforcing Steel."** The first sentence is voided and replaced by the following.

Provide deformed steel bars in accordance with ASTM A1035, Grade 100, Type CS, when low-carbon, chromium-reinforcing steel is required on the plans. Type CM will be permitted only if specified on the plans.

**Section 440.3.1., "Bending,"** is supplemented with the following.

Do not bend hot-dip galvanized reinforcement. Only minor positioning adjustments are permitted.

Bending of CGR is permitted after galvanizing.

**Section 440.3.5., “Placing.”** The following will be added to the fourth paragraph.

Use Class 1 or Class 1A supports with CGR. Provide epoxy- or plastic-coated tie wires and clips for use with epoxy-coated reinforcing steel.

**Section 440.3.6.3., “Repairing Coating,”** is supplemented with the following:

Repair damaged galvanized surfaces in accordance with Section 445.3.5.2., “Repair Processes.”

# Special Provision to Item 441

## Steel Structures



Item 441, "Steel Structures" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 441.2.2., "Approved Electrodes and Flux-Electrode Combinations,"** is voided and replaced with the following:

Use only electrodes and flux-electrode combinations conforming to AWS A5 specifications, and pertinent classifications for the applicable welding processes. When requested, submit a current Certificate of Conformance (COC) containing all test results as required by the applicable AWS A5 specification and welding code. Provide proof of Buy America compliance for welding consumables when requested. For bridge main member fabrication, submit the COC annually.

**Section 441.2.3., "High-Strength Bolts,"** is revised and replaced by the following:

Use fasteners that meet Item 447, "Structural Bolting." Use galvanized fasteners on field connections of bridge members when ASTM F3125-Grade A325 bolts are specified, and steel is painted.

**Section 441.3.1.5.1., "Plants,"** The second and third paragraphs are voided and replaced with the following:

Fabrication plants that produce the following non-bridge steel members must be approved in accordance with DMS-7380, "Steel Non-Bridge Member Fabrication Plant Qualification."

- Item 610, "Roadway Illumination Poles"
- Item 613, "High Mast Illumination Poles"
- Item 614, "High Mast Rings and Support Assemblies"
- Item 650, "Overhead Sign Support Structures"
- Item 654, "Sign Walkways"
- Item 686, "Traffic Signal Poles"
- Special Specification 6064, "Intelligent Transportation System (ITS) Poles."

The Materials and Tests Division (MTD) maintains a list of approved non-bridge fabrication plants on the Department MPL that produce these members.

**Section 441.3.1.6.1., "Erection Drawings,"** the third paragraph is voided and replaced with the following:

Perform erection engineering evaluation of the structural adequacy and stability of constructing the bridge system for each step of the steel erection.

**Section 441.3.1.5.3., "Nondestructive Testing (NDT),"** is voided and replaced with the following:

Personnel performing NDT must be qualified in accordance with the applicable AWS code and the employer's Written Practice. Level III personnel who qualifies Level I and Level II technicians must be certified by ASNT for which the NDT Level III is qualified. In addition, NDT technicians must pass hands-on tests that MTD administers. This will remain current provided they continue to perform testing on Department materials as evidenced by test reports requiring their signature. A technician who fails any of the hands-on tests must wait 3 mo. or as approved otherwise before retesting. Qualification to perform NDT will be revoked when the technician's employment is terminated or when the technician goes 6 mo. without performing a test on a Department project. The technician must pass a new hands-on test to be re-certified. Testing of similar weld joints for non-Department projects may be considered by the Engineer instead of re-testing provided enough documentation is submitted with the signature of the project's Engineer. These requirements also apply to testing agencies, and individual third-party contractors.

**Section 441.3.1.5.4., “Welding Procedure Specification Qualification Testing,”** is voided and replaced by the following:

For Fabricators qualified in accordance with DMS-7370, DMS-7380, or DMS-7395, laboratories performing procedure qualification testing for welding procedure specifications (WPSs) must be accredited by a nationally recognized agency that performs testing in accordance with ISO/International Electrotechnical Commission (IEC) 17025 in the mechanical field of testing.

**Section 441.3.1.9., “Material Identification,”** is amended to include the following paragraph:

Low-stress stencil marks must have a radius instead of a sharp point. Acceptable stencils include dot, vibration, and rounded-V stencils. Label these stencils so that they are easily distinguishable from other stencils that are not low-stress.

**Section 441.3.2.4.1., “Flange Tilt,”** the last sentence is voided and replaced with the following:

Minor jacking that does not deform the material will be permitted.

**Section 441.3.2.5.3., “Magnetic Particle Testing,”** is voided and replaced with the following:

Use alternating current (AC) when using the yoke method unless otherwise approved. Welds may be further evaluated with half-wave rectified DC for subsurface indications. Centerline cracking may be detected with aluminum prod method when approved.

**Section 441.3.5.8., “Hammering,”** is added to state the following:

Do not perform hammering on any portion of the member that causes the material to permanently deform. Avoid damage to the material by measures such as use of brass or aluminum hammers or by padding the area to be hammered.

**Section 441.3.8.1., “Shop Painting,”** is amended to include with the following paragraph:

Measure the anchor profile after blast cleaning at random locations along the thermal cut surfaces. If specified anchor profile is not achieved over the entire flame cut surface, grind the edges and re-blast to achieve the required anchor pattern.

**Section 441.3.9., “Handling and Storage of Materials,”** The second sentence of the second paragraph is replaced by the following:

Keep materials clean and avoid damaging of the applied coating.

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## Special Provision to Item 442

### Metal for Structures

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Item 442, "Metal for Structures" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Section 442.2.1.3.3., "Fasteners."** The first sentence of the first paragraph is replaced by the following:

**Fasteners.** Provide high-strength bolts that meet ASTM F3125-Grade A325 unless otherwise shown on the plans.

**Section 442.2.1.3.3., "Fasteners."** The third paragraph is deleted and not replaced.



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## Special Provision to Item 446

### Field Cleaning and Painting Steel

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For this project, Item 446, "Field Cleaning and Painting Steel," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 446.4.1., "Qualification,"** the first and second paragraphs are voided and replaced by the following:

Submit to the Engineer documentation verifying SSPC QP 1 or NACE NIICAP AS-1 certification for work requiring the removal or application of coatings. Additionally, submit to the Engineer documentation verifying SSPC QP 2 Cat A or NACE NIICAP AS-2 certification when work requires removal of coatings containing hazardous materials. Maintain certifications throughout the project. No work may be performed without current and active certifications unless otherwise shown on the plans. The Engineer may waive certification requirements for minor, touch-up repair work and coating steel members repaired in accordance with Item 784, "Steel Member Repair."

The Engineer may waive certification requirements, when stated on the plans, for the purpose of qualification in either contractor certification program if the project has been accepted as a qualification project as part of the process for obtaining SSPC QP1 Cat A or NACE NIICAP AS-1 certification. Submit certification applications and proof of acceptance before beginning work or provide SSPC QP 7 certification when required on the plans.

**Section 446.4.7.3.2., "Classes of Cleaning,"** is amended with the following:

Prepare all surfaces of painted steel members subsequently exposed from structural operations, such as deck removal or steel repair, in accordance with this Item. Prevent loose or damaged paint from entering the environment.

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## Special Provision to Item 448

### Structural Field Welding

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Item 448, "Structural Field Welding" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 448.2., "Materials,"** the third paragraph is voided and replaced with the following:

Use only electrodes and flux-electrode combinations conforming to AWS A5 specifications and pertinent classifications for the applicable welding processes. When requested, submit a current Certificate of Conformance (COC) containing acceptable wording indicating Buy America compliance and all tests required by the applicable AWS specifications and welding codes. Tests must be conducted on electrodes of the same class, size, and brand; and manufactured by the same process and with the same materials as the electrodes to be furnished.

# Special Provision to Item 449

## Anchor Bolts



Item 449, "Anchor Bolts" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Section 449.2.1., "Bolts and Nuts."** Table 1 is replaced by the following:

Table 1  
Bolt and Nut Standards

Specified Anchor Bolt Category	Bolt Standards	Nut Standards
Mild steel	ASTM A307 Gr. A, F1554 Gr. 36, or A36	ASTM A563
Medium-strength, mild steel	ASTM F1554 Gr. 55 with supplementary requirement S1	ASTM A194 Gr. 2 or A563 Gr. D or better
High-strength steel	ASTM F3125-Grade A325 or ASTM A449 <sup>1</sup>	ASTM A194 or A563, heavy hex
Alloy steel	ASTM A193 Gr. B7 or F1554 Gr. 105	ASTM A194 Gr. 2H or A563 Gr. DH, heavy hex

1. If headed bolts are specified, ASTM A449 bolts must be heavy hex head.

**Section 449.3.3.1, "Anchor Bolt Thread Lubricant Coating,"** The first sentence of the first paragraph is voided and replaced by the following.

Coat anchor bolt threads before installing nuts with an electrically conducting lubricant compound described in Section 449.3.3.2.1., "Definitions," for traffic signal poles, roadway illumination poles, high mast illumination poles, intelligent transportation system poles, overhead sign support structures, and steel electrical service supports.

**Section 449.3.3.2, "Anchor Bolt Tightening Procedure,"** The first sentence of the first paragraph is voided and replaced by the following.

Tighten anchor bolts for traffic signal poles, shoe base and concrete traffic barrier base roadway illumination poles, high mast illumination poles, intelligent transportation system poles, and overhead sign support structures in accordance with this Section.

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# Special Provision to Item 450

## Railing

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Item 450, "Railing" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 450.3.1.2, "Fabrication,"** is supplemented with the following.

Fabrication plants that produce metal railing (steel and aluminum) must be approved in accordance with DMS-7395, "Metal Railing Fabrication Plant Qualification." This required approval does not include fabricators of chain link fence. The Materials and Tests Division maintains a MPL of approved fabrication plants of metal railing.

Permanently mark each metal railing post base plate, at a visible location when erected, with the fabrication plant's insignia or trademark. For fabricated rail panels, provide this permanent mark on one post base plate, per panel.

# Special Provision to Item 462

## Concrete Box Culverts and Drains



Item 462, "Concrete Box Culverts and Drains," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 2.1., "General."** The last paragraph is voided and replaced with the following:

Furnish material for precast formed and machine-made box culverts in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Sections 2.2.2., "Formed Precast," and 2.2.3., "Machine-Made Precast,"** are voided and replaced by the following.

2.2.2 **Precast.** Precast formed and machine –made box culvert fabrication plants must be approved in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures." The Construction Division maintains a list of approved precast box culvert fabrication plants on the Department's MPL. Fabricate precast boxes in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Sections 2.3.2., "Formed Precast," and 2.3.3., "Machine-Made Precast,"** are voided and replaced by the following.

2.3.2 **Precast.** Make, cure, and test compressive test specimens for precast formed and machine –made box culverts in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Section 2.5., "Marking,"** the first paragraph is voided and replaced with the following.

**Marking.** Clearly mark each precast unit with the following:

- Name or trademark of fabricator and plant location;
- ASTM designation and product designation (when applicable);
- Date of manufacture,
- Box size,
- Minimum and maximum fill heights,
- Designation "TX" for precast units fabricated per DMS-7305,
- Fabricator's designated approval stamp for each approved unit,
- Designation "SR" for boxes meeting sulfate-resistant concrete plan requirements (when applicable), and
- Precast drainage structures used for jacking and boring (when applicable).

**Section 2.6., "Tolerances."** The section is voided and replaced with the following.

Ensure precast sections meet the permissible variations listed in ASTM C1577.

Ensure that the sides of a section at each end do not vary from being perpendicular to the top and bottom by more than 1/2 in. when measured diagonally between opposite interior corners. Deviations from this tolerance will be acceptable if the sections can be fitted at the plant and the joint opening at any point does not exceed 1 in. Use match-marks for proper installation on sections that have been accepted in this manner.

Ensure wall and slab thicknesses are not less than shown on the plans except for occasional deficiencies not greater than 3/16 in. or 5%, whichever is greater. If proper jointing is not affected, thicknesses in excess of plan requirements are acceptable.

**Section 2.7., “Defects and Repair.”** The section is voided and replaced with the following:

Fine cracks on the surface of members that do not extend to the plane of the nearest reinforcement are acceptable unless the cracks are numerous and extensive. Repair cracks that extend into the plane of the reinforcing steel in accordance with the Department’s Concrete Repair Manual. The Engineer may accept boxes with repairs that are sound, properly finished, and cured in conformance with pertinent specifications. Discontinue further production of precast sections until corrections are made and proper curing is provided when fine cracks on the surface indicate poor curing practices.

Repair precast boxes in accordance with DMS-7305, “Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures.”

**Section 2.8., “Storage and Shipment.”** This section is voided and replaced with the following:

- 2.8      **Storage and Shipment.** Store precast sections on a level surface. Do not place any load on the sections until design strength is reached and curing is complete. Store and ship precast boxes in accordance with DMS-7305, “Fabrication and Qualification Production for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures.

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# Special Provision to Item 464

## Reinforced Concrete Pipe

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Item 464, "Reinforced Concrete Pipe," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 2.1., "Fabrication."** The section is voided and replaced with the following.

Fabrication plants must be approved by the Materials and Tests Division in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures," before furnishing precast reinforced concrete pipe for Departmental projects. The Department's MPL has a list of approved reinforced concrete pipe plants.

Furnish material and fabricate reinforced concrete pipe in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Section 2.3., "Marking."** The first paragraph is voided and replaced with the following.

Furnish each section of reinforced concrete pipe marked with the following information specified in DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

- Class or D-Load of pipe,
- ASTM designation,
- Date of manufacture,
- Pipe size,
- Name or trademark of fabricator and plant location,
- Designation "TX" for precast units fabricated per DMS-7305;
- Designated fabricator's approval stamp for each approved unit,
- Pipe to be used for jacking and boring (when applicable), and
- Designation "SR" for pipe meeting sulfate-resistant concrete plan requirements (when applicable).

**Section 2.5., "Causes for Rejection."** The section is voided and replaced with the following.

Individual sections of pipe may be rejected for any of the conditions stated in the Annex of DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Section 2.6., "Repairs."** The section is voided and replaced with the following:

Make repairs, if necessary, as stated in the Annex of DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

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# Special Provision to Item 465

## Junction Boxes, Manholes, and Inlets

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Item 465, "Junction Boxes, Manholes, and Inlets," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 2.1., "Concrete,"** The section is voided and replaced with the following.

Furnish concrete per DMS-7305 for formed and machine-made precast junction boxes, manholes, and inlets. Furnish Class C concrete for cast-in-place junction boxes, manholes, and inlets unless otherwise shown on the plans.

**Section 3.1., "Precast Junction Boxes, Manholes, and Inlets,"** The section is voided and replaced with the following.

Construct formed and machine-made precast junction boxes, manholes, and inlets in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures" and the Contract Plans, except as otherwise noted in this Item.

Multi-project fabrication plants as defined in Item 424 "Precast Concrete Structural Members (Fabrication)," that produce junction boxes, manholes, and inlets will be approved by the Materials and Tests Division in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures." The Department's MPL has a list of approved multi-project fabrication plants.

**Section 3.1.1., "Lifting Holes,"** The section is voided and not replaced.

**Section 3.1.2., "Marking,"** The section is voided and replaced with the following.

**Marking.** Clearly mark each precast junction box, manhole, and inlet unit with the following information:

- name or trademark of fabricator and plant location;
- product designation;
- ASTM designation (if applicable);
- date of manufacture;
- designation "TX" for precast units fabricated per DMS-7305;
- designated fabricator's approval stamp for each approved unit; and
- designation "SR" for product meeting sulfate-resistant concrete plan requirements (when applicable).



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## Special Provision to Item 502

### Barricades, Signs and Traffic Handling

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Item 502, "Barricades, Signs and Traffic Handling" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Article 502.1., "Description,"** is supplemented by the following:

Temporary work-zone (TWZ) traffic control devices manufactured after December 31, 2019, must have been successfully tested to the crashworthiness requirements of the 2016 edition of the Manual for Assessing Safety Hardware (MASH). Such devices manufactured on or before this date and successfully tested to NCHRP Report 350 or the 2009 edition of MASH may continue to be used throughout their normal service lives. An exception to the manufacture date applies when, based on the project's date of letting, a category of MASH-2016 compliant TWZ traffic control devices are not approved, or are not self-certified after the December 31, 2019, date. In such case, devices that meet NCHRP-350 or MASH-2009 may be used regardless of the manufacture date.

Such TWZ traffic control devices include: portable sign supports, barricades, portable traffic barriers designated exclusively for use in temporary work zones, crash cushions designated exclusively for use in temporary work zones, longitudinal channelizers, truck and trailer mounted attenuators. Category I Devices (i.e., lightweight devices) such as cones, tubular markers and drums without lights or signs attached however, may be self-certified by the vendor or provider, with documentation provided to Department or as are shown on Department's Compliant Work Zone Traffic Control Device List.

**Article 502.4., "Payment,"** is supplemented by the following:

Truck mounted attenuators and trailer attenuators will be paid for under Special Specification, "Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)." Portable Changeable Message Signs will be paid for under Special Specification, "Portable Changeable Message Sign." Portable Traffic Signals will be paid for under Special Specification, "Portable Traffic Signals."

## Special Provision to Item 506

# Temporary Erosion, Sedimentation, and Environmental Controls



Item 506, "Temporary Erosion, Sedimentation, and Environmental Controls," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 506.1., "Description."** The second paragraph is voided and replaced by the following.

Contractor is considered primary operator to have day-to-day operational control as defined in TPDES GP TXR150000.

- 1.1. For projects with soil disturbance of less than 1 acre, no submittal to TCEQ will be required but Contractor will follow SWP3. For projects with soil disturbance of 1 acre to less than 5 acres a small site notice will be posted at the site. For projects with soil disturbance of 5 acres or more a Notice of Intent (NOI) is required and a large site notice posted at site. Postings will be in accordance with TPDES GP TXR150000. Postings not associated with project specific locations will be in same location as Department's postings.
- 1.2. **Notice of Intent (NOI).** Submit a NOI, if applicable, with the TCEQ under the TPDES GP TXR150000 at least 7 days prior to commencement of construction activities at the project site. Provide a signed copy to the Engineer and any other MS4 operators at the time of submittal. The Department will submit their NOI prior to contractor submission and will provide a copy for Contractor's use in completing the Contractor's NOI form.
- 1.3. **Notice of Change (NOC).** Upon concurrence of the Engineer, submit a NOC, if applicable, to the TCEQ within 14 days of discovery of a change or revision to the NOI as required by the TPDES GP TXR150000. Provide a signed copy of the NOC to the Engineer and any other MS4 operators at the time of submittal.
- 1.4. **Notice of Termination (NOT).** Upon concurrence of the Engineer, submit a NOT, if applicable, to the TCEQ within 30 days of the Engineer's approval that 70% native background vegetative cover is met or equivalent permanent stabilization have been employed in accordance with the TPDES GP TXR 150000. Provide a signed copy of the NOT to the Engineer and any other MS4 operators at the time of submittal.

**Section 506.3.1, "Contractor Responsible Person Environmental (CRPE) Qualifications and Responsibilities,"** is supplemented by the following:

- 3.1. **Contractor Responsible Person Environmental (CRPE) Qualifications and Responsibilities.** Provide and designate in writing at the preconstruction conference a CRPE and alternate CRPE who have overall responsibility for the storm water management program. The CRPE will implement stormwater and erosion control practices; will oversee and observe stormwater control measure monitoring and management; will monitor the project site daily and produce daily monitoring reports as long as there are BMPs in place or soil disturbing activities are evident to ensure compliance with the SWP3 and TPDES General Permit TXR150000. Daily monitor reports shall be maintained and made available upon request. During time suspensions when work is not occurring or on contract non-work days, daily inspections are not required unless a rain event has occurred. The CRPE will provide recommendations on how to improve the effectiveness of control measures. Attend the Department's preconstruction conference for the project. Ensure training is completed as identified in Section 506.3.3., "Training," by all applicable personnel before employees work on the project. Document and maintain and make available upon request, a list, signed by the CRPE, of all applicable Contractor and subcontractor employees who have completed the training. Include the employee's name, the training course name, and date the employee completed the training.

**Section 506.3.3., "Training,"** is supplemented by the following:

Training is provided by the Department at no cost to the Contractor and is valid for 3 yr. from the date of completion. The Engineer may require the following training at a frequency less than 3 yr. based on environmental needs:

- “Environmental Management System: Awareness Training for the Contractor” (English and Spanish) (Approximate running time 20 min.), and
- “Storm Water: Environmental Requirements During Construction” (English and Spanish) (Approximate running time 20 min.).

The Contractor responsible person environmental (CRPE), alternate CRPE designated for emergencies, Contractor's superintendent, Contractor, and subcontractor lead personnel involved in soil disturbing or SWP3 activities must enroll in and complete the training listed below and maintain and make available upon request the certificate of completion. Training is provided by a third party and is valid for 3 yr. from the date shown on the Certificate of Completion. Coordinate enrollment as prescribed by the Department and pay associated fees for the following training:

- “Revegetation During Construction,”
- “Construction General Permit Compliance,” and
- “Construction Stage Gate Checklist (CSGC).”

Training and associated fee will not be measured or paid for directly but are subsidiary to this Item.

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## Special Provision to Item 520

### Weighing and Measuring Equipment

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Item 520, "Weighing and Measuring Equipment" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 520.2., "Equipment."** The third paragraph is voided and replaced by the following.

Calibrate truck scales using weights certified by the Texas Department of Agriculture (TDA) or an equivalent agency as approved. Provide a written calibration report from a scale mechanic for truck scale calibrations. Cease plant operations during the checking operation. Do not use inaccurate or inadequate scales. Bring performance errors as close to zero as practicable when adjusting equipment.

**Article 520.2., "Equipment."** The fourth paragraph is amended to include the following:

At the Contractors option, an electronic ticket delivery system (e-ticketing) may be used instead of printed tickets. The use of e-ticketing will require written approval of the Engineer. At a minimum, the approved system will:

- Provide electronic, real-time e-tickets meeting the requirements of the applicable bid items;
- Automatically generate e-tickets using software and hardware fully integrated with the automated scale system used to weigh the material, and be designed in such a way that data input cannot be altered by the Contractor or the Engineer;
- Provide the Engineer access to the e-ticketing data in real-time with a web-based or app-based system compatible with iOS;
- Provide offline capabilities to prevent data loss if power or connectivity is lost;
- Require both the Contractor and the Engineer to accept or reject the e-ticket and provide the ability to record the information required by the applicable bid items, as well as any comments. Record the time of the approval/rejection and include it in the summary spreadsheet described below. Provide each party the capability to edit their respective actions and any entered information;

The Contractor may discontinue use of the e-ticket system and provide printed tickets as needed to meet the requirements of the applicable bid items.

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## Special Provision to Item 540 Metal Beam Guard Fence

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Item 540, "Metal Beam Guard Fence" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 540.4.7, "Measurement,"** is voided and replaced with the following:

**Long Span System.** Measurement will be by each long span system, complete in place. Each long span system will be from the first CRT to the last CRT in the system.

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# Special Provision to Item 636

## Signs

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Item 636, "Signs" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 636.3.1, "Fabrication."** is deleted.

**Section 636.3.1.2, "Sheeting Application."** The last sentence of the fourth paragraph is voided and replaced by the following.

Do not splice sheeting or overlay films for signs fabricated with ink or with colored transparent films.

# Special Provision to Item 643

## Sign Identification Decals



Item 643, "Sign Identification Decals," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2. "Materials."** The sign identification decal design shown in Figure 1 and the description for each row in Table 1 are supplemented by the following.

Texas Department of Transportation													
<b>C</b>	<b>Fabrication Date</b>											<b>T</b>	1
J	F	M	A	M	J	J	A	S	O	N	D		2
	201		202		203		204		205				3
	0	1	2	3	4	5	6	7	8	9			4
<b>Sheeting MFR - Substrate</b>													
A	B	C	D	E	F	G	H	J	K	L	M		5
<b>Film MFR</b>													
A	B	C	D	E	F	G	H	J	K	L	M		6
<b>Sheeting MFR - Legend</b>													
A	B	C	D	E	F	G	H	J	K	L	M		7
<b>Installation Date</b>													
				0	1	2	3						8
	0	1	2	3	4	5	6	7	8	9			9
J	F	M	A	M	J	J	A	S	O	N	D		10
	201		202		203		204		205				11
	0	1	2	3	4	5	6	7	8	9			12
<b>Name of Sign Fabricator</b> <b>Physical Address</b> <b>City, State, Zip Code</b>													13

**Figure 1**  
**Decal Design (Row numbers explained in Table 1)**

**Table 1**  
**Decal Description**  
**Row Explanation**

<b>1</b>	Sign fabricator
<b>2</b>	Month fabricated
<b>3</b>	First 3 digits of year fabricated
<b>4</b>	Last digit of year fabricated
<b>5</b>	Manufacturer of the sheeting applied to the substrate
<b>6</b>	Film (colored transparent or non-reflective black) manufacturer
<b>7</b>	Manufacturer of the sheeting for the legend
<b>8</b>	Tens digit of date installed
<b>9</b>	Ones digit of date installed
<b>10</b>	Month installed
<b>11</b>	First 3 digits of year installed
<b>12</b>	Last digit of year installed
<b>13</b>	Name of sign fabricator and physical location of sign shop



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## Special Provision to Item 654

### Sign Walkways

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Item 654, "Sign Walkways" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 654.3.2, "Fabrication."** The following language is added after the first paragraph.

Fabrication plants that produce sign walkways must be approved in accordance with DMS-7380, "Steel Non-Bridge Member Fabrication Plant Qualification." The Construction Division maintains a list of approved sign walkway fabrication plants on the Department's Material Producers List.

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## Special Provision to Item 656

### Foundations for Traffic Control Devices

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Item 656, "Foundations for Traffic Control Devices" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 3. "Construction,"** the first paragraph is supplemented by the following:

Ensure the top of the foundation and anchor bolts meet specified requirements in relation to the final grade.

# Special Provision to Item 666

## Retroreflectorized Pavement Markings



Item 666, "Retroreflectorized Pavement Markings," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 2.3., "Glass Traffic Beads."** The first paragraph is voided and replaced by the following:

Furnish drop-on glass beads in accordance with DMS-8290, "Glass Traffic Beads," or as approved. Furnish a double-drop of Type II and Type III drop-on glass beads for longitudinal pavement markings where each type bead is applied separately in equal portions (by weight), unless otherwise approved. Apply the Type III beads before applying the Type II beads. Furnish Type II beads for work zone pavement markings and transverse markings or symbols.

**Section 4.3.1., "Type I Markings.,"** is supplemented by the following:

**4.3.1.3. Spot Striping.** Perform spot striping on a callout basis with a minimum callout quantity as shown on the plans.

**Section 4.3.2., "Type II Markings.,"** is supplemented by the following:

**4.3.2.1. Spot Striping.** Perform spot striping on a callout basis with a minimum callout quantity as shown on the plans.

**Section 4.4., "Retroreflectivity Requirements.,"** is voided and replaced by the following.

Type I markings for Contracts totaling more than 20,000 ft. of pavement markings must meet the following minimum retroreflectivity values for all longitudinal edgeline, centerline or no passing barrier-line, and lane line markings when measured any time after 3 days, but not later than 10 days after application.

- White markings: 250 millicandelas per square meter per lux (mcd/m<sup>2</sup>/lx)
- Yellow markings: 175 mcd/m<sup>2</sup>/lx

Retroreflectivity requirements for Type I markings are not required for Contracts with less than 20,000 ft. of pavement markings or Contracts with callout work, unless otherwise shown on the plans.

**Section 4.5., "Retroreflectivity Measurements.,"** is voided and replaced by the following:

Use a mobile retroreflectometer to measure retroreflectivity for Contracts totaling more than 50,000 ft. of pavement markings, unless otherwise shown on the plans. For Contracts with less than 50,000 ft. of pavement markings, mobile or portable retroreflectometers may be used at the Contractor's discretion. Coordinate with and obtain authorization from the Engineer before starting any retroreflectivity data collection.

**Section 4.5.1., "Mobile Retroreflectometer Measurements."** The last paragraph is voided and replaced by the following.

Restripe again at the Contractor's expense with a minimum of 0.060 in. (60 mils) of Type I marking material if the average of these measurements falls below the minimum retroreflectivity requirements. Take measurements every 0.1 miles a minimum of 10 days after this third application within that mile segment for that series of markings. If the markings do not meet minimum retroreflectivity after this third application, the Engineer may require removal of all existing markings, a new application as initially specified, and a repeat of the application process until minimum retroreflectivity requirements are met.

**Section 4.5.2., "Portable Retroreflector Measurements."** The first and second paragraphs are voided and replaced by the following.

Provide portable measurement averages for every 1.0 mile unless otherwise specified or approved. Take a minimum of 20 measurements for each 1-mi. section of roadway for each series of markings (e.g., edgeline, center skip line, each line of a double line) and direction of traffic flow when using a portable reflectometer. Measure each line in both directions for centerlines on two-way roadways (i.e., measure both double solid lines in both directions and measure all center skip lines in both directions). The spacing between each measurement must be at least 100 ft. The Engineer may decrease the mileage frequency for measurements if the previous measurements provide satisfactory results. The Engineer may require the original number of measurements if concerns arise.

Restripe at the Contractor's expense with a minimum of 0.060 in. (60 mils) of Type I marking material if the averages of these measurements fail. Take a minimum of 10 more measurements after 10 days of this second application within that mile segment for that series of markings. Restripe again at the Contractor's expense with a minimum of 0.060 in. (60 mils) of Type I marking material if the average of these measurements falls below the minimum retroreflectivity requirements. If the markings do not meet minimum retroreflectivity after this third application, the Engineer may require removal of all existing markings, a new application as initially specified, and a repeat of the application process until minimum retroreflectivity requirements are met.

**Section 4.6. "Performance Period."** The first sentence is voided and replaced by the following:

All longitudinal markings must meet the minimum retroreflectivity requirements within the time frame specified. All markings must meet all other performance requirements of this specification for at least 30 calendar days after installation.

**Article 6. "Payment."** The first two paragraphs are voided and replaced by the following.

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Pavement Sealer" of the size specified; "Retroreflectorized Pavement Markings" of the type and color specified and the shape, width, size, and thickness (Type I markings only) specified, as applicable; "Retroreflectorized Pavement Markings with Retroreflective Requirements" of the types, colors, sizes, widths, and thicknesses specified; "Retroreflectorized Profile Pavement Markings" of the various types, colors, shapes, sizes, and widths specified; or "Reflectorized Pavement Marking (Call Out)" of the shape, width, size, and thickness (Type I markings only) specified, as applicable; or "Pavement Sealer (Call Out)" of the size specified.

This price is full compensation for materials, application of pavement markings, equipment, labor, tools, and incidentals.

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## Special Provision to Item 680 Highway Traffic Signals

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Item 680, "Highway Traffic Signals" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 680.3.1.1.2,"Conduit,"** The fourth sentence of the first paragraph is voided and replaced by the following.

Seal the ends of each conduit with approved sealant, after all cables and conductors are installed.

# Special Provision to Special Specification 3096

## Asphalts, Oils, and Emulsions



Special Specification 3096, "Asphalts, Oils, and Emulsions," is amended with respect to the clause cited below. No other clause or requirements of this Item are waived or changed.

**Section 3096.2.2., Table 3 Polymer-Modified Asphalt Cement** has been voided and replaced by the following:

**Table 3**  
**Polymer-Modified Asphalt Cement**

Property	Test Procedure	Polymer-Modified Viscosity Grade											
		AC-12-5TR		NT-HA <sup>1</sup>		AC-15P		AC-20XP		AC-10-2TR		AC-20-5TR	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Polymer		TR				SBS				SBS			
Polymer content, % (solids basis)	<a href="#">Tex-533-C</a> or <a href="#">Tex-553-C</a>	5.0	-	-	-	3.0	-	-	-	2.0	-	5.0	-
Dynamic shear, G*/sin δ, 82°C, 10 rad/s, kPa	T 315	-	-	1.0	-	-	-	-	-	-	-	-	-
Dynamic shear, G*/sin δ, 64°C, 10 rad/s, kPa	T 315	-	-	-	-	-	-	1.0	-	-	-	1.0	-
Dynamic shear, G*/sin δ, 58°C, 10 rad/s, kPa	T 315	1.0	-	-	-	-	-	-	-	1.0	-	-	-
Viscosity													
140°F, poise	T 202	1,200	-	-	-	1,500	-	2,000	-	1,000	-	2,000	-
275°F, poise	T 202	-	-	-	-	-	8.0	-	-	-	8.0	-	10.0
275°F, Pa-s	T 316	-	-	-	4.0	-	-	-	-	-	-	-	-
Penetration, 77°F, 100 g, 5 sec.	T 49	110	150	-	25	100	150	75	115	95	130	75	115
Elastic recovery, 50°F, %	<a href="#">Tex-539-C</a>	55				55	-	55	-	30	-	55	-
Polymer separation	<a href="#">Tex-540-C</a>	None		-		None		None		None		None	
Flash point, C.O.C., °F	T 48	425		425		425	-	425	-	425	-	425	-
Tests on residue from RTFOT aging and pressure aging:	T 240 and R 28												
Creep stiffness	T 313												
S, -18°C, MPa		-	300	-	-	-	300	-	300	-	300	-	300
m-value, -18°C		0.300	-	-	-	0.300	-	0.300	-	0.300	-	0.300	-

1. This is a hot-applied TRAIL product.

**Section 3096.2.5., Diluted Emulsions** tables has been added.

**Diluted Emulsions.** Provide emulsified asphalt that is homogeneous, does not separate after thorough mixing, and meets the requirements for the specified type and grade in Tables 12A, and 12B, where the suffixes 50/50, 40/60, and 30/70 mean 50% emulsion diluted with 50% water; 40% emulsion diluted with 60% water, and 30% emulsion diluted with 70% water, respectively. For example, CSS-1H 40/60 means 40% CSS-1H diluted with 60% water and AE-P 30/70 means 30% AE-P diluted with 70% water.

**Table 12A**  
**Diluted CSS-1H**

Property	Test Procedure	Type-Grade					
		Diluted Slow-Setting					
		CSS-1H 50/50		CSS-1H 40/60		CSS-1H 30/70	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec.	T 72	Report Only		Report Only		Report Only	
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	30	–	24	–	18	–
		–	0.5	–	0.5	–	0.5
Tests on residue from distillation:							
Penetration, 77°F, 100 g, 5 sec.	T 49	40	110	40	110	40	110
Solubility, %	T 44	97.5	–	97.5	–	97.5	–
Ductility, 77°F, 5 cm/min., cm	T 51	80	–	80	–	80	–

**Table 12B**  
**Diluted AE-P**

Property	Test Procedure	Type-Grade					
		Diluted Slow-Setting					
		AE-P 50/50		AE-P 40/60		AE-P 30/70	
		Min	Max	Min	Min	Max	Min
Viscosity, Saybolt Furol 122°F, sec.	T 72	Report Only		Report Only		Report Only	
Asphalt emulsion distillation to 500°F followed by Cutback asphalt distillation of residue to 680°F: Residue after both distillations, % by wt. Total oil distillate from both distillations, % by volume of emulsion	T 59 & T 78	20	–	16	–	12	–
		12.5	20	10.0	16	7.5	12
Tests on residue after all distillations:							
Solubility, %	T 44	97.5	–	97.5	–	97.5	–
Float test, 122°F, sec.	T 50	50	200	50	200	50	200

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# Special Provision to Special Specification 6064 Intelligent Transportation System (ITS) Pole with Cabinet

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Special Specification 6064, "Intelligent Transportation System (ITS) Pole with Cabinet" is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 6064.3.1., "Anchor Bolts."** The second sentence is voided and replaced with the following:

Galvanize these items in accordance with Item 445, "Galvanizing."

**Section 6064.3.2., "ITS Poles."** Voided and replaced with the following:

**ITS Poles.** Fabricate ITS poles in accordance with the details shown on the plans, this Item, and Item 441, "Steel Structures." Alternate designs are not acceptable unless approved by the Department.

Provide properly fitting components. Provide round, octagonal (8-sided), or dodecagonal (12-sided) pole shafts tapered to the heights shown on the plans.

Permanently mark, at a visible location when erected, ITS pole base plates with the design wind speed. Locate the handholes, as shown on the plans, opposite of the direction of traffic flow.

Permanently mark, at a visible location when erected, ITS pole base plates with the fabrication plant's insignia. Place the mark on the pole base plate adjacent to the handhole access compartment.

Provide circumferential welds only at the ends of the shaft. Provide no more than two longitudinal seam welds in shaft sections. Provide 100% penetration within 6 in. of circumferential base welds and 60% minimum penetration at other locations along the longitudinal seam welds, unless otherwise specified. Use a welding technique that minimizes acid entrapment during later galvanizing. Hot-dip galvanize all fabricated parts in accordance with Item 445, "Galvanizing."

Perform at least 10% ultrasonic testing (UT) of longitudinal seam welds on the pole shafts. Use a Department approved UT procedure to ensure 60% or 85% minimum penetration where specified. Perform testing at a minimum of three locations on each shaft section (at both ends and middle). The minimum length of each test area must be 10 in. If minimum penetration is not achieved in any of the tested areas, test an additional 24 in. beyond the originally selected test areas requiring 60% or 85% penetration. Test the entire shaft seam weld if any locations within the additional 24 in. test areas does not achieve 60% or 85% penetration. Repair the deficient areas with a Department approved repair procedure and retest.

Fabricate air terminal and bracket assembly to serve as a lightning arrester in accordance with ITS pole air terminal details and IEEE standards for lightning protection. Bond air terminal with air terminal bracket via clad weld or other approved bolted connection.



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# Special Provision to Special Specification 6185

## Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)

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Item 6185, "Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Article 4. "Measurement"**, is voided and replaced by the following:

- 4.1. **Truck Mounted Attenuator/Trailer Attenuator (Stationary).** This Item will be measured by the day. TMA/TAs must be set up in a work area and operational before a calendar day can be considered measurable. A day will be measured for each TMA/TA set up and operational on the worksite.
- 4.2. **Truck Mounted Attenuator/Trailer Attenuator (Mobile Operation).** This Item will be measured by the hour or by the day. The time begins once the TMA/TA is ready for operation at the predetermined site and stops when notified by the Engineer. When measurement by the hour is specified, a minimum of 4 hr. will be paid each day for each operating TMA/TA used in a mobile operation. When measurement by the day is specified, a day will be measured for each TMA/TA set up and operational on the worksite.

# Special Specification 3076

## Dense-Graded Hot-Mix Asphalt



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) pavement layer composed of a compacted, dense-graded mixture of aggregate and asphalt binder mixed hot in a mixing plant. Payment adjustments will apply to HMA placed under this specification unless the HMA is deemed exempt in accordance with Section 3076.4.9.4., "Exempt Production."

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements shown in Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse, intermediate, or fine aggregate. Aggregate from reclaimed asphalt pavement (RAP) is not required to meet Table 1 requirements unless otherwise shown on the plans. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests listed in Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance; and
- once approved, do not add material to the stockpile unless otherwise approved.

Provide aggregate from non-listed sources only when tested by the Engineer and approved before use. Allow 30 calendar days for the Engineer to sample, test, and report results for non-listed sources.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program (AQMP)* ([Tex-499-A](#)) is listed in the BRSQC.

- 2.1.1.1. **Blending Class A and Class B Aggregates.** Class B aggregate meeting all other requirements in Table 1 may be blended with a Class A aggregate to meet requirements for Class A materials, unless otherwise shown on the plans. Ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source when blending Class A and B aggregates to meet a Class A requirement unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Coarse aggregate from RAP and Recycled Asphalt Shingles (RAS) will be considered as Class B aggregate for blending purposes.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Intermediate Aggregate.** Aggregates not meeting the definition of coarse or fine aggregate will be defined as intermediate aggregate. Supply intermediate aggregates, when used that are free from organic impurities. The Engineer may test the intermediate aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Supply intermediate aggregate from coarse aggregate sources, when used that meet the requirements shown in Table 1 unless otherwise approved.

Test the stockpile if 10% or more of the stockpile is retained on the No. 4 sieve, and verify that it meets the requirements in Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

2.1.3.

**Fine Aggregate.** Fine aggregates consist of manufactured sands, screenings, and field sands. Fine aggregate stockpiles must meet the gradation requirements in Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Unless otherwise shown on the plans, up to 10% of the total aggregate may be field sand or other uncrushed fine aggregate. Use fine aggregate, with the exception of field sand, from coarse aggregate sources that meet the requirements shown in Table 1 unless otherwise approved.

Test the stockpile if 10% or more of the stockpile is retained on the No. 4 sieve and verify that it meets the requirements in Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

**Table 1**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement
<b>Coarse Aggregate</b>		
SAC	<a href="#">Tex-499-A</a> (AQMP)	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.5
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note 1
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	40
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	30
Crushed face count, <sup>2</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	85
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
<b>Fine Aggregate</b>		
Linear shrinkage, %, Max	<a href="#">Tex-107-E</a>	3
Sand equivalent, %, Min	<a href="#">Tex-203-F</a>	45

- Used to estimate the magnesium sulfate soundness loss in accordance with Section 3076.2.1.1.2., "Micro-Deval Abrasion."
- Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70–100
#200	0–30

2.2.

**Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, hydrated lime, or fly ash. Mineral filler is allowed unless otherwise shown on the plans. Use no more than 2% hydrated lime or fly ash unless otherwise shown on the plans. Use no more than 1% hydrated lime if a substitute binder is used unless otherwise shown on the plans or allowed. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-107-E](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:

- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
- does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
- meets the gradation requirements in Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55–100

2.3.

**Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.

2.4.

**Asphalt Binder.** Furnish the type and grade of performance-graded (PG) asphalt specified on the plans.

- 2.5. **Tack Coat.** Furnish CSS-1H, SS-1H, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's MPL are allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.
- 2.6. **Additives.** Use the type and rate of additive specified when shown on the plans. Additives that facilitate mixing, compaction, or improve the quality of the mixture are allowed when approved. Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.6.1. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.6.2. **Warm Mix Asphalt (WMA).** Warm Mix Asphalt (WMA) is defined as HMA that is produced within a target temperature discharge range of 215°F and 275°F using approved WMA additives or processes from the Department's MPL.
- WMA is allowed for use on all projects and is required when shown on the plans. When WMA is required, the maximum placement or target discharge temperature for WMA will be set at a value below 275°F.
- Department-approved WMA additives or processes may be used to facilitate mixing and compaction of HMA produced at target discharge temperatures above 275°F; however, such mixtures will not be defined as WMA.
- 2.6.3. **Compaction Aid.** Compaction Aid is defined as a chemical warm mix additive that is used to produce an asphalt mixture at a discharge temperature greater than 275°F.
- Compaction Aid is allowed for use on all projects and is required when shown on the plans.
- 2.7. **Recycled Materials.** Use of RAP and RAS is permitted unless otherwise shown on the plans. Use of RAS is restricted to only intermediate and base mixes unless otherwise shown on the plans. Do not exceed the maximum allowable percentages of RAP and RAS shown in Table 4. The allowable percentages shown in Table 4 may be decreased or increased when shown on the plans. Determine the asphalt binder content and gradation of the RAP and RAS stockpiles for mixture design purposes in accordance with [Tex-236-F](#), Part I. The Engineer may verify the asphalt binder content of the stockpiles at any time during production. Perform other tests on RAP and RAS when shown on the plans. Asphalt binder from RAP and RAS is designated as recycled asphalt binder. Calculate and ensure that the ratio of the recycled asphalt binder to total binder does not exceed the percentages shown in Table 5 during mixture design and HMA production when RAP or RAS is used. Use a separate cold feed bin for each stockpile of RAP and RAS during HMA production.
- Surface, intermediate, and base mixes referenced in Tables 4 and 5 are defined as follows:
- **Surface.** The final HMA lift placed at the top of the pavement structure or placed directly below mixtures produced in accordance with Items 316, 342, 347, or 348;
  - **Intermediate.** Mixtures placed below an HMA surface mix and less than or equal to 8.0 in. from the riding surface; and
  - **Base.** Mixtures placed greater than 8.0 in. from the riding surface. Unless otherwise shown on the plans, mixtures used for bond breaker are defined as base mixtures.
- 2.7.1. **RAP.** RAP is salvaged, milled, pulverized, broken, or crushed asphalt pavement. Fractionated RAP is defined as a stockpile that contains RAP material with a minimum of 95.0% passing the 3/8-in. or 1/2-in. sieve, before burning in the ignition oven, unless otherwise approved. The Engineer may allow the Contractor to use an alternate to the 3/8-in. or 1/2-in. screen to fractionate the RAP.

Use of Contractor-owned RAP including HMA plant waste is permitted unless otherwise shown on the plans. Department-owned RAP stockpiles are available for the Contractor's use when the stockpile locations are shown on the plans. If Department-owned RAP is available for the Contractor's use, the Contractor may use Contractor-owned fractionated RAP and replace it with an equal quantity of Department-owned RAP. Department-owned RAP generated through required work on the Contract is available for the Contractor's use when shown on the plans. Perform any necessary tests to ensure Contractor- or Department-owned RAP is appropriate for use. The Department will not perform any tests or assume any liability for the quality of the Department-owned RAP unless otherwise shown on the plans. The Contractor will retain ownership of RAP generated on the project when shown on the plans.

Do not use Department- or Contractor-owned RAP contaminated with dirt or other objectionable materials. Do not use Department- or Contractor-owned RAP if the decantation value exceeds 5% and the plasticity index is greater than 8. Test the stockpiled RAP for decantation in accordance with [Tex-406-A](#), Part I. Determine the plasticity index in accordance with [Tex-106-E](#) if the decantation value exceeds 5%. The decantation and plasticity index requirements do not apply to RAP samples with asphalt removed by extraction or ignition.

Do not intermingle Contractor-owned RAP stockpiles with Department-owned RAP stockpiles. Remove unused Contractor-owned RAP material from the project site upon completion of the project. Return unused Department-owned RAP to the designated stockpile location.

**Table 4**  
**Maximum Allowable Amounts of RAP<sup>1</sup>**

Maximum Allowable Fractionated RAP (%)		
Surface	Intermediate	Base
15.0	25.0	30.0

1. Must also meet the recycled binder to total binder ratio shown in Table 5.

#### 2.7.2.

**RAS.** Use of post-manufactured RAS or post-consumer RAS (tear-offs) is not permitted in surface mixtures unless otherwise shown on the plans. RAS may be used in intermediate and base mixtures unless otherwise shown on the plans. Up to 3% RAS may be used separately or as a replacement for fractionated RAP in accordance with Table 4 and Table 5. RAS is defined as processed asphalt shingle material from manufacturing of asphalt roofing shingles or from re-roofing residential structures. Post-manufactured RAS is processed manufacturer's shingle scrap by-product. Post-consumer RAS is processed shingle scrap removed from residential structures. Comply with all regulatory requirements stipulated for RAS by the TCEQ. RAS may be used separately or in conjunction with RAP.

Process the RAS by ambient grinding or granulating such that 100% of the particles pass the 3/8 in. sieve when tested in accordance with [Tex-200-F](#), Part I. Perform a sieve analysis on processed RAS material before extraction (or ignition) of the asphalt binder.

Add sand meeting the requirements of Table 1 and Table 2 or fine RAP to RAS stockpiles if needed to keep the processed material workable. Any stockpile that contains RAS will be considered a RAS stockpile and be limited to no more than 3.0% of the HMA mixture in accordance with Table 4.

Certify compliance of the RAS with [DMS-11000](#), "Evaluating and Using Nonhazardous Recyclable Materials Guidelines." Treat RAS as an established nonhazardous recyclable material if it has not come into contact with any hazardous materials. Use RAS from shingle sources on the Department's MPL. Remove substantially all materials before use that are not part of the shingle, such as wood, paper, metal, plastic, and felt paper. Determine the deleterious content of RAS material for mixture design purposes in accordance with [Tex-217-F](#), Part III. Do not use RAS if deleterious materials are more than 0.5% of the stockpiled RAS unless otherwise approved. Submit a sample for approval before submitting the mixture design. The Department will perform the testing for deleterious material of RAS to determine specification compliance.

2.8.

**Substitute Binders.** Unless otherwise shown on the plans, the Contractor may use a substitute PG binder listed in Table 5 instead of the PG binder originally specified, if using recycled materials, and if the substitute PG binder and mixture made with the substitute PG binder meet the following:

- the substitute binder meets the specification requirements for the substitute binder grade in accordance with Section 300.2.10., "Performance-Graded Binders;" and
- the mixture has less than 10.0 mm of rutting on the Hamburg Wheel test ([Tex-242-F](#)) after the number of passes required for the originally specified binder. Use of substitute PG binders may only be allowed at the discretion of the Engineer if the Hamburg Wheel test results are between 10.0 mm and 12.5 mm.

**Table 5**  
**Allowable Substitute PG Binders and Maximum Recycled Binder Ratios**

Originally Specified PG Binder	Allowable Substitute PG Binder for Surface Mixes	Allowable Substitute PG Binder for Intermediate and Base Mixes	Maximum Ratio of Recycled Binder <sup>1</sup> to Total Binder (%)		
			Surface	Intermediate	Base
76-22 <sup>4,5</sup>	70-22	70-22	10.0	20.0	25.0
70-22 <sup>2,5</sup>	N/A	64-22	10.0	20.0	25.0
64-22 <sup>2,3</sup>	N/A	N/A	10.0	20.0	25.0
76-28 <sup>4,5</sup>	70-28	70-28	10.0	20.0	25.0
70-28 <sup>2,5</sup>	N/A	64-28	10.0	20.0	25.0
64-28 <sup>2,3</sup>	N/A	N/A	10.0	20.0	25.0

1. Combined recycled binder from RAP and RAS. RAS is not permitted in surface mixtures unless otherwise shown on the plans.
2. Binder substitution is not allowed for surface mixtures.
3. Binder substitution is not allowed for intermediate and base mixtures.
4. Use no more than 10.0% recycled binder in surface mixtures when using this originally specified PG binder.
5. Use no more than 20.0% recycled binder when using this originally specified PG binder for intermediate mixtures. Use no more than 25.0% recycled binder when using this originally specified PG binder for base mixtures.

3.

### EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement."

4.

### CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

4.1.

**Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 6. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.

**Table 6**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate and Recycled Material Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F</a> , Part I	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F</a> , Part II	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F</a> , Parts I & III	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F</a> , Part II	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	TxDOT
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	TxDOT
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Linear shrinkage	<a href="#">Tex-107-E</a>	✓	✓	AGG101
Sand equivalent	<a href="#">Tex-203-F</a>	✓	✓	AGG101
Organic impurities	<a href="#">Tex-408-A</a>	✓	✓	AGG101
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C</a> , Part III	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F</a> , Part II	✓	✓	2
Indirect tensile strength	<a href="#">Tex-226-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test	<a href="#">Tex-530-C</a>	✓	✓	1A
<b>4. Production Testing</b>				
Selecting production random numbers	<a href="#">Tex-225-F</a> , Part I		✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	✓	✓	1A
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F</a> , Part II	✓	✓	1A/AGG101
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Boil test	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	TxDOT
<b>5. Placement Testing</b>				
Selecting placement random numbers	<a href="#">Tex-225-F</a> , Part II		✓	1B
Trimming roadway cores	<a href="#">Tex-251-F</a> , Parts I & II	✓	✓	1A/1B
In-place air voids	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
In-place density (nuclear method)	<a href="#">Tex-207-F</a> , Part III	✓		1B
Establish rolling pattern	<a href="#">Tex-207-F</a> , Part IV	✓		1B
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Segregation (density profile)	<a href="#">Tex-207-F</a> , Part V	✓	✓	1B
Longitudinal joint density	<a href="#">Tex-207-F</a> , Part VII	✓	✓	1B
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Shear Bond Strength Test	<a href="#">Tex-249-F</a>		✓	TxDOT

1. Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.

2. Refer to Section 3076.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.

3. Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.



4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement QC/QA, control charts, thermal profiles, segregation density profiles, and longitudinal joint density. Obtain the current version of the templates at <http://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is as given in Table 7 unless otherwise approved. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement, a payment adjustment less than 1.000, or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Article 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 7**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>2</sup>			
Moisture content <sup>3</sup>			
Boil test <sup>3</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>3</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>3</sup>			
Laboratory-molded density <sup>1</sup>			
Hamburg Wheel test <sup>4</sup>			
Boil test <sup>3</sup>			
Binder tests <sup>4</sup>			
<b>Placement Quality Control</b>			
In-place air voids <sup>2</sup>	Contractor	Engineer	1 working day of completion of the lot
Segregation <sup>1</sup>			
Longitudinal joint density <sup>1</sup>			
Thermal profile <sup>1</sup>			
<b>Placement Quality Assurance</b>			
In-place air voids <sup>1</sup>	Engineer	Contractor	1 working day after receiving the trimmed cores <sup>5</sup>
Segregation <sup>3</sup>			1 working day of completion of the lot
Longitudinal joint density <sup>3</sup>			
Thermal profile <sup>3</sup>			
Aging ratio <sup>4</sup>			
Payment adjustment summary	Engineer	Contractor	2 working days of performing all required tests and receiving Contractor test data

1. These tests are required on every subplot.
2. Optional test. When performed on split samples, report the results as soon as they become available.
3. To be performed at the frequency specified in Table 16 or as shown on the plans.
4. To be reported as soon as the results become available.
5. 2 days are allowed if cores cannot be dried to constant weight within 1 day.

The Engineer will use the Department-provided template to calculate all payment adjustment factors for the lot. Sublot samples may be discarded after the Engineer and Contractor sign off on the payment adjustment summary documentation for the lot.

Use the procedures described in [Tex-233-F](#) to plot the results of all quality control (QC) and quality assurance (QA) testing. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting. Receive approval of the QCP before beginning production. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:

- a list of individuals responsible for QC with authority to take corrective action;
- current contact information for each individual listed; and
- current copies of certification documents for individuals performing specified QC functions.

- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:

- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
- aggregate stockpiling procedures to avoid contamination and segregation;
- frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
- procedure for monitoring the quality and variability of asphalt binder.

- 4.3.3. **Production.** For production, include:

- loader operation procedures to avoid contamination in cold bins;
- procedures for calibrating and controlling cold feeds;
- procedures to eliminate debris or oversized material;
- procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, RAP, RAS, lime, liquid antistripping, WMA);
- procedures for reporting job control test results; and
- procedures to avoid segregation and drain-down in the silo.

- 4.3.4. **Loading and Transporting.** For loading and transporting, include:

- type and application method for release agents; and
- truck loading procedures to avoid segregation.

- 4.3.5. **Placement and Compaction.** For placement and compaction, include:

- proposed agenda for mandatory pre-paving meeting, including date and location;
- proposed paving plan (e.g., paving widths, joint offsets, and lift thicknesses);
- type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
- procedures for the transfer of mixture into the paver, while avoiding segregation and preventing material spillage;
- process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
- paver operations (e.g., operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
- procedures to construct quality longitudinal and transverse joints.

#### 4.4. Mixture Design.

4.4.1. **Design Requirements.** The Contractor will design the mixture using a Superpave Gyrotory Compactor (SGC). A Texas Gyrotory Compactor (TGC) may be used when shown on the plans. Use the dense-graded design procedure provided in [Tex-204-F](#). Design the mixture to meet the requirements listed in Tables 1, 2, 3, 4, 5, 8, 9, and 10.

4.4.1.1. **Design Number of Gyration (Ndesign) When The SGC Is Used.** Design the mixture at 50 gyrations (Ndesign). Use a target laboratory-molded density of 96.0% to design the mixture; however, adjustments can be made to the Ndesign value as noted in Table 9. The Ndesign level may be reduced to at least 35 gyrations at the Contractor's discretion.

Use an approved laboratory from the Department's MPL to perform the Hamburg Wheel test, and provide results with the mixture design, or provide the laboratory mixture and request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the laboratory mixture design.

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- asphalt binder content and aggregate gradation of RAP and RAS stockpiles;
- the target laboratory-molded density (or Ndesign level when using the SGC);
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 8**  
**Master Gradation Limits (% Passing by Weight or Volume) and VMA Requirements**

Sieve Size	B Fine Base	C Coarse Surface	D Fine Surface	F Fine Mixture
2"	–	–	–	–
1-1/2"	100.0 <sup>1</sup>	–	–	–
1"	98.0–100.0	100.0 <sup>1</sup>	–	–
3/4"	84.0–98.0	95.0–100.0	100.0 <sup>1</sup>	–
1/2"	–	–	98.0–100.0	100.0 <sup>1</sup>
3/8"	60.0–80.0	70.0–85.0	85.0–100.0	98.0–100.0
#4	40.0–60.0	43.0–63.0	50.0–70.0	70.0–90.0
#8	29.0–43.0	32.0–44.0	35.0–46.0	38.0–48.0
#30	13.0–28.0	14.0–28.0	15.0–29.0	12.0–27.0
#50	6.0–20.0	7.0–21.0	7.0–20.0	6.0–19.0
#200	2.0–7.0	2.0–7.0	2.0–7.0	2.0–7.0
<b>Design VMA, % Minimum</b>				
–	13.0	14.0	15.0	16.0
<b>Production (Plant-Produced) VMA, % Minimum</b>				
–	12.5	13.5	14.5	15.5

1. Defined as maximum sieve size. No tolerance allowed.

**Table 9**  
**Laboratory Mixture Design Properties**

Mixture Property	Test Method	Requirement
Target laboratory-molded density, % (SGC)	<a href="#">Tex-207-F</a>	96.0
Design gyrations (N <sub>design</sub> for SGC)	<a href="#">Tex-241-F</a>	50 <sup>1</sup>
Indirect tensile strength (dry), psi	<a href="#">Tex-226-F</a>	85–200 <sup>2</sup>
Boil test <sup>3</sup>	<a href="#">Tex-530-C</a>	–

- Adjust within a range of 35–100 gyrations when shown on the plans or specification or when mutually agreed between the Engineer and Contractor.
- The Engineer may allow the IDT strength to exceed 200 psi if the corresponding Hamburg Wheel rut depth is greater than 3.0 mm and less than 12.5 mm.
- Used to establish baseline for comparison to production results. May be waived when approved.

**Table 10**  
**Hamburg Wheel Test Requirements**

High-Temperature Binder Grade	Test Method	Minimum # of Passes @ 12.5 mm <sup>1</sup> Rut Depth, Tested @ 50°C
PG 64 or lower	<a href="#">Tex-242-F</a>	10,000 <sup>2</sup>
PG 70		15,000 <sup>3</sup>
PG 76 or higher		20,000

- When the rut depth at the required minimum number of passes is less than 3 mm, the Engineer may require the Contractor to increase the target laboratory-molded density (TGC) by 0.5% to no more than 97.5% or lower the N<sub>design</sub> level (SGC) to at least 35 gyrations.
- May be decreased to at least 5,000 passes when shown on the plans.
- May be decreased to at least 10,000 passes when shown on the plans.

- 4.4.1.2. **Target Laboratory-Molded Density When The TGC Is Used.** Design the mixture at a 96.5% target laboratory-molded density. Increase the target laboratory-molded density to 97.0% or 97.5% at the Contractor's discretion or when shown on the plans or specification.
- 4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, target laboratory-molded density (or N<sub>design</sub> level), and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When WMA is used, JMF1 may be designed and submitted to the Engineer without including the WMA additive. When WMA is used, document the additive or process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than 2 trial batches per design are required.
- 4.4.2.1. **Contractor's Responsibilities.**
- 4.4.2.1.1. **Providing Gyrotory Compactor.** Use a SGC calibrated in accordance with [Tex-241-F](#) to design the mixture in accordance with [Tex-204-F](#), Part IV, for molding production samples. Locate the SGC, if used, at the Engineer's field laboratory and make the SGC available to the Engineer for use in molding production samples. Furnish a TGC calibrated in accordance with [Tex-914-K](#) when shown on the plans to design the mixture in accordance with [Tex-204-F](#), Part I, for molding production samples.
- 4.4.2.1.2. **Gyrotory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyrotory compactor correlation when the Engineer uses a different gyrotory compactor. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide approximately 10,000 g of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture, and request that the Department perform the test.

- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 months old. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for QA testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** Perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. The Engineer may waive the requirement for the boil test.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the WMA additive or process if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in Table 4, Table 5, and Table 11. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into 3 equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in Table 11. Ensure the trial batch mixture is also in compliance with the Hamburg Wheel requirement in Table 10. Use a Department-approved laboratory to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results after the Engineer grants full approval of JMF1 based on results from the trial batch, determine the optimum mixture proportions, and submit as JMF2. Adjust the asphalt binder content or gradation to achieve the specified target laboratory-molded density. The asphalt binder content established for JMF2 is not required to be within any tolerance of the optimum asphalt binder content established for JMF1; however, mixture produced using JMF2 must meet the voids in mineral aggregates (VMA) requirements for production shown in Table 8. If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 production to confirm the indirect tensile strength does not exceed 200 psi. Verify that JMF2 meets the mixture requirements in Table 5.
- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 as described in Section 3076.4.9.3.1.1., "Lot 1 Placement," after receiving approval for JMF2 and a passing result from the Department's or a Department-approved

laboratory's Hamburg Wheel test on the trial batch. If desired, proceed to Lot 1 production, once JMF2 is approved, at the Contractor's risk without receiving the results from the Department's Hamburg Wheel test on the trial batch.

Notify the Engineer if electing to proceed without Hamburg Wheel test results from the trial batch. Note that the Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test to be removed and replaced at the Contractor's expense.

4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.

4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:

- be provided to the Engineer in writing before the start of a new lot;
- be numbered in sequence to the previous JMF;
- meet the mixture requirements in Table 4 and Table 5;
- meet the master gradation limits shown in Table 8; and
- be within the operational tolerances of JMF2 listed in Table 11.

4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3076.4.9.1., "Referee Testing," to resolve testing differences with the Engineer.

**Table 11**  
**Operational Tolerances**

Description	Test Method	Allowable Difference Between Trial Batch and JMF1 Target	Allowable Difference from Current JMF Target	Allowable Difference between Contractor and Engineer <sup>1</sup>
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	Must be Within Master Grading Limits in Table 8	$\pm 5.0^{2,3}$	$\pm 5.0$
Individual % retained for sieves smaller than #8 and larger than #200			$\pm 3.0^{2,3}$	$\pm 3.0$
% passing the #200 sieve			$\pm 2.0^{2,3}$	$\pm 1.6$
Asphalt binder content, %	<a href="#">Tex-236-F</a>	$\pm 0.5$	$\pm 0.3^3$	$\pm 0.3$
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	$\pm 1.0$	$\pm 1.0$	$\pm 1.0$
In-place air voids, %		N/A	N/A	$\pm 1.0$
Laboratory-molded bulk specific gravity		N/A	N/A	$\pm 0.020$
VMA, %, min	<a href="#">Tex-204-F</a>	Note <sup>4</sup>	Note <sup>4</sup>	N/A
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	N/A	$\pm 0.020$

1. Contractor may request referee testing only when values exceed these tolerances.
2. When within these tolerances, mixture production gradations may fall outside the master grading limits; however, the % passing the #200 will be considered out of tolerance when outside the master grading limits.
3. Only applies to mixture produced for Lot 1 and higher.
4. Test and verify that Table 8 requirements are met.

4.4.2.2. **Engineer's Responsibilities.**

4.4.2.2.1. **Gyratory Compactor.** For SGC mixtures designed in accordance with [Tex-204-F](#), Part IV, the Engineer will use a Department SGC, calibrated in accordance with [Tex-241-F](#), to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location. The Engineer will make the Contractor-provided SGC in the Department field laboratory available to the Contractor for molding verification samples.

For TGC mixtures designed in accordance with [Tex-204-F](#), Part I, the Engineer will use a Department TGC, calibrated in accordance with [Tex-914-K](#), to mold samples for trial batch and production testing. The Engineer will make the Department TGC and the Department field laboratory available to the Contractor for molding verification samples, if requested by the Contractor.

4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within 2 working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, additives, and recycled materials; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test results with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with Section 3076.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

After conditionally approving JMF1, including either Contractor- or Department-supplied Hamburg Wheel test results, the Contractor is authorized to produce a trial batch.

4.4.2.2.3. **Hamburg Wheel Testing of JMF1.** If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the laboratory mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 10.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 months old.

4.4.2.2.5. **Testing the Trial Batch.** Within 1 full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in Table 11. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 10.

The Engineer will have the option to perform the following tests on the trial batch:

- [Tex-226-F](#), to verify that the indirect tensile strength meets the requirement shown in Table 9; and
- [Tex-530-C](#), to retain and use for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in Table 11. The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in Table 5 and the gradation meets the master grading limits shown in Table 8. The asphalt binder content established for JMF2 is not required to be within any tolerance of the optimum asphalt binder content established for JMF1; however, mixture produced using JMF2 must meet the VMA requirements shown in Table 8. If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 production to confirm the indirect tensile strength does not exceed 200 psi.

4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2) as soon as a passing result is achieved from the Department's or a Department-approved laboratory's Hamburg Wheel test on the trial batch. The Contractor may proceed at its own risk with Lot 1 production without the results from the Hamburg Wheel test on the trial batch.

If the Department's or Department-approved laboratory's sample from the trial batch fails the Hamburg Wheel test, the Engineer will suspend production until further Hamburg Wheel tests meet the specified values. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test be removed and replaced at the Contractor's expense.

4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the mixture requirements shown in Table 4, Table 5, and the master grading limits shown in Table 8, and are within the operational tolerances of JMF2 shown in Table 11.

4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification. Submit a new mix design and perform a new trial batch when the asphalt binder content of:

- any RAP stockpile used in the mix is more than 0.5% higher than the value shown on the mixture design report; or
- RAS stockpile used in the mix is more than 2.0% higher than the value shown on the mixture design report.

4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.

4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures listed in Table 12 (or 275°F for WMA). The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures listed in Table 12.

**Table 12**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Maximum Production Temperature
PG 64	325°F
PG 70	335°F
PG 76	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Produce WMA within the target discharge temperature range of 215°F and 275°F when WMA is required. Take corrective action any time the discharge temperature of the WMA exceeds the target discharge range. The Engineer may suspend production operations if the Contractor's corrective action is not successful at controlling the production temperature within the target discharge range. Note that when WMA is produced, it may be necessary to adjust burners to ensure complete combustion such that no burner fuel residue remains in the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with



[Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck, and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary.

Use equipment for hauling as defined in Section 3076.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour, or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide with lane lines and are not placed in the wheel path, or as directed. Ensure that all finished surfaces will drain properly. Place the mixture at the rate or thickness shown on the plans. The Engineer will use the guidelines in Table 13 to determine the compacted lift thickness of each layer when multiple lifts are required. The thickness determined is based on the rate of 110 lb./sq. yd. for each inch of pavement unless otherwise shown on the plans.

**Table 13**  
**Compacted Lift Thickness and Required Core Height**

Mixture Type	Compacted Lift Thickness Guidelines		Minimum Untrimmed Core Height (in.) Eligible for Testing
	Minimum (in.)	Maximum (in.)	
B	2.50	5.00	1.75
C	2.00	4.00	1.50
D	1.50	3.00	1.25
F	1.25	2.50	1.25

- 4.7.1. **Weather Conditions.**

- 4.7.1.1. **When Using a Thermal Imaging System.** Place mixture when the roadway surface is dry and the roadway surface temperature is at or above the temperatures listed in Table 14A. The Engineer may restrict the Contractor from paving surface mixtures if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3076.4.7.3.1.2., "Thermal Imaging System."

**Table 14A**  
**Minimum Pavement Surface Temperatures**

High-Temperature Binder Grade <sup>1</sup>	Minimum Pavement Surface Temperatures (°F)	
	Subsurface Layers or Night Paving Operations	Surface Layers Placed in Daylight Operations
PG 64	35	40
PG 70	45 <sup>2</sup>	50 <sup>2</sup>
PG 76	45 <sup>2</sup>	50 <sup>2</sup>

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Contractors may pave at temperatures 10°F lower than these values when a chemical WMA additive is used as a compaction aid in the mixture or when using WMA.

4.7.1.2.

**When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above the temperatures listed in Table 14B unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. The Engineer may allow mixture placement to begin before the roadway surface reaches the required temperature if conditions are such that the roadway surface will reach the required temperature within 2 hr. of beginning placement operations. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving.

**Table 14B**  
**Minimum Pavement Surface Temperatures**

High-Temperature Binder Grade <sup>1</sup>	Minimum Pavement Surface Temperatures (°F)	
	Subsurface Layers or Night Paving Operations	Surface Layers Placed in Daylight Operations
PG 64	45	50
PG 70	55 <sup>2</sup>	60 <sup>2</sup>
PG 76	60 <sup>2</sup>	60 <sup>2</sup>

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Contractors may pave at temperatures 10°F lower than these values when a chemical WMA additive is used as a compaction aid in the mixture, when using WMA, or utilizing a paving process with equipment that eliminates thermal segregation. In such cases, for each sublot and in the presence of the Engineer, use a hand-held thermal camera operated in accordance with [Tex-244-F](#) to demonstrate to the satisfaction of the Engineer that the uncompacted mat has no more than 10°F of thermal segregation.

4.7.2.

**Tack Coat.**

4.7.2.1.

**Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply the tack coat to all surfaces that will come in contact with the subsequent HMA placement, unless otherwise directed. Allow adequate time for emulsion to break completely before placing any material. Prevent splattering of tack coat when placed adjacent to curb, gutter, and structures. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

4.7.2.2.

**Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use.

For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

- 4.7.3. **Lay-Down Operations.** Use the placement temperatures in Table 15 to establish the minimum placement temperature of the mixture delivered to the paver.

**Table 15**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Minimum Placement Temperature (Before Entering Paver) <sup>2,3</sup>
PG 64	260°F
PG 70	270°F
PG 76	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Minimum placement temperatures may be reduced 10°F if using a chemical WMA additive as a compaction aid.
3. When using WMA, the minimum placement temperature is 215°F.

- 4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3076.4.9.3.1.4., “Miscellaneous Areas.”
- 4.7.3.1.1. **Thermal Segregation.**
- 4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F, are deemed as moderate thermal segregation.
- 4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F are deemed as severe thermal segregation.
- 4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the automated report described in [Tex-244-F](#) to the Engineer daily unless otherwise directed. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system. The Engineer may suspend paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe thermal segregation. Density profiles are not required and not applicable when using a thermal imaging system. Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or upon completion of the project or as requested by the Engineer.
- 4.7.3.1.3. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Evaluate areas with moderate thermal segregation by performing density profiles in accordance with Section 3076.4.9.3.3.2., “Segregation (Density Profile).” Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the thermal images generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3076.4.2., “Reporting and Responsibilities.” The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that contains severe thermal segregation. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section. Evaluate areas with severe thermal segregation by performing density profiles in accordance with Section 3076.4.9.3.3.2., “Segregation (Density Profile).” Remove and replace the material in any areas that have both severe thermal segregation and a failing result for Segregation (Density Profile) unless otherwise directed. The subplot in question may receive a production and placement payment adjustment greater than 1.000, if applicable, when the defective material is successfully removed and replaced.
- 4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.

4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.

4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3076.4.9.3.3.4., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.

4.8. **Compaction.** Compact the pavement uniformly to contain between 3.8% and 8.5% in-place air voids. Take immediate corrective action to bring the operation within 3.8% and 8.5% when the in-place air voids exceed the range of these tolerances. The Engineer will allow paving to resume when the proposed corrective action is likely to yield between 3.8% and 8.5% in-place air voids.

Obtain cores in areas placed under Exempt Production, as directed, at locations determined by the Engineer. The Engineer may test these cores and suspend operations or require removal and replacement if the in-place air voids are less than 2.7% or more than 9.9%. Areas defined in Section 3076.4.9.3.1.4., "Miscellaneous Areas," are not subject to in-place air void determination.

Furnish the type, size, and number of rollers required for compaction as approved. Use additional rollers as required to remove any roller marks. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

Use the control strip method shown in [Tex-207-F](#), Part IV, on the first day of production to establish the rolling pattern that will produce the desired in-place air voids unless otherwise directed.

Use tamps to thoroughly compact the edges of the pavement along curbs, headers, and similar structures and in locations that will not allow thorough compaction with rollers. The Engineer may require rolling with a trench roller on widened areas, in trenches, and in other limited areas.

Complete all compaction operations before the pavement temperature drops below 160°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 160°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

4.9. **Acceptance Plan.** Payment adjustments for the material will be in accordance with Article 3076.6., "Payment."

Sample and test the hot-mix on a lot and subplot basis. Suspend production until test results or other information indicates to the satisfaction of the Engineer that the next material produced or placed will result in payment factors of at least 1.000, if the production payment factor given in Section 3076.6.1., "Production Payment Adjustment Factors," for two consecutive lots or the placement pay factor given in Section 3076.6.2., "Placement Payment Adjustment Factors," for two consecutive lots is below 1.000.

4.9.1. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if a "remove and replace" condition is determined based on the Engineer's test results, or if the differences between Contractor and Engineer test results exceed the maximum allowable difference shown in Table 11 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within five working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to

be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.

The Materials and Tests Division will determine the laboratory-molded density based on the molded specific gravity and the maximum theoretical specific gravity of the referee sample. The in-place air voids will be determined based on the bulk specific gravity of the cores, as determined by the referee laboratory and the Engineer's average maximum theoretical specific gravity for the lot. With the exception of "remove and replace" conditions, referee test results are final and will establish payment adjustment factors for the subplot in question. The Contractor may decline referee testing and accept the Engineer's test results when the placement payment adjustment factor for any subplot results in a "remove and replace" condition. Placement sublots subject to be removed and replaced will be further evaluated in accordance with Section 3076.6.2.2., "Placement Sublots Subject to Removal and Replacement."

#### 4.9.2. **Production Acceptance.**

4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 tons; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 4,000 tons. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 1,000 tons and 4,000 tons. The Engineer may change the lot size before the Contractor begins any lot.

If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 to confirm the indirect tensile strength does not exceed 200 psi. Take corrective action to bring the mixture within specification compliance if the indirect tensile strength exceeds 200 psi unless otherwise directed.

4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Adjust the payment for the incomplete lot in accordance with Section 3076.6.1., "Production Payment Adjustment Factors." Close all lots within five working days unless otherwise allowed.

#### 4.9.2.2. **Production Sampling.**

4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as "Contractor," "Engineer," and "Referee." The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled "Engineer" and "Referee." The Engineer will maintain the custody of the samples labeled "Engineer" and "Referee" until the Department's testing is completed.

4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.

4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a "blind" sample instead of the random sample collected by the Contractor. Test either the "blind" or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the "blind" sample. The location of the Engineer's "blind" sample will not be disclosed to the Contractor. The Engineer's "blind" sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor's split sample for sublots not sampled by the Engineer.

4.9.2.2.2. **Informational Shear Bond Strength Testing.** Select one random subplot from Lot 2 or higher for shear bond strength testing. Obtain full depth cores in accordance with [Tex-249-F](#). Label the cores with the Control Section Job (CSJ), producer of the tack coat, mix type, shot rate, lot, and subplot number and provide to the

Engineer. The Engineer will ship the cores to the Materials and Tests Division or district laboratory for shear bond strength testing. Results from these tests will not be used for specification compliance.

- 4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. sample of the asphalt binder witnessed by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility location, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to MTD to verify compliance with Item 300, "Asphalts, Oils, and Emulsions" and will retain the other split sample for one year.

- 4.9.2.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 16. The Contractor has the option to verify the Engineer's test results on split samples provided by the Engineer. Determine compliance with operational tolerances listed in Table 11 for all sublots.

Take immediate corrective action if the Engineer's laboratory-molded density on any subplot is less than 95.0% or greater than 97.0% to bring the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

The Engineer may allow alternate methods for determining the asphalt binder content and aggregate gradation if the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results. Provide evidence that results from [Tex-236-F](#), Part I are not reliable before requesting permission to use an alternate method unless otherwise directed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 16**  
**Production and Placement Testing Frequency**

Description	Test Method	Minimum Contractor Testing Frequency	Minimum Engineer Testing Frequency
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	1 per subplot	1 per 12 sublots <sup>1</sup>
Individual % retained for sieves smaller than #8 and larger than #200			
% passing the #200 sieve			
Laboratory-molded density	<a href="#">Tex-207-F</a>	N/A	1 per subplot <sup>1</sup>
Laboratory-molded bulk specific gravity			
In-place air voids			
VMA	<a href="#">Tex-204-F</a>	1 per subplot	1 per project
Segregation (density profile) <sup>2</sup>	<a href="#">Tex-207-F</a> , Part V		
Longitudinal joint density	<a href="#">Tex-207-F</a> , Part VII	When directed	1 per project
Moisture content	<a href="#">Tex-212-F</a> , Part II		
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	1 per subplot <sup>1</sup>
Asphalt binder content	<a href="#">Tex-236-F</a>	1 per subplot	1 per lot <sup>1</sup>
Hamburg Wheel test	<a href="#">Tex-242-F</a>	N/A	1 per project
Recycled Asphalt Shingles (RAS) <sup>3</sup>	<a href="#">Tex-217-F</a> , Part III	N/A	
Thermal profile <sup>2</sup>	<a href="#">Tex-244-F</a>	1 per subplot	
Asphalt binder sampling and testing	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>4</sup>	
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	
Boil test <sup>5</sup>	<a href="#">Tex-530-C</a>	1 per lot	
Shear Bond Strength Test <sup>6</sup>	<a href="#">Tex-249-F</a>	1 per project (sample only)	

1. For production defined in Section 3076.4.9.4., "Exempt Production," the Engineer will test one per day if 100 tons or more are produced. For Exempt Production, no testing is required when less than 100 tons are produced.
2. Not required when a thermal imaging system is used.
3. Testing performed by the Materials and Tests Division or designated laboratory.
4. Obtain witnessed by the Engineer. The Engineer will retain these samples for one year.
5. The Engineer may reduce or waive the sampling and testing requirements based on a satisfactory test history.
6. Testing performed by the Materials and Tests Division or District for informational purposes only.

4.9.2.4. **Operational Tolerances.** Control the production process within the operational tolerances listed in Table 11. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.2.4.1. **Gradation.** Suspend operation and take corrective action if any aggregate is retained on the maximum sieve size shown in Table 8. A subplot is defined as out of tolerance if either the Engineer's or the Contractor's test results are out of operational tolerance. Suspend production when test results for gradation exceed the operational tolerances in Table 11 for three consecutive sublots on the same sieve or four consecutive sublots on any sieve unless otherwise directed. The consecutive sublots may be from more than one lot.

4.9.2.4.2. **Asphalt Binder Content.** A subplot is defined as out of operational tolerance if either the Engineer's or the Contractor's test results exceed the values listed in Table 11. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that is out of operational tolerance for asphalt binder content. Suspend production and shipment of the mixture if the Engineer's or the Contractor's asphalt binder content deviates from the current JMF by more than 0.5% for any subplot.

4.9.2.4.3. **Voids in Mineral Aggregates (VMA).** The Engineer will determine the VMA for every subplot. For sublots when the Engineer does not determine asphalt binder content, the Engineer will use the asphalt binder content results from QC testing performed by the Contractor to determine VMA.

Take immediate corrective action if the VMA value for any subplot is less than the minimum VMA requirement for production listed in Table 8. Suspend production and shipment of the mixture if the Engineer's VMA results on two consecutive sublots are below the minimum VMA requirement for production listed in Table 8. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that does not

meet the minimum VMA requirement for production listed in Table 8 based on the Engineer's VMA determination.

Suspend production and shipment of the mixture if the Engineer's VMA result is more than 0.5% below the minimum VMA requirement for production listed in Table 8. In addition to suspending production, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment.

- 4.9.2.4.4. **Hamburg Wheel Test.** The Engineer may perform a Hamburg Wheel test at any time during production, including when the boil test indicates a change in quality from the materials submitted for JMF1. In addition to testing production samples, the Engineer may obtain cores and perform Hamburg Wheel tests on any areas of the roadway where rutting is observed. Suspend production until further Hamburg Wheel tests meet the specified values when the production or core samples fail the Hamburg Wheel test criteria in Table 10. Core samples, if taken, will be obtained from the center of the finished mat or other areas excluding the vehicle wheel paths. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test to be removed and replaced at the Contractor's expense.

If the Department's or Department approved laboratory's Hamburg Wheel test results in a "remove and replace" condition, the Contractor may request that the Department confirm the results by re-testing the failing material. The Materials and Tests Division will perform the Hamburg Wheel tests and determine the final disposition of the material in question based on the Department's test results.

- 4.9.2.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances shown in Table 11, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

4.9.3. **Placement Acceptance.**

- 4.9.3.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

- 4.9.3.1.1. **Lot 1 Placement.** Placement payment adjustments greater than 1.000 for Lot 1 will be in accordance with Section 3076.6.2., "Placement Payment Adjustment Factors"; however, no placement adjustment less than 1.000 will be assessed for any subplot placed in Lot 1 when the in-place air voids are greater than or equal to 2.7% and less than or equal to 9.9%. Remove and replace any subplot with in-place air voids less than 2.7% or greater than 9.9%.

- 4.9.3.1.2. **Incomplete Placement Lots.** An incomplete placement lot consists of the area placed as described in Section 3076.4.9.2.1.1., "Incomplete Production Lots," excluding areas defined in Section 3076.4.9.3.1.4., "Miscellaneous Areas." Placement sampling is required if the random sample plan for production resulted in a sample being obtained from an incomplete production subplot.

- 4.9.3.1.3. **Shoulders, Ramps, Etc.** Shoulders, ramps, intersections, acceleration lanes, deceleration lanes, and turn lanes are subject to in-place air void determination and payment adjustments unless designated on the plans as not eligible for in-place air void determination. Intersections may be considered miscellaneous areas when determined by the Engineer.

- 4.9.3.1.4. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations, such as temporary detours, driveways, mailbox turnouts, crossovers, gores, spot level-up areas, and other similar areas. Temporary detours are subject to in-place air void determination when shown on the plans. Miscellaneous areas also include level-ups and thin overlays when the layer thickness specified on the plans is less than the minimum untrimmed core height eligible for testing shown in Table 13. The specified layer thickness is based on the rate of 110 lb./sq. yd. for each inch of



pavement unless another rate is shown on the plans. When “level up” is listed as part of the item bid description code, a payment adjustment factor of 1.000 will be assigned for all placement sublots as described in Article 3076.6, “Payment.” Miscellaneous areas are not eligible for random placement sampling locations. Compact miscellaneous areas in accordance with Section 3076.4.8., “Compaction.” Miscellaneous areas are not subject to in-place air void determination, thermal profiles testing, segregation (density profiles), or longitudinal joint density evaluations.

#### 4.9.3.2.

**Placement Sampling.** The Engineer will select random numbers for all placement sublots at the beginning of the project. The Engineer will provide the Contractor with the placement random numbers immediately after the subplot is completed. Mark the roadway location at the completion of each subplot and record the station number. Determine one random sample location for each placement subplot in accordance with [Tex-225-F](#). Adjust the random sample location by no more than necessary to achieve a 2-ft. clearance if the location is within 2 ft. of a joint or pavement edge.

Shoulders, ramps, intersections, acceleration lanes, deceleration lanes, and turn lanes are always eligible for selection as a random sample location; however, if a random sample location falls on one of these areas and the area is designated on the plans as not subject to in-place air void determination, cores will not be taken for the subplot and a 1.000 pay factor will be assigned to that subplot.

Provide the equipment and means to obtain and trim roadway cores on site. On-site is defined as in close proximity to where the cores are taken. Obtain the cores within one working day of the time the placement subplot is completed unless otherwise approved. Obtain two 6-in. diameter cores side-by-side from within 1 ft. of the random location provided for the placement subplot. For Type D and Type F mixtures, 4-in. diameter cores are allowed. Mark the cores for identification, measure and record the untrimmed core height, and provide the information to the Engineer. The Engineer will witness the coring operation and measurement of the core thickness. Visually inspect each core and verify that the current paving layer is bonded to the underlying layer. Take corrective action if an adequate bond does not exist between the current and underlying layer to ensure that an adequate bond will be achieved during subsequent placement operations.

Trim the cores immediately after obtaining the cores from the roadway in accordance with [Tex-251-F](#) if the core heights meet the minimum untrimmed value listed in Table 13. Trim the cores on site in the presence of the Engineer. Use a permanent marker or paint pen to record the lot and subplot numbers on each core as well as the designation as Core A or B. The Engineer may require additional information to be marked on the core and may choose to sign or initial the core. The Engineer will take custody of the cores immediately after witnessing the trimming of the cores and will retain custody of the cores until the Department’s testing is completed. Before turning the trimmed cores over to the Engineer, the Contractor may wrap the trimmed cores or secure them in a manner that will reduce the risk of possible damage occurring during transport by the Engineer. After testing, the Engineer will return the cores to the Contractor.

The Engineer may have the cores transported back to the Department’s laboratory at the HMA plant via the Contractor’s haul truck or other designated vehicle. In such cases where the cores will be out of the Engineer’s possession during transport, the Engineer will use Department-provided security bags and the Roadway Core Custody protocol located at <http://www.txdot.gov/business/specifications.htm> to provide a secure means and process that protects the integrity of the cores during transport.

Decide whether to include the pair of cores in the air void determination for that subplot if the core height before trimming is less than the minimum untrimmed value shown in Table 13. Trim the cores as described above before delivering to the Engineer if electing to have the cores included in the air void determination. Deliver untrimmed cores to the Engineer and inform the Engineer of the decision to not have the cores included in air void determination if electing to not have the cores included in air void determination. The placement pay factor for the subplot will be 1.000 if cores will not be included in air void determination.

Instead of the Contractor trimming the cores on site immediately after coring, the Engineer and the Contractor may mutually agree to have the trimming operations performed at an alternate location such as a field laboratory or other similar location. In such cases, the Engineer will take possession of the cores

immediately after they are obtained from the roadway and will retain custody of the cores until testing is completed. Either the Department or Contractor representative may perform trimming of the cores. The Engineer will witness all trimming operations in cases where the Contractor representative performs the trimming operation.

Dry the core holes and tack the sides and bottom immediately after obtaining the cores. Fill the hole with the same type of mixture and properly compact the mixture. Repair core holes with other methods when approved.

4.9.3.3. **Placement Testing.** Perform placement tests in accordance with Table 16. After the Engineer returns the cores, the Contractor may test the cores to verify the Engineer's test results for in-place air voids. The allowable differences between the Contractor's and Engineer's test results are listed in Table 11.

4.9.3.3.1. **In-Place Air Voids.** The Engineer will measure in-place air voids in accordance with [Tex-207-F](#) and [Tex-227-F](#). Before drying to a constant weight, cores may be pre-dried using a CoreDry or similar vacuum device to remove excess moisture. The Engineer will average the values obtained for all sublots in the production lot to determine the theoretical maximum specific gravity. The Engineer will use the average air void content for in-place air voids.

The Engineer will use the vacuum method to seal the core if required by [Tex-207-F](#). The Engineer will use the test results from the unsealed core to determine the placement payment adjustment factor if the sealed core yields a higher specific gravity than the unsealed core. After determining the in-place air void content, the Engineer will return the cores and provide test results to the Contractor.

4.9.3.3.2. **Segregation (Density Profile).** Test for segregation using density profiles in accordance with [Tex-207-F](#), Part V when using a thermal camera instead of the thermal imaging system. Density profiles are not required and are not applicable when using a thermal imaging system. Density profiles are not applicable in areas described in Section 3076.4.9.3.1.4., "Miscellaneous Areas."

Perform a minimum of one density profile per subplot. Perform additional density profiles when any of the following conditions occur, unless otherwise approved:

- the paver stops due to lack of material being delivered to the paving operations and the temperature of the uncompacted mat before the initial break down rolling is less than the temperatures shown in Table 17;
- areas that are identified by either the Contractor or the Engineer with thermal segregation,;
- any visibly segregated areas that exist.

**Table 17**  
**Minimum Uncompacted Mat Temperature Requiring a Segregation Profile**

High-Temperature Binder Grade <sup>1</sup>	Minimum Temperature of the Uncompacted Mat Allowed Before Initial Break Down Rolling <sup>2,3,4</sup>
PG 64	<250°F
PG 70	<260°F
PG 76	<270°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Segregation profiles are required in areas with moderate and severe thermal segregation as described in Section 3076.4.7.3.1.3.
3. Minimum uncompacted mat temperature requiring a segregation profile may be reduced 10°F if using a chemical WMA additive as a compaction aid.
4. When using WMA, the minimum uncompacted mat temperature requiring a segregation profile is 215°F.

Provide the Engineer with the density profile of every subplot in the lot within one working day of the completion of each lot. Report the results of each density profile in accordance with Section 3076.4.2., "Reporting and Responsibilities."

The density profile is considered failing if it exceeds the tolerances in Table 18. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that contains a failing density profile. When a hand-held thermal camera is used instead of a thermal imaging system, the Engineer will measure the density profile at least once per project. The Engineer's density profile results will be used when available. The Engineer may require the Contractor to remove and replace the area in question if the area fails the density profile and has surface irregularities as defined in Section 3076.4.9.3.3.5., "Irregularities." The subplot in question may receive a production and placement payment adjustment greater than 1.000, if applicable, when the defective material is successfully removed and replaced.

Investigate density profile failures and take corrective actions during production and placement to eliminate the segregation. Suspend production if 2 consecutive density profiles fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

**Table 18**  
**Segregation (Density Profile) Acceptance Criteria**

Mixture Type	Maximum Allowable Density Range (Highest to Lowest)	Maximum Allowable Density Range (Average to Lowest)
Type B	8.0 pcf	5.0 pcf
Type C, Type D & Type F	6.0 pcf	3.0 pcf

#### 4.9.3.3.3. Longitudinal Joint Density.

4.9.3.3.3.1. **Informational Tests.** Perform joint density evaluations while establishing the rolling pattern and verify that the joint density is no more than 3.0 pcf below the density taken at or near the center of the mat. Adjust the rolling pattern, if needed, to achieve the desired joint density. Perform additional joint density evaluations, at least once per subplot, unless otherwise directed.

4.9.3.3.3.2. **Record Tests.** Perform a joint density evaluation for each subplot at each pavement edge that is or will become a longitudinal joint. Joint density evaluations are not applicable in areas described in Section 3076.4.9.3.1.4., "Miscellaneous Areas." Determine the joint density in accordance with [Tex-207-F](#), Part VII. Record the joint density information and submit results on Department forms to the Engineer. The evaluation is considered failing if the joint density is more than 3.0 pcf below the density taken at the core random sample location and the correlated joint density is less than 90.0%. The Engineer will make independent joint density verification at least once per project and may make independent joint density verifications at the random sample locations. The Engineer's joint density test results will be used when available.

Provide the Engineer with the joint density of every subplot in the lot within one working day of the completion of each lot. Report the results of each joint density in accordance with Section 3076.4.2., "Reporting and Responsibilities."

Investigate joint density failures and take corrective actions during production and placement to improve the joint density. Suspend production if the evaluations on two consecutive sublots fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

4.9.3.3.4. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

4.9.3.3.5. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.4. **Exempt Production.** The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is less than 500 tons;
- total production for the project is less than 5,000 tons;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements, except for coring operations when required by the Engineer. The production and placement pay factors are 1.000 if the specification requirements listed below are met, all other specification requirements are met, and the Engineer performs acceptance tests for production and placement listed in Table 16 when 100 tons or more per day are produced.

- produce, haul, place, and compact the mixture in compliance with the specification and as directed;
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target laboratory-molded density as tested by the Engineer;
- compact the mixture in accordance with Section 3076.4.8., "Compaction;" and
- when a thermal imaging system is not used, the Engineer may perform segregation (density profiles) and thermal profiles in accordance with the specification.

4.9.5. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

- 5.1. **Dense Graded Hot-Mix Asphalt.** Hot mix will be measured by the ton of composite hot-mix, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measured by the gallon applied.

The Engineer may allow the use of a metering device to determine asphalt volume used and application rate if the device is accurate within 1.5% of the strapped volume.

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## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3076.5.1, "Measurement," will be paid for at the unit bid price for "Dense Graded Hot-Mix Asphalt" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Article 3076.5.2, "Measurement," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals. Payment adjustments will be applied as determined in this Item; however, a payment adjustment factor of 1.000 will be assigned for all placement sublots for "level ups" only when "level up" is listed as part of the item bid description code. A payment adjustment factor of 1.000 will be assigned to all production and placement sublots when "exempt" is listed as part of the item bid description code, and all testing requirements are met.

Payment for each subplot, including applicable payment adjustments greater than 1.000, will only be paid for sublots when the Contractor supplies the Engineer with the required documentation for production and placement QC/QA, thermal profiles, segregation density profiles, and longitudinal joint densities in accordance with Section 3076.4.2., "Reporting and Responsibilities." When a thermal imaging system is used, documentation is not required for thermal profiles or segregation density profiles on individual sublots; however, the thermal imaging system automated reports described in [Tex-244-F](#) are required.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

- 6.1. **Production Payment Adjustment Factors.** The production payment adjustment factor is based on the laboratory-molded density using the Engineer's test results. The bulk specific gravities of the samples from each subplot will be divided by the Engineer's maximum theoretical specific gravity for the subplot. The individual sample densities for the subplot will be averaged to determine the production payment adjustment factor in accordance with Table 19 for each subplot, using the deviation from the target laboratory-molded density defined in Table 9. The production payment adjustment factor for completed lots will be the average of the payment adjustment factors for the four sublots sampled within that lot.

**Table 19**  
**Production Payment Adjustment Factors for Laboratory-Molded Density<sup>1</sup>**

<b>Absolute Deviation from Target Laboratory-Molded Density</b>	<b>Production Payment Adjustment Factor (Target Laboratory-Molded Density)</b>
0.0	1.050
0.1	1.050
0.2	1.050
0.3	1.044
0.4	1.038
0.5	1.031
0.6	1.025
0.7	1.019
0.8	1.013
0.9	1.006
1.0	1.000
1.1	0.965
1.2	0.930
1.3	0.895
1.4	0.860
1.5	0.825
1.6	0.790
1.7	0.755
1.8	0.720
> 1.8	Remove and replace

1. If the Engineer's laboratory-molded density on any subplot is less than 95.0% or greater than 98.0%, take immediate corrective action to bring the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

6.1.1. **Payment for Incomplete Production Lots.** Production payment adjustments for incomplete lots, described under Section 3076.4.9.2.1.1., "Incomplete Production Lots," will be calculated using the average production payment factors from all sublots sampled.

A production payment factor of 1.000 will be assigned to any lot when the random sampling plan did not result in collection of any samples within the first subplot.

6.1.2. **Production Sublots Subject to Removal and Replacement.** If after referee testing, the laboratory-molded density for any subplot results in a "remove and replace" condition as listed in Table 19, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment. The Engineer may also accept the subplot in accordance with Section 3076.5.3.1., "Acceptance of Defective or Unauthorized Work." Replacement material meeting the requirements of this Item will be paid for in accordance with this Section.

6.2. **Placement Payment Adjustment Factors.** The placement payment adjustment factor is based on in-place air voids using the Engineer's test results. The bulk specific gravities of the cores from each subplot will be divided by the Engineer's average maximum theoretical specific gravity for the lot. The individual core densities for the subplot will be averaged to determine the placement payment adjustment factor in accordance with Table 20 for each subplot that requires in-place air void measurement. A placement payment adjustment factor of 1.000 will be assigned to the entire subplot when the random sample location falls in an area designated on the plans as not subject to in-place air void determination. A placement payment adjustment factor of 1.000 will be assigned to quantities placed in areas described in Section 3076.4.9.3.1.4., "Miscellaneous Areas." The placement payment adjustment factor for completed lots will be the average of the placement payment adjustment factors for up to four sublots within that lot.

**Table 20**  
**Placement Payment Adjustment Factors for In-Place Air Voids**

In-Place Air Voids	Placement Pay Adjustment Factor	In-Place Air Voids	Placement Pay Adjustment Factor
< 2.7	Remove and Replace	6.4	1.042
2.7	0.710	6.5	1.040
2.8	0.740	6.6	1.038
2.9	0.770	6.7	1.036
3.0	0.800	6.8	1.034
3.1	0.830	6.9	1.032
3.2	0.860	7.0	1.030
3.3	0.890	7.1	1.028
3.4	0.920	7.2	1.026
3.5	0.950	7.3	1.024
3.6	0.980	7.4	1.022
3.7	0.998	7.5	1.020
3.8	1.002	7.6	1.018
3.9	1.006	7.7	1.016
4.0	1.010	7.8	1.014
4.1	1.014	7.9	1.012
4.2	1.018	8.0	1.010
4.3	1.022	8.1	1.008
4.4	1.026	8.2	1.006
4.5	1.030	8.3	1.004
4.6	1.034	8.4	1.002
4.7	1.038	8.5	1.000
4.8	1.042	8.6	0.998
4.9	1.046	8.7	0.996
5.0	1.050	8.8	0.994
5.1	1.050	8.9	0.992
5.2	1.050	9.0	0.990
5.3	1.050	9.1	0.960
5.4	1.050	9.2	0.930
5.5	1.050	9.3	0.900
5.6	1.050	9.4	0.870
5.7	1.050	9.5	0.840
5.8	1.050	9.6	0.810
5.9	1.050	9.7	0.780
6.0	1.050	9.8	0.750
6.1	1.048	9.9	0.720
6.2	1.046	> 9.9	Remove and Replace
6.3	1.044		

## 6.2.1.

**Payment for Incomplete Placement Lots.** Payment adjustments for incomplete placement lots described under Section 3076.4.9.3.1.2., "Incomplete Placement Lots," will be calculated using the average of the placement payment factors from all sublots sampled and sublots where the random location falls in an area designated on the plans as not eligible for in-place air void determination.

If the random sampling plan results in production samples, but not in placement samples, the random core location and placement adjustment factor for the subplot will be determined by applying the placement random number to the length of the subplot placed.

If the random sampling plan results in placement samples, but not in production samples, no placement adjustment factor will apply for that subplot placed.

A placement payment adjustment factor of 1.000 will be assigned to any lot when the random sampling plan did not result in collection of any production samples.

- 6.2.2. **Placement Sublots Subject to Removal and Replacement.** If after referee testing, the placement payment adjustment factor for any subplot results in a “remove and replace” condition as listed in Table 20, the Engineer will choose the location of two cores to be taken within 3 ft. of the original failing core location. The Contractor will obtain the cores in the presence of the Engineer. The Engineer will take immediate possession of the untrimmed cores and submit the untrimmed cores to the Materials and Tests Division, where they will be trimmed if necessary and tested for bulk specific gravity within 10 working days of receipt.

The bulk specific gravity of the cores from each subplot will be divided by the Engineer’s average maximum theoretical specific gravity for the lot. The individual core densities for the subplot will be averaged to determine the new payment adjustment factor of the subplot in question. If the new payment adjustment factor is 0.700 or greater, the new payment adjustment factor will apply to that subplot. If the new payment adjustment factor is less than 0.700, no payment will be made for the subplot. Remove and replace the failing subplot, or the Engineer may allow the subplot to be left in place without payment. The Engineer may also accept the subplot in accordance with Section 3076.5.3.1., “Acceptance of Defective or Unauthorized Work.” Replacement material meeting the requirements of this Item will be paid for in accordance with this Section.

- 6.3. **Total Adjusted Pay Calculation.** Total adjusted pay (TAP) will be based on the applicable payment adjustment factors for production and placement for each lot.

$$TAP = (A+B)/2$$

where:

*A* = Bid price × production lot quantity × average payment adjustment factor for the production lot

*B* = Bid price × placement lot quantity × average payment adjustment factor for the placement lot + (bid price × quantity placed in miscellaneous areas × 1.000)

*Production lot quantity* = Quantity actually placed - quantity left in place without payment

*Placement lot quantity* = Quantity actually placed - quantity left in place without payment - quantity placed in miscellaneous areas



# Special Specification 3077

## Superpave Mixtures



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) pavement layer composed of a compacted, Superpave (SP) mixture of aggregate and asphalt binder mixed hot in a mixing plant. Payment adjustments will apply to HMA placed under this specification unless the HMA is deemed exempt in accordance with Section 3077.4.9.4., "Exempt Production."

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements shown in Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse, intermediate, or fine aggregate. Aggregate from reclaimed asphalt pavement (RAP) is not required to meet Table 1 requirements unless otherwise shown on the plans. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests listed in Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance; and
- once approved, do not add material to the stockpile unless otherwise approved.

Provide aggregate from non-listed sources only when tested by the Engineer and approved before use. Allow 30 calendar days for the Engineer to sample, test, and report results for non-listed sources.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program (AQMP)* ([Tex-499-A](#)) is listed in the BRSQC.

- 2.1.1.1. **Blending Class A and Class B Aggregates.** Class B aggregate meeting all other requirements in Table 1 may be blended with a Class A aggregate to meet requirements for Class A materials, unless otherwise shown on the plans. Ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source when blending Class A and B aggregates to meet a Class A requirement unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Coarse aggregate from RAP and Recycled Asphalt Shingles (RAS) will be considered as Class B aggregate for blending purposes.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Intermediate Aggregate.** Aggregates not meeting the definition of coarse or fine aggregate will be defined as intermediate aggregate. Supply intermediate aggregates, when used that are free from organic impurities. The Engineer may test the intermediate aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Supply intermediate aggregate from coarse aggregate sources, when used that meet the requirements shown in Table 1 unless otherwise approved.

Test the stockpile if 10% or more of the stockpile is retained on the No. 4 sieve, and verify that it meets the requirements in Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

2.1.3.

**Fine Aggregate.** Fine aggregates consist of manufactured sands, screenings, and field sands. Fine aggregate stockpiles must meet the gradation requirements in Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Unless otherwise shown on the plans, up to 10% of the total aggregate may be field sand or other uncrushed fine aggregate. Use fine aggregate, with the exception of field sand, from coarse aggregate sources that meet the requirements shown in Table 1 unless otherwise approved.

Test the stockpile if 10% or more of the stockpile is retained on the No. 4 sieve and verify that it meets the requirements in Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

**Table 1**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement
<b>Coarse Aggregate</b>		
SAC	<a href="#">Tex-499-A</a> (AQMP)	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.0
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note 1
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	35 <sup>2</sup>
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	25 <sup>3</sup>
Crushed face count, <sup>4</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	85
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
<b>Fine Aggregate</b>		
Linear shrinkage, %, Max	<a href="#">Tex-107-E</a>	3
Sand equivalent, %, Min	<a href="#">Tex-203-F</a>	45

- Used to estimate the magnesium sulfate soundness loss in accordance with Section 3077.2.1.1.2., "Micro-Deval Abrasion."
- For base mixtures defined in Section 3077.2.7., "Recycled Materials," the Los Angeles abrasion may be increased to a maximum of 40%.
- For base mixtures defined in Section 3077.2.7., "Recycled Materials," the magnesium sulfate soundness, five cycles, may be increased to a maximum of 30%.
- Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70–100
#200	0–30

2.2.

**Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, hydrated lime, or fly ash. Mineral filler is allowed unless otherwise shown on the plans. Use no more than 2% hydrated lime or fly ash unless otherwise shown on the plans. Use no more than 1% hydrated lime if a substitute binder is used unless otherwise shown on the plans or allowed. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-107-E](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:

- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
- does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
- meets the gradation requirements in Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55–100

2.3.

**Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.

- 2.4. **Asphalt Binder.** Furnish the type and grade of performance-graded (PG) asphalt specified on the plans.
- 2.5. **Tack Coat.** Furnish CSS-1H, SS-1H, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's MPL are allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.
- 2.6. **Additives.** Use the type and rate of additive specified when shown on the plans. Additives that facilitate mixing, compaction, or improve the quality of the mixture are allowed when approved. Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.6.1. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.6.2. **Warm Mix Asphalt (WMA).** Warm Mix Asphalt (WMA) is defined as HMA that is produced within a target temperature discharge range of 215°F and 275°F using approved WMA additives or processes from the Department's MPL.
- WMA is allowed for use on all projects and is required when shown on the plans. When WMA is required, the maximum placement or target discharge temperature for WMA will be set at a value below 275°F.
- Department-approved WMA additives or processes may be used to facilitate mixing and compaction of HMA produced at target discharge temperatures above 275°F; however, such mixtures will not be defined as WMA.
- 2.6.3. **Compaction Aid.** Compaction Aid is defined as a chemical warm mix additive that is used to produce an asphalt mixture at a discharge temperature greater than 275°F.
- Compaction Aid is allowed for use on all projects and is required when shown on the plans.
- 2.7. **Recycled Materials.** Use of RAP and RAS is permitted unless otherwise shown on the plans. Use of RAS is restricted to only intermediate and base mixes unless otherwise shown on the plans. Do not exceed the maximum allowable percentages of RAP and RAS shown in Table 4. The allowable percentages shown in Table 4 may be decreased or increased when shown on the plans. Determine the asphalt binder content and gradation of the RAP and RAS stockpiles for mixture design purposes in accordance with [Tex-236-F](#), Part I. The Engineer may verify the asphalt binder content of the stockpiles at any time during production. Perform other tests on RAP and RAS when shown on the plans. Asphalt binder from RAP and RAS is designated as recycled asphalt binder. Calculate and ensure that the ratio of the recycled asphalt binder to total binder does not exceed the percentages shown in Table 5 during mixture design and HMA production when RAP or RAS is used. Use a separate cold feed bin for each stockpile of RAP and RAS during HMA production.
- Surface, intermediate, and base mixes referenced in Tables 4 and 5 are defined as follows:
- **Surface.** The final HMA lift placed at the top of the pavement structure or placed directly below mixtures produced in accordance with Items 316, 342, 347, or 348;
  - **Intermediate.** Mixtures placed below an HMA surface mix and less than or equal to 8.0 in. from the riding surface; and
  - **Base.** Mixtures placed greater than 8.0 in. from the riding surface. Unless otherwise shown on the plans, mixtures used for bond breaker are defined as base mixtures.
- 2.7.1. **RAP.** RAP is salvaged, milled, pulverized, broken, or crushed asphalt pavement. Fractionated RAP is defined as a stockpile that contains RAP material with a minimum of 95.0% passing the 3/8-in. or 1/2-in.

sieve, before burning in the ignition oven, unless otherwise approved. The Engineer may allow the Contractor to use an alternate to the 3/8-in. or 1/2-in. screen to fractionate the RAP.

Use of Contractor-owned RAP including HMA plant waste is permitted unless otherwise shown on the plans. Department-owned RAP stockpiles are available for the Contractor's use when the stockpile locations are shown on the plans. If Department-owned RAP is available for the Contractor's use, the Contractor may use Contractor-owned fractionated RAP and replace it with an equal quantity of Department-owned RAP. Department-owned RAP generated through required work on the Contract is available for the Contractor's use when shown on the plans. Perform any necessary tests to ensure Contractor- or Department-owned RAP is appropriate for use. The Department will not perform any tests or assume any liability for the quality of the Department-owned RAP unless otherwise shown on the plans. The Contractor will retain ownership of RAP generated on the project when shown on the plans.

Do not use Department- or Contractor-owned RAP contaminated with dirt or other objectionable materials. Do not use Department- or Contractor-owned RAP if the decantation value exceeds 5% and the plasticity index is greater than eight. Test the stockpiled RAP for decantation in accordance with [Tex-406-A](#), Part I. Determine the plasticity index in accordance with [Tex-106-E](#) if the decantation value exceeds 5%. The decantation and plasticity index requirements do not apply to RAP samples with asphalt removed by extraction or ignition.

Do not intermingle Contractor-owned RAP stockpiles with Department-owned RAP stockpiles. Remove unused Contractor-owned RAP material from the project site upon completion of the project. Return unused Department-owned RAP to the designated stockpile location.

**Table 4**  
**Maximum Allowable Amounts of RAP<sup>1</sup>**

Maximum Allowable Fractionated RAP (%)		
Surface	Intermediate	Base
20.0	30.0	35.0

1. Must also meet the recycled binder to total binder ratio shown in Table 5.

#### 2.7.2.

**RAS.** Use of post-manufactured RAS or post-consumer RAS (tear-offs) is not permitted in surface mixtures unless otherwise shown on the plans. RAS may be used in intermediate and base mixtures unless otherwise shown on the plans. Up to 3% RAS may be used separately or as a replacement for fractionated RAP in accordance with Table 4 and Table 5. RAS is defined as processed asphalt shingle material from manufacturing of asphalt roofing shingles or from re-roofing residential structures. Post-manufactured RAS is processed manufacturer's shingle scrap by-product. Post-consumer RAS is processed shingle scrap removed from residential structures. Comply with all regulatory requirements stipulated for RAS by the TCEQ. RAS may be used separately or in conjunction with RAP.

Process the RAS by ambient grinding or granulating such that 100% of the particles pass the 3/8 in. sieve when tested in accordance with [Tex-200-F](#), Part I. Perform a sieve analysis on processed RAS material before extraction (or ignition) of the asphalt binder.

Add sand meeting the requirements of Table 1 and Table 2 or fine RAP to RAS stockpiles if needed to keep the processed material workable. Any stockpile that contains RAS will be considered a RAS stockpile and be limited to no more than 3.0% of the HMA mixture in accordance with Table 4.

Certify compliance of the RAS with [DMS-11000](#), "Evaluating and Using Nonhazardous Recyclable Materials Guidelines." Treat RAS as an established nonhazardous recyclable material if it has not come into contact with any hazardous materials. Use RAS from shingle sources on the Department's MPL. Remove substantially all materials before use that are not part of the shingle, such as wood, paper, metal, plastic, and felt paper. Determine the deleterious content of RAS material for mixture design purposes in accordance with [Tex-217-F](#), Part III. Do not use RAS if deleterious materials are more than 0.5% of the stockpiled RAS unless

otherwise approved. Submit a sample for approval before submitting the mixture design. The Department will perform the testing for deleterious material of RAS to determine specification compliance.

2.8.

**Substitute Binders.** Unless otherwise shown on the plans, the Contractor may use a substitute PG binder listed in Table 5 instead of the PG binder originally specified if using recycled materials, and if the substitute PG binder and mixture made with the substitute PG binder meet the following:

- the substitute binder meets the specification requirements for the substitute binder grade in accordance with Section 300.2.10., "Performance-Graded Binders;" and
- the mixture has less than 10.0 mm of rutting on the Hamburg Wheel test ([Tex-242-F](#)) after the number of passes required for the originally specified binder. Use of substitute PG binders may only be allowed at the discretion of the Engineer if the Hamburg Wheel test results are between 10.0 mm and 12.5 mm.

**Table 5**  
**Allowable Substitute PG Binders and Maximum Recycled Binder Ratios**

Originally Specified PG Binder	Allowable Substitute PG Binder for Surface Mixes	Allowable Substitute PG Binder for Intermediate and Base Mixes	Maximum Ratio of Recycled Binder <sup>1</sup> to Total Binder (%)		
			Surface	Intermediate	Base
76-22 <sup>4,5</sup>	70-22	70-22	15.0	25.0	30.0
70-22 <sup>2,5</sup>	N/A	64-22	15.0	25.0	30.0
64-22 <sup>2,3</sup>	N/A	N/A	15.0	25.0	30.0
76-28 <sup>4,5</sup>	70-28	70-28	15.0	25.0	30.0
70-28 <sup>2,5</sup>	N/A	64-28	15.0	25.0	30.0
64-28 <sup>2,3</sup>	N/A	N/A	15.0	25.0	30.0

1. Combined recycled binder from RAP and RAS. RAS is not permitted in surface mixtures unless otherwise shown on the plans.
2. Binder substitution is not allowed for surface mixtures.
3. Binder substitution is not allowed for intermediate and base mixtures.
4. Use no more than 15.0% recycled binder in surface mixtures when using this originally specified PG binder.
5. Use no more than 25.0% recycled binder when using this originally specified PG binder for intermediate mixtures. Use no more than 30.0% recycled binder when using this originally specified PG binder for base mixtures.

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### 3. EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement."

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### 4. CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

4.1.

**Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 6. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel

changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.

**Table 6**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate and Recycled Material Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F, Part I</a>	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F, Part II</a>	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F, Parts I &amp; III</a>	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F, Part II</a>	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	TxDOT
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	TxDOT
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Linear shrinkage	<a href="#">Tex-107-E</a>	✓	✓	AGG101
Sand equivalent	<a href="#">Tex-203-F</a>	✓	✓	AGG101
Bulk specific gravity	<a href="#">Tex-201-F</a>	✓	✓	AGG101
Unit weight	<a href="#">Tex-404-A</a>	✓	✓	AGG101
Organic impurities	<a href="#">Tex-408-A</a>	✓	✓	AGG101
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C, Part II</a>	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C, Part III</a>	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F, Parts I &amp; VI</a>	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F, Part II</a>	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F, Part II</a>	✓	✓	2
Indirect tensile strength	<a href="#">Tex-226-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test	<a href="#">Tex-530-C</a>	✓	✓	1A
<b>4. Production Testing</b>				
Selecting production random numbers	<a href="#">Tex-225-F, Part I</a>		✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F, Parts I &amp; VI</a>	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F, Part II</a>	✓	✓	1A
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F, Part I</a>	✓	✓	1A
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F, Part II</a>	✓	✓	1A/AGG101
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Boil test	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	TxDOT
<b>5. Placement Testing</b>				
Selecting placement random numbers	<a href="#">Tex-225-F, Part II</a>		✓	1B
Trimming roadway cores	<a href="#">Tex-251-F, Parts I &amp; II</a>	✓	✓	1A/1B
In-place air voids	<a href="#">Tex-207-F, Parts I &amp; VI</a>	✓	✓	1A
In-place density (nuclear method)	<a href="#">Tex-207-F, Part III</a>	✓		1B
Establish rolling pattern	<a href="#">Tex-207-F, Part IV</a>	✓		1B
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Segregation (density profile)	<a href="#">Tex-207-F, Part V</a>	✓	✓	1B
Longitudinal joint density	<a href="#">Tex-207-F, Part VII</a>	✓	✓	1B
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Shear Bond Strength Test	<a href="#">Tex-249-F</a>		✓	TxDOT

- Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
- Refer to Section 3077.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.
- Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.



4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement QC/QA, control charts, thermal profiles, segregation density profiles, and longitudinal joint density. Obtain the current version of the templates at <http://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is as given in Table 7 unless otherwise approved. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement, a payment adjustment less than 1.000, or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Article 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 7  
Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>2</sup>			
Moisture content <sup>3</sup>			
Boil test <sup>3</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>3</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>3</sup>			
Laboratory-molded density <sup>1</sup>			
Hamburg Wheel test <sup>4</sup>			
Boil test <sup>3</sup>			
Binder tests <sup>4</sup>			
<b>Placement Quality Control</b>			
In-place air voids <sup>2</sup>	Contractor	Engineer	1 working day of completion of the lot
Segregation <sup>1</sup>			
Longitudinal joint density <sup>1</sup>			
Thermal profile <sup>1</sup>			
<b>Placement Quality Assurance</b>			
In-place air voids <sup>1</sup>	Engineer	Contractor	1 working day after receiving the trimmed cores <sup>5</sup>
Segregation <sup>3</sup>			1 working day of completion of the lot
Longitudinal joint density <sup>3</sup>			
Thermal profile <sup>3</sup>			
Aging ratio <sup>4</sup>			
Payment adjustment summary	Engineer	Contractor	2 working days of performing all required tests and receiving Contractor test data

1. These tests are required on every subplot.
2. Optional test. When performed on split samples, report the results as soon as they become available.
3. To be performed at the frequency specified in Table 17 or as shown on the plans.
4. To be reported as soon as the results become available.
5. Two days are allowed if cores cannot be dried to constant weight within 1 day.

The Engineer will use the Department-provided template to calculate all payment adjustment factors for the lot. Sublot samples may be discarded after the Engineer and Contractor sign off on the payment adjustment summary documentation for the lot.

Use the procedures described in [Tex-233-F](#) to plot the results of all quality control (QC) and quality assurance (QA) testing. Update the control charts as soon as test results for each subplot become available.

Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting. Receive approval of the QCP before beginning production. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and
  - current copies of certification documents for individuals performing specified QC functions.
- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:
- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
  - aggregate stockpiling procedures to avoid contamination and segregation;
  - frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
  - procedure for monitoring the quality and variability of asphalt binder.
- 4.3.3. **Production.** For production, include:
- loader operation procedures to avoid contamination in cold bins;
  - procedures for calibrating and controlling cold feeds;
  - procedures to eliminate debris or oversized material;
  - procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, RAP, RAS, lime, liquid antistripping, WMA);
  - procedures for reporting job control test results; and
  - procedures to avoid segregation and drain-down in the silo.
- 4.3.4. **Loading and Transporting.** For loading and transporting, include:
- type and application method for release agents; and
  - truck loading procedures to avoid segregation.
- 4.3.5. **Placement and Compaction.** For placement and compaction, include:
- proposed agenda for mandatory pre-paving meeting, including date and location;
  - proposed paving plan (e.g., paving widths, joint offsets, and lift thicknesses);
  - type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
  - procedures for the transfer of mixture into the paver, while avoiding segregation and preventing material spillage;
  - process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
  - paver operations (e.g., operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
  - procedures to construct quality longitudinal and transverse joints.

#### 4.4. Mixture Design.

4.4.1. **Design Requirements.** Use the SP design procedure provided in [Tex-204-F](#), unless otherwise shown on the plans. Design the mixture to meet the requirements listed in Tables 1, 2, 3, 4, 5, 8, 9, 10, and 11.

Design the mixture at 50 gyrations (Ndesign). Use a target laboratory-molded density of 96.0% to design the mixture; however, adjustments can be made to the Ndesign value as noted in Table 10. The Ndesign level may be reduced to at least 35 gyrations at the Contractor's discretion.

Use an approved laboratory from the Department's MPL to perform the Hamburg Wheel test and provide results with the mixture design, or provide the laboratory mixture and request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the laboratory mixture design.

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

The aggregate gradation may pass below or through the reference zone shown in Table 9 unless otherwise shown on the plans. Design a mixture with a gradation that has stone-on-stone contact and passes below the reference zone shown in Table 9 when shown on the plans. Verify stone-on-stone contact using the method given in the SP design procedure in [Tex-204-F](#), Part IV.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- asphalt binder content and aggregate gradation of RAP and RAS stockpiles;
- the Ndesign level used;
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 8**  
**Master Gradation Limits (% Passing by Weight or Volume) and VMA Requirements**

Sieve Size	SP-B Intermediate	SP-C Surface	SP-D Fine Mixture
2"	–	–	–
1-1/2"	100.0 <sup>1</sup>	–	–
1"	98.0–100.0	100.0 <sup>1</sup>	–
3/4"	90.0–100.0	98.0–100.0	100.0 <sup>1</sup>
1/2"	Note <sup>2</sup>	90.0–100.0	98.0–100.0
3/8"	–	Note <sup>2</sup>	90.0–100.0
#4	23.0–90.0	28.0–90.0	32.0–90.0
#8	23.0–34.6	28.0–37.0	32.0–40.0
#16	2.0–28.3	2.0–31.6	2.0–37.6
#30	2.0–20.7	2.0–23.1	2.0–27.5
#50	2.0–13.7	2.0–15.5	2.0–18.7
#200	2.0–8.0	2.0–10.0	2.0–10.0
<b>Design VMA, % Minimum</b>			
–	14.0	15.0	16.0
<b>Production (Plant-Produced) VMA, % Minimum</b>			
–	13.5	14.5	15.5

1. Defined as maximum sieve size. No tolerance allowed.
2. Must retain at least 10% cumulative.

**Table 9**  
**Reference Zones (% Passing by Weight or Volume)**

Sieve Size	SP-B Intermediate	SP-C Surface	SP-D Fine Mixture
2"	-	-	-
1-1/2"	-	-	-
1"	-	-	-
3/4"	-	-	-
1/2"	-	-	-
3/8"	-	-	-
#4	-	-	-
#8	34.6–34.6	39.1–39.1	47.2–47.2
#16	22.3–28.3	25.6–31.6	31.6–37.6
#30	16.7–20.7	19.1–23.1	23.5–27.5
#50	13.7–13.7	15.5–15.5	18.7–18.7
#200	-	-	-

**Table 10**  
**Laboratory Mixture Design Properties**

Mixture Property	Test Method	Requirement
Target laboratory-molded density, %	<a href="#">Tex-207-F</a>	96.0
Design gyrations (Ndesign)	<a href="#">Tex-241-F</a>	50 <sup>1</sup>
Indirect tensile strength (dry), psi	<a href="#">Tex-226-F</a>	85–200 <sup>2</sup>
Dust/asphalt binder ratio <sup>3</sup>	-	0.6–1.4
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	-

- Adjust within a range of 35–100 gyrations when shown on the plans or specification or mutually agreed between the Engineer and Contractor.
- The Engineer may allow the IDT strength to exceed 200 psi if the corresponding Hamburg Wheel rut depth is greater than 3.0 mm and less than 12.5 mm.
- Defined as % passing #200 sieve divided by asphalt binder content.
- Used to establish baseline for comparison to production results. May be waived when approved.

**Table 11**  
**Hamburg Wheel Test Requirements**

High-Temperature Binder Grade	Test Method	Minimum # of Passes @ 12.5 mm <sup>1</sup> Rut Depth, Tested @ 50°C
PG 64 or lower	<a href="#">Tex-242-F</a>	10,000 <sup>2</sup>
PG 70		15,000 <sup>3</sup>
PG 76 or higher		20,000

- When the rut depth at the required minimum number of passes is less than 3 mm, the Engineer may require the Contractor to lower the Ndesign level to at least 35 gyrations.
- May be decreased to at least 5,000 passes when shown on the plans.
- May be decreased to at least 10,000 passes when shown on the plans.

4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, Ndesign level, and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When WMA is used, JMF1 may be designed and submitted to the Engineer without including the WMA additive. When WMA is used, document the additive or process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.

4.4.2.1. **Contractor's Responsibilities.**

4.4.2.1.1. **Providing Superpave Gyrotory Compactor (SGC).** Furnish an SGC calibrated in accordance with [Tex-241-F](#) for molding production samples. Locate the SGC at the Engineer's field laboratory and make the SGC available to the Engineer for use in molding production samples.

- 4.4.2.1.2. **Gyratory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyratory compactor correlation when the Engineer uses a different SGC. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide approximately 10,000 g of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture, and request that the Department perform the test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 months old. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for QA testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** Perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. The Engineer may waive the requirement for the boil test.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the WMA additive or process if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in Table 4, Table 5, and Table 12. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into 3 equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in Table 12. Ensure the trial batch mixture is also in compliance with the Hamburg Wheel-requirement in Table 11. Use a Department-approved laboratory to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test.
- The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results after the Engineer grants full approval of JMF1 based on results from the trial batch, determine the optimum mixture proportions, and submit as JMF2.

Adjust the asphalt binder content or gradation to achieve the specified target laboratory-molded density. The asphalt binder content established for JMF2 is not required to be within any tolerance of the optimum asphalt binder content established for JMF1; however, mixture produced using JMF2 must meet the voids in mineral aggregates (VMA) requirements for production shown in Table 8. If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 production to confirm the indirect tensile strength does not exceed 200 psi. Verify that JMF2 meets the mixture requirements in Table 4 and Table 5.

- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 as described in Section 3077.4.9.3.1.1., “Lot 1 Placement,” after receiving approval for JMF2 and a passing result from the Department’s or a Department-approved laboratory’s Hamburg Wheel test on the trial batch. If desired, proceed to Lot 1 production, once JMF2 is approved, at the Contractor’s risk without receiving the results from the Department’s Hamburg Wheel test on the trial batch.

Notify the Engineer if electing to proceed without Hamburg Wheel test results from the trial batch. Note that the Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test to be removed and replaced at the Contractor’s expense.

- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.

- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustment before beginning a new lot. The adjusted JMF must:

- be provided to the Engineer in writing before the start of a new lot;
- be numbered in sequence to the previous JMF;
- meet the mixture requirements in Table 4 and Table 5;
- meet the master gradation limits shown in Table 8; and
- be within the operational tolerances of JMF2 listed in Table 12.

- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3077.4.9.1., “Referee Testing,” to resolve testing differences with the Engineer.

**Table 12**  
**Operational Tolerances**

Description	Test Method	Allowable Difference Between Trial Batch and JMF1 Target	Allowable Difference from Current JMF Target	Allowable Difference between Contractor and Engineer <sup>1</sup>
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	Must be Within Master Grading Limits in Table 8	±5.0 <sup>2,3</sup>	±5.0
Individual % retained for sieves smaller than #8 and larger than #200			±3.0 <sup>2,3</sup>	±3.0
% passing the #200 sieve			±2.0 <sup>2,3</sup>	±1.6
Asphalt binder content, %	<a href="#">Tex-236-F</a>	±0.5	±0.3 <sup>3</sup>	±0.3
Dust/asphalt binder ratio <sup>4</sup>	–	Note 5	Note 5	N/A
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	±1.0	±1.0	±0.5
In-place air voids, %		N/A	N/A	±1.0
Laboratory-molded bulk specific gravity		N/A	N/A	±0.020
VMA, % min	<a href="#">Tex-204-F</a>	Note 6	Note 6	N/A
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	N/A	±0.020

- Contractor may request referee testing only when values exceed these tolerances.
- When within these tolerances, mixture production gradations may fall outside the master grading limits; however, the % passing the #200 will be considered out of tolerance when outside the master grading limits.
- Only applies to mixture produced for Lot 1 and higher.
- Defined as % passing #200 sieve divided by asphalt binder content.
- Verify that Table 10 requirement is met.
- Verify that Table 8 requirements are met.

#### 4.4.2.2. **Engineer's Responsibilities.**

4.4.2.2.1. **Gyratory Compactor.** The Engineer will use a Department SGC, calibrated in accordance with [Tex-241-F](#), to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location. The Engineer will make the Contractor-provided SGC in the Department field laboratory available to the Contractor for molding verification samples.

4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, additives, and recycled materials; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test results with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with Section 3077.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within 2 working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

After conditionally approving JMF1, including either Contractor- or Department-supplied Hamburg Wheel test results, the Contractor is authorized to produce a trial batch.

- 4.4.2.2.3. **Hamburg Wheel Testing of JMF1.** If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the laboratory mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 11.
- 4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 months old.
- 4.4.2.2.5. **Testing the Trial Batch.** Within 1 full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in Table 12. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 11.
- The Engineer will have the option to perform the following tests on the trial batch:
- [Tex-226-F](#), to verify that the indirect tensile strength meets the requirement shown in Table 10; and
  - [Tex-530-C](#), to retain and use for comparison purposes during production.
- 4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in Table 12. The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.
- 4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in Table 5 and the gradation meets the master grading limits shown in Table 8. The asphalt binder content established for JMF2 is not required to be within any tolerance of the optimum asphalt binder content established for JMF1; however, mixture produced using JMF2 must meet the VMA requirements shown in Table 8. If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 production to confirm the indirect tensile strength does not exceed 200 psi.
- 4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2) as soon as a passing result is achieved from the Department's or a Department-approved laboratory's Hamburg Wheel test on the trial batch. The Contractor may proceed at its own risk with Lot 1 production without the results from the Hamburg Wheel test on the trial batch.
- If the Department's or Department-approved laboratory's sample from the trial batch fails the Hamburg Wheel test, the Engineer will suspend production until further Hamburg Wheel tests meet the specified values. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test be removed and replaced at the Contractor's expense.
- 4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the mixture requirements shown in Table 4, Table 5, and the master grading limits shown in Table 8, and are within the operational tolerances of JMF2 shown in Table 12.
- 4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification. Submit a new mix design and perform a new trial batch when the asphalt binder content of:
- any RAP stockpile used in the mix is more than 0.5% higher than the value shown on the mixture design report; or
  - RAS stockpile used in the mix is more than 2.0% higher than the value shown on the mixture design report.



- 4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.
- 4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures listed in Table 13 (or 275°F for WMA). The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures listed in Table 13.

**Table 13**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Maximum Production Temperature
PG 64	325°F
PG 70	335°F
PG 76	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Produce WMA within the target discharge temperature range of 215°F and 275°F when WMA is required. Take corrective action any time the discharge temperature of the WMA exceeds the target discharge range. The Engineer may suspend production operations if the Contractor's corrective action is not successful at controlling the production temperature within the target discharge range. Note that when WMA is produced, it may be necessary to adjust burners to ensure complete combustion such that no burner fuel residue remains in the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck, and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary.

Use equipment for hauling as defined in Section 3077.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide with lane lines and are not placed in the wheel path, or as directed. Ensure that all finished surfaces will drain properly. Place the

mixture at the rate or thickness shown on the plans. The Engineer will use the guidelines in Table 14 to determine the compacted lift thickness of each layer when multiple lifts are required. The thickness determined is based on the rate of 110 lb./sq. yd. for each inch of pavement unless otherwise shown on the plans.

**Table 14**  
**Compacted Lift Thickness and Required Core Height**

Mixture Type	Compacted Lift Thickness Guidelines		Minimum Untrimmed Core Height (in.) Eligible for Testing
	Minimum (in.)	Maximum (in.)	
SP-B	2.50	4.0	2.00
SP-C	2.00	3.0	1.25
SP-D	1.25	2.0	1.25

4.7.1. **Weather Conditions.**

4.7.1.1. **When Using a Thermal Imaging System.** Place mixture when the roadway is dry and the roadway surface temperature is at or above the temperatures listed in Table 15A. The Engineer may restrict the Contractor from paving surface mixtures if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3077.4.7.3.1.2., "Thermal Imaging System."

**Table 15A**  
**Minimum Pavement Surface Temperatures**

High-Temperature Binder Grade <sup>1</sup>	Minimum Pavement Surface Temperatures (°F)	
	Subsurface Layers or Night Paving Operations	Surface Layers Placed in Daylight Operations
PG 64	35	40
PG 70	45 <sup>2</sup>	50 <sup>2</sup>
PG 76	45 <sup>2</sup>	50 <sup>2</sup>

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Contractors may pave at temperatures 10°F lower than these values when a chemical WMA additive is used as a compaction aid in the mixture or when using WMA.

4.7.1.2. **When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above the temperatures listed in Table 15B unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. The Engineer may allow mixture placement to begin before the roadway surface reaches the required temperature if conditions are such that the roadway surface will reach the required temperature within 2 hr. of beginning placement operations. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving.

**Table 15B**  
**Minimum Pavement Surface Temperatures**

High-Temperature Binder Grade <sup>1</sup>	Minimum Pavement Surface Temperatures (°F)	
	Subsurface Layers or Night Paving Operations	Surface Layers Placed in Daylight Operations
PG 64	45	50
PG 70	55 <sup>2</sup>	60 <sup>2</sup>
PG 76	60 <sup>2</sup>	60 <sup>2</sup>

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Contractors may pave at temperatures 10°F lower than these values when a chemical WMA additive is used as a compaction aid in the mixture, when using WMA, or utilizing a paving process with equipment that eliminates thermal segregation. In such cases, for each sublot and in the presence of the Engineer, use a hand-held thermal camera operated in accordance with [Tex-244-F](#) to demonstrate to the satisfaction of the Engineer that the uncompacted mat has no more than 10°F of thermal segregation.

#### 4.7.2. Tack Coat.

4.7.2.1. **Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply the tack coat to all surfaces that will come in contact with the subsequent HMA placement, unless otherwise directed. Allow adequate time for emulsion to break completely before placing any material. Prevent splattering of tack coat when placed adjacent to curb, gutter, and structures. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

4.7.2.2. **Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use.

For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

4.7.3. **Lay-Down Operations.** Use the placement temperatures in Table 16 to establish the minimum placement temperature of mixture delivered to the paver.

**Table 16**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Minimum Placement Temperature (Before Entering Paver) <sup>2,3</sup>
PG 64	260°F
PG 70	270°F
PG 76	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Minimum placement temperatures may be reduced 10°F if using a chemical WMA additive as a compaction aid.
3. When using WMA, the minimum placement temperature is 215°F.

4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3077.4.9.3.1.4., "Miscellaneous Areas."

4.7.3.1.1. **Thermal Segregation.**

- 4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F, are deemed as moderate thermal segregation.
- 4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F are deemed as severe thermal segregation.
- 4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the automated report described in [Tex-244-F](#) to the Engineer daily unless otherwise directed. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system. The Engineer may suspend paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe thermal segregation. Density profiles are not required and not applicable when using a thermal imaging system. Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or upon completion of the project or as requested by the Engineer.
- 4.7.3.1.3. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Evaluate areas with moderate thermal segregation by performing density profiles in accordance with Section 3077.4.9.3.3.2., "Segregation (Density Profile)." Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the thermal images generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3077.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that contains severe thermal segregation. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section. Evaluate areas with severe thermal segregation by performing density profiles in accordance with Section 3077.4.9.3.3.2., "Segregation (Density Profile)." Remove and replace the material in any areas that have both severe thermal segregation and a failing result for Segregation (Density Profile) unless otherwise directed. The subplot in question may receive a production and placement payment adjustment greater than 1.000, if applicable, when the defective material is successfully removed and replaced.
- 4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.
- 4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.
- 4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3077.4.9.3.3.4., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.
- 4.8. **Compaction.** Compact the pavement uniformly to contain between 3.7% and 7.5% in-place air voids. Take immediate corrective action to bring the operation within 3.7% and 7.5% when the in-place air voids exceed the range of these tolerances. The Engineer will allow paving to resume when the proposed corrective action is likely to yield between 3.7% and 7.5% in-place air voids.

Obtain cores in areas placed under Exempt Production, as directed, at locations determined by the Engineer. The Engineer may test these cores and suspend operations or require removal and replacement if the in-place air voids are less than 2.7% or more than 9.0%. Areas defined in Section 3077.4.9.3.1.4., "Miscellaneous Areas," are not subject to in-place air void determination.

Furnish the type, size, and number of rollers required for compaction as approved. Use additional rollers as required to remove any roller marks. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

Use the control strip method shown in [Tex-207-F](#), Part IV, on the first day of production to establish the rolling pattern that will produce the desired in-place air voids unless otherwise directed.

Use tamps to thoroughly compact the edges of the pavement along curbs, headers, and similar structures and in locations that will not allow thorough compaction with rollers. The Engineer may require rolling with a trench roller on widened areas, in trenches, and in other limited areas.

Complete all compaction operations before the pavement temperature drops below 160°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 160°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

- 4.9. **Acceptance Plan.** Payment adjustments for the material will be in accordance with Article 3077.6., "Payment."

Sample and test the hot-mix on a lot and subplot basis. Suspend production until test results or other information indicates to the satisfaction of the Engineer that the next material produced or placed will result in pay factors of at least 1.000 if the production pay factor given in Section 3077.6.1., "Production Payment Adjustment Factors," for two consecutive lots or the placement pay factor given in Section 3077.6.2., "Placement Payment Adjustment Factors," for two consecutive lots is below 1.000.

- 4.9.1. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if a "remove and replace" condition is determined based on the Engineer's test results, or if the differences between Contractor and Engineer test results exceed the maximum allowable difference shown in Table 12 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within 5 working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.

The Materials and Tests Division will determine the laboratory-molded density based on the molded specific gravity and the maximum theoretical specific gravity of the referee sample. The in-place air voids will be determined based on the bulk specific gravity of the cores, as determined by the referee laboratory and the Engineer's average maximum theoretical specific gravity for the lot. With the exception of "remove and replace" conditions, referee test results are final and will establish payment adjustment factors for the subplot in question. The Contractor may decline referee testing and accept the Engineer's test results when the placement payment adjustment factor for any subplot results in a "remove and replace" condition. Placement sublots subject to be removed and replaced will be further evaluated in accordance with Section 3077.6.2.2., "Placement Sublots Subject to Removal and Replacement."

- 4.9.2. **Production Acceptance.**

- 4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 tons; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 4,000 tons. The Engineer will select subsequent lot sizes based on the anticipated daily production such

that approximately three to four sublots are produced each day. The lot size will be between 1,000 tons and 4,000 tons. The Engineer may change the lot size before the Contractor begins any lot.

If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 to confirm the indirect tensile strength does not exceed 200 psi. Take corrective action to bring the mixture within specification compliance if the indirect tensile strength exceeds 200 psi unless otherwise directed.

- 4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Adjust the payment for the incomplete lot in accordance with Section 3077.6.1., "Production Payment Adjustment Factors." Close all lots within five working days unless otherwise allowed.
- 4.9.2.2. **Production Sampling.**
- 4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as "Contractor," "Engineer," and "Referee." The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled "Engineer" and "Referee." The Engineer will maintain the custody of the samples labeled "Engineer" and "Referee" until the Department's testing is completed.
- 4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.
- 4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a "blind" sample instead of the random sample collected by the Contractor. Test either the "blind" or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the "blind" sample. The location of the Engineer's "blind" sample will not be disclosed to the Contractor. The Engineer's "blind" sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor's split sample for sublots not sampled by the Engineer.
- 4.9.2.2.2. **Informational Shear Bond Strength Testing.** Select one random subplot from Lot 2 or higher for shear bond strength testing. Obtain full depth cores in accordance with [Tex-249-F](#). Label the cores with the Control Section Job (CSJ), producer of the tack coat, mix type, shot rate, lot, and subplot number and provide to the Engineer. The Engineer will ship the cores to the Materials and Tests Division or district laboratory for shear bond strength testing. Results from these tests will not be used for specification compliance.
- 4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. sample of the asphalt binder witnessed by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility location, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.
- At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to MTD to verify compliance with Item 300, "Asphalts, Oils, and Emulsions" and will retain the other split sample for one year.
- 4.9.2.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 17. The Contractor has the option to verify the Engineer's test results on split samples provided by the Engineer. Determine compliance with operational tolerances listed in Table 12 for all sublots.

Take immediate corrective action if the Engineer's laboratory-molded density on any subplot is less than 95.0% or greater than 97.0% to bring the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

The Engineer may allow alternate methods for determining the asphalt binder content and aggregate gradation if the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results. Provide evidence that results from [Tex-236-F](#), Part I are not reliable before requesting permission to use an alternate method unless otherwise directed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 17**  
**Production and Placement Testing Frequency**

Description	Test Method	Minimum Contractor Testing Frequency	Minimum Engineer Testing Frequency
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	1 per subplot	1 per 12 sublots <sup>1</sup>
Individual % retained for sieves smaller than #8 and larger than #200			
% passing the #200 sieve			
Laboratory-molded density	<a href="#">Tex-207-F</a>	N/A	1 per subplot <sup>1</sup>
Laboratory-molded bulk specific gravity			
In-place air voids			
VMA	<a href="#">Tex-204-F</a>		
Segregation (density profile)	<a href="#">Tex-207-F</a> , Part V	1 per subplot <sup>2</sup>	1 per project
Longitudinal joint density	<a href="#">Tex-207-F</a> , Part VII		
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	1 per subplot <sup>1</sup>
Asphalt binder content	<a href="#">Tex-236-F</a>	1 per subplot	1 per lot <sup>1</sup>
Hamburg Wheel test	<a href="#">Tex-242-F</a>	N/A	1 per project
Recycled Asphalt Shingles (RAS) <sup>3</sup>	<a href="#">Tex-217-F</a> , Part III	N/A	
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot <sup>2</sup>	
Asphalt binder sampling and testing	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>4</sup>	
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	
Boil test <sup>5</sup>	<a href="#">Tex-530-C</a>	1 per lot	
Shear Bond Strength Test <sup>6</sup>	<a href="#">Tex-249-F</a>	1 per project (sample only)	

- For production defined in Section 3077.4.9.4., "Exempt Production," the Engineer will test one per day if 100 tons or more are produced. For Exempt Production, no testing is required when less than 100 tons are produced.
- To be performed in the presence of the Engineer, unless otherwise approved. Not required when a thermal imaging system is used.
- Testing performed by the Materials and Tests Division or designated laboratory.
- Obtain samples witnessed by the Engineer. The Engineer will retain these samples for one year.
- The Engineer may reduce or waive the sampling and testing requirements based on a satisfactory test history.
- Testing performed by the Materials and Tests Division or District for informational purposes only.

4.9.2.4. **Operational Tolerances.** Control the production process within the operational tolerances listed in Table 12. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.2.4.1. **Gradation.** Suspend operation and take corrective action if any aggregate is retained on the maximum sieve size shown in Table 8. A subplot is defined as out of tolerance if either the Engineer's or the Contractor's test results are out of operational tolerance. Suspend production when test results for gradation exceed the operational tolerances in Table 12 for three consecutive sublots on the same sieve or four consecutive sublots on any sieve unless otherwise directed. The consecutive sublots may be from more than one lot.

4.9.2.4.2. **Asphalt Binder Content.** A subplot is defined as out of operational tolerance if either the Engineer's or the Contractor's test results exceed the values listed in Table 12. No production or placement payment

adjustments greater than 1.000 will be paid for any subplot that is out of operational tolerance for asphalt binder content. Suspend production and shipment of the mixture if the Engineer's or the Contractor's asphalt binder content deviates from the current JMF by more than 0.5% for any subplot.

- 4.9.2.4.3. **Voids in Mineral Aggregates (VMA).** The Engineer will determine the VMA for every subplot. For sublots when the Engineer does not determine asphalt binder content, the Engineer will use the asphalt binder content results from QC testing performed by the Contractor to determine VMA.

Take immediate corrective action if the VMA value for any subplot is less than the minimum VMA requirement for production listed in Table 8. Suspend production and shipment of the mixture if the Engineer's VMA results on two consecutive sublots are below the minimum VMA requirement for production listed in Table 8. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that does not meet the minimum VMA requirement for production listed in Table 8 based on the Engineer's VMA determination.

Suspend production and shipment of the mixture if the Engineer's VMA result is more than 0.5% below the minimum VMA requirement for production listed in Table 8. In addition to suspending production, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment.

- 4.9.2.4.4. **Hamburg Wheel Test.** The Engineer may perform a Hamburg Wheel test at any time during production, including when the boil test indicates a change in quality from the materials submitted for JMF 1. In addition to testing production samples, the Engineer may obtain cores and perform Hamburg Wheel tests on any areas of the roadway where rutting is observed. Suspend production until further Hamburg Wheel tests meet the specified values when the production or core samples fail the Hamburg Wheel test criteria in Table 11. Core samples, if taken, will be obtained from the center of the finished mat or other areas excluding the vehicle wheel paths. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test to be removed and replaced at the Contractor's expense.

If the Department's or Department approved laboratory's Hamburg Wheel test results in a "remove and replace" condition, the Contractor may request that the Department confirm the results by re-testing the failing material. The Materials and Tests Division will perform the Hamburg Wheel tests and determine the final disposition of the material in question based on the Department's test results.

- 4.9.2.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances shown in Table 12, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

#### 4.9.3. **Placement Acceptance.**

- 4.9.3.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

- 4.9.3.1.1. **Lot 1 Placement.** Placement payment adjustments greater than 1.000 for Lot 1 will be in accordance with Section 3077.6.2., "Placement Payment Adjustment Factors;" however, no placement adjustment less than 1.000 will be assessed for any subplot placed in Lot 1 when the in-place air voids are greater than or equal to 2.7% and less than or equal to 9.0%. Remove and replace any subplot with in-place air voids less than 2.7% or greater than 9.0%.

- 4.9.3.1.2. **Incomplete Placement Lots.** An incomplete placement lot consists of the area placed as described in Section 3077.4.9.2.1.1., "Incomplete Production Lot," excluding areas defined in Section 3077.4.9.3.1.4., "Miscellaneous Areas." Placement sampling is required if the random sample plan for production resulted in a sample being obtained from an incomplete production subplot.



- 4.9.3.1.3. **Shoulders, Ramps, Etc.** Shoulders, ramps, intersections, acceleration lanes, deceleration lanes, and turn lanes are subject to in-place air void determination and payment adjustments unless designated on the plans as not eligible for in-place air void determination. Intersections may be considered miscellaneous areas when determined by the Engineer.
- 4.9.3.1.4. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations, such as temporary detours, driveways, mailbox turnouts, crossovers, gores, spot level-up areas, and other similar areas. Temporary detours are subject to in-place air void determination when shown on the plans. Miscellaneous areas also include level-ups and thin overlays when the layer thickness specified on the plans is less than the minimum untrimmed core height eligible for testing shown in Table 14. The specified layer thickness is based on the rate of 110 lb./sq. yd. for each inch of pavement unless another rate is shown on the plans. When "level up" is listed as part of the item bid description code, a payment adjustment factor of 1.000 will be assigned for all placement sublots as described in Article 3077.6, "Payment." Miscellaneous areas are not eligible for random placement sampling locations. Compact miscellaneous areas in accordance with Section 3077.4.8., "Compaction." Miscellaneous areas are not subject to in-place air void determination, thermal profiles testing, segregation (density profiles), or longitudinal joint density evaluations.
- 4.9.3.2. **Placement Sampling.** The Engineer will select random numbers for all placement sublots at the beginning of the project. The Engineer will provide the Contractor with the placement random numbers immediately after the subplot is completed. Mark the roadway location at the completion of each subplot and record the station number. Determine one random sample location for each placement subplot in accordance with [Tex-225-F](#). Adjust the random sample location by no more than necessary to achieve a 2-ft. clearance if the location is within 2 ft. of a joint or pavement edge.

Shoulders, ramps, intersections, acceleration lanes, deceleration lanes, and turn lanes are always eligible for selection as a random sample location; however, if a random sample location falls on one of these areas and the area is designated on the plans as not subject to in-place air void determination, cores will not be taken for the subplot and a 1.000 pay factor will be assigned to that subplot.

Provide the equipment and means to obtain and trim roadway cores on-site. On-site is defined as in close proximity to where the cores are taken. Obtain the cores within one working day of the time the placement subplot is completed unless otherwise approved. Obtain two 6-in. diameter cores side-by-side from within 1 ft. of the random location provided for the placement subplot. For SP-C and SP-D mixtures, 4-in. diameter cores are allowed. Mark the cores for identification, measure and record the untrimmed core height, and provide the information to the Engineer. The Engineer will witness the coring operation and measurement of the core thickness. Visually inspect each core and verify that the current paving layer is bonded to the underlying layer. Take corrective action if an adequate bond does not exist between the current and underlying layer to ensure that an adequate bond will be achieved during subsequent placement operations.

Trim the cores immediately after obtaining the cores from the roadway in accordance with [Tex-251-F](#) if the core heights meet the minimum untrimmed value listed in Table 14. Trim the cores on-site in the presence of the Engineer. Use a permanent marker or paint pen to record the lot and subplot numbers on each core as well as the designation as Core A or B. The Engineer may require additional information to be marked on the core and may choose to sign or initial the core. The Engineer will take custody of the cores immediately after witnessing the trimming of the cores and will retain custody of the cores until the Department's testing is completed. Before turning the trimmed cores over to the Engineer, the Contractor may wrap the trimmed cores or secure them in a manner that will reduce the risk of possible damage occurring during transport by the Engineer. After testing, the Engineer will return the cores to the Contractor.

The Engineer may have the cores transported back to the Department's laboratory at the HMA plant via the Contractor's haul truck or other designated vehicle. In such cases where the cores will be out of the Engineer's possession during transport, the Engineer will use Department-provided security bags and the Roadway Core Custody protocol located at <http://www.txdot.gov/business/specifications.htm> to provide a secure means and process that protects the integrity of the cores during transport.

Decide whether to include the pair of cores in the air void determination for that subplot if the core height before trimming is less than the minimum untrimmed value shown in Table 14. Trim the cores as described above before delivering to the Engineer if electing to have the cores included in the air void determination. Deliver untrimmed cores to the Engineer and inform the Engineer of the decision to not have the cores included in air void determination if electing to not have the cores included in air void determination. The placement pay factor for the subplot will be 1.000 if cores will not be included in air void determination.

Instead of the Contractor trimming the cores on-site immediately after coring, the Engineer and the Contractor may mutually agree to have the trimming operations performed at an alternate location such as a field laboratory or other similar location. In such cases, the Engineer will take possession of the cores immediately after they are obtained from the roadway and will retain custody of the cores until testing is completed. Either the Department or Contractor representative may perform trimming of the cores. The Engineer will witness all trimming operations in cases where the Contractor representative performs the trimming operation.

Dry the core holes and tack the sides and bottom immediately after obtaining the cores. Fill the hole with the same type of mixture and properly compact the mixture. Repair core holes with other methods when approved.

4.9.3.3. **Placement Testing.** Perform placement tests in accordance with Table 17. After the Engineer returns the cores, the Contractor may test the cores to verify the Engineer's test results for in-place air voids. The allowable differences between the Contractor's and Engineer's test results are listed in Table 12.

4.9.3.3.1. **In-Place Air Voids.** The Engineer will measure in-place air voids in accordance with [Tex-207-F](#) and [Tex-227-F](#). Before drying to a constant weight, cores may be pre-dried using a CoreDry or similar vacuum device to remove excess moisture. The Engineer will average the values obtained for all sublots in the production lot to determine the theoretical maximum specific gravity. The Engineer will use the average air void content for in-place air voids.

The Engineer will use the vacuum method to seal the core if required by [Tex-207-F](#). The Engineer will use the test results from the unsealed core to determine the placement payment adjustment factor if the sealed core yields a higher specific gravity than the unsealed core. After determining the in-place air void content, the Engineer will return the cores and provide test results to the Contractor.

4.9.3.3.2. **Segregation (Density Profile).** Test for segregation using density profiles in accordance with [Tex-207-F](#), Part V when using a thermal camera instead of the thermal imaging system. Density profiles are not required and are not applicable when using a thermal imaging system. Density profiles are not applicable in areas described in Section 3077.4.9.3.1.4., "Miscellaneous Areas."

Perform a minimum of one density profile per subplot. Perform additional density profiles when any of the following conditions occur, unless otherwise approved:

- the paver stops due to lack of material being delivered to the paving operations and the temperature of the uncompacted mat before the initial break down rolling is less than the temperatures shown in Table 18;
- areas that are identified by either the Contractor or the Engineer with thermal segregation;
- any visibly segregated areas that exist.

**Table 18**  
**Minimum Uncompacted Mat Temperature Requiring a Segregation Profile**

<b>High-Temperature Binder Grade<sup>1</sup></b>	<b>Minimum Temperature of the Uncompacted Mat Allowed Before Initial Break Down Rolling<sup>2,3,4</sup></b>
PG 64	<250°F
PG 70	<260°F
PG 76	<270°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Segregation profiles are required in areas with moderate and severe thermal segregation as described in Section 3077.4.7.3.1.3.
3. Minimum uncompacted mat temperature requiring a segregation profile may be reduced 10°F if using a chemical WMA additive as a compaction aid.
4. When using WMA, the minimum uncompacted mat temperature requiring a segregation profile is 215°F.

Provide the Engineer with the density profile of every subplot in the lot within one working day of the completion of each lot. Report the results of each density profile in accordance with Section 3077.4.2., "Reporting and Responsibilities."

The density profile is considered failing if it exceeds the tolerances in Table 19. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that contains a failing density profile. When a hand-held thermal camera is used instead of a thermal imaging system, the Engineer will measure the density profile at least once per project. The Engineer's density profile results will be used when available. The Engineer may require the Contractor to remove and replace the area in question if the area fails the density profile and has surface irregularities as defined in Section 3077.4.9.3.3.5., "Irregularities." The subplot in question may receive a production and placement payment adjustment greater than 1.000, if applicable, when the defective material is successfully removed and replaced.

Investigate density profile failures and take corrective actions during production and placement to eliminate the segregation. Suspend production if two consecutive density profiles fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

**Table 19**  
**Segregation (Density Profile) Acceptance Criteria**

<b>Mixture Type</b>	<b>Maximum Allowable Density Range (Highest to Lowest)</b>	<b>Maximum Allowable Density Range (Average to Lowest)</b>
SP-B	8.0 pcf	5.0 pcf
SP-C & SP-D	6.0 pcf	3.0 pcf

#### 4.9.3.3.3. Longitudinal Joint Density.

4.9.3.3.3.1. **Informational Tests.** Perform joint density evaluations while establishing the rolling pattern and verify that the joint density is no more than 3.0 pcf below the density taken at or near the center of the mat. Adjust the rolling pattern, if needed, to achieve the desired joint density. Perform additional joint density evaluations at least once per subplot unless otherwise directed.

4.9.3.3.3.2. **Record Tests.** Perform a joint density evaluation for each subplot at each pavement edge that is or will become a longitudinal joint. Joint density evaluations are not applicable in areas described in Section 3077.4.9.3.1.4., "Miscellaneous Areas." Determine the joint density in accordance with [Tex-207-F](#), Part VII. Record the joint density information and submit results on Department forms to the Engineer. The evaluation is considered failing if the joint density is more than 3.0 pcf below the density taken at the core random sample location and the correlated joint density is less than 90.0%. The Engineer will make independent joint density verification at least once per project and may make independent joint density

verifications at the random sample locations. The Engineer's joint density test results will be used when available.

Provide the Engineer with the joint density of every subplot in the lot within one working day of the completion of each lot. Report the results of each joint density in accordance with Section 3077.4.2., "Reporting and Responsibilities."

Investigate joint density failures and take corrective actions during production and placement to improve the joint density. Suspend production if the evaluations on two consecutive sublots fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

4.9.3.3.4. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

4.9.3.3.5. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.4. **Exempt Production.** The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is less than 500 tons;
- total production for the project is less than 5,000 tons;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement QC/QA sampling and testing requirements, except for coring operations when required by the Engineer. The production and placement pay factors are 1.000 if the specification requirements listed below are met, all other specification requirements are met, and the Engineer performs acceptance tests for production and placement listed in Table 17 when 100 tons or more per day are produced:

- produce, haul, place, and compact the mixture in compliance with the specification and as directed;
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target laboratory-molded density as tested by the Engineer;
- compact the mixture in accordance with Section 3077.4.8., "Compaction"; and
- when a thermal imaging system is not used, the Engineer may perform segregation (density profiles) and thermal profiles in accordance with the specification.

4.9.5. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

- 5.1. **Superpave Mixtures.** Hot mix will be measured by the ton of composite hot-mix, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measure by the gallon applied.

The Engineer may allow the use of a metering device to determine the asphalt volume used and application rate if the device is accurate within 1.5% of the strapped volume.

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## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Article 3077.5.1, "Measurement," will be paid for at the unit bid price for "Superpave Mixtures" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Article 3077.5.2, "Measurement," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals. Payment adjustments will be applied as determined in this Item; however, a payment adjustment factor of 1.000 will be assigned for all placement sublots for "level ups" only when "level up" is listed as part of the item bid description code. A payment adjustment factor of 1.000 will be assigned to all production and placement sublots when "exempt" is listed as part of the item bid description code, and all testing requirements are met.

Payment for each subplot, including applicable payment adjustments greater than 1.000, will only be paid for sublots when the Contractor supplies the Engineer with the required documentation for production and placement QC/QA, thermal profiles, segregation density profiles, and longitudinal joint densities in accordance with Section 3077.4.2., "Reporting and Responsibilities." When a thermal imaging system is used, documentation is not required for thermal profiles or segregation density profiles on individual sublots; however, the thermal imaging system automated reports described in [Tex-244-F](#) are required.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

- 6.1. **Production Payment Adjustment Factors.** The production payment adjustment factor is based on the laboratory-molded density using the Engineer's test results. The bulk specific gravities of the samples from each subplot will be divided by the Engineer's maximum theoretical specific gravity for the subplot. The individual sample densities for the subplot will be averaged to determine the production payment adjustment factor in accordance with Table 20 for each subplot using the deviation from the target laboratory-molded density defined in Table 10. The production payment adjustment factor for completed lots will be the average of the payment adjustment factors for the four sublots sampled within that lot.

**Table 20**  
**Production Payment Adjustment Factors for Laboratory-Molded Density<sup>1</sup>**

<b>Absolute Deviation from Target Laboratory-Molded Density</b>	<b>Production Payment Adjustment Factor (Target Laboratory-Molded Density)</b>
0.0	1.075
0.1	1.075
0.2	1.075
0.3	1.066
0.4	1.057
0.5	1.047
0.6	1.038
0.7	1.029
0.8	1.019
0.9	1.010
1.0	1.000
1.1	0.900
1.2	0.800
1.3	0.700
> 1.3	Remove and replace

1. If the Engineer's laboratory-molded density on any subplot is less than 95.0% or greater than 97.0%, take immediate corrective action to bring the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

- 6.1.1. **Payment for Incomplete Production Lots.** Production payment adjustments for incomplete lots, described under Section 3077.4.9.2.1.1., "Incomplete Production Lots," will be calculated using the average production pay factors from all sublots sampled.

A production payment factor of 1.000 will be assigned to any lot when the random sampling plan did not result in collection of any samples within the first subplot.

- 6.1.2. **Production Sublots Subject to Removal and Replacement.** If after referee testing, the laboratory-molded density for any subplot results in a "remove and replace" condition as listed in Table 20, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment. The Engineer may also accept the subplot in accordance with Section 3077.5.3.1., "Acceptance of Defective or Unauthorized Work." Replacement material meeting the requirements of this Item will be paid for in accordance with this Section.

- 6.2. **Placement Payment Adjustment Factors.** The placement payment adjustment factor is based on in-place air voids using the Engineer's test results. The bulk specific gravities of the cores from each subplot will be divided by the Engineer's average maximum theoretical specific gravity for the lot. The individual core densities for the subplot will be averaged to determine the placement payment adjustment factor in accordance with Table 21 for each subplot that requires in-place air void measurement. A placement payment adjustment factor of 1.000 will be assigned to the entire subplot when the random sample location falls in an area designated on the plans as not subject to in-place air void determination. A placement payment adjustment factor of 1.000 will be assigned to quantities placed in areas described in Section 3077.4.9.3.1.4., "Miscellaneous Areas." The placement payment adjustment factor for completed lots will be the average of the placement payment adjustment factors for up to four sublots within that lot.

**Table 21**  
**Placement Payment Adjustment Factors for In-Place Air Voids**

<b>In-Place Air Voids</b>	<b>Placement Payment Adjustment Factor</b>	<b>In-Place Air Voids</b>	<b>Placement Payment Adjustment Factor</b>
< 2.7	Remove and Replace	5.9	1.048
2.7	0.710	6.0	1.045
2.8	0.740	6.1	1.042
2.9	0.770	6.2	1.039
3.0	0.800	6.3	1.036
3.1	0.830	6.4	1.033
3.2	0.860	6.5	1.030
3.3	0.890	6.6	1.027
3.4	0.920	6.7	1.024
3.5	0.950	6.8	1.021
3.6	0.980	6.9	1.018
3.7	1.000	7.0	1.015
3.8	1.015	7.1	1.012
3.9	1.030	7.2	1.009
4.0	1.045	7.3	1.006
4.1	1.060	7.4	1.003
4.2	1.075	7.5	1.000
4.3	1.075	7.6	0.980
4.4	1.075	7.7	0.960
4.5	1.075	7.8	0.940
4.6	1.075	7.9	0.920
4.7	1.075	8.0	0.900
4.8	1.075	8.1	0.880
4.9	1.075	8.2	0.860
5.0	1.075	8.3	0.840
5.1	1.072	8.4	0.820
5.2	1.069	8.5	0.800
5.3	1.066	8.6	0.780
5.4	1.063	8.7	0.760
5.5	1.060	8.8	0.740
5.6	1.057	8.9	0.720
5.7	1.054	9.0	0.700
5.8	1.051	> 9.0	Remove and Replace

- 6.2.1. **Payment for Incomplete Placement Lots.** Payment adjustments for incomplete placement lots described under Section 3077.4.9.3.1.2., "Incomplete Placement Lots," will be calculated using the average of the placement pay factors from all sublots sampled and sublots where the random location falls in an area designated on the plans as not eligible for in-place air void determination.

If the random sampling plan results in production samples, but not in placement samples, the random core location and placement adjustment factor for the subplot will be determined by applying the placement random number to the length of the subplot placed.

If the random sampling plan results in placement samples, but not in production samples, no placement adjustment factor will apply for that subplot placed.

A placement payment adjustment factor of 1.000 will be assigned to any lot when the random sampling plan did not result in collection of any production samples.

- 6.2.2. **Placement Sublots Subject to Removal and Replacement.** If after referee testing, the placement payment adjustment factor for any subplot results in a "remove and replace" condition as listed in Table 21, the Engineer will choose the location of two cores to be taken within 3 ft. of the original failing core location. The Contractor will obtain the cores in the presence of the Engineer. The Engineer will take immediate possession of the untrimmed cores and submit the untrimmed cores to the Materials and Tests Division,

where they will be trimmed, if necessary, and tested for bulk specific gravity within 10 working days of receipt.

The bulk specific gravity of the cores from each subplot will be divided by the Engineer's average maximum theoretical specific gravity for the lot. The individual core densities for the subplot will be averaged to determine the new payment adjustment factor of the subplot in question. If the new payment adjustment factor is 0.700 or greater, the new payment adjustment factor will apply to that subplot. If the new payment adjustment factor is less than 0.700, no payment will be made for the subplot. Remove and replace the failing subplot, or the Engineer may allow the subplot to be left in place without payment. The Engineer may also accept the subplot in accordance with Section 3077.5.3.1., "Acceptance of Defective or Unauthorized Work." Replacement material meeting the requirements of this Item will be paid for in accordance with this Section.

- 6.3. **Total Adjusted Pay Calculation.** Total adjusted pay (TAP) will be based on the applicable payment adjustment factors for production and placement for each lot.

$$TAP = (A+B)/2$$

where:

*A* = Bid price × production lot quantity × average payment adjustment factor for the production lot

*B* = Bid price × placement lot quantity × average payment adjustment factor for the placement lot + (bid price × quantity placed in miscellaneous areas × 1.000)

*Production lot quantity* = Quantity actually placed - quantity left in place without payment

*Placement lot quantity* = Quantity actually placed - quantity left in place without payment - quantity placed in miscellaneous areas



# Special Specification 3079

## Permeable Friction Course



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) surface course composed of a compacted permeable mixture of aggregate, asphalt binder, and additives mixed hot in a mixing plant.

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements in accordance with Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse aggregate. Do not use intermediate or fine aggregate in permeable friction course (PFC) mixtures. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests in accordance with Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance;
- approved only when tested by the Engineer;
- once approved, do not add material to the stockpile unless otherwise approved; and
- allow 30 calendar days for the Engineer to sample, test, and report results.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes, unless otherwise shown on the plans. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program* (AQMP) ([Tex-499-A](#)) is listed in the BRSQC.

2.1.1.1. **Blending Class A and Class B Aggregates.** To prevent crushing of the Class B aggregate when blending, Class B aggregate may be blended with a Class A aggregate to meet requirements for Class A materials if the Department's BRSQC rated source soundness magnesium (RSSM) rating for the Class B aggregate is less than the Class A aggregate or if the RSSM rating for the Class B aggregate is less than or equal to 10%. Use the rated values for hot mix asphaltic concrete (HMAC) published in the BRSQC. When blending Class A and B aggregates to meet a Class A requirement, ensure that at least 50% by weight, or volume if required, of all the aggregates used in the mixture design retained on the No. 4 sieve comes from the Class A aggregate source, unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Class B aggregate may be disallowed when shown on the plans.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 10 as listed in the BRSQC, unless otherwise directed. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

RSSM = Rated Source Soundness Magnesium

$MD_{act.}$  = actual Micro-Deval percent loss

RSMD = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

**Table 1**  
**Coarse Aggregate Quality Requirements**

Property	Test Method	Requirement
SAC	<a href="#">Tex-499-A</a> (AQMP)	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.0
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note <sup>1</sup>
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	30
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	20
Crushed face count, <sup>2</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	95
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10

1. Used to estimate the magnesium sulfate soundness loss in accordance with Section 3079.2.1.1.2., "Micro-Deval Abrasion."

2. Only applies to crushed gravel.

- 2.2. **Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.
- 2.3. **Asphalt Binder.** Furnish the type and grade of binder specified on the plans that meets the requirements of Item 300, "Asphalts, Oils, and Emulsions."
- 2.3.1. **Performance-Graded (PG) Binder.** Provide an asphalt binder with a high-temperature grade of PG 76 and low-temperature grade as shown on the plans in accordance with Section 300.2.10., "Performance-Graded Binders," when PG binder is specified.
- 2.3.2. **Asphalt-Rubber (A-R) Binder.** Provide A-R binder that meets the Type I or Type II requirements of Section 300.2.9., "Asphalt-Rubber Binders," when A-R is specified unless otherwise shown on the plans. Use at least 15.0% by weight of Crumb Rubber Modifier (CRM) that meets the Grade B or Grade C requirements of Section 300.2.7., "Crumb Rubber Modifier," unless otherwise shown on the plans. Provide the Engineer the A-R binder blend design with the mix design (JMF1) submittal. Provide the Engineer with documentation such as the bill of lading showing the quantity of CRM used in the project unless otherwise directed.
- 2.4. **Tack Coat.** Furnish CSS-1H, SS-1H, EBL, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's Tracking Resistant Asphalt Interlayer (TRAIL) MPL may be allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.
- 2.5. **Additives.** Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.5.1. **Fibers.** Provide cellulose or mineral fibers when PG binder is specified. Do not use fibers when A-R binder is specified. Submit written certification to the Engineer that the fibers proposed for use meet the requirements of DMS-9204, "Fiber Additives for Bituminous Mixtures." Fibers may be pre-blended into the binder at the asphalt supply terminal unless otherwise shown on the plans.
- 2.5.2. **Lime Mineral Filler.** Add lime as mineral filler at a rate of 1.0% by weight of the total dry aggregate in accordance with Item 301, "Asphalt Antistripping Agents," unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel test results. Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.5.3. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum. When the plans require lime to be added as an antistripping agent, lime added as mineral filler will count towards the total quantity of lime specified.
- 2.5.4. **Compaction Aid.** Compaction aid is defined as a Department-approved chemical warm mix additive denoted as "chemical additive" on the Department's materials producer list (MPL) that is used to facilitate mixing and compaction of HMA.
- Compaction aid is allowed for use on all projects. Compaction aid is required when shown on the plans or as required in Section 3079.4.7.1., "Weather Conditions."
- Warm mix foaming processes, denoted as "foaming process" on the Department-approved MPL, may be used to facilitate mixing and compaction of HMA; however warm mix foaming processes are not defined as a Compaction aid.
- 2.6. **Recycled Materials.** Recycled materials are not allowed for use.

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**3. EQUIPMENT**

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement." When A-R binder is specified, equip the hot-mix plant with an in-line viscosity-measuring device located between the blending unit and the mixing drum. Provide a means to calibrate the asphalt mass flow meter on-site when a meter is used.

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**4. CONSTRUCTION**

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

- 4.1. **Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 2. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide Level AGG101 certified specialists for aggregate testing.

**Table 2**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F, Part I</a>	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F, Part II</a>	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F, Parts I &amp; III</a>	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F, Part II</a>	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	Department
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C, Part II</a>	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C, Part III</a>	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F, Parts I, VI, &amp; VIII</a>	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F, Part II</a>	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F, Part II</a>	✓	✓	2
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Cantabro loss	<a href="#">Tex-245-F</a>	✓	✓	1A
<b>4. Production Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F, Part I</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F, Part II</a>	✓	✓	1A/AGG101
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	Department
<b>5. Placement Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Water flow test	<a href="#">Tex-246-F</a>	✓	✓	1B
Shear bond strength test	<a href="#">Tex-249-F</a>		✓	Department

- Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
- Refer to Section 3079.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.
- Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.
- When shown on the plans.

- 4.2. **Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement tests, control charts, and thermal profiles. Obtain the current version of the templates at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is given in Table 3. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Article 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 3**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>1</sup>			
Moisture content <sup>2</sup>			
Drain-down <sup>1</sup>			
Boil test <sup>4</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>2</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>2</sup>			
Laboratory-molded density <sup>2</sup>			
Hamburg Wheel test <sup>3</sup>			
Boil test <sup>4</sup>			
Drain-down <sup>2</sup>			
Binder tests <sup>3</sup>			
<b>Placement Quality Control</b>			
Thermal profile <sup>1</sup>	Contractor	Engineer	1 working day of completion of the lot
Water flow <sup>1</sup>			
<b>Placement Quality Assurance</b>			
Thermal profile <sup>2</sup>	Engineer	Contractor	1 working day of completion of the lot
Aging ratio <sup>3</sup>			
Water flow <sup>2</sup>			

1. These tests are required on every subplot.
2. To be performed at the frequency in accordance with Table 9 or as shown on the plans.
3. To be reported as soon as the results become available.
4. When shown on the plans

Use the procedures described in [Tex-233-F](#) to plot the results of all production and placement testing, when directed. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting when directed. Receive approval of the QCP before pre-paving meeting. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and

- current copies of certification documents for individuals performing specified QC functions.

4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:

- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
- aggregate stockpiling procedures to avoid contamination and segregation;
- frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
- procedure for monitoring the quality and variability of asphalt binder.

4.3.3. **Production.** For production, include:

- loader operation procedures to avoid contamination in cold bins;
- procedures for calibrating and controlling cold feeds;
- procedures to eliminate debris or oversized material;
- procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, lime, liquid antistriper, compaction aid, foaming process, fibers);
- procedures for reporting job control test results; and
- procedures to avoid segregation and drain-down in the silo.

4.3.4. **Loading and Transporting.** For loading and transporting, include:

- type and application method for release agents; and
- truck loading procedures to avoid segregation.

4.3.5. **Placement and Compaction.** For placement and compaction, include:

- proposed agenda for mandatory pre-paving meeting, including date and location;
- proposed paving plan (e.g., production rate, paving widths, joint offsets, and lift thicknesses);
- type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
- procedures for the transfer of mixture into the paver, while avoiding physical and thermal segregation and preventing material spillage;
- process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
- paver operations (e.g., speed, operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
- procedures to construct quality longitudinal and transverse joints.

4.4. **Mixture Design.**

4.4.1. **Design Requirements.** Use the PFC design procedure provided in [Tex-204-E](#), unless otherwise shown on the plans. Design the mixture to meet the requirements in accordance with Tables 1, 4, 5, and 6. Use a Superpave Gyrotory Compactor (SGC) at 50 gyrations as the design number of gyrations (Ndesign).

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;

- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 4**  
**Master Gradation Limits (% Passing by Weight or Volume)**

Sieve Size	PG 76 Mixtures		A-R Mixtures		Test Procedure
	Fine (PFC-F)	Coarse (PFC-C)	Fine (PFCR-F)	Coarse (PFCR-C)	
3/4"	–	100.0 <sup>1</sup>	100.0 <sup>1</sup>	100.0 <sup>1</sup>	<a href="#">Tex-200-F</a>
1/2"	100.0 <sup>1</sup>	80.0–100.0	95.0–100.0	80.0–100.0	
3/8"	95.0–100.0	35.0–60.0	50.0–80.0	35.0–60.0	
#4	20.0–55.0	1.0–20.0	0.0–8.0	0.0–20.0	
#8	1.0–10.0	1.0–10.0	0.0–4.0	0.0–10.0	
#200	1.0–4.0	1.0–4.0	0.0–4.0	0.0–4.0	

1. Defined as maximum sieve size. No tolerance allowed.

**Table 5**  
**Mixture Design Properties**

Mix Property	PG 76 Mixtures		A-R Mixtures		Test Procedure
	Fine (PFC-F) Requirements	Coarse (PFC-C) Requirements	Fine (PFCR-F) Requirements	Coarse (PFCR-C) Requirements	
Design gyrations (N <sub>design</sub> )	50	50	50	50	<a href="#">Tex-241-F</a>
Lab-molded density, %	78.0 Max	82.0 Max	82.0 Max	82.0 Max	<a href="#">Tex-207-F</a>
Asphalt Binder Content, %	6.0–7.0	6.0–7.0	8.0–10.0	7.0–9.0	--
Hamburg Wheel test, <sup>1</sup> passes at 12.5 mm rut depth	10,000 Min <sup>2</sup>	Note 3	Note 3	Note 3	<a href="#">Tex-242-F</a>
Drain-down, %	0.10 Max	0.10 Max	0.10 Max	0.10 Max	<a href="#">Tex-235-F</a>
Fiber content, % by wt. of total PG 76 mixture	0.20–0.50	0.20–0.50	–	–	Calculated
Lime content, % by wt. of total aggregate	1.0 <sup>4</sup>	1.0 <sup>4</sup>	–	–	Calculated
CRM content, % by wt. of A-R binder	–	–	15.0 Min	15.0 Min	Calculated
Boil test <sup>5</sup>	–	–	–	–	<a href="#">Tex-530-C</a>
Cantabro loss, %	20.0 Max	20.0 Max	20.0 Max	20.0 Max	<a href="#">Tex-245-F</a>

1. Mold test specimens to N<sub>design</sub> at the optimum asphalt binder content.
2. May be decreased when shown on the plans.
3. No specification value is required unless otherwise shown on the plans.
4. Unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel results.
5. When shown on the plans. Used to establish baseline for comparison to production results.

4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, N<sub>design</sub> level, and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When a compaction aid or foaming process is used, JMF1 may be designed and submitted to the Engineer without including the compaction aid or foaming process. When a compaction aid or foaming process is used, document the compaction aid or foaming process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.

4.4.2.1. **Contractor's Responsibilities.**



- 4.4.2.1.1. **Providing Gyrotory Compactor.** Furnish an SGC calibrated in accordance with [Tex-241-F](#) for molding production samples. Locate the SGC at the Engineer's field laboratory or make the SGC available to the Engineer for use in molding production samples.
- 4.4.2.1.2. **Gyrotory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyrotory compactor correlation when the Engineer uses a different SGC. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide an additional 25 lb. of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture when required in accordance with Table 5, and request that the Department perform the test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. Note that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for quality assurance (QA) testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used and the correction factors are not more than 12 mo. old, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** When shown on the plans, perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. Add lime or liquid antistripping agent, as directed, if signs of stripping exist.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch including the compaction aid or foaming process, if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in accordance with Table 6. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch. Provide documentation to verify the calibration or accuracy of the asphalt mass flow meter to measure the binder content. Verify that asphalt mass flow meter meets the requirements of 0.4% accuracy, when required, in accordance with Item 520, "Weighing and Measuring Equipment." The Engineer may require that the accuracy of the mass flow meter be verified based on quantities used.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into three equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in accordance with Table 6. Ensure the trial batch mixture is also in compliance with the requirements in accordance with Table 5. Use a Department-approved laboratory listed on the MPL to perform

the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. Provide an additional 25 lb. of the trial batch mixture if opting to have the Department perform the Hamburg Wheel test, if applicable, and request that the Department perform the test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.

- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results, determine the target mixture proportions, and submit as JMF2 after the Engineer grants full approval of JMF1 based on results from the trial batch. The mixture produced using JMF2 must meet the requirements in accordance with Tables 4 and 5. Verify that JMF2 meets the operational tolerances in accordance with Table 6.
- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 after receiving approval for JMF2.
- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.
- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:
- be provided to the Engineer in writing before the start of a new lot;
  - be numbered in sequence to the previous JMF;
  - meet the master gradation limits in accordance with Table 4; and
  - be within the operational tolerances of JMF2 in accordance with Table 6.
- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3079.4.9.1., "Referee Testing," to resolve testing differences with the Engineer.

**Table 6**  
**Operational Tolerances**

Test Description	Test Method	Allowable Difference between JMF2 and JMF1 Target <sup>1</sup>	Allowable Difference from Current JMF and JMF2 <sup>2</sup>	Allowable Difference between Contractor and Engineer <sup>3</sup>
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	Must be Within Master Grading Limits in accordance with Table 4	±3.0 <sup>4</sup>	±5.0 <sup>4</sup>
% passing the #200 sieve				±2.0 <sup>4</sup>
Laboratory-molded density, %	<a href="#">Tex-207-F</a> , Part VIII	±1.0	±1.0	±1.0
Asphalt binder content, %	<a href="#">Tex-236-F</a> , Part I <sup>5</sup>	±0.3 <sup>6,7</sup>	±0.3 <sup>4,6,7</sup>	±0.3 <sup>6,7</sup>
Drain-down, %	<a href="#">Tex-235-F</a>	Note 8	Note 8	N/A
Boil test	<a href="#">Tex-530-C</a>	Note 9	Note 9	N/A

1. JMF1 is the approved laboratory mixture design used for producing the trial batch. JMF2 is the approved mixture design developed from the trial batch used to produce Lot 1.
2. Current JMF is JMF3 or higher. JMF3 is the approved mixture design used to produce Lot 2.
3. Contractor may request referee testing only when values exceed these tolerances.
4. Only applies to mixture produced for Lot 1 and higher. Aggregate gradation is not allowed to be outside the limits shown in Table 4.
5. Ensure the binder content determination excludes fibers.
6. May be obtained from asphalt mass flow meter readouts as determined by the Engineer.
7. Binder content is not allowed to be outside the limits in accordance with Table 5.
8. Verify that Table 5 requirements are met.
9. When shown on the plans.

4.4.2.2. **Engineer's Responsibilities.**

- 4.4.2.2.1. **Superpave Gyrotory Compactor.** The Engineer will use a Department SGC calibrated in accordance with [Tex-241-F](#) to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the

field laboratory or provide and use a Department SGC at an alternate location.

4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, and additives; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with Section 3079.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

The Contractor is authorized to produce a trial batch after the Engineer grants conditional approval of JMF1.

4.4.2.2.3. **Hamburg Wheel Testing.** At the Contractor's request, the Department will perform the Hamburg Wheel test on the laboratory mixture in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 5. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the laboratory mixture design.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. The Engineer will verify that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination.

4.4.2.2.5. **Testing the Trial Batch.** Within one full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in accordance with Table 6. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 5.

The Engineer will have the option to perform [Tex-530-C](#) on the trial batch when shown on the plans. These results may be retained and used for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in accordance with Table 5.

The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in accordance with Tables 4, 5, and 6.

- 4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2).
- 4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the master grading limits in accordance with Table 4, the asphalt binder content in accordance with Table 5, and are within the operational tolerances of JMF2 in accordance with Table 6.
- 4.4.2.2.10. **Binder Content Adjustments.** For JMF2 and above, the Engineer may require the Contractor to adjust the target binder content by no more than 0.3% from the current JMF.
- 4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification.
- 4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.
- 4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures in accordance with Table 7. The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures in accordance with Table 7.

**Table 7**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Maximum Production Temperature
PG 76	345°F
A-R Binder	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent, when necessary, shown on the Department's MPL to coat the inside bed of the truck. Do not use diesel or any release agent not shown on the Department's MPL.

Use equipment for hauling as defined in Section 3079.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from

pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide within 6-in. of lane lines and are not placed in the wheel path, or as directed. Ensure that all finished surfaces will drain properly.

#### 4.7.1. **Weather Conditions.**

- 4.7.1.1. **When Using a Thermal Imaging System.** The Contractor may pave any time the roadway is dry and the roadway surface temperature is at least 60°F unless otherwise approved or as shown on the plans; however, the Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3079.4.7.3.1.2., "Thermal Imaging System."

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

- 4.7.1.1.1. **When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above 70°F unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the air temperature is 60°F and falling.

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

#### 4.7.2. **Tack Coat.**

- 4.7.2.1. **Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply adequate overlap of the tack coat in the longitudinal direction during the placement of the mat to ensure bond of adjacent PFC mats, unless otherwise directed. Unless otherwise directed, avoid tacking the vertical faces of adjacent PFC mats in the longitudinal direction to avoid restricting lateral drainage. Apply tack coat to all transverse joints. Allow adequate time for emulsion to break completely before placing any material. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

- 4.7.2.2. **Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

- 4.7.3. **Lay-Down Operations.** Use the placement temperature in accordance with Table 8 to establish the minimum placement temperature of the mixture delivered to the paving operation.

**Table 8**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Minimum Placement Temperature (Before Entering Paving Operation) <sup>2,3</sup>
PG 76	280°F
A-R Binder	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The mixture temperature must be measured using a hand-held thermal camera or infrared thermometer nearest to the point of entry of the paving operation.
3. Minimum placement temperatures may be reduced 10°F if using a compaction aid.

4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3079.4.9.3.2., "Miscellaneous Areas."

4.7.3.1.1. **Thermal Segregation.**

4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F.

4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F.

4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the report described in [Tex-244-F](#) to the Engineer daily. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system.-

The Engineer may suspend subsequent paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe or moderate thermal segregation.

Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or as requested by the Engineer.

4.7.3.1.2.1. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the electronic files generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3079.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section.

4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.

4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.

4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3079.4.9.3.3., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.

4.8. **Compaction.** Roll the freshly placed PFC with as many steel-wheeled rollers as necessary, operated in static mode, to seat the mixture without excessive breakage of the aggregate and to provide a smooth surface and uniform texture. Do not use pneumatic rollers. Moisten the roller drums thoroughly with a soap and water solution to prevent adhesion. Use only water or an approved release agent on rollers, tamps, and

other compaction equipment unless otherwise directed.

Use [Tex-246-F](#) to test and verify that the compacted mixture has adequate permeability. Measure the water flow once per subplot at locations directed by the Engineer. The water flow rate must be less than 20 sec. Investigate the cause of the water flow rate test failures and take corrective actions during production and placement to ensure the water flow rate is less than 20 sec. Suspend production if two consecutive water flow rate tests fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

Complete all compaction operations before the pavement temperature drops below 180°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 180°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

- 4.9. **Acceptance Plan.** Sample and test the hot-mix on a lot and subplot basis.
- 4.9.3. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if the differences between Contractor and Engineer test results exceed the operational tolerances in accordance with Table 6 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within five working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.
- 4.9.4. **Production Acceptance.**
- 4.9.4.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 ton; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 2,000 ton. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 1,000 ton and 4,000 ton. The Engineer may change the lot size before the Contractor begins any lot.
- 4.9.4.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Close all lots within five working days unless otherwise allowed.
- 4.9.4.2. **Production Sampling.**
- 4.9.4.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as "Contractor," "Engineer," and "Referee." The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled "Engineer" and "Referee." The Engineer will maintain the custody of the samples labeled "Engineer" and "Referee" until the Department's testing is completed.
- 4.9.4.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.
- 4.9.4.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a "blind" sample instead of the random sample collected by the Contractor. Test either the "blind" or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the "blind" sample. The location of the Engineer's "blind" sample will not be disclosed to the Contractor. The Engineer's "blind" sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The

Engineer will use the Contractor's split sample for sublots not sampled by the Engineer.

- 4.9.4.2.2. **Informational Shear Bond Strength Testing.** Select one random subplot from Lot 2 or higher for shear bond strength testing. Obtain full depth cores in accordance with [Tex-249-F](#). Label the cores with the Control Section Job (CSJ), producer of the tack coat, mix type, shot rate, lot, and subplot number and provide to the Engineer. The Engineer will ship the cores to the Materials and Tests Division or district laboratory for shear bond strength testing. Results from these tests will not be used for specification compliance.
- 4.9.4.2.3. **Informational Hamburg and Overlay Testing.** Select one random subplot from Lot 2 or higher for Hamburg and Overlay testing during the first week of production. Obtain and provide the Engineer with approximately 90 lb. of mixture, sampled in accordance with [Tex-222-F](#), in sealed containers, boxes, or bags labeled with the Control-Section-Job (CSJ), mixture type, lot, and subplot number. The Engineer will ship the mixture to the Materials and Tests Division for Hamburg and Overlay testing. Results from these tests will not be used for specification compliance.
- 4.9.4.2.4. **Asphalt Binder Sampling.** Obtain a 1 qt. (1 gal. for A-R binder) sample of the asphalt binder witness by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, "Asphalts, Oils, and Emulsions" and will retain the other split sample for one year.

- 4.9.4.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 9. The Contractor has the option to verify the Engineer's test results on split samples provided by the Engineer. Determine compliance with operational tolerances in accordance with Table 6 for all sublots.

At any time during production, the Engineer may require the Contractor to verify the following based on quantities used:

- lime content (within  $\pm 0.1\%$  of JMF), when PG binder is specified;
- fiber content (within  $\pm 0.03\%$  of JMF), when PG binder is specified; and
- CRM content (within  $\pm 1.5\%$  of JMF), when A-R binder is specified.

Maintain the in-line measuring device when A-R binder is specified to verify the A-R binder viscosity between 2,500 and 4,000 centipoise at 350°F unless otherwise approved. Record A-R binder viscosity at least once per hour and provide the Engineer with a daily summary unless otherwise directed.

If the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results, the Engineer may allow alternate methods for determining the asphalt content and aggregate gradation. The Engineer will require the Contractor to provide evidence that results from [Tex-236-F](#), Part I are not reliable before permitting an alternate method unless otherwise allowed. Use the applicable test procedure as directed if an alternate test method is allowed.



**Table 9**  
**Production and Placement Testing Frequency**

Description	Test Method	Minimum Contractor Testing Frequency	Minimum Engineer Testing Frequency
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	1 per subplot	1 per 12 sublots
% passing the #200 sieve			
Laboratory-molded density, %	<a href="#">Tex-207-F</a> , Part VIII	1 per subplot	1 per lot
Asphalt binder content <sup>1</sup> , %	<a href="#">Tex-236-F</a> , Part I <sup>2</sup>	1 per subplot	1 per lot
Drain-down, %	<a href="#">Tex-235-F</a>	1 per subplot	1 per 12 sublots
Boil test <sup>3</sup>	<a href="#">Tex-530-C</a>	1 per project	1 per project
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	1 per project
Cantabro loss, %	<a href="#">Tex-245-F</a>	1 per project (sample only)	1 per project
Overlay test	<a href="#">Tex-248-F</a>	1 per project (sample only)	1 per project <sup>4,9</sup>
Hamburg Wheel test	<a href="#">Tex-242-F</a>	1 per project (sample only)	1 per project <sup>4,9</sup>
Water flow test	<a href="#">Tex-246-F</a>	1 per subplot	1 per project
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>5</sup>	1 per project
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	1 per project
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot, <sup>6,7,8</sup>	1 per project <sup>7</sup>

1. May be obtained from t mass flow meter readouts as determined by the Engineer.
2. Ensure the binder content determination excludes fibers.
3. When shown on the plans.
4. Testing performed by the Materials and Tests Division on sample obtained from Lot 2 or higher.
5. Obtain samples witness by the Engineer. The Engineer will retain these samples for one year.
6. To be performed in the presence of the Engineer when using the thermal camera, unless otherwise approved.
7. Not required when a thermal imaging system is used.
8. When using the thermal imaging system, the test report must include the temperature measurements taken in accordance with Tex-244-F.
9. Testing performed by the Materials and Tests Division for informational purposes only.

4.9.4.4. **Operational Tolerances.** Control the production process within the operational tolerances in accordance with Table 6. Suspend production and placement operations when production or placement test results exceed the tolerances in accordance with Table 6 unless otherwise allowed. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.4.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances in accordance with Table 6, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

4.9.5. **Placement Acceptance.**

4.9.5.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

4.9.5.2. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations such as driveways, mailbox turnouts, crossovers, gores, spot level-up

areas, and other similar areas. The specified layer thickness is based on the rate of 90 lb. per square yard for each inch of pavement unless another rate is shown on the plans. Miscellaneous areas are not subject to thermal profiles testing.

4.9.5.3. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

4.9.5.4. **Irregularities.** Identify and correct irregularities, including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.6. **Exempt Production.** When the anticipated daily production is less than 100 ton, all QC and QA sampling and testing are waived. The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is more than 100 ton but less than 250 ton;
- total production for the project is less than 2,500 ton;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements. All other specification requirements apply, and the Engineer will perform acceptance tests for production and placement in accordance with Table 9.

For exempt production:

- produce, haul, place, and compact the mixture as directed by the Engineer; and
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target density as tested by the Engineer.

4.9.7. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

5.1. **PFC Hot-Mix Asphalt.** Permeable friction course (PFC) hot-mix will be measured by the ton of composite mixture which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."

5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measured by the gallon applied.

The Engineer may allow the use of a metering device to determine asphalt volume used and application rate if the device is accurate to within 1.5% of the strapped volume.

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**6. PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3079.5.1., "PFC Hot-Mix Asphalt," will be paid for at the unit bid price for "Permeable friction course Hot Mix Asphalt" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3079.5.2., "Tack Coat," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

# Special Specification 3081

## Thin Overlay Mixtures



### 1. DESCRIPTION

Construct a thin surface course composed of a compacted mixture of aggregate and asphalt binder mixed hot in a mixing plant. Produce a thin overlay mixture (TOM) with a minimum lift thickness of 1/2 in. for a Type F mixture and 3/4 in. for a Type C mixture.

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements in accordance with Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse, intermediate, or fine aggregate. Do not use reclaimed asphalt pavement (RAP) or recycled asphalt shingles (RAS). Supply aggregates that meet the definitions in accordance with [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests in accordance with Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis in accordance with [Tex-200-F](#), Part II.

2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance;
- approved only when tested by the Engineer;
- once approved, do not add material to the stockpile unless otherwise approved; and
- allow 30 calendar days for the Engineer to sample, test, and report results.

2.1.1.1. **Blending Class A and Class B Aggregates.** Class B aggregate meeting all other requirements in blending Class A and B aggregates to meet a Class A requirement, ensure that at least 50% by weight, or volume if required, of all aggregates used in the mixture design retained on the No. 8 sieve comes from the Class A

aggregate source, unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Class B aggregate may be disallowed when shown on the plans.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 8 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 8 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC, unless otherwise directed. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est}$  = magnesium sulfate soundness loss  
 RSSM = Rated Source Soundness Magnesium  
 $MD_{act}$  = actual Micro-Deval percent loss  
 RSMD = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Intermediate Aggregate.** Aggregates not meeting the definition of coarse or fine aggregate will be defined as intermediate aggregate. Supply intermediate aggregates, when used that are free from organic impurities. The Engineer may test the intermediate aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Supply intermediate aggregate from coarse aggregate sources, when used that meet the requirements in accordance with Table 1 unless otherwise approved.

If 10% or more of the stockpile is retained on the No. 4 sieve, verify that it meets the requirements in accordance with Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

- 2.1.3. **Fine Aggregate.** Fine aggregates consist of manufactured sands and screenings. Natural sands are not allowed in any mixture. Fine aggregate stockpiles must meet the fine aggregate properties in accordance with Table 1 and the gradation requirements in accordance with Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Use fine aggregate from coarse aggregate sources that meet the requirements in accordance with Table 1 unless otherwise approved.

If 10% or more of the stockpile is retained on the No. 4 sieve, verify that it meets the requirements in accordance with Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

**Table 1**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement
<b>Coarse Aggregate</b>		
SAC	<a href="#">Tex-499-A</a>	A <sup>1</sup>
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.5
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note f
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	30
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	20
Crushed face count, <sup>3</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	95
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
<b>Fine Aggregate</b>		
Linear shrinkage, %, Max	<a href="#">Tex-107-E</a>	3
Sand equivalent, %, Min	<a href="#">Tex-203-F</a>	45

1. Surface Aggregate Classification of "A" is required unless otherwise shown on the plans.
2. Used to estimate the magnesium sulfate soundness loss in accordance with Section 3081.2.1.1.2., "Micro-Deval Abrasion."
3. Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70-100
#200	0-30

- 2.2. **Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, or hydrated lime. Mineral filler is allowed unless otherwise shown on the plans. Fly ash is not permitted unless otherwise shown on the plans. Use no more than 2% hydrated lime unless otherwise shown on the plans. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-107-E](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:
- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
  - does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
  - meets the gradation requirements in Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55-100

- 2.3. **Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.
- 2.4. **Asphalt Binder.** Furnish performance-graded (PG) asphalt binder with a high temperature grade of PG 76 unless otherwise shown in the plans and a low temperature grade as shown on the plans, in accordance with Section 300.2.10., "Performance-Graded Binders."
- 2.5. **Tack Coat.** Furnish CSS-1H, SS-1H, EBL, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's Tracking Resistant Asphalt Interlayer (TRAIL) MPL may be allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

- 2.6. **Additives.** Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.6.1. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Use no more than 1% hydrated lime when using crushed gravel. Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.6.2. **Compaction Aid.** Compaction Aid is defined as a department-approved chemical warm mix additive denoted as "chemical additive" on the Department's materials producer list (MPL) that is used to facilitate mixing and compaction of HMA.
- Compaction Aid is allowed for use on all projects. Compaction aid is required when shown on the plans or as required in Section 3081.4.7.1., "Weather Conditions."
- Warm mix foaming processes, denoted as "foaming process" on the Department-approved MPL, may be used to facilitate mixing and compaction of HMA; however warm mix foaming processes are not defined as a Compaction Aid.
- 2.7. **Recycled Materials.** Recycled materials are not allowed for use.

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### 3. EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement."

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### 4. CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

- 4.1. **Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 4. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.

**Table 4**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F</a> , Part I	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F</a> , Part II	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F</a> , Part I	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F</a> , Part II	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	Department
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Sand equivalent	<a href="#">Tex-203-F</a>	✓	✓	AGG101
Organic impurities	<a href="#">Tex-408-A</a>	✓	✓	AGG101
Methylene blue test	<a href="#">Tex-252-F</a>		✓	Department
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C</a> , Part III	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F</a> , Part II	✓	✓	2
Indirect tensile strength	<a href="#">Tex-226-F</a>	✓	✓	1A
Overlay test	<a href="#">Tex-248-F</a>		✓	Department
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
<b>4. Production Testing</b>				
Selecting production random numbers	<a href="#">Tex-225-F</a> , Part I		✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	✓	✓	1A
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F</a> , Part II	✓	✓	1A/AGG101
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Overlay test	<a href="#">Tex-248-F</a>	✓	✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	Department
<b>5. Placement Testing</b>				
Establish rolling pattern	<a href="#">Tex-207-F</a> , Part IV	✓		1B
In-place density (nuclear method)	<a href="#">Tex-207-F</a> , Part III	✓		1B
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Water flow test	<a href="#">Tex-246-F</a>	✓	✓	1B

- Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
- Refer to Section 3081.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.
- Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.
- When shown on the plans.



4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement QC/QA, control charts, and thermal profiles. Obtain the current version of the templates at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is as given in Table 5 unless otherwise approved. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Section 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 5**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b><i>Production Quality Control</i></b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>2</sup>			
Moisture content <sup>3</sup>			
Boil test <sup>5</sup>			
<b><i>Production Quality Assurance</i></b>			
Gradation <sup>3</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>3</sup>			
Laboratory-molded density <sup>1</sup>			
Hamburg Wheel test <sup>4</sup>			
Overlay test <sup>4</sup>			
Boil test <sup>5</sup>			
Binder tests <sup>4</sup>			
<b><i>Placement Quality Control</i></b>			
Thermal profile <sup>1</sup>	Contractor	Engineer	1 working day of completion of the lot
Water flow <sup>1</sup>			
<b><i>Placement Quality Assurance</i></b>			
Thermal profile <sup>3</sup>	Engineer	Contractor	1 working day of completion of the lot
Aging ratio <sup>4</sup>			
Water flow			

1. These tests are required on every subplot.
2. Optional test. When performed on split samples, report the results as soon as they become available.
3. To be performed at the frequency specified and in accordance with Table 13 or as shown on the plans.
4. To be reported as soon as the results become available.
5. When shown on the plans.

Use the procedures described in [Tex-233-E](#) to plot the results of all quality control (QC) and quality assurance (QA) testing. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

4.3.

**Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting. Receive approval of the QCP before pre-paving meeting. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and
  - current copies of certification documents for individuals performing specified QC functions.
- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:
- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
  - aggregate stockpiling procedures to avoid contamination and segregation;
  - frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
  - procedure for monitoring the quality and variability of asphalt binder.
- 4.3.3. **Production.** For production, include:
- loader operation procedures to avoid contamination in cold bins;
  - procedures for calibrating and controlling cold feeds;
  - procedures to eliminate debris or oversized material;
  - procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, lime, liquid antistripping, compaction aid, foaming process);
  - procedures for reporting job control test results; and
  - procedures to avoid segregation and drain-down in the silo.
- 4.3.4. **Loading and Transporting.** For loading and transporting, include:
- type and application method for release agents; and
  - truck loading procedures to avoid segregation.
- 4.3.5. **Placement and Compaction.** For placement and compaction, include:
- proposed agenda for mandatory pre-paving meeting, including date and location;
  - proposed paving plan (e.g., production rate, paving widths, joint offsets, and lift thicknesses);
  - type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
  - procedures for the transfer of mixture into the paver, while avoiding physical and thermal segregation and preventing material spillage;
  - process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
  - paver operations (e.g., speed, operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
  - procedures to construct quality longitudinal and transverse joints.
- 4.4. **Mixture Design.**
- 4.4.1. **Design Requirements.** The Contractor may design the mixture using a Texas Gyrotory Compactor (TGC) or a Superpave Gyrotory Compactor (SGC) unless otherwise shown on the plans. Use the typical weight design example given in [Tex-204-F](#), Part I, when using a TGC. Use the Superpave mixture design procedure provided in [Tex-204-F](#), Part IV, when using a SGC. Design the mixture to meet the requirements in accordance with Tables 1, 2, 3, 6, and 7.
- 4.4.1.1. **Target Laboratory-Molded Density When the TGC Is Used.** Design the mixture at a 97.5% target laboratory-molded density or in accordance with Table 7.

## 4.4.1.2.

**Design Number of Gyration (N<sub>design</sub>) When the SGC Is Used.** Design the mixture at 50 gyrations (N<sub>design</sub>). Use a target laboratory-molded density of 96.0% to design the mixture; however, adjustments can be made to the N<sub>design</sub> value as noted in Table 7. The N<sub>design</sub> level may be reduced to no less than 35 gyrations at the Contractor's discretion.

Use an approved laboratory from the Department's MPL to perform the Hamburg Wheel test, and the Department will perform the Overlay test and provide results with the mixture design, or provide the laboratory mixture and request that the Department perform the Hamburg Wheel test and Overlay test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test and Overlay test results on the laboratory mixture design.

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF 1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- the target laboratory-molded density (or N<sub>design</sub> level when using the SGC);
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 6**  
**Master Gradation Limits (% Passing by Weight or Volume) and Volumetric Requirements**

Sieve Size	Coarse (TOM-C)	Fine (TOM-F)
1/2"	100.0 <sup>1</sup>	100.0 <sup>1</sup>
3/8"	95.0–100.0	98.0–100.0
#4	40.0–60.0	70.0–95.0
#8	17.0–27.0	40.0–65.0
#16	5.0–27.0	20.0–45.0
#30	5.0–27.0	10.0–35.0
#50	5.0–27.0	10.0–20.0
#200	5.0–9.0	2.0–12.0
<b>Asphalt Binder Content,<sup>2</sup> % Min</b>		
-	6.0	6.5
<b>Design VMA,<sup>3</sup> % Min</b>		
-	16.0	16.5
<b>Production (Plant-Produced) VMA,<sup>3</sup> % Min</b>		
-	15.5	16.0

1. Defined as maximum sieve size. No tolerance allowed.
2. Unless otherwise shown on the plans or approved by the Engineer.
3. Voids in Mineral Aggregates (VMA).

**Table 7**  
**Mixture Design Properties**

Mixture Property	Test Method	Requirement
Target laboratory-molded density, % (TGC)	<a href="#">Tex-207-F</a>	97.5 <sup>1</sup>
Design gyrations (N <sub>design</sub> for SGC)	<a href="#">Tex-241-F</a>	50 <sup>2</sup>
Hamburg Wheel test, passes at 12.5 mm rut depth for PG 76 mixtures	<a href="#">Tex-242-F</a>	20,000 Min
Overlay test, Critical Fracture Energy, lb.-in/sq. in	<a href="#">Tex-248-F</a>	1.5 Min
Overlay test, Crack Progression Rate	<a href="#">Tex-248-F</a>	0.40 Max
Drain-down, %	<a href="#">Tex-235-F</a>	0.20 Max

1. Unless otherwise shown on the plans or approved by the Engineer. Laboratory-molded density requirement using the TGC may be waived when approved by the Engineer.

2. May be adjusted within the range of 35–100 gyrations when shown on the plans or specification or when mutually agreed between the Engineer and Contractor. Laboratory-molded density requirement using the SGC may be waived when approved by the Engineer.

- 4.4.1 **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, target laboratory-molded density (or Ndesign level), and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When a compaction aid or foaming process is used, JMF1 may be designed and submitted to the Engineer without including the compaction aid or foaming process. When a compaction aid or foaming process is used, document the compaction aid or foaming process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.
- 4.4.2.1. **Contractor's Responsibilities.**
- 4.4.2.1.1. **Providing Gyrotory Compactor.** Use a TGC calibrated in accordance with [Tex-914-K](#) when electing or required to design the mixture in accordance with [Tex-204-E](#), Part I, for molding production samples. Furnish an SGC calibrated in accordance with [Tex-241-F](#) when electing or required to design the mixture in accordance with [Tex-204-F](#), Part IV, for molding production samples. Locate the SGC if used, at the Engineer's field laboratory or make the SGC available to the Engineer for use in molding production samples.
- 4.4.2.1.2. **Gyrotory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyrotory compactor correlation when the Engineer uses a different gyrotory compactor. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide approximately 25 lb. of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture, and request that the Department perform the test. Provide approximately 60 lb. of the design mixture to perform the Overlay test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for QA testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used and the correction factors are not more than 12 mo. old, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** When shown on the plans, perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the compaction aid or foaming process, if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in accordance with Table 8. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.

- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into three equal portions in accordance with [Tex-222-F](#). Label these portions as “Contractor,” “Engineer,” and “Referee.” Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in accordance with Table 8. Ensure the trial batch mixture is also in compliance with the requirements in accordance with Tables 6 and 7. Use a Department-approved laboratory listed on the MPL to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. Provide approximately 25 lb. of the trial batch mixture if opting to have the Department perform the Hamburg Wheel test, and request that the Department perform the test. Obtain and provide approximately 60 lb. of trial batch mixture in sealed containers, boxes, or bags labeled with the CSJ, mixture type, lot, and subplot number in accordance with [Tex-222-F](#) for the Overlay test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test and Overlay test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results after the Engineer grants full approval of JMF 1 based on results from the trial batch, determine the optimum mixture proportions, and submit as JMF 2. Adjust the asphalt binder content or gradation to achieve the specified target laboratory-molded density. The mixture produced using JMF2 must meet the requirements in accordance with Tables 6 and 7. Verify that JMF2 meets the operation tolerances of JMF 1 in accordance with Table 8.
- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 after receiving approval for JMF2 and a passing result from the Department’s or a Department-approved laboratory’s Hamburg Wheel test and the Department’s Overlay test on the trial batch. If desired, proceed to Lot 1 production, once JMF2 is approved, at the Contractor’s risk without receiving the results from either the Department’s Hamburg Wheel test or Overlay test on the trial batch.
- Notify the Engineer if electing to proceed without Hamburg Wheel test and Overlay test results from the trial batch. Note that the Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test or Overlay test to be removed and replaced at the Contractor’s expense.
- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.
- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:
- be provided to the Engineer in writing before the start of a new lot;
  - be numbered in sequence to the previous JMF;
  - meet the master gradation limits in accordance with Table 6; and
  - be within the operational tolerances of JMF2 in accordance with Table 8.
- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3081.4.9.1., “Referee Testing,” to resolve testing differences with the Engineer.

**Table 8**  
**Operational Tolerances**

Description	Test Method	Allowable Difference between JMF2 and JMF1 Target <sup>1</sup>	Allowable Difference from Current JMF and JMF2 <sup>2</sup>	Allowable Difference between Contractor and Engineer <sup>3</sup>
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	Must be Within Master Grading Limits in accordance with Table 6	±3.0 <sup>4,5</sup>	±5.0
Individual % retained for sieves smaller than #8 and larger than #200			±3.0 <sup>4,5</sup>	±3.0
% passing the #200 sieve			±2.0 <sup>4,5</sup>	±1.6
Asphalt binder content, % <sup>6</sup>	<a href="#">Tex-236-F</a>	±0.3	±0.3 <sup>5</sup>	±0.3
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	±1.0	±1.0	±1.0
Laboratory-molded bulk specific gravity		N/A	N/A	±0.020
VMA, % Min	<a href="#">Tex-204-F</a>	Note 7	Note 7	N/A
Theoretical Max specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	N/A	±0.020
Drain-down, %	<a href="#">Tex-235-F</a>	Note 8	Note 8	N/A

- JMF1 is the approved laboratory mixture design used for producing the trial batch. JMF2 is the approved mixture design developed from the trial batch used to produce Lot 1.
- Current JMF is JMF3 or higher. JMF3 is the approved mix design used to produce Lot 2.
- Contractor may request referee testing only when values exceed these tolerances.
- When within these tolerances, mixture production gradations may fall outside the master grading limits; however, the % passing the #200 will be considered out of tolerance when outside the master grading limits.
- Only applies to mixture produced for Lot 1 and higher.
- Binder content is not allowed to be outside the limits in accordance with Table 6. May be obtained from asphalt meter readouts as determined by the Engineer.
- Verify that Table 6 requirements are met.
- Verify that Table 7 requirements are met.

#### 4.4.2.2. **Engineer's Responsibilities.**

4.4.2.2.1. **Gyrotory Compactor.** For mixtures designed in accordance with [Tex-204-F](#), Part I, the Engineer will use a Department TGC, calibrated in accordance with [Tex-914-K](#), to mold samples for trial batch and production testing.

For mixtures designed in accordance with [Tex-204-F](#), Part IV, the Engineer will use a Department SGC, calibrated in accordance with [Tex-241-F](#), to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location.

4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt

- the Contractor's mix design report (JMF1);
- the Department-provided Overlay test results;
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, and additives; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test and department provided Overlay test results with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with

Section 3081.2.1.1., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

The Contractor is authorized to produce a trial batch after the Engineer grants conditional approval of JMF1.

4.4.2.2.3. **Hamburg Wheel and Overlay Testing of JMF1.** If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the laboratory mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 7. The Engineer will perform the Overlay test and mold samples in accordance with [Tex-248-F](#) to verify compliance with the Overlay test requirements in Table 7. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel and Overlay test results on the laboratory mixture design.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old.

4.4.2.2.5. **Testing the Trial Batch.** Within one full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in accordance with Table 8. The Engineer will mold samples in accordance with [Tex-242-F](#) if the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture to verify compliance with Hamburg Wheel test requirements in Table 7. The Engineer will mold samples for the Overlay test in accordance with [Tex-248-F](#) to verify compliance with the Overlay test requirement in Table 7.

The Engineer will have the option to perform [Tex-530-C](#) on the trial batch when shown on the plans. These results may be retained and used for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in accordance with Tables 6 and 7. The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in accordance with Table 6, 7, and 8.

4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2) as soon as a passing result is achieved from the Department's or a Department-approved laboratory's Hamburg Wheel test and the Department's Overlay test on the trial batch. The Contractor may proceed at its own risk with Lot 1 production without the results from the Hamburg Wheel test or Overlay test on the trial batch.

If the Department's or Department-approved laboratory's sample from the trial batch fails the Hamburg Wheel test or Overlay test, the Engineer will suspend production until further Hamburg Wheel tests or Overlay tests meet the specified values. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test or Overlay test to be removed and replaced at the Contractor's expense.

4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the master grading limits and asphalt binder content shown in Table 6 and are within the operational tolerances of JMF2 shown in accordance with Table 8.

4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification.

4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.

4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures in accordance with Table 9. The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures listed in Table 9.

**Table 9  
Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Max Production Temperature
PG 76	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck and perform the test promptly.

4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary. Do not use diesel or any release agent not shown on the Department's MPL.

Use equipment for hauling as defined in Section 3081.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour, or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Place mixture so that longitudinal joints on the surface course coincide within 6-in. of lane lines and are not placed in the wheel path, or as directed, and offset longitudinal joints of successive courses of hot-mix by at least 6-in. Ensure that all finished surfaces will drain properly. Place the mixture at the rate or thickness shown on the plans. The Engineer will use the guidelines in Table 10 to determine the compacted lift thickness. The thickness determined is based on the rate of 110–115 lb. per square inch. for each inch of pavement unless otherwise shown on the plans.



**Table 10**  
**Compacted Lift Thickness**

Mixture Type	Compacted Lift Thickness <sup>1</sup>	
	Min (in.)	Max (in.)
TOM-C	0.75	1.25
TOM-F	0.5	1.00

1. Compacted target lift thickness will be specified on the plans.

4.7.1. **Weather Conditions.**

4.7.1.1. **When Using a Thermal Imaging System.** The Contractor may pave any time the roadway is dry and the roadway surface temperature is at least 60°F unless otherwise approved or as shown on the plans; however, the Engineer may restrict the Contractor from paving surface mixtures if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3081.4.7.3.1.2., "Thermal Imaging System."

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling

4.7.1.2. **When Not Using a Thermal Imaging System.** When using a thermal camera instead the thermal imaging system, place mixture when the roadway surface temperature is at or above 70°F unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the air temperature is 70°F and falling.

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

4.7.2. **Tack Coat.**

4.7.2.1. **Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area, unless otherwise specified on the plans. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply the tack coat to all surfaces that will come in contact with the subsequent HMA placement unless otherwise directed. Apply adequate overlap of the tack coat in the longitudinal direction during placement of the mat to ensure bond of adjacent mats, unless otherwise directed. Allow adequate time for emulsion to break completely before placing any material. Prevent splattering of tack coat when placed adjacent to curb, gutter, and structures. The Engineer may suspend paving operations until there is adequate coverage. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

4.7.2.2. **Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

4.7.3. **Lay-Down Operations.** Use the placement temperatures in accordance with Table 11 to establish the minimum placement temperature of mixture delivered to the paving operation.

**Table 11**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Min Placement Temperature (Before Entering Paving Operation) <sup>2,3</sup>
PG 76	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The mixture temperature must be measured using a hand-held thermal camera or infrared thermometer nearest to the point of entry of the paving operation.
3. Minimum placement temperatures may be reduced 10°F if using a compaction aid.

4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#).

4.7.3.1.1. **Thermal Segregation.**

4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F.

4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F.

4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the report described in accordance with [Tex-244-F](#) to the Engineer daily. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system.

The Engineer may suspend subsequent paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe or moderate thermal segregation.

Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or as requested by the Engineer.

4.7.3.1.3. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Evaluate areas with moderate thermal segregation by performing water flow testing in accordance with [Tex-246-F](#) and verify the water flow is greater than 120 sec. Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the electronic files generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3081.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project, unless the thermal imaging system is used. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section. Evaluate areas with severe thermal segregation by performing water flow testing in accordance with [Tex-246-F](#) and verify the water flow is greater than 120 sec. Remove and replace the material in any areas that have both severe thermal segregation and a failing result for water flow test unless otherwise directed.

4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.

4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture. End dump trucks are only allowed when used in conjunction with an MTD with remixing capability unless otherwise allowed.

4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3081.4.9.3.1.1., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.

4.8.

**Compaction.** Roll the freshly placed mixture with as many steel-wheeled rollers as necessary to ensure adequate compaction without excessive breakage of the aggregate and to provide a smooth surface and uniform texture. Operate each roller in static mode for TOM-F mixtures only. Do not use pneumatic-tire rollers. Use the control strip method given in accordance with [Tex-207-F](#), Part IV, to establish the rolling pattern. Thoroughly moisten the roller drums with a soap and water solution to prevent adhesion. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

Use tamps to thoroughly compact the edges of the pavement along curbs, headers, and similar structures and in locations that will not allow thorough compaction with rollers. The Engineer may require rolling with a trench roller on widened areas, in trenches, and in other limited areas.

Use [Tex-246-F](#) to measure water flow to verify the mixture is adequately compacted. Measure the water flow once per subplot at locations directed by the Engineer. Take additional water flow measurements when the minimum temperature of the uncompacted mat is below the temperature requirements in accordance with Table 12.

**Table 12**  
**Minimum Uncompacted Mat Temperature Requiring Additional Water Flow Measurements**

High-Temperature Binder Grade <sup>1</sup>	Min Temperature of the Uncompacted Mat Allowed Before Initial Break Down Rolling <sup>2,3</sup>
PG 76	<270°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The surface of the uncompacted mat must be measured using a hand-held thermometer or infrared thermometer.
3. Minimum uncompacted mat temperature requiring a water flow measurement may be reduced 10°F if using a compaction aid.

Use [Tex-246-F](#) to measure water flow to verify the mixture is adequately compacted at confined longitudinal joints as directed by the Engineer.

The water flow rate should be greater than 120 sec. Investigate the cause of the water flow rate test failures and take corrective actions during production and placement to ensure the water flow rate is greater than 120 sec. Suspend production if two consecutive water flow rate tests fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

Complete all compaction operations before the pavement temperature drops below 180°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 180°F when approved.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

4.9.

**Acceptance Plan.** Sample and test the hot-mix asphalt on a lot and subplot basis.

4.9.1.

**Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if the differences between Contractor and Engineer test results exceed the maximum allowable difference in accordance with Table 8 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within five working days after receiving test results from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.

The Materials and Tests Division will determine the laboratory-molded density based on the molded specific gravity and the maximum theoretical specific gravity of the referee sample.

4.9.2. **Production Acceptance.**

4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 500 ton; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 2,000 ton. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 500 ton and 2,000 ton. The Engineer may change the lot size before the Contractor begins any lot.

4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Close all lots within five working days unless otherwise allowed.

4.9.2.2. **Production Sampling.**

4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as “Contractor,” “Engineer,” and “Referee.” The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled “Engineer” and “Referee.” The Engineer will maintain the custody of the samples labeled “Engineer” and “Referee” until the Department’s testing is completed.

4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.

4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a “blind” sample instead of the random sample collected by the Contractor. Test either the “blind” or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the “blind” sample. The location of the Engineer’s “blind” sample will not be disclosed to the Contractor. The Engineer’s “blind” sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor’s split sample for sublots not sampled by the Engineer.

4.9.2.2.2. **Informational Methylene Blue Testing.** During the project and at random, obtain and provide the Engineer with approximately 50 lb. of each fine aggregate and approximately 20 lb. of all mineral fillers used to produce the mixture. Label the samples with the Control Section Job (CSJ), mixture type, and approximate lot and subplot number corresponding to when the sample was taken. The Engineer will ship the samples to the Materials and Tests Division for Methylene Blue testing in accordance with [Tex-252-F](#). Results from these tests will not be used for specification compliance.

4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. sample of the asphalt binder witnessed by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility location, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, “Asphalts, Oils, and Emulsions,” and will retain the other split sample for 1 yr.

4.9.2.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 13. The Contractor has the option to verify the Engineer’s test results on split samples provided by the Engineer. Determine compliance with operational tolerances listed in accordance with Table 8 for all sublots. Take immediate corrective action if the Engineer’s laboratory-molded density on any subplot is less than 95.0% or greater than 98.0% when using the SGC or less than 96.5% or greater than 98.5% when using the TGC, to bring

the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

The Engineer may allow alternate methods for determining the asphalt binder content and aggregate gradation if the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results. Provide evidence that results from [Tex-236-F](#), Part I are not reliable before requesting permission to use an alternate method unless otherwise directed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 13**  
**Production and Placement Testing Frequency**

Description	Test Method	Min Contractor Testing	Min Engineer Testing
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	1 per subplot	1 per 12 sublots <sup>1</sup>
Individual % retained for sieves smaller than #8 and larger than #200			
% passing the #200 sieve			
Laboratory-molded density	<a href="#">Tex-207-F</a>	N/A	1 per subplot <sup>1</sup>
Laboratory-molded bulk specific gravity			
VMA			
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a> , Part II	N/A	1 per subplot <sup>1</sup>
Asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	1 per subplot	1 per lot <sup>1</sup>
Overlay test <sup>3</sup>	<a href="#">Tex-248-F</a>	N/A	1 per project
Hamburg Wheel test	<a href="#">Tex-242-F</a>	N/A	1 per project
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot <sup>4,5,6</sup>	1 per project <sup>5</sup>
Asphalt binder sampling and testing	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>7</sup>	1 per project
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	1 per project
Boil test <sup>8</sup>	<a href="#">Tex-530-C</a>	1 per subplot <sup>9</sup>	1 per project
Water flow	<a href="#">Tex-246-F</a>		
Methylene blue test <sup>10</sup>	<a href="#">Tex-252-F</a>	1 per project (sample only)	1 per project

1. For production defined in Section 3081.4.9.4., "Exempt Production," the Engineer will test one per day if 100 ton or more are produced. For Exempt Production, no testing is required with less than 100 ton are produced.
2. May be obtained from asphalt flow meter readout as determined by the Engineer.
3. Testing performed by the Materials and Tests Division on sample obtained from Lot 2 or higher.
4. To be performed in the presence of the Engineer when a thermal camera is used, unless otherwise approved.
5. Not required when a thermal imaging system is used.
6. When using the thermal imaging system, the test report must include the temperature measurements taken in accordance with [Tex-244-F](#).
7. Obtain samples witnessed by the Engineer. The Engineer will retain these samples for 1 yr.
8. When shown on the plans.
9. To be performed in the presence of the Engineer, unless otherwise directed.
10. Testing performed by the Materials and Tests Division for informational purposes only.

4.9.2.4. **Operational Tolerances.** Control the production process within the operational tolerances in accordance with Table 8. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.2.4.1. **Gradation.** Suspend operation and take corrective action if any aggregate is retained on the maximum sieve size in accordance with Table 6. A subplot is defined as out of tolerance if either the Engineer's or the Contractor's test results are out of operational tolerance. Suspend production when test results for gradation exceed the operational tolerances in accordance with Table 8 for three consecutive sublots on the same sieve or four consecutive sublots on any sieve unless otherwise directed. The consecutive sublots may be from more than one lot.

4.9.2.4.2. **Asphalt Binder Content.** A subplot is defined as out of operational tolerance if either the Engineer's or the Contractor's test results exceed the values in accordance with Table 8. Suspend production when two or

more sublots within a lot are out of operational tolerance or below the minimum asphalt binder content specified in accordance with Table 6 unless otherwise directed. Suspend production and shipment of mixture if the Engineer's or Contractor's asphalt binder content deviates from the current JMF by more than 0.5% for any subplot or is less than the minimum asphalt content allowed in accordance with Table 6.

- 4.9.2.4.3. **Voids in Mineral Aggregates (VMA).** The Engineer will determine the VMA for every subplot. For sublots when the Engineer does not determine asphalt binder content, the Engineer will use the asphalt binder content results from QC testing performed by the Contractor to determine VMA.

Take immediate corrective action if the VMA value for any subplot is less than the minimum VMA requirement for production in accordance with Table 6. Suspend production and shipment of the mixture if the Engineer's VMA results on two consecutive sublots are below the minimum VMA requirement for production in accordance with Table 6.

Suspend production and shipment of the mixture if the Engineer's VMA result is more than 0.5% below the minimum VMA requirement for production in accordance with Table 6. In addition to suspending production, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment.

- 4.9.2.4.4. **Hamburg Wheel.** The Engineer may perform a Hamburg Wheel on plant produced mixture at any time during production. In addition to testing production samples, the Engineer may obtain cores and perform the Hamburg Wheel test on any area of the roadway where rutting is observed. Suspend production until further Hamburg Wheel meet the specified values when the production or core samples fail to meet the Hamburg Wheel criteria in accordance with Table 7. Core samples, if taken, will be obtained from the center of the finished mat or other areas excluding the vehicle wheel paths. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel to be removed and replaced at the Contractor's expense.

If the Department's or Department-approved laboratory's Hamburg Wheel test results in a "remove and replace" condition, the Contractor may request that the Department confirm the results by re-testing the failing material. The Materials and Tests Division will perform the Hamburg Wheel and determine the final disposition of the material in question based on the Department's test results.

- 4.9.2.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances in accordance with Table 8, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

- 4.9.3. **Placement Acceptance.**

- 4.9.3.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

- 4.9.3.1.1. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

- 4.9.3.1.2. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. The Engineer may require the Contractor to remove and replace (at the

Contractor's expense) areas of the pavement that contain irregularities if the Engineer determines that the irregularity will adversely affect pavement performance. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

The Engineer may require the Contractor to immediately suspend operations if irregularities are detected or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.4. **Exempt Production.** When the anticipated daily production is less than 100 ton, all QC and QA sampling and testing are waived. The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is more than 100 ton but less than 250 ton;
- total production for the project is less than 2,500 ton;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements. All other specification requirements apply, and the Engineer will perform acceptance tests for production and placement in accordance with Table 13.

For exempt production:

- produce, haul, place, and compact the mixture as directed by the Engineer; and
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target density as tested by the Engineer.

4.9.5. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

## 5. MEASUREMENT

5.1. **TOM Hot-Mix Asphalt.** TOM hot-mix will be measured by the ton of composite mixture, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."

5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measured by the gallon applied.

The Engineer may allow the use of a metering device to determine asphalt volume used and application rate if the device is accurate within 1.5% of the strapped volume.

## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3081.5.1., "TOM Hot-Mix Asphalt," will be paid for at the unit bid price for "Thin Overlay Mixture" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, removing pavement marking and markers, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3081.5.2., "Tack Coat," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."



# Special Specification 3082

## Thin Bonded Friction Courses



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) surface course composed of a warm spray-applied polymer modified emulsion membrane followed immediately with a compacted permeable mixture of aggregate, asphalt binder, and additives mixed hot in a mixing plant.

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements shown in Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse or fine aggregate. Do not use intermediate or fine aggregate in PFC mixtures. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests listed in accordance with Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance;
- approved only when tested by the Engineer;
- once approved, do not add material to the stockpile unless otherwise approved; and
- allow 30 calendar days for the Engineer to sample, test, and report results.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes, unless otherwise shown on the plans. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program* (AQMP) ([Tex-499-A](#)) is listed in the BRSQC.

- 2.1.1.1. **Blending Class A and Class B Aggregates.** To prevent crushing of the Class B aggregate when blending, Class B aggregate may be blended with a Class A aggregate to meet requirements for Class A materials if the Department's BRSQC rated source soundness magnesium (RSSM) rating for the Class B aggregate is less than the Class A aggregate or if the RSSM rating for the Class B aggregate is less than or equal to 10%. Use the rated values for hot mix asphaltic concrete (HMAC) published in the BRSQC. When blending Class A and B aggregates to meet a Class A requirement, ensure that at least 50% by weight, or volume if required, of all the aggregates used in the mixture design retained on the No. 4 sieve comes from the Class A aggregate source, unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Class B aggregate may be disallowed when shown on the plans.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC, unless otherwise directed. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$RSSM$  = Rated Source Soundness Magnesium

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Fine Aggregate.** Fine aggregates consist of manufactured sands and screenings. Fine aggregate stockpiles must meet the fine aggregate properties in accordance with Table 1 and the gradation requirements in accordance with Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Do not use field sand or other uncrushed fine aggregate. Use fine aggregate from coarse aggregate sources that meet the requirements shown in accordance with Table 1 unless otherwise approved.

**Table 1**  
**Coarse Aggregate Quality Requirements**

Property	Test Method	Requirement
SAC	<a href="#">Tex-499-A (AQMP)</a>	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-E, Part I</a>	1.0
Decantation, %, Max	<a href="#">Tex-217-E, Part II</a>	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note <sup>1</sup>
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	30
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	20
Crushed face count <sup>2</sup> , %, Min	<a href="#">Tex-460-A, Part I</a>	95
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
Fine Aggregate Properties		
Sand Equivalent, %, Min	<a href="#">Tex-203-F</a>	45
Methylene Blue, mg/g, Max	<a href="#">Tex-252-F</a>	10.0

- Used to estimate the magnesium sulfate soundness loss in accordance with section 3082.2.1.1.2., "Micro-Deval Abrasion."
- Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70–100
#200	0–30

- 2.2. **Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, or hydrated lime. Fly ash is not allowed unless otherwise shown on the plans. Mineral filler is allowed unless otherwise shown on the plans. Use no more than 2% hydrated lime, unless otherwise shown on the plans. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-252-F](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:
- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
  - does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
  - meets the gradation requirements in accordance with Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55–100

- 2.3. **Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.
- 2.4. **Asphalt Binder.** Furnish the type and grade of binder specified on the plans that meets the requirements of Item 300, "Asphalts, Oils, and Emulsions."
- 2.4.1. **Performance-Graded (PG) Binder.** Provide an asphalt binder with a high-temperature grade of PG 76 and low-temperature grade as shown on the plans in accordance with Section 300.2.10., "Performance-Graded Binders," when PG binder is specified.
- 2.4.2. **Asphalt-Rubber (A-R) Binder.** Provide A-R binder that meets the Type I or Type II requirements of Section 300.2.9., "Asphalt-Rubber Binders," when A-R is specified unless otherwise shown on the plans. Use at least 15.0% by weight of Crumb Rubber Modifier (CRM) that meets the Grade B or Grade C requirements of Section 300.2.7., "Crumb Rubber Modifier," unless otherwise shown on the plans. Provide the Engineer the A-R binder blend design with the mix design (JMF1) submittal. Provide the Engineer with documentation such as the bill of lading showing the quantity of CRM used in the project unless otherwise directed.
- 2.5. **Membrane.** Provide a smooth and homogeneous polymer modified emulsion meeting the requirements in accordance with Table 4.

**Table 4**  
**Polymer Modified Emulsion Requirements**

Test on Emulsion	Test Method	Min	Max
Viscosity @ 77°F, SSF	T 72	20	100
Storage Stability, <sup>1</sup> %	T 59		1
Demulsibility (for anionic emulsions), 35 mL of 0.02 N CaCl <sub>2</sub> , %	T 59	55	
Demulsibility (for cationic emulsions), 35 mL 0.8% Sodium dioctyl sulfosuccinate, %	T 59	55	
Sieve Test, <sup>2</sup> %	T 59		0.05
Distillation Test: <sup>3</sup>			
Residue by distillation, % by wt.	T 59	63	0.5
Oil portion of distillate, % by vol.			
Test on Residue from Distillation	Test Method	Min	Max
Elastic Recovery @ 50°F, 50 mm/min., %	<a href="#">Tex-539-C</a>	60	
Penetration @ 77°F, 100 g, 5 sec, 0.1 mm	T 49	100	150

1. After standing undisturbed for 24 hr., the surface must be smooth, must not exhibit a white or milky colored substance, and must be a homogeneous color throughout.
2. May be required by the Engineer only when the emulsion cannot be easily applied in the field.
3. The temperature on the lower thermometer should be brought slowly to 350°F ±10°F and maintained at this temperature for 20 min. The total distillation should be complete in 60 ±5 min. from the first application of heat.

2.6. **Additives.** Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.

2.6.1. **Fibers.** Provide cellulose or mineral fibers when PG binder is specified. Do not use fibers when A-R binder is specified. Submit written certification to the Engineer that the fibers proposed for use meet the requirements of DMS-9204, "Fiber Additives for Bituminous Mixtures." Fibers may be pre-blended into the binder at the asphalt supply terminal unless otherwise shown on the plans.

2.6.2. **Lime Mineral Filler.** Add lime as mineral filler at a rate of 1.0% by weight of the total dry aggregate in accordance with Item 301, "Asphalt Antistripping Agents," unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel test results. Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.

2.6.3. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum. Lime added as mineral filler will count towards the total quantity of lime specified when the plans require lime to be added as an antistripping agent.

2.6.4. **Compaction Aid.** Compaction Aid is defined as a Department-approved chemical warm mix additive denoted as "chemical additive" on the Department's material producer list (MPL) that is used to facilitate mixing and compaction of HMA.

Compaction aid is allowed for use on all projects. Compaction aid is required when shown on the plans or as required in Section 3082.4.7.1., "Weather Conditions."

Warm mix foaming processes, denoted as "foaming process" on the Department-approved MPL, may be used to facilitate mixing and compaction of HMA; however warm mix processes are not defined as a Compaction Aid.

2.7. **Recycled Materials.** Recycled materials are not allowed for use.

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### 3. EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement." When A-R binder is specified, equip the hot-mix plant with an in-line viscosity-measuring device located between the blending unit and the mixing drum. Provide a means to calibrate the asphalt mass flow meter on-site when a meter is used.

3.1. **Placement Equipment.** Provide a paver that meets all the requirements listed below.

3.1.1. **Paver.** Furnish a paver that will spray the membrane, apply the PFC mixture, and level the surface of the mat in a single pass. Configure the paver so that the mixture is placed no more than 5 sec. after the membrane is applied. Ensure the paver does not support the weight of any portion of hauling equipment other than the connection. Provide loading equipment that does not transmit vibrations or other motions to the paver that adversely affects the finished pavement quality. Equip the paver with an automatic dual longitudinal-grade control system and an automatic transverse-grade control system.

3.1.1.1. **Tractor Unit.** Supply a tractor unit that can push or propel vehicles, dumping directly into the finishing machine to obtain the desired lines and grades to eliminate any hand finishing. Equip the unit with a hitch to maintain contact between the hauling equipment's rear wheels and the finishing machine's pusher rollers while mixture is unloaded.

3.1.1.2. **Membrane Storage Tank and Distribution System.** Equip the paver with an insulated storage tank with a minimum capacity of 900 gal. Provide a metered mechanical pressure sprayer on the paver to apply a uniform membrane at the specified rate. Locate the spray bar on the paver so that the membrane is applied immediately in front of the screed unit. Provide a read-out device on the paver to monitor the membrane application rate.

Furnish a volumetric calibration and strap stick for the tank in accordance with [Tex-922-K](#), Part I, unless otherwise directed. Calibrate the tank within the previous 5 yr. of the date first used on the project. The Engineer may verify calibration accuracy in accordance with [Tex-922-K](#), Part II.

3.1.1.3. **Screed.** Provide a variable width vibratory screed that meets Item 320, "Equipment for Asphalt Concrete Pavement."

3.1.2. **Material Transfer Device (MTD).** Provide the specified type of MTD when shown on the plans. Ensure MTDs provide a continuous, uniform mixture flow to the asphalt paver.

3.1.3. **Rollers.** Provide steel-wheel rollers meeting the requirements of Item 210, "Rolling," except provide rollers weighing a minimum of 10 ton for each roller required. Operate rollers in static (non-vibrating) mode unless otherwise allowed.

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### 4. CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

4.1. **Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 5. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.

**Table 5**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F</a> , Part I	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F</a> , Part II	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F</a> , Parts I & III	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F</a> , Part II	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	Department
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Methylene blue test	<a href="#">Tex-252-F</a>		✓	Department
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	✓	✓	1A/1B
Membrane sampling	<a href="#">Tex-500-C</a> , Part III	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I, VI, & VIII	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F</a> , Part II	✓	✓	2
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Cantabro loss	<a href="#">Tex-245-F</a>	✓	✓	1A
<b>4. Production Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	✓	✓	1A
Moisture content	<a href="#">Tex-212-F</a> , Part II	✓	✓	1A/AGG101
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	Department
<b>5. Placement Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Water flow test	<a href="#">Tex-246-F</a>	✓	✓	1B

1. Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
2. Refer to Section 3082.4.5., "Production Operations," for exceptions to using an ignition oven.
3. Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.
4. When shown on the plans.

4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement tests, control charts, and thermal profiles. Obtain the current version of the templates at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The Contractor and Engineer must exchange test data within the maximum allowable time in accordance with Table 6 unless otherwise approved. The Engineer and the

Contractor will immediately report to the other party any test result that requires suspension of production or placement or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Section 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 6**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b><i>Production Quality Control</i></b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>1</sup>			
Moisture content <sup>2</sup>			
Drain-down <sup>1</sup>			
Boil test <sup>4</sup>			
<b><i>Production Quality Assurance</i></b>			
Gradation <sup>2</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>2</sup>			
Laboratory-molded density <sup>2</sup>			
Hamburg Wheel test <sup>3</sup>			
Boil test <sup>4</sup>			
Drain-down <sup>2</sup>			
Binder tests <sup>3</sup>			
<b><i>Placement Quality Control</i></b>			
Thermal profile <sup>1</sup>	Contractor	Engineer	1 working day of completion of the lot
Water flow <sup>1</sup>			
Membrane application rate <sup>2</sup>			
<b><i>Placement Quality Assurance</i></b>			
Thermal profile <sup>2</sup>	Engineer	Contractor	1 working day of completion of the lot
Aging ratio <sup>3</sup>			
Water flow <sup>2</sup>			
Membrane application rate <sup>2</sup>			

1. These tests are required on every subplot.
2. To be performed at the frequency in accordance with Table 14 or as shown on the plans.
3. To be reported as soon as the results become available.
4. When shown on the plans

Use the procedures described in [Tex-233-F](#), when directed, to plot the results of all production and placement testing. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting, when directed. Receive approval of the QCP before pre-paving meeting. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and
  - current copies of certification documents for individuals performing specified QC functions.
- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:
- the sequence of material processing, delivery, and minimum quantities to assure continuous plant

operations;

- aggregate stockpiling procedures to avoid contamination and segregation;
- frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
- procedure for monitoring the quality and variability of asphalt binder.

4.3.3. **Production.** For production, include:

- loader operation procedures to avoid contamination in cold bins;
- procedures for calibrating and controlling cold feeds;
- procedures to eliminate debris or oversized material;
- procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, lime, liquid antistripping, compaction aid, foaming process, fibers);
- procedures for reporting job control test results; and
- procedures to avoid segregation and drain-down in the silo.

4.3.4. **Loading and Transporting.** For loading and transporting, include:

- type and application method for release agents; and
- truck loading procedures to avoid segregation.

4.3.5. **Placement and Compaction.** For placement and compaction, include:

- proposed agenda for mandatory pre-paving meeting, including date and location;
- proposed paving plan (e.g., production rate, paving widths, joint offsets, and lift thicknesses);
- type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
- procedures for the transfer of mixture into the paver while avoiding physical and thermal segregation and preventing material spillage;
- process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
- paver operations (e.g., speed, operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
- procedures to construct quality longitudinal and transverse joints.

4.4. **Mixture Design.**

4.4.1. **Design Requirements.** Use the design procedure provided in [Tex-204-F](#), unless otherwise shown on the plans. Design the mixture to meet the requirements in accordance with Tables 1, 2, 3, 7, 8, and 9. Use a Superpave Gyration Compactor (SGC) at 50 gyrations as the design number of gyrations (Ndesign).

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- the membrane application rate based on design volumetrics;
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.



**Table 7**  
**Master Gradation Limits (% Passing by Weight or Volume)**  
**and Laboratory Mixture Design Properties**

Sieve Size	Permeable Friction Course		Thin Bonded Friction Course		
	Fine (PFC-F)	Coarse (PFC-C and PFCR-C)	Type A	Type B	Type C
3/4"	–	100.0 <sup>1</sup>	–	–	100 <sup>1</sup>
1/2"	100.0 <sup>1</sup>	80.0–100.0	–	100 <sup>1</sup>	75–100
3/8"	95.0–100.0	35.0–60.0	100 <sup>1</sup>	75–100	55–80
#4	20.0–55.0	1.0–20.0	35–55	22–36	22–36
#8	1.0–10.0	1.0–10.0	19–30	19–30	19–30
#16	–	–	14–25	14–24	14–24
#50	–	–	7–14	7–14	7–14
#200	1.0–4.0	1.0–4.0	4–6	4–6	4–6

1. Defined as maximum sieve size. No tolerance allowed.

**Table 8**  
**Mixture Design Properties**

Mixture Property	Test Method	PG 76 Mixtures		A-R Mixtures	Thin Bonded Friction Course		
		Fine (PFC-F)	Coarse (PFC-C)	Coarse (PFCR-C)	Type A	Type B	Type C
Asphalt binder content, %	–	6.0–7.0	6.0–7.0	7.0–9.0	5.0–5.8	4.8–5.6	4.8–5.6
Film thickness, microns	–	–	–	–	9.0 Min	9.0 Min	9.0 Min
Design gyrations (Ndesign)	<a href="#">Tex-241-F</a>	50	50	50	50	50	50
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	78.0 Max	82.0 Max	82.0 Max	92.0 Max	92.0 Max	92.0 Max
Hamburg Wheel test, <sup>1</sup> passes at 12.5 mm rut depth	<a href="#">Tex-242-F</a>	10,000 Min	Note 2	Note 2	Note 2	Note 2	Note 2
Drain-down, %	<a href="#">Tex-235-F</a>	0.10 Max	0.10 Max	0.10 Max	0.10 Max	0.10 Max	0.10 Max
Fiber content, % by wt. of total PG 76 mixture	Calculated	0.20–0.50	0.20–0.50	–	–	–	–
Lime content, % by wt. of total aggregate	Calculated	1.0 <sup>3</sup>	1.0 <sup>3</sup>	–	Note 4	Note 4	Note 4
CRM content, % by wt. of A-R binder	Calculated	–	–	15.0 Min	–	–	–
Boil test <sup>5</sup>	<a href="#">Tex-530-C</a>	–	–	–	–	–	–
Cantabro loss, %	<a href="#">Tex-245-F</a>	20.0 Max	20.0 Max	20.0 Max	20.0 Max	20.0 Max	20.0 Max

1. Mold test specimens to Ndesign at the optimum asphalt binder content.
2. No specification value is required unless otherwise shown on the plans.
3. Unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel results.
4. Lime may be required when shown on the plans.
5. When shown on the plans. Used to establish baseline for comparison to production results.

4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, Ndesign level, and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When a compaction aid or foaming process is used, JMF1 may be designed and submitted to the Engineer without including the compaction aid or foaming process. When a compaction aid or foaming process is used, document the compaction aid or foaming process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.

4.4.2.1. **Contractor's Responsibilities.**

4.4.2.1.1. **Providing Superpave Gyratory Compactor.** Furnish an SGC calibrated in accordance with [Tex-241-F](#) for molding production samples. Locate the SGC at the Engineer's field laboratory or make the SGC available to the Engineer for use in molding production samples.

4.4.2.1.2. **Gyratory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyratory compactor

correlation when the Engineer uses a different SGC. Apply the correlation factor to all subsequent production test results.

- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide an additional 25 lb. of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture when required in accordance with Table 8, and request that the Department perform the test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. Note that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for quality assurance testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used and the correction factors are not more than 12 mo. old, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** When shown on the plans, perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. Add lime or liquid antistripping agent as directed if signs of stripping exist.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the compaction aid or foaming process, if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in accordance with Table 9. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch. Provide documentation to verify the calibration or accuracy of the asphalt mass flow meter to measure the binder content. Verify that asphalt mass flow meter meets the requirements of 0.4 % accuracy, when required, in accordance with Item 520, "Weighing and Measuring Equipment." The Engineer may require that the accuracy of the mass flow meter be verified based on quantities used.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into three equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in accordance with Table 9. Ensure the trial batch mixture is also in compliance with the requirements in accordance with Tables 7 and 8. Use a Department-approved laboratory listed on the MPL to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results, determine the target mixture proportions, and

submit as JMF2 after the Engineer grants full approval of JMF1 based on results from the trial batch. Verify that JMF2 meets the mixture requirements in accordance with Table 9.

- 4.4.2.1.15. **Mixture Production.** After receiving approval for JMF2, use JMF2 to produce Lot 1.
- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.
- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:
- be provided to the Engineer in writing before the start of a new lot;
  - be numbered in sequence to the previous JMF;
  - meet the master gradation limits in accordance with Table 7
  - meet the binder content limits in accordance with Table 8; and
  - be within the operational tolerances of JMF2 in accordance with Table 9.
- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3082.4.9.1., "Referee Testing," to resolve testing differences with the Engineer.

**Table 9**  
**Operational Tolerances**

Test Description	Test Method	Allowable Difference between JMF2 and JMF1 Target <sup>1</sup>	Allowable Difference from Current JMF and JMF2 <sup>2</sup>	Allowable Difference between Contractor and Engineer <sup>3</sup>
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	Must be Within Master Grading Limits in accordance with Table 7	±3.0 <sup>4</sup>	±5.0 <sup>4</sup>
% passing the #200 sieve			±2.0 <sup>4</sup>	±3.0 <sup>4</sup>
Laboratory-molded density, %	<a href="#">Tex-207-F</a> , Part VIII	±1.0	±1.0	±1.0
Asphalt binder content, %	<a href="#">Tex-236-F</a> , Part I <sup>5</sup>	±0.3 <sup>6,7</sup>	±0.3 <sup>4,6,7</sup>	±0.3 <sup>6,7</sup>
Drain-down, %	<a href="#">Tex-235-F</a>	Note 8	Note 8	N/A
Boil test	<a href="#">Tex-530-C</a>	Note 9	Note 9	N/A
Membrane application rate	<a href="#">Tex-247-F</a>	±0.02	±0.02	N/A

1. JMF1 is the approved laboratory mixture design used for producing the trial batch. JMF2 is the approved mixture design developed from the trial batch used to produce Lot 1.
2. Current JMF is JMF3 or higher. JMF3 is the approved mixture design used to produce Lot 2.
3. Contractor may request referee testing only when values exceed these tolerances.
4. Only applies to mixture produced for Lot 1 and higher. Aggregate gradation is not allowed to be outside the limits in accordance with Table 7.
5. Ensure the binder content determination excludes fibers.
6. May be obtained from asphalt mass flow meter readouts as determined by the Engineer.
7. Binder content is not allowed to be outside the limits shown in Table 8.
8. Verify that Table 8 requirements are met.
9. When shown on the plans.

4.4.2.2. **Engineer's Responsibilities.**

- 4.4.2.2.1. **Superpave Gyratory Compactor.** The Engineer will use a Department SGC calibrated in accordance with [Tex-241-F](#) to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location.

- 4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results, if applicable;
- all required materials including aggregates, asphalt, and additives; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with

Section 3082.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

The Contractor is authorized to produce a trial batch after the Engineer grants conditional approval of JMF1.

4.4.2.2.3. **Hamburg Wheel Testing.** At the Contractor's request, the Department will perform the Hamburg Wheel test on the laboratory mixture in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 8. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel results on the laboratory mixture design.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for quality assurance testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. The Engineer will verify that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination.

4.4.2.2.5. **Testing the Trial Batch.** The Engineer will sample and test the trial batch within one full working day to ensure that the mixture meets the requirements in accordance with Table 9. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 8.

The Engineer will have the option to perform [Tex-530-C](#) on the trial batch when shown on the plans. These results may be retained and used for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in accordance with Tables 7 and 8.

The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in accordance with Tables 7, 8, and 9.

4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2).

4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the master grading and asphalt binder content shown in accordance with Tables 7 and 8 and are within the operational tolerances of JMF2 in accordance with Table 9.

- 4.4.2.2.10. **Binder Content Adjustments.** For JMF2 and above, the Engineer may require the Contractor to adjust the target binder content by no more than 0.3% from the current JMF.
- 4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification.
- 4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.
- 4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures in accordance with Table 10. The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures in accordance with Table 10.

**Table 10**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Max Production Temperature
PG 76	345°F
A-R Binder	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary. Do not use diesel or any release agent not shown on the Department's MPL.
- Use equipment for hauling as defined in Section 3082.4.7.3.2., "Hauling Equipment." Use other hauling equipment only when allowed.
- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour, or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Do not allow any loose mixture onto the prepared surface before application of the membrane. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide within 6-in. of lane lines and are not placed in the wheel path, or as directed, and offset longitudinal joints of successive courses of hot-mix by at least 6-in. Ensure that all finished surfaces will drain properly.

4.7.1. **Weather Conditions.**

4.7.1.1. **When Using a Thermal Imaging System.** The Contractor may pave any time the roadway is dry and the roadway surface temperature is at least 60°F unless otherwise approved or as shown on the plans; however, the Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3082.4.7.3.1.2., "Thermal Imaging System."

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

4.7.1.2. **When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above 70°F unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the air temperature is 60°F and falling.

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

4.7.2. **Application of Membrane.** Apply the membrane at the rates in accordance with Table 11 unless otherwise directed. Spray the membrane using a metered mechanical pressure spray bar at a temperature of 140°F to 180°F. Monitor the membrane application rate and make adjustments to the rate when directed. Verify that the spray bar is capable of applying the membrane at a uniform rate across the entire paving width. Apply adequate overlap of the tack coat in the longitudinal direction during placement of the mat to ensure bond of adjacent mats, unless otherwise directed. Unless otherwise directed, avoid tacking the vertical faces of adjacent PFC mats in the longitudinal direction to avoid restricting lateral drainage. Apply tack coat to all transverse joints. Do not let the wheels or other parts of the paving machine contact the freshly applied membrane. Do not dilute the membrane at the terminal, in the field, or at any other location before use. Do not allow any loose mixture onto the prepared surface before application of the membrane.

**Table 11**  
**Membrane Application Rate Limits, (Gal. per square yard)**

Mix Type	Lift Thickness	Membrane Rate
Permeable Friction Course	1-1/2 in.	0.30–0.33
	1-1/4 in.	0.27–0.30
	1 in.	0.25–0.28
	3/4 in.	0.22–0.25
Thin Bonded Friction Course	3/4 in.	0.17–0.27
	5/8 in.	0.16–0.24
	1/2 in.	0.14–0.20

4.7.2.1. **Non-uniform Application of Membrane.** Stop application if it is not uniform due to streaking, ridging, pooling, or flowing off the roadway surface. Verify equipment condition including plugged nozzles on the spray bar, operating procedures, application temperature, and material properties. Determine and correct the cause of non-uniform application.

4.7.2.2. **Test Strips.** The Engineer may perform independent tests to confirm Contractor compliance and may require testing differences or failing results to be resolved before resuming production.

The Engineer may cease operations and require construction of test strips at the Contractor's expense if any of the following occurs:

- non-uniformity of application continues after corrective action;
- in three consecutive shots, application rate differs by more than 0.03 gal. per square yard from the rate

- directed; or
- any shot differs by more than 0.05 gal. per square yard from the rate directed.

The Engineer will approve the test strip location. The Engineer may require additional test strips until the membrane application meets specification requirements.

- 4.7.3. **Lay-Down Operations.** Use the placement temperature in accordance with Table 12 to establish the minimum placement temperature of the mixture delivered to the paving operation.

**Table 12**  
**Min Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Min Placement Temperature (Before Entering Paving Operation) <sup>2,3</sup>
PG 76	280°F
A-R Binder	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The mixture temperature must be measured using a hand-held thermal camera or infrared thermometer nearest to the point of entry of the paving operation.
3. Minimum placement temperatures may be reduced 10°F if using a compaction aid.

- 4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3082.4.9.8., "Miscellaneous Areas."

- 4.7.3.1.1. **Thermal Segregation.**

- 4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F.

- 4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F.

- 4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the report described in [Tex-244-F](#) to the Engineer daily unless otherwise directed. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system.

The Engineer may suspend subsequent paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe or moderate thermal segregation.

Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or as requested by the Engineer.

- 4.7.3.1.3. **Thermal Camera.** When using the thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the electronic files generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3082.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project unless the thermal imaging system is used. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section.

- 4.7.3.2. **Hauling Equipment.** Use live bottom or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.

- 4.7.3.3. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3082.4.9.9., "Recovered

Asphalt Dynamic Shear Rheometer (DSR),” if the screed heater remains on for more than 5 min. while the paver is stopped.

- 4.8. **Compaction.** Roll the freshly placed mixture with as many steel-wheeled rollers as necessary, operated in static mode, to seat the mixture without excessive breakage of the aggregate and to provide a smooth surface and uniform texture. Do not use pneumatic rollers. Use the control strip method given in [Tex-207-F](#), Part IV, to establish the rolling pattern. Moisten the roller drums thoroughly with a soap and water solution to prevent adhesion. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

For PFC mixtures, use [Tex-246-F](#) to test and verify that the compacted mixture has adequate permeability. Measure the water flow once per subplot at locations directed by the Engineer. The water flow rate should be less than 20 sec. Investigate the cause of the water flow rate test failures and take corrective actions during production and placement to ensure the water flow rate is less than 20 sec. Suspend production if two consecutive water flow rate tests fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

Complete all compaction operations before the pavement temperature drops below 180°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 180°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

- 4.9. **Acceptance Plan.** Sample and test the hot-mix on a lot and subplot basis.
- 4.9.1. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if the differences between Contractor and Engineer test results exceed the operational tolerances in accordance with Table 9 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer’s test results require suspension of production and the Contractor’s test results are within specification limits. Make the request within five working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer’s test results are closer to the referee test results than the Contractor’s test results.
- 4.9.2. **Production Acceptance.**
- 4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 ton; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 2,000 ton. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 1,000 ton and 4,000 ton. The Engineer may change the lot size before the Contractor begins any lot.
- 4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Close all lots within five working days unless otherwise allowed.
- 4.9.2.2. **Production Sampling.**
- 4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as “Contractor,” “Engineer,” and “Referee.” The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled “Engineer” and “Referee.” The Engineer will maintain the custody of the samples labeled “Engineer” and “Referee” until the Department’s testing is completed.



- 4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.
- 4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a “blind” sample instead of the random sample collected by the Contractor. Test either the “blind” or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the “blind” sample. The location of the Engineer’s “blind” sample will not be disclosed to the Contractor. The Engineer’s “blind” sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor’s split sample for sublots not sampled by the Engineer.
- 4.9.2.2.2. **Informational Hamburg and Overlay Testing.** Select one random subplot from Lot 2 or higher for Hamburg and Overlay testing during the first week of production. Obtain and provide the Engineer with approximately 90 lb. of mixture, sampled in accordance with [Tex-222-F](#), in sealed containers, boxes, or bags labeled with the Control-Section-Job (CSJ), mixture type, lot, and subplot number. The Engineer will ship the mixture to the Materials and Tests Division for Hamburg and Overlay testing. Results from these tests will not be used for specification compliance.
- 4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. (1 gal. for A-R binder) sample of the asphalt binder witness by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, “Asphalts, Oils, and Emulsions” and will retain the other split sample for 1 yr.

- 4.9.2.3. **Membrane Sampling.** The Engineer will obtain a 1-qt. sample of the polymer modified emulsion for each lot of mixture produced in accordance with [Tex-500-C](#), Part III. The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample. Obtain the sample at approximately the same time the mixture random sample is obtained. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for two months.

At least once per project, the Engineer will collect split samples of the polymer modified emulsion. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, “Asphalts, Oils, and Emulsions” and will retain the other split sample for two months. The Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, “Asphalts, Oils, and Emulsions.”

- 4.9.2. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 13. The Contractor has the option to verify the Engineer’s test results on split samples provided by the Engineer. Determine compliance with operational tolerances in accordance with Table 9 for all sublots.

At any time during production, the Engineer may require the Contractor to verify the following based on quantities used:

- lime content (within  $\pm 0.1\%$  of JMF), when PG binder is specified;
- fiber content (within  $\pm 0.03\%$  of JMF), when PG binder is specified; and
- CRM content (within  $\pm 1.5\%$  of JMF), when A-R binder is specified.

Maintain the in-line measuring device when A-R binder is specified to verify the A-R binder viscosity between

2,500 and 4,000 centipoise at 350°F unless otherwise approved. Record A-R binder viscosity at least once per hour and provide the Engineer with a daily summary unless otherwise directed.

If the aggregate mineralogy is such that [Tex-236-F](#) Part I does not yield reliable results, the Engineer may allow alternate methods for determining the asphalt content and aggregate gradation. The Engineer will require the Contractor to provide evidence that results from [Tex-236-F](#), Part I are not reliable before permitting an alternate method unless otherwise allowed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 13**  
**Production and Placement Testing Frequency**

Description	Test Method	Min Contractor Testing Frequency	Min Engineer Testing Frequency
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	1 per subplot	1 per 12 sublots
% passing the #200 sieve			
Laboratory-molded density, %	<a href="#">Tex-207-E</a> , Part VIII	1 per subplot	1 per lot
Asphalt binder content <sup>1</sup> , %	<a href="#">Tex-236-F</a> , Part I <sup>2</sup>	1 per subplot	1 per lot
Drain-down, %	<a href="#">Tex-235-F</a>	1 per subplot	1 per 12 sublots
Boil test <sup>3</sup>	<a href="#">Tex-530-C</a>	1 per project	1 per project
Membrane application rate	<a href="#">Tex-247-F</a>	1 per lot	1 per 12 sublots
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	1 per project
Cantabro loss, %	<a href="#">Tex-245-F</a>	1 per project (sample only)	1 per project
Overlay test	<a href="#">Tex-248-F</a>	1 per project (sample only) <sup>10</sup>	1 per project <sup>4</sup>
Hamburg Wheel test	<a href="#">Tex-242-F</a>	1 per project (sample only) <sup>10</sup>	1 per project <sup>4</sup>
Water flow test <sup>5</sup>	<a href="#">Tex-246-F</a>	1 per subplot	1 per project
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>6</sup>	1 per project
Membrane sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	1 per project
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot <sup>7,8,9</sup>	1 per project <sup>8</sup>

1. May be obtained from asphalt mass flow meter readouts as determined by the Engineer.
2. Ensure the binder content determination excludes fibers.
3. When shown on the plans.
4. When required according to mixture type and requirements in accordance with Table 8.
5. Only required for PFC mixtures.
6. Obtain samples witness by the Engineer. The Engineer will retain these samples for 1 yr.
7. To be performed in the presence of the Engineer when using the thermal camera, unless otherwise approved.
8. Not required when a thermal imaging system is used.
9. When using the thermal imaging system, the test report must include the temperature measurements taken in accordance with [Tex-244-F](#).
10. Testing performed by the Materials and Tests Division for informational purposes only.

#### 4.9.3.

**Operational Tolerances.** Control the production process within the operational tolerances in accordance with Table 9. Suspend production and placement operations when production or placement test results exceed the tolerances in accordance with Table 9 unless otherwise allowed. The Engineer will allow suspended production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

- 4.9.4. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances in accordance with Table 9, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.
- 4.9.5. **Placement Acceptance.**
- 4.9.6. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.
- 4.9.7. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations such as driveways, mailbox turnouts, crossovers, gores, spot level-up areas, and other similar areas. The specified layer thickness is based on the rate of 90 lb. per square yard for each inch of pavement unless another rate is shown on the plans. Miscellaneous areas are not subject to thermal profiles testing.
- 4.9.8. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).
- 4.9.9. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.
- If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.
- 4.9.10. **Exempt Production.** When the anticipated daily production is less than 100 ton, all QC and QA sampling and testing are waived. The Engineer may deem the mixture as exempt production for the following conditions:
- anticipated daily production is more than 100 ton but less than 250 ton;
  - total production for the project is less than 2,500 ton;
  - when mutually agreed between the Engineer and the Contractor; or
  - when shown on the plans.
- For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements. All other specification requirements apply, and the Engineer will perform acceptance tests for production and placement in accordance with Table 13.
- For exempt production:
- produce, haul, place, and compact the mixture as directed by the Engineer; and
  - control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target density as tested by the Engineer.

- 4.9.11. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

- 5.1. **PFC Hot-Mix Asphalt.** Permeable friction course (PFC) hot-mix will be measured by the ton of composite mixture, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.2. **TBFC Hot-Mix Asphalt.** Thin bonded friction course (TBFC) hot-mix will be measured by the ton of composite mixture, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.3. **Membrane.** Membrane material will be measured by volume. Membrane material will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the distributor's calibrated strap stick. The Engineer will witness all operations for volume determination. All membrane will be measured by the gallon applied, in the accepted membrane.

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## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3082.5.1., "PFC Hot-Mix Asphalt," will be paid for at the unit bid price for "Permeable friction course" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, removing pavement marking and markers, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3082.5.2., "TBFC Hot-Mix Asphalt," will be paid for at the unit bid price for "Thin bonded friction course" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, removing pavement marking and markers, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3082.5.3., "Membrane," will be paid for at the unit bid price for "Membrane" of the membrane material provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

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# Special Specification 3096

## Asphalts, Oils, and Emulsions

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### 1. DESCRIPTION

Provide asphalt cements, cutback and emulsified asphalts, performance-graded asphalt binders, and other miscellaneous asphalt materials as specified on the plans.

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### 2. MATERIALS

Provide asphalt materials that meet the stated requirements when tested in conformance with the referenced Department, AASHTO, and ASTM test methods. Use asphalt containing recycled materials only if the recycled components meet the requirements of Article 6.9., "Recycled Materials." Provide asphalt materials that the Department has preapproved for use in accordance with [Tex-545-C](#), "Asphalt Binder Quality Program."

Inform the Department of all additives or modifiers included in the asphalt binder as part of the facility quality plan, as required by [Tex-545-C](#), "Asphalt Binder Quality Program," and provide that information to Department personnel. The Department reserves the right to prohibit the use of any asphalt additive or modifier.

Limit the use of polyphosphoric acid to no more than 0.5% by weight of the asphalt binder.

The use of re-refined engine oil bottoms is prohibited.

Acronyms used in this Item are defined in Table 1.

**Table 1**  
**Acronyms**

Acronym	Definition
Test Procedure Designations	
Tex T or R D	Department AASHTO ASTM
Polymer Modifier Designations	
P SBR or L SBS TR	polymer-modified styrene-butadiene rubber (latex) styrene-butadiene-styrene block co-polymer tire rubber (from ambient temperature grinding of truck and passenger tires)
AC	asphalt cement
AE	asphalt emulsion
AE-P	asphalt emulsion prime
A-R	asphalt-rubber
C	cationic
EAP&T	emulsified asphalt prime and tack
EBL	emulsified bonding layer
FDR	full depth reclamation
H-suffix	harder residue (lower penetration)
HF	high float
HY	high yield
MC	medium-curing
MS	medium-setting
PCE	prime, cure, and erosion control
PG	performance grade
RC	rapid-curing
RS	rapid-setting
S-suffix	stockpile usage
SCM	special cutback material
SS	slow-setting
SY	standard yield
TRAIL	tracking resistant asphalt interlayer

2.1.

**Asphalt Cement.** Provide asphalt cement that is homogeneous, water-free, and nonfoaming when heated to 347°F, and meets the requirements in Table 2.

**Table 2**  
**Asphalt Cement**

Property	Test Procedure	Viscosity Grade									
		AC-0.6		AC-1.5		AC-3		AC-5		AC-10	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity 140°F, poise 275°F, poise	T 202	40	80	100	200	250	350	400	600	800	1,200
		0.4	–	0.7	–	1.1	–	1.4	–	1.9	–
Penetration, 77°F, 100g, 5 sec.	T 49	350	–	250	–	210	–	135	–	85	–
Flash point, C.O.C., °F	T 48	425	–	425	–	425	–	425	–	450	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–	99.0	–	99.0	–
Spot test	<a href="#">Tex-509-C</a>	Neg.		Neg.		Neg.		Neg.		Neg.	
Tests on residue from RTFOT:											
Viscosity, 140°F, poise	T 240 T 202	–	180	–	450	–	900	–	1,500	–	3,000
Ductility, <sup>1</sup> 77°F 5 cm/min., cm	T 51	100	–	100	–	100	–	100	–	100	–

1. If AC-0.6 or AC-1.5 ductility at 77°F is less than 100 cm, material is acceptable if ductility at 60°F is more than 100 cm.

- 2.2. **Polymer-Modified Asphalt Cement.** Provide polymer-modified asphalt cement that is smooth, homogeneous, and meets the requirements Table 3. Supply samples of the base asphalt cement and polymer additives if requested.

Table 3  
Polymer-Modified Asphalt Cement

Property	Test Procedure	Polymer-Modified Viscosity Grade											
		AC-12-5TR		NT-HA <sup>1</sup>		AC-15P		AC-20XP		AC-10-2TR		AC-20-5TR	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Polymer		TR		-		SBS		SBS		TR		TR	
Polymer content, % (solids basis)	<a href="#">Tex-533-C</a> or <a href="#">Tex-553-C</a>	5.0	-	-	-	3.0	-	-	-	2.0	-	5.0	-
Dynamic shear, G*/sinδ, 82°C, 10 rad/s, kPa	T 315			1.0	-								
Dynamic shear, G*/sinδ, 64°C, 10 rad/s, kPa	T 315	-	-	-	-	-	-	1.0	-	-	-	1.0	-
Dynamic shear, G*/sinδ, 58°C, 10 rad/s, kPa	T 315	1.0	-	-	-	-	-	-	-	1.0	-	-	-
Viscosity 140°F, poise	T 202	1,200	-			1,500	-	2,000	-	1,000	-	2,000	-
275°F, poise	T 202			-	4,000	-	8.0	-	-	-	8.0	-	10.0
Penetration, 77°F, 100 g, 5 sec.	T 49	110	150	-	25	100	150	75	115	95	130	75	115
Ductility, 5cm/min., 39.2°F, cm	T 51					-	-	-	-	-	-	-	-
Elastic recovery, 50°F, %	<a href="#">Tex-539-C</a>	55	-			55	-	55	-	30	-	55	-
Softening point, °F	T 53	113	-	170	-	-	-	120	-	110	-	120	-
Polymer separation, 5 hr.	<a href="#">Tex-540-C</a>	None				None		None		None		None	
Flash point, C.O.C., °F	T 48	425	-	425	-	425	-	425	-	425	-	425	-
Tests on residue from RTFOT aging and pressure aging:	T 240 and R 28												
Creep stiffness S, -18°C, MPa	T 313	-	300	-	-	-	300	-	300	-	300	-	300
m-value, -18°C		0.300	-	-	-	0.300	-	0.300	-	0.300	-	0.300	-

1. Non-Tracking Hot Applied Tack Coat - TRAIL product

- 2.3. **Cutback Asphalt.** Provide cutback asphalt that meets the requirements of Tables 4, 5, and 6, for the specified type and grade. Supply samples of the base asphalt cement and polymer additives if requested.

**Table 4**  
**Rapid-Curing Cutback Asphalt**

Property	Test Procedure	Type-Grade					
		RC-250		RC-800		RC-3000	
		Min	Max	Min	Max	Min	Max
Kinematic viscosity, 140°F, cSt	T 201	250	400	800	1,600	3,000	6,000
Water, %	D95	–	0.2	–	0.2	–	0.2
Flash point, T.O.C., °F	T 79	80	–	80	–	80	–
Distillation test:	T 78						
Distillate, percentage by volume of total distillate to 680°F							
to 437°F		40	75	35	70	20	55
to 500°F		65	90	55	85	45	75
to 600°F		85	–	80	–	70	–
Residue from distillation, volume %		70	–	75	–	82	–
Tests on distillation residue:							
Viscosity, 140°F, poise	T 202	600	2,400	600	2,400	600	2,400
Ductility, 5 cm/min., 77°F, cm	T 51	100	–	100	–	100	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–
Spot test	<a href="#">Tex-509-C</a>	Neg.		Neg.		Neg.	

**Table 5**  
**Medium-Curing Cutback Asphalt**

Property	Test Procedure	Type-Grade							
		MC-30		MC-250		MC-800		MC-3000	
		Min	Max	Min	Max	Min	Max	Min	Max
Kinematic viscosity, 140°F, cSt	T 201	30	60	250	500	800	1,600	3,000	6,000
Water, %	D95	–	0.2	–	0.2	–	0.2	–	0.2
Flash point, T.O.C., °F	T 79	95	–	122	–	140	–	149	–
Distillation test:	T 78								
Distillate, percentage by volume of total distillate to 680°F									
to 437°F		–	35	–	20	–	–	–	–
to 500°F		30	75	5	55	–	40	–	15
to 600°F		75	95	60	90	45	85	15	75
Residue from distillation, volume %		50	–	67	–	75	–	80	–
Tests on distillation residue:									
Viscosity, 140°F, poise	T 202	300	1,200	300	1,200	300	1,200	300	1,200
Ductility, 5 cm/min., 77°F, cm	T 51	100	–	100	–	100	–	100	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–	99.0	–
Spot test	<a href="#">Tex-509-C</a>	Neg.		Neg.		Neg.		Neg.	



**Table 6**  
**Special-Use Cutback Asphalt**

Property	Test Procedure	Type-Grade					
		MC-2400L		SCM I		SCM II	
		Min	Max	Min	Max	Min	Max
Kinematic viscosity, 140°F, cSt	T 201	2,400	4,800	500	1,000	1,000	2,000
Water, %	D95	–	0.2	–	0.2	–	0.2
Flash point, T.O.C., °F	T 79	150	–	175	–	175	–
Distillation test:	T 78						
Distillate, percentage by volume of total distillate to 680°F							
to 437°F		–	–	–	–	–	–
to 500°F		–	35	–	0.5	–	0.5
to 600°F		35	80	20	60	15	50
Residue from distillation, volume %		78	–	76	–	82	–
Tests on distillation residue:							
Polymer		SBR		–		–	
Polymer content, % (solids basis)	<a href="#">Tex-533-C</a>	2.0	–	–	–	–	–
Penetration, 100 g, 5 sec., 77°F	T 49	150	300	180	–	180	–
Ductility, 5 cm/min., 39.2°F, cm	T 51	50	–	–	–	–	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–

- 2.4. **Emulsified Asphalt.** Provide emulsified asphalt that is homogeneous, does not separate after thorough mixing, and meets the requirements for the specified type and grade in Tables 7, 8, 9, 10, and 10A-C.

**Table 7**  
**Emulsified Asphalt**

Property	Test Procedure	Type-Grade									
		Rapid-Setting		Medium-Setting				Slow-Setting			
		HFRS-2		MS-2		AES-300		SS-1		SS-1H	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	-	-	75	400	20	100	20	100
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Miscibility	T 59	-		-		-		Pass		Pass	
Cement mixing, %	T 59	-	-	-	-	-	-	-	2.0	-	2.0
Coating ability and water resistance: Dry aggregate/after spray Wet aggregate/after spray	T 59	-		-		Good/Fair Fair/Fair		-		-	
Demulsibility, 35 mL of 0.02 N CaCl <sub>2</sub> , %	T 59	50	-	-	30	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1
Freezing test, 3 cycles <sup>1</sup>	T 59	-		Pass		-		Pass		Pass	
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	65	-	65	-	65	-	60	-	60	-
		-	0.5	-	0.5	-	5	-	0.5	-	0.5
Tests on residue from distillation: Penetration, 77°F, 100 g, 5 sec. Solubility in trichloroethylene, % Ductility, 77°F, 5 cm/min., cm Float test, 140°F, sec.	T 49 T 44 T 51 T 50	100 97.5 100 1,200	140 - - -	120 97.5 100 -	160 - - -	300 97.5 - 1,200	- - - -	120 97.5 100 -	160 - - -	70 97.5 80 -	100 - - -

1. Applies only when the Engineer designates material for winter use.

**Table 8**  
**Cationic Emulsified Asphalt**

Property	Test Procedure	Type-Grade											
		Rapid-Setting		Medium-Setting				Slow-Setting					
		CRS-2		CRS-2H		CMS-2		CMS-2S		CSS-1		CSS-1H	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	-	-	-	-	-	-	20	100	20	100
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Cement mixing, %	T 59	-	-	-	-	-	-	-	-	-	2.0	-	2.0
Coating ability and water resistance: Dry aggregate/after spray Wet aggregate/after spray	T 59	-		-		Good/Fair Fair/Fair		Good/Fair Fair/Fair		-		-	
Demulsibility, 35 mL of 0.8% Sodium dioctyl sulfosuccinate, %	T 59	70	-	70	-	-	-	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1	-	1
Particle charge	T 59	Positive		Positive		Positive		Positive		Positive		Positive	
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	65	-	65	-	65	-	65	-	60	-	60	-
		-	0.5	-	0.5	-	7	-	5	-	0.5	-	0.5
Tests on residue from distillation: Penetration, 77°F, 100 g, 5 sec. Solubility in trichloroethylene, % Ductility, 77°F, 5 cm/min., cm	T 49 T 44 T 51	120 97.5 100	160 - -	70 97.5 80	110 - -	120 97.5 100	200 - -	300 97.5 -	- - -	120 97.5 100	160 - -	70 97.5 80	110 - -

**Table 9**  
**Polymer-Modified Emulsified Asphalt**

Property	Test Procedure	Type-Grade									
		Rapid-Setting		Medium-Setting				Slow-Setting			
		HFRS-2P		AES-150P		AES-300P		AES-300S		SS-1P	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	75	400	75	400	75	400	30	100
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Miscibility	T 59	-		-		-		-		Pass	
Coating ability and water resistance: Dry aggregate/after spray Wet aggregate/after spray	T 59	-		Good/Fair Fair/Fair		Good/Fair Fair/Fair		Good/Fair Fair/Fair		-	
Demulsibility, 35 mL of 0.02 N CaCl <sub>2</sub> , %	T 59	50	-	-	-	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1
Breaking index, g	<a href="#">Tex-542-C</a>	-	-								
Distillation test: <sup>1</sup> Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	65	-	65	-	65	-	65	-	60	-
		-	0.5	-	3	-	5	-	7	-	0.5
Tests on residue from distillation: Polymer content, wt. % (solids basis)	<a href="#">Tex-533-C</a>	3.0	-	-	-	-	-	-	-	3.0	-
Penetration, 77°F, 100 g, 5 sec.	T 49	90	140	150	300	300	-	300	-	100	140
Solubility in trichloroethylene, %	T 44	97.0	-	97.0	-	97.0	-	97.0	-	97.0	-
Viscosity, 140°F, poise	T 202	1,500	-	-	-	-	-	-	-	1,300	-
Float test, 140°F, sec	T 50	1,200	-	1,200	-	1,200	-	1,200	-	-	-
Ductility, <sup>2</sup> 39.2°F, 5 cm/min., cm	T 51	50	-	-	-	-	-	-	-	50	-
Elastic recovery, 2 50°F, %	<a href="#">Tex-539-C</a>	55	-	-	-	-	-	-	-	-	-
Tests on RTFO curing of distillation residue Elastic recovery, 50°F, %	T 240 <a href="#">Tex-536-C</a>	-	-	50	-	50	-	30	-	-	-

1. Exception to T 59: Bring the temperature on the lower thermometer slowly to 350°F ±10°F. Maintain at this temperature for 20 min. Complete total distillation in 60 min. (±5 min.) from the first application of heat.

2. HFRS-2P must meet one of either the ductility or elastic recovery requirements.

**Table 10**  
**Polymer-Modified Cationic Emulsified Asphalt**

Property	Test Procedure	Type-Grade											
		Rapid-Setting						Medium-Setting				Slow-Setting	
		CRS-2P		CHFRS-2P		CRS-2TR		CMS-1P <sup>3</sup>		CMS-2P <sup>3</sup>		CSS 1P	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	-	-	-	-	10	100	-	-	20	100
		150	400	100	400	150	500	-	-	50	400	-	-
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Demulsibility, 35 ml of 0.8% sodium dioctyl sulfosuccinate, %	T 59	70	-	60	-	40	-	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1	-	1
Breaking index, g	<a href="#">Tex-542-C</a>	-	-	-	-	-	-	-	-	-	-	-	-
Particle charge	T 59	Positive		Positive		Positive		Positive		Positive		Positive	
Distillation test <sup>1</sup> :	T 59												
Residue by distillation, % by weight		65	-	65	-	65	-	30	-	60	-	62	-
Oil distillate, % by volume of emulsion		-	0.5	-	0.5	-	3	-	0.5	-	0.5	-	0.5
Tests on residue from distillation:													
Polymer content, wt. % (solids basis)	<a href="#">Tex-533-C</a>	3.0	-	3.0	-	5.0 <sup>7</sup>	-	-	-	-	-	3.0	-
Penetration, 77°F, 100 g, 5 sec.	T 49	90	150	80	130	90	150	30	-	30	-	55	90
Viscosity, 140°F, poise	T 202	1,300	-	1,300	-	1,000	-	-	-	-	-	-	-
Solubility in trichloroethylene, %	T 44	97.0	-	95.0	-	98	-	-	-	-	-	97.0	-
Softening point, °F	T 53	-	-	-	-	-	-	-	-	-	-	135	-
Ductility, 77°F, 5 cm/min., cm	T 51	-	-	-	-	40	-	-	-	-	-	70	-
Float test, 140°F, sec.	T 50	-	-	1,800	-	-	-	-	-	-	-	-	-
Ductility, <sup>2</sup> 39.2°F, 5 cm/min., cm	T 51	50	-	-	-	-	-	-	-	-	-	-	-
Elastic recovery, <sup>2</sup> 50°F, %	<a href="#">Tex-539-C</a>	55	-	55	-	-	-	-	-	-	-	-	-
Tests on residue from evaporative recovery:	R 78, Procedure B												
Nonrecoverable creep compliance of residue, 3.2 kPa, 52°C, kPa <sup>-1</sup>	T 350	-	-	-	-	-	-	-	2.0	-	4.0	-	-
Tests on rejuvenating agent:													
Viscosity, 140°F, cSt	T 201	-	-	-	-	-	-	50	175	50	175	-	-
Flash point, C.O.C., °F	T 48	-	-	-	-	-	-	380	-	380	-	-	-
Saturates, % by weight	D 2007	-	-	-	-	-	-	-	30	-	30	-	-
Solubility in n-pentane, % by weight	D 2007	-	-	-	-	-	-	99	-	99	-	-	-
Tests on rejuvenating agent after RTFO	T 240												
Weight Change, %		-	-	-	-	-	-	-	6.5	-	6.5	-	-
Viscosity Ratio		-	-	-	-	-	-	-	3.0	-	3.0	-	-
Tests on latex <sup>4</sup> :													
Tensile strength, die C dumbbell, psi	D 412 <sup>5</sup>	-	-	-	-	-	-	800	-	800	-	-	-
Change in mass after immersion in rejuvenating agent, %	D 471	-	-	-	-	-	-	-	40 <sup>6</sup>	-	40 <sup>6</sup>	-	-

- Exception to T 59: Bring the temperature on the lower thermometer slowly to 350°F (±0°F). Maintain at this temperature for 20 min. Complete total distillation in 60 min. (±5 min.) from the first application of heat.
- CRS-2P must meet one of either the ductility or elastic recovery requirements.
- With all precertification samples of CMS-1P or CMS-2P, submit certified test reports showing that the rejuvenating agent and latex meet the stated requirements. Submit samples of these raw materials if requested by the Engineer.
- Preparation of latex specimens: use any substrate and recovery method which produces specimens of uniform dimensions and which delivers enough material to achieve desired residual thickness.
- Cut samples for tensile strength determination using a crosshead speed of 20 in. per minute.
- Specimen must remain intact after exposure and removal of excess rejuvenating agent.
- Modifier type is tire rubber.

**Table 10A**  
**Non-Tracking Tack Coat Emulsion<sup>1</sup>**

Property	Test Procedure	NT-HRE		NT-RRE		NT-SRE	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77° F, sec.	T 72	15	–	15	–	10	100
Storage stability, 1 Day, %	T 59	–	1	–	1	–	1
Settlement, 5-day, %	T 59	–	5	–	5	–	5
Sieve test, %	T 59	–	0.30	–	0.30	–	0.1
Distillation test: <sup>2</sup> Residue by distillation, % by wt. Oil distillate, by volume of emulsion	T 59	50 –	– 1.0	58 –	– 1.0	50 –	– 1.0
Test on residue from distillation: Penetration, 77°F, 100 g, 5 sec.	T 49	–	20	15	45	40	90
Solubility in trichloroethylene, %	T 44	97.5	–	97.5	–	97.5	–
Softening point, °F	T 53	150	–	–	–	–	–
Dynamic shear, G*/sin(δ), 82°C, 10 rad/s, kPa	T 315	1.0	–	–	–	–	–

1. Due to the hardness of the residue, these emulsions should be heated to 120-140°F before thoroughly mixing as the emulsion is being prepared for testing.
2. Exception to T 59: Bring the temperature on the lower thermometer slowly to 350°F ± 10°F. Maintain at this temperature for 20 min. Complete total distillation in 60 ± 5 min. from first application of heat.

**Table 10B**  
**Spray Applied Underseal Membrane Polymer-Modified Emulsions (EBL)**

Property	Test Procedure	Min	Max
Viscosity @ 77°F, SSF	T 72	20	100
Storage Stability <sup>1</sup> , %	T 59	–	1
Demulsibility <sup>2</sup> Anionic emulsions – 35 mL of 0.02 N CaCl <sub>2</sub> , % Cationic emulsions – 35 mL of 0.8% sodium dioctyl sulfosuccinate, %	T 59	55	–
Sieve Test <sup>3</sup> , %	T 59	–	0.05
Distillation Test <sup>4</sup> Residue by distillation, % by wt. Oil portion of distillate, % by vol.	T 59	63	0.5
Test on Residue from Distillation Elastic Recovery @ 50°F, 50 mm/min., % Penetration @ 77°F, 100 g, 5 sec., 0.1 mm	<a href="#">Tex-539-C</a> T 49	60 80	– 130

1. After standing undisturbed for 24 hr., the surface must be smooth, must not exhibit a white or milky colored substance, and must be a homogeneous color throughout.
2. Material must meet demulsibility test for emulsions.
3. May be required by the Engineer only when the emulsion cannot be easily applied in the field.
4. The temperature on the lower thermometer should be brought slowly to 350°F ± 10°F and maintained at this temperature for 20 min. The total distillation should be completed in 60 ± 5 min. from the first application of heat.

**Table 10C**  
**Full-Depth Reclamation Emulsion (FDR EM)**

Property	Test Procedure	Standard Yield (SY)		High Yield (HY)	
		Min	Max	Min	Max
Sieve test, %	T 59	–	0.1	–	0.1
Viscosity Saybolt Furol @ 77°F, sec.	T 59	20	100	20	100
Distillation test <sup>1</sup> : Residue by distillation, % by wt. Oil portion of distillate, % by vol.	T 59	60 –	– 0.5	63 –	– 0.5
Test on residue from distillation: Penetration @ 77°F, dmm	T 49	55	95	120	–
Test on rejuvenating agent: BWOA, % <sup>2</sup> Viscosity @ 140°F, cSt Flash Point, COC, °F Solubility in n-pentane, % by wt.	*** T 201 T 48 D2007	– – – –	– – – –	2 50 380 99	– 175 – –

1. The temperature on the lower thermometer should be brought slowly to 350°F ± 10°F and maintained at this temperature for 20 min. The total distillation should be completed in 60 ± 5 min. from the first application of heat.
2. BWOA = By weight of asphalt. Provide a manufacturer's certificate of analysis (COA) with the percent of rejuvenator added.

2.5.

**Specialty Emulsions.** Provide specialty emulsion that is either asphalt-based or resin-based and meets the requirements of Table 11 or Table 11A.

**Table 11**  
**Specialty Emulsions**

Property	Test Procedure	Type-Grade					
		Medium-Setting				Slow-Setting	
		AE-P		EAP&T		PCE <sup>1</sup>	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	–	–	–	–	10	100
Sieve test, %	T 59	–	0.1	–	0.1	–	0.1
Miscibility <sup>2</sup>	T 59	–	–	Pass	–	Pass	–
Demulsibility, 35 mL of 0.10 N CaCl <sub>2</sub> , %	T 59	–	70	–	–	–	–
Storage stability, 1 day, %	T 59	–	1	–	1	–	–
Particle size, <sup>5</sup> % by volume < 2.5 μm	<a href="#">Tex-238-F<sup>3</sup></a>	–	–	90	–	90	–
Asphalt emulsion distillation to 500°F followed by Cutback asphalt distillation of residue to 680°F: Residue after both distillations, % by wt. Total oil distillate from both distillations, % by volume of emulsion	T 59 & T 78	40	–	–	–	–	–
		25	40	–	–	–	–
Residue by distillation, % by wt.	T 59	–	–	60	–	–	–
Residue by evaporation, <sup>4</sup> % by wt.	T 59	–	–	–	–	60	–
Tests on residue after all distillations:							
Viscosity, 140°F, poise	T 202	–	–	800	–	–	–
Kinematic viscosity, <sup>5</sup> 140°F, cSt	T 201	–	–	–	–	100	350
Flash point C.O.C., °F	T 48	–	–	–	–	400	–
Solubility in trichloroethylene, %	T 44	97.5	–	–	–	–	–
Float test, 122°F, sec.	T 50	50	200	–	–	–	–

1. Supply with each shipment of PCE:

- a copy of a lab report from an approved analytical lab, signed by a lab official, indicating the PCE formulation does not meet any characteristics of a Resource Conservation Recovery Act (RCRA) hazardous waste;
  - a certification from the producer that the formulation supplied does not differ from the one tested and that no listed RCRA hazardous wastes or Polychlorinated Biphenyls (PCBs) have been mixed with the product; and
  - a Safety Data Sheet.
2. Exception to T 59: In dilution, use 350 mL of distilled or deionized water and a 1,000-mL beaker.
  3. Use [Tex-238-F](#), beginning at "Particle Size Analysis by Laser Diffraction," with distilled or deionized water as a medium and no dispersant, or use another approved method.
  4. Exception to T 59: Leave sample in the oven until foaming ceases, then cool and weigh.
  5. PCE must meet either the kinematic viscosity requirement or the particle size requirement.

**Table 11A**  
**Hard Residue Surface Sealant**

Property	Test Procedure	Min	Max
Viscosity, Krebs unit, 77°F, Krebs units	D 562	45	75
Softening point, °F	<a href="#">Tex-505-C</a> <sup>1</sup>	250	–
Uniformity	D 2939	Pass <sup>2</sup>	
Resistance to heat	D 2939	Pass <sup>3</sup>	
Resistance to water	D 2939	Pass <sup>4</sup>	
Wet flow, mm	D 2939	–	0
Resistance to Kerosene (optional) <sup>5</sup>	D 2939	Pass <sup>6</sup>	
Ultraviolet exposure, UVA-340, 0.77 W/m <sup>2</sup> , 50°C chamber, 8 hr. UV lamp, 5 min. spray, 3 hr. 55 min. condensation, 1,000 hr. total exposure <sup>7</sup>	G 154	Pass <sup>8</sup>	
Abrasion loss, 1.6 mm thickness, liquid only, %	ISSA TB-100	–	1.0
Residue by evaporation, % by weight	D 2939	33	–
Tests on residue from evaporation: Penetration, 77°F, 100 g, 5 sec. Flash point, Cleveland open cup, °F	T 49 T 48	15 500	30
Tests on base asphalt before emulsification Solubility in trichloroethylene, %	T 44	98	–

1. Cure the emulsion in the softening point ring in a 200°F ± 5°F oven for 2 hr.
2. Product must be homogenous and show no separation or coagulation that cannot be overcome by moderate stirring.
3. No sagging or slippage of film beyond the initial reference line.
4. No blistering or re-emulsification.
5. Recommended for airport applications or where fuel resistance is desired.
6. No absorption of Kerosene into the clay tile past the sealer film. Note sealer surface condition and loss of adhesion.
7. Other exposure cycles with similar levels of irradiation and conditions may be used with Department approval.
8. No cracking, chipping, surface distortion, or loss of adhesion. No color fading or lightening.

2.6.

**Recycling Agent.** Recycling agent and emulsified recycling agent must meet the requirements in Table 12. Additionally, recycling agent and residue from emulsified recycling agent, when added in the specified proportions to the recycled asphalt, must meet the properties specified on the plans.

**Table 12**  
**Recycling Agent and Emulsified Recycling Agent**

Property	Test Procedure	Recycling Agent		Emulsified Recycling Agent (ARA-1)		Polymer Modified Emulsified Recycling Agent (ARA-1P)	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol, 77°F, sec.	T 72	–	–	15	100	15	110
Sieve test, %	T 59	–	–	–	0.1	–	0.1
Miscibility <sup>1</sup>	T 59	–		No coagulation			
Residue by evaporation, <sup>2</sup> % by wt.	T 59	–	–	60	–	–	–
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59					60 –	65 2
Penetration of Distillation Residue at 39.2°F, 100 g, 5 sec.	T 49					110	190
Tests on recycling agent or residue from evaporation: Flash point, C.O.C., °F Kinematic viscosity, 140°F, cSt 275°F, cSt	T 48 T 201	400 75 –	– 200 10.0	400 75 –	– 200 10.0	400	–

1. Exception to T 59: Use 0.02 N CaCl<sub>2</sub> solution in place of water.
2. Exception to T 59: Maintain sample at 300°F until foaming ceases, then cool and weigh.

2.7. **Crumb Rubber Modifier.** Crumb rubber modifier (CRM) consists of automobile and truck tires processed by ambient temperature grinding.

CRM must be:

- free from contaminants including fabric, metal, and mineral and other nonrubber substances;
- free-flowing; and
- nonfoaming when added to hot asphalt binder.

Ensure rubber gradation meets the requirements of the grades in Table 13 when tested in accordance with [Tex-200-F](#), Part I, using a 50-g sample.

**Table 13**  
**CRM Gradations**

Sieve Size (% Passing)	Grade A		Grade B		Grade C		Grade D	Grade E
	Min	Max	Min	Max	Min	Max		
#8	100	–	–	–	–	–	As shown on the plans	As approved
#10	95	100	100	–	–	–		
#16	–	–	70	100	100	–		
#30	–	–	25	60	90	100		
#40	–	–	–	–	45	100		
#50	0	10	–	–	–	–		
#200	–	–	0	5	–	–		

2.8. **Crack Sealer.** Provide polymer-modified asphalt-emulsion crack sealer meeting the requirements of Table 14. Provide rubber-asphalt crack sealer meeting the requirements of Table 15.



**Table 14**  
**Polymer-Modified Asphalt-Emulsion Crack Sealer**

Property	Test Procedure	Min	Max
Rotational viscosity, 77°F, cP	D 2196, Method A	10,000	25,000
Sieve test, %	T 59	–	0.1
Storage stability, 1 day, %	T 59	–	1
Evaporation	<a href="#">Tex-543-C</a>		
Residue by evaporation, % by wt.		65	–
Tests on residue from evaporation:			
Penetration, 77°F, 100 g, 5 sec.	T 49	35	75
Softening point, °F	T 53	140	–
Ductility, 39.2°F, 5 cm/min., cm	T 51	100	–

**Table 15**  
**Rubber-Asphalt Crack Sealer**

Property	Test Procedure	Class A		Class B	
		Min	Max	Min	Max
CRM content, Grade A or B, % by wt.	<a href="#">Tex-544-C</a>	22	26	–	–
CRM content, Grade B, % by wt.	<a href="#">Tex-544-C</a>	–	–	13	17
Virgin rubber content, <sup>1</sup> % by wt.		–	–	2	–
Flash point, <sup>2</sup> C.O.C., °F	T 48	400	–	400	–
Penetration, <sup>3</sup> 77°F, 150 g, 5 sec.	T 49	30	50	30	50
Penetration, <sup>3</sup> 32°F, 200 g, 60 sec.	T 49	12	–	12	–
Softening point, °F	T 53	–	–	170	–
Bond Test, non-immersed, 0.5 in specimen, 50% extension, 20°F <sup>4</sup>	D5329	–	–	–	Pass

1. Provide certification that the Min % virgin rubber was added.
2. Agitate the sealing compound with a 3/8- to 1/2 in. (9.5- to 12.7 mm) wide, square-end metal spatula to bring the material on the bottom of the cup to the surface (i.e., turn the material over) before passing the test flame over the cup. Start at one side of the thermometer, move around to the other, and then return to the starting point using 8 to 10 rapid circular strokes. Accomplish agitation in 3 to 4 sec. Pass the test flame over the cup immediately after stirring is completed.
3. Exception to T 49: Substitute the cone specified in D 217 for the penetration needle.
4. Allow no crack in the crack sealing materials or break in the bond between the sealer and the mortar blocks over 1/4 in. deep for any specimen after completion of the test.

- 2.9. **Asphalt-Rubber Binders.** Provide asphalt-rubber (A-R) binders that are mixtures of asphalt binder and CRM, which have been reacted at elevated temperatures. Provide A-R binders meeting D6114 and containing a minimum of 15% CRM by weight. Provide Types I or II, containing CRM Grade C, for use in hot-mixed aggregate mixtures. Provide Types II or III, containing CRM Grade B, for use in surface treatment binder. Ensure binder properties meet the requirements of Table 16.

**Table 16  
A-R Binders**

Property	Test Procedure	Binder Type					
		Type I		Type II		Type III	
		Min	Max	Min	Max	Min	Max
Apparent viscosity, 347°F, cP	D2196, Method A	1,500	5,000	1,500	5,000	1,500	5,000
Penetration, 77°F, 100 g, 5 sec.	T 49	25	75	25	75	50	100
Penetration, 39.2°F, 200 g, 60 sec.	T 49	10	–	15	–	25	–
Softening point, °F	T 53	135	–	130	–	125	–
Resilience, 77°F, %	D5329	25	–	20	–	10	–
Flash point, C.O.C., °F	T 48	450	–	450	–	450	–
Tests on residue from Thin-Film Oven Test:	T 179						
Retained penetration ratio, 39.2°F, 200 g, 60 sec., % of original	T 49	75	–	75	–	75	–

- 2.10. **Performance-Graded Binders.** Provide PG binders that are smooth and homogeneous, show no separation when tested in accordance with [Tex-540-C](#), and meet the requirements of Table 17.

Separation testing is not required if:

- a modifier is introduced separately at the mix plant either by injection in the asphalt line or mixer,
- the binder is blended on site in continuously agitated tanks, or
- binder acceptance is based on field samples taken from an in-line sampling port at the hot-mix plant after the addition of modifiers.

**Table 17**  
**Performance-Graded Binders**

Property and Test Method	Performance Grade																	
	PG 58			PG 64				PG 70				PG 76				PG 82		
	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28
Average 7-day max pavement design temperature, °C <sup>1</sup>	58			64				70				76				82		
Min pavement design temperature, °C <sup>1</sup>	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28
<b>Original Binder</b>																		
Flash point, T 48, Min, °C	230																	
Viscosity, T 316 <sup>2,3</sup> : Max, 3.0 Pa s, test temperature, °C	135																	
Dynamic shear, T 315 <sup>4</sup> : G*/sin(δ), Min, 1.00 kPa, Max, 2.00 kPa <sup>7</sup> , Test temperature @ 10 rad/sec., °C	58			64				70				76				82		
Elastic recovery, D6084, 50°F, % Min <sup>8</sup>	-	-	30	-	-	30	50	-	30	50	60	30	50	60	70	50	60	70
<b>Rolling Thin-Film Oven (Tex-506-C)</b>																		
Mass change, T 240, Max, %	1.0																	
Dynamic shear, T 315: G*/sin(δ), Min, 2.20 kPa, Max, 5.00 kPa <sup>7</sup> , Test temperature @ 10 rad/sec., °C	58			64				70				76				82		
MSCR, T350, Recovery, 0.1 kPa, High Temperature, % Min <sup>8</sup>	-	-	20	-	-	20	30	-	20	30	40	20	30	40	50	30	40	50
<b>Pressure Aging Vessel (PAV) Residue (R 28)</b>																		
PAV aging temperature, °C	100																	
Dynamic shear, T 315: G*/sin(δ), Max, 5,000 kPa Test temperature @ 10 rad/sec., °C	25	22	19	28	25	22	19	28	25	22	19	28	25	22	19	28	25	22
Creep stiffness, T 313 <sup>5,6</sup> : S, max, 300 MPa, m-value, Min, 0.300 Test temperature @ 60 sec., °C	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18
Direct tension, T 314 <sup>6</sup> : Failure strain, min, 1.0% Test temperature @ 1.0 mm/min., °C	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18

- Pavement temperatures are estimated from air temperatures and using an algorithm contained in a Department-supplied computer program, may be provided by the Department, or by following the procedures outlined in AASHTO MP 2 and PP 28.
- This requirement may be waived at the Department's discretion if the supplier warrants that the asphalt binder can be adequately pumped, mixed, and compacted at temperatures that meet all applicable safety, environmental, and constructability requirements. At test temperatures where the binder is a Newtonian fluid, any suitable standard means of viscosity measurement may be used, including capillary (T 201 or T 202) or rotational viscometry (T 316).
- Viscosity at 135°C is an indicator of mixing and compaction temperatures that can be expected in the lab and field. High values may indicate high mixing and compaction temperatures. Additionally, significant variation can occur from batch to batch. Contractors should be aware that variation could significantly impact their mixing and compaction operations. Contractors are therefore responsible for addressing any constructability issues that may arise.
- For quality control of unmodified asphalt binder production, measurement of the viscosity of the original asphalt binder may be substituted for dynamic shear measurements of G\*/sin(δ) at test temperatures where the asphalt is a Newtonian fluid. Any suitable standard means of viscosity measurement may be used, including capillary (T 201 or T 202) or rotational viscometry (T 316).
- Silicone beam molds, as described in AASHTO TP 1-93, are acceptable for use.
- If creep stiffness is below 300 MPa, direct tension test is not required. If creep stiffness is between 300 and 600 MPa, the direct tension failure strain requirement can be used instead of the creep stiffness requirement. The m value requirement must be satisfied in both cases.
- Maximum values for unaged and RTFO aged dynamic shear apply only to materials used as substitute binders, as described in Item 340, "Dense-Graded Hot-Mix Asphalt (Small Quantity)", Item 341, "Dense-Graded Hot-Mix Asphalt, and Item 344, "Superpave Mixtures."
- Elastic Recovery (ASTM D6084) is not required unless MSCR (AASHTO T 350) is less than the minimum % recovery. Elastic Recovery must be used for the acceptance criteria in this instance.

### 3. EQUIPMENT

Provide all equipment necessary to transport, store, sample, heat, apply, and incorporate asphalts, oils, and emulsions.

## 4. CONSTRUCTION

**Typical Material Use.** Use materials shown in Table 18, unless otherwise determined by the Engineer.

**Table 18**  
**Typical Material Use**

Material Application	Typically Used Materials
Hot-mixed, hot-laid asphalt mixtures	PG binders, A-R binders Types I and II
Surface treatment	AC-5, AC-10, AC-15P, AC-20XP, AC-10-2TR, AC-20-5TR, HFRS-2, MS-2, CRS-2, CRS-2H, CRS-2TR, CMS-2P HFRS-2P, CRS-2P, CHFRS-2P, A-R binders Types II and III
Surface treatment (cool weather)	AC12-5TR, RC-250, RC-800, RC-3000, MC-250, MC-800, MC-3000, MC-2400L, CMS-2P
Precoating	AC-5, AC-10, PG 64-22, SS-1, SS-1H, CSS-1, CSS-1H
Tack coat	PG Binders, SS-1H, CSS-1H, EAP&T, TRAIL, EBL
Fog seal	SS-1, SS-1H, CSS-1, CSS-1H, CMS-1P
Hot-mixed, cold-laid asphalt mixtures	AC-0.6, AC-1.5, AC-3, AES-300, AES-300P, CMS-2, CMS-2S
Patching mix	MC-800, SCM I, SCM II, AES-300S
Recycling	AC-0.6, AC-1.5, AC-3, AES-150P, AES-300P, recycling agent, emulsified recycling agent
Crack sealing	SS-1P, polymer mod AE crack sealant, rubber asphalt crack sealers (Class A, Class B)
Microsurfacing	CSS-1P
Prime	MC-30, AE-P, EAP&T, PCE
Curing membrane	SS-1, SS-1H, CSS-1, CSS-1H, PCE
Erosion control	SS-1, SS-1H, CSS-1, CSS-1H, PCE
FDR -Foaming	PG 64-22, FDR EM-SY, FDR EM-HY

- 4.1. **Storage and Application Temperatures.** Use storage and application temperatures in accordance with Table 19. Store and apply materials at the lowest temperature yielding satisfactory results. Follow the manufacturer's instructions for any agitation requirements in storage. Manufacturer's instructions regarding recommended application and storage temperatures supersede those of Table 19.

**Table19**  
**Storage and Application Temperatures**

Type-Grade	Application		Storage Max (°F)
	Recommended Range (°F)	Max Allowable (°F)	
AC-0.6, AC-1.5, AC-3	200–300	350	350
AC-5, AC-10	275–350	350	350
AC-15P, AC-20-5TR, AC12-5TR and AC10-2TR	300–375	375	360
RC-250	125–180	200	200
RC-800	170–230	260	260
RC-3000	215–275	285	285
MC-30, AE-P	70–150	175	175
MC-250	125–210	240	240
MC-800, SCM I, SCM II	175–260	275	275
MC-3000, MC-2400L	225–275	290	290
HFRS-2, MS-2, CRS-2, CRS-2H, HFRS-2P, CRS-2P, CMS-2, CMS-2S, AES-300, AES-300S, AES-150P, AES-300P, CRS-2TR	120–160	180	180
SS-1, SS-1H, CSS-1, CSS-1H, PCE, EAP&T, SS-1P, RS-1P, CRS-1P, CSS-1P, recycling agent, emulsified recycling agent, polymer mod AE crack sealant	50–130	140	140
PG binders	275–350	350	350
Rubber asphalt crack sealers (Class A, Class B)	350–375	400	–
A-R binders Types I, II, and III	325–425	425	425

## 5. MEASUREMENT AND PAYMENT

The work performed, materials furnished, equipment, labor, tools, and incidentals will not be measured or paid for directly but is subsidiary or is included in payment for other pertinent items.

# Special Specification 6001

## Portable Changeable Message Sign



### 1. DESCRIPTION

Furnish, operate, and maintain portable trailer mounted changeable message sign (PCMS) units.

### 2. MATERIALS

Furnish new or used material in accordance with the requirements of this Item and the details shown on the plans. Provide a self-contained PCMS unit with the following:

- Sign controller
- Changeable Message Sign
- Trailer
- Power source

Paint the exterior surfaces of the power supply housing, supports, trailer, and sign with Federal Orange No. 22246 or Federal Yellow No. 13538 of Federal Standard 595C, except paint the sign face assembly flat black.

- 2.1. **Sign Controller.** Provide a controller with permanent storage of a minimum of 75 pre-programmed messages. Provide an external input device for random programming and storage of a minimum of 75 additional messages. Provide a controller capable of displaying up to 3 messages sequentially. Provide a controller with adjustable display rates. Enclose sign controller equipment in a lockable enclosure.
- 2.2. **Changeable Message Sign.** Provide a sign capable of being elevated to at least 7 ft. above the roadway surface from the bottom of the sign. Provide a sign capable of being rotated 360° and secured against movement in any position.
- Provide a sign with 3 separate lines of text and 8 characters per line minimum. Provide a minimum 18 in. character height. Provide a 5 × 7 character pixel matrix. Provide a message legibility distance of 600 ft. for nighttime conditions and 800 ft. for normal daylight conditions. Provide for manual and automatic dimming light sources.
- The following are descriptions for 3 screen types of PCMS:
- **Character Modular Matrix.** This screen type comprises of character blocks.
  - **Continuous Line Matrix.** This screen type uses proportionally spaced fonts for each line of text.
  - **Full Matrix.** This screen type uses proportionally spaced fonts, varies the height of characters, and displays simple graphics on the entire sign.
- 2.3. **Trailer.** Provide a 2 wheel trailer with square top fenders, 4 leveling jacks, and trailer lights. Do not exceed an overall trailer width of 96 in. Shock mount the electronics and sign assembly.
- 2.4. **Power Source.** Provide a diesel generator, solar powered power source, or both. Provide a backup power source as necessary.
- 2.5. **Cellular Telephone.** When shown on the plans, provide a cellular telephone connection to communicate with the PCMS unit remotely.

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**3. CONSTRUCTION**

Place or relocate PCMS units as shown on the plans or as directed. The plans will show the number of PCMS units needed, for how many days, and for which construction phases.

Maintain the PCMS units in good working condition. Repair damaged or malfunctioning PCMS units as soon as possible. PCMS units will remain the property of the Contractor.

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**4. MEASUREMENT**

This Item will be measured by each PCMS or by the day used. All PCMS units must be set up on a work area and operational before a calendar day can be considered measurable. When measurement by the day is specified, a day will be measured for each PCMS set up and operational on the worksite.

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**5. PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Portable Changeable Message Sign." This price is full compensation for PCMS units; set up; relocating; removing; replacement parts; batteries (when required); fuel, oil, and oil filters (when required); cellular telephone charges (when required); software; and equipment, materials, tools, labor, and incidentals.

# Special Specification 6005

## Testing, Training, Documentation, Final Acceptance, and Warranty



### 1. DESCRIPTION

Perform or furnish testing, training, documentation, final acceptance, and warranty on the applicable equipment or systems.

### 2. TESTING

Unless otherwise shown on the plans, perform the following tests on the applicable equipment or systems.

- 2.1. **Test Procedures Documentation.** Provide 5 copies of the test procedures and blank data forms 60 days prior to testing for each test required on this project. Include the sequence of the tests in the procedures. The Engineer will approve test procedures prior to submission of equipment for tests. Conduct all tests in accordance with the approved test procedures.

Record test data on the data forms, as well as quantitative results. Ensure the data forms are signed by an authorized representative (company official) of the equipment manufacturer. Submit 1 copy of the completed and signed data forms for acceptance or rejection of the test or equipment.

- 2.2. **Design Approval Test.** Conduct a Design Approval Test on randomly selected units from the prototype design manufacturing run. If only 1 design prototype is manufactured, perform this test on that unit. If supplying multiple types of the equipment, provide and test a sample of each type.

Certification from an independent testing laboratory of a successfully completed Design Approval Test is acceptable. Ensure that the testing by this laboratory is performed in accordance with the requirements of this specification. Failure of independent tests to comply with the requirements of this specification will be grounds for rejection of any certification.

Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests. Perform the following tests:

- 2.2.1. **Power Service Transients.** The equipment must meet the performance requirements, specified in the parent specification, when subjected to the power service transients as specified in Section 2.2.7.2, "Transient Tests (Power Service)" of the NEMA TS 2 standard, latest edition.

- 2.2.2. **Temperature and Condensation.** The equipment must meet the performance requirements, specified in the parent specification, when subjected to the following conditions in the order specified below:

- Stabilize the equipment at -30°F and test as specified in Sections 2.2.7.3., "Low-Temperature Low-Voltage Tests" and 2.2.7.4., "Low-Temperature High-Voltage Tests" of the NEMA TS 2 standard, latest edition.
- Allow the equipment to warm up to room temperature in an atmosphere having relative humidity of at least 40%. Operate the equipment for 2 hr., while wet, without degradation or failure.
- Stabilize the equipment at 165°F and test as specified in Sections 2.2.7.5., "High-Temperature High Voltage Tests" and 2.2.7.6, "High-Temperature Low-Voltage Tests" of the NEMA TS 2 standard, latest edition.



- 2.2.3. **Relative Humidity.** The equipment must meet the performance requirements, specified in the parent specification, within 30 min. of being subjected to a temperature of 165°F and a relative humidity of 18% for 48 hr.
- 2.2.4. **Vibration.** The equipment must show no degradation of mechanical structure, soldered components, or plug-in components and must operate in accordance with the manufacturer's equipment specifications after being subjected to the vibration tests as described in Section 2.2.8, "Vibration Test," of the NEMA TS 2 standard, latest edition.
- 2.2.5. **Power Interruption.** The equipment must meet the performance requirements, specified in the parent specification, when subjected to nominal input voltage variations as specified in Section 2.2.10, "Power Interruption Test," of the NEMA TS 2 standard, latest edition.
- 2.3. **Demonstration Test.** Conduct a Demonstration Test on applicable equipment at an approved Contractor facility. Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests. Perform the following tests:
- 2.3.1. **Examination of Product.** Examine each unit carefully to verify that the materials, design, construction, markings and workmanship comply with the requirements of the parent specification.
- 2.3.2. **Continuity Tests.** Check the wiring to determine conformance with the requirements of the appropriate paragraphs in the parent specification.
- 2.3.3. **Operational Test.** Operate each unit for at least 15 min. to permit equipment temperature stabilization and an adequate number of performance characteristics to ensure compliance with the requirements of the parent specification.
- 2.4. **Stand-Alone Tests.** Conduct a Stand-Alone Test for each unit after installation. The test must exercise all stand-alone (non-network) functional operations. Notify the Engineer 5 working days before conducting this test. The Department may witness all the tests.
- 2.5. **System Integration Test.** Conduct a System Integration Test on the complete functional system. Demonstrate all control and monitor functions for each system component for 72 hr. Supply 2 copies of the System Operations manual before the System Integration Test. Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests.
- 2.6. **Final Acceptance Test.** Conduct a Final Acceptance Test on the complete functional system. Demonstrate all control, monitor, and communication requirements for 90 days. The Engineer will furnish a Letter of Approval stating the first day of the Final Acceptance Test. The completion of the Final Acceptance Test occurs when system downtime due to mechanical, electrical, or other malfunctions to equipment furnished or installed does not exceed 72 hr. and any individual points of failure identified during the test period have operated free of defects as required in Section 2.7.5., "Consequences of Final Acceptance Test Failure."
- 2.7. **Consequences of Test Failure.** If a unit fails a test, submit a report describing the nature of the failure and the actions taken to remedy the situation prior to modification or replacement of the unit. If a unit requires modification, correct the fault and then repeat the test until successfully completed. Correct minor discrepancies within 30 days of written notice to the Engineer. If a unit requires replacement, provide a new unit and then repeat the test until successfully completed. Major discrepancies that will substantially delay receipt and acceptance of the unit will be sufficient cause for rejection of the unit.
- If a failure pattern develops in similar units within the system, implement corrective measures, including modification or replacement of units, to all similar units within the system as directed. Perform the corrective measures without additional cost or extension of the contract period.
- 2.7.1. **Consequences of Design Approval Test Failure.** If the equipment fails the Design Approval Test, correct the fault and then repeat the Design Approval Test until successfully completed.

- 2.7.2. **Consequences of Demonstration Test Failure.** If the equipment fails the Demonstration Test, correct the fault and then repeat the Demonstration Test until successfully completed.
- 2.7.3. **Consequences of Stand-Alone Test Failure.** If the equipment fails the Stand-Alone Test, correct the fault and then repeat the Demonstration Test until successfully completed.
- 2.7.4. **Consequence of System Integration Test Failure.** If the equipment fails the System Integration Test, correct the fault and then repeat the Systems Integration Test until successfully completed.
- 2.7.5. **Consequences of Final Acceptance Test Failure.** If a defect within the system is detected during the Final Acceptance Test, document and correct the source of failure. Once corrective measures are taken, monitor the point of failure until a consecutive 30 day period free of defects is achieved.

If after completion of the initial test period, the system downtime exceeds 72 hr. or individual points of failure have not operated for 30 consecutive days free of defects, extend the test period by an amount of time equal to the greater of the downtime in excess of 72 hr. or the number of days required to complete the performance requirement of the individual point of failure.

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### 3. TRAINING

When required on the plans, provide a minimum of 24 hr. of instruction to 10 designated personnel in the operation and maintenance procedures of equipment or systems installed. Provide the training during installation, testing, and integration. Provide the training through practical demonstrations, seminars, and other related technical procedures.

Furnish a training session agenda, a complete set of training material (manuals and schematics), and the names and qualifications of proposed instructors for approval 60 days before the training. Provide a training location. Provide 1 copy of the course material for each person. Provide training in the following areas of interest and as shown on the plans:

- The "Hands-on" operation for each type of equipment.
- Explanation of all system commands, their function and usage.
- Required preventative maintenance procedures.
- All equipment servicing procedures.
- System "troubleshooting"/problem identification procedures.

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### 4. DOCUMENTATION

Provide "as-built" documentation for the entire system and all of its individual components. Supply one (1) 11 in. x 17 in. reproducible copy of the wiring diagrams. Supply three (3) copies of the following in a manual for each equipment component:

- Complete and accurate schematic diagrams.
- Complete and accurate cabinet, enclosure, and building wiring diagrams.
- Complete installation procedures.
- Complete performance specifications (functional, electrical, mechanical and environmental) on the unit.
- Complete parts list including names of vendors for parts not identified by universal part numbers such as JEDEC, RETMA, or EIA.
- Pictorial of component layout on circuit board.
- Complete maintenance and trouble-shooting procedures.
- Complete stage-by-stage explanation of circuit theory and operation.
- Complete and detailed system operations manuals.

Furnish additional information as shown on the plans.

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**5. FINAL ACCEPTANCE**

Final acceptance is made when all work is complete, the system has successfully completed all test requirements, and the Engineer, in writing, accepts all work for the work locations in the Contract in accordance with Article 5.12., "Final Acceptance." Final acceptance relieves the Contractor from further Contract responsibilities.

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**6. WARRANTY**

Guarantee equipment furnished and installed to perform according to the manufacturer's published specifications. Warrant equipment against defects or failure in design, materials, and workmanship in accordance with the manufacturer's standard warranty. Supply equipment with no less than 95% of the manufacturer's warranty remaining on the date that equipment invoices are submitted for final payment. Any equipment with less than 95% warranty remaining will be rejected.

The Contractor will warrant or guarantee all such electronic, electrical, and mechanical equipment, materials, technical data, and products furnished and installed for a period of 1 yr. after final acceptance of the project by the Department. The Contractor's warranty or guarantee must provide for the "on-site" repair or replacement, at the Contractor's option, within 2 working days and at no cost to the Department.

Once the Contractor's warranty or guarantee expires, assign to the Department any manufacturer's standard warranty or guarantee coverage still remaining on all such electronic, electrical, and mechanical equipment, materials, technical data, and products furnished for and installed on the project. Repair or replace defective equipment, at the manufacturer's option, at no cost to the Department.

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**7. MEASUREMENT AND PAYMENT**

The work performed, materials furnished, equipment, labor, tools, and incidentals will not be measured or paid for directly but will be considered subsidiary to bid items of the Contract.

# Special Specification 6006

## Electronic Components



### 1. DESCRIPTION

Use electronic components to manufacture electronic equipment.

### 2. MATERIALS AND CONSTRUCTION METHODS

Use electronic components that comply with Electronic Industries Association (EIA) and Joint Electronic Device Engineering Council (JEDEC) Specifications. Provide industry standard electronic components available from several manufacturers. When special monolithic integrated circuits are necessary for cost-effective designs, waiving the multi-source requirements will be as directed.

Design the electronic circuitry to ensure an adjustment range from normal adjustment settings of variable components. Provide a range of adjustment to compensate for composite variations in the associated circuitry due to changes in part values during the normal or specified life of the device. Ensure the range of adjustment can compensate for variations in replacement parts within the specified tolerances. Unless otherwise shown on the plans, design the components to be under operating conditions 24 hr. a day for 10 yr. Derate electronic components by 20% with regard to ambient temperature, applied voltage, and power dissipation.

On electronic components weighing more than 2 oz., use supports other than the component's pins or electrical connectors. Solder electronic components of 2 or more leads in place. Mark the circuit reference symbol next to the component.

Meet the above requirements and satisfy the following specific requirements for the different components:

- 2.1. **Capacitors.** Provide industrial grade capacitors. Insulate the capacitors. Mark capacitors with their capacitance value, working voltage, and polarity.

Provide capacitor encasements resistant to cracking, peeling, and discoloration due to humidity and changes in temperature. Provide electrolytic capacitors capable of operating at least 185°F. Do not use electrolytic capacitors of less than 1.0 microfarad.

Use a clamp or fastener to support a capacitor to avoid damage by shock or vibration. Use a capacitor with a specific ripple or AC voltage rating, if possibly subjected to a ripple voltage in excess of 10% of the actual DC voltage across the capacitor. Use an aluminum electrolytic capacitor only when continually energized.

- 2.2. **Diodes.** If low forward drop is required in logic circuit applications, furnish justification for use of Germanium diodes prior to incorporation in the design. Mark diodes with the JEDEC part number, using an industry approved color code or clearly legible printing. Indicate the diode polarity on the diode case by the use of the diode symbol, by the 360° band on the cathode end, or by the shape of case.

- 2.3. **Indicators.** Use solid-state (LED) indicators with a useful life at least 25,000 hr.

- 2.4. **Integrated Circuits.** Print the manufacturer's part number and any information required to install the integrated circuit assembly upon the package. Test integrated circuits with at least 1 test from each group below:

- 2.4.1. **Group 1:**
- Stabilization Bake
  - Temperature Cycling
  - Power Burn-in
- 2.4.2. **Group 2:**
- Functional test with the device at the manufacturer's maximum specified temperature
  - Static and dynamic test per manufacturer's data sheet
- 2.5. **Potentiometers and Rheostats.** Use industrial grade potentiometers. Use potentiometers with a power rating at least 100% greater than the maximum power requirements of the circuit.
- 2.6. **Printed Circuit Boards.**
- 2.6.1. **Design, Fabrication and Mounting.** Use NEMA Grade G-10 glass epoxy or equivalent for printed circuit boards (refer to NEMA Publications No. L1 1-1982, Industrial Laminated Thermosetting Products). Provide a nominal thickness of 1/32 in. for circuit boards not exceeding 2 in. in any dimension. Provide a nominal thickness of 1/16 in. for circuit boards exceeding 2 in. in any dimension.
- Coat the printed circuit board assembly with a protective coating to combat mildew, moisture, and fungus. Plate the through holes that carry electrical connections from one side of the board to the other. Use 1 oz. per square foot of copper to plate through holes. Use non-corrosive material for electrical mating surfaces.
- Design and fabricate printed circuit boards and the mounting of parts and assemblies in accordance with MIL-STD-275 (latest revision) except as follows:
- Mount semiconductor devices on spacers or transpads if the device dissipates more than 250 mW or if the case temperature will rise 20°F above ambient.
  - Remove residual flux from the printed circuit board.
  - Provide a resistance between any 2 isolated, independent conductor paths of at least 100 megohms when a 500 VDC potential is applied.
- Mark operating circuit components mounted on the circuit boards. Reference the identifying characters to their respective components in the schematic diagram and in the parts list.
- 2.6.2. **Soldering.** Hand solder in accordance with MIL-STD-55110. Use of automatic flow soldering is acceptable.
- 2.7. **Relays.** Install diodes across the coils for transient suppression in DC relays. Provide replaceable relays that do not require special tools for replacement.
- 2.8. **Resistors.** Use fixed composition insulated resistors in accordance with the performance requirements of MIL-R-11. Provide industrial grade resistors with a 15-yr. design life. Mark with their resistance value, using EIA color codes or industry approved marking technique.
- Use resistors with a 10% tolerance or better and a resistance variation of no more than 5% over the temperature range 0°F to 165°F. Do not use resistors with a power rating greater than 2 W unless special ventilation or heat sinking is provided. Insulate these resistors from the printed circuit board.
- 2.9. **Transistors.** Use JEDEC registered transistors. Mark the JEDEC part number on the case. Designate the emitter or collector by use of an industry approved marking technique.
- 2.10. **Transformers.** Mark transformers with the manufacturer's part number on the case or frame, using a Radio-Electronics-Television Manufacturers Association (RETMA) color code or numbered in a manner to facilitate proper installation.

2.11. **Switches.** Derate switch contacts 50% from their maximum current ratings.

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**3. MEASUREMENT AND PAYMENT**

The work performed, materials furnished, equipment, labor, tools, and incidentals will not be measured or paid for directly, but will be subsidiary to the bid items of the Contract.

# Special Specification 6010

## Closed Circuit Television (CCTV) Field Equipment



### 1. DESCRIPTION

Furnish, install, relocate, or remove closed circuit television (CCTV) field equipment at locations shown on the plans, or as directed.

### 2. MATERIALS

2.1. **General Requirements.** Fabricate, provide, assemble, and install materials that are new, corrosion resistant and in strict accordance with the details shown on the plans and in the specifications.

Provide CCTV field equipment that is compatible with software currently in operation in order to interface with the existing equipment and software located in the Department's Traffic Management Control (TMC) Centers across the state.

CCTV field equipment to include the following:

- color video camera units,
- camera lenses, filters, control circuits and accessories,
- camera housing,
- medium duty pan and tilt units with click and drag position control,
- camera control receivers,
- local field control unit (if required for operation),
- video and camera control and power cable connectors and assemblies,
- video, data, and power surge suppression, and
- built-in ID generator.

2.2. **Functional Requirements for Analog CCTV.** Provide color video cameras that are solid state design and that meet the following functional requirements:

2.2.1. **General.**

2.2.1.1. **Digital Signal Processing (DSP):**

- digital zoom with manual override functionality,
- auto and manual iris control,
- auto and manual exposure control with built in frame buffer,
- auto and manual focus control, and
- built-in ID generator, with white letters on black outline minimum or approved equivalent.

2.2.1.2. **Image Pickup Device.** Single chip interline transfer solid state color matrix charge-coupled device (CCD) or complementary metal-oxide semiconductor (CMOS) sensor. Provide a sensor having a minimum of 752 (H) X 480 (V) effective pixels.

2.2.1.3. **Resolution.** Greater than 350 lines vertical and greater than 460 lines horizontal, interlaced 2:1, measured per EIA-170A Standard. No discernible interlace jitter or line pairing on the viewing monitor. System limiting resolution that conforms to FCC regulations for broadcast signals.

2.2.1.4. **Frame Rate.** Adjustable frame rate frequency up to 30 frames per second.

- 2.2.1.5. **Encoded NTSC Video Signal Format.** Conformance to the National Television Standards Committee (NTSC) specification and produce NTSC compatible video in accordance with EIA-170A Standard, governed by the Electronic Components Association (ECA), for video output 1 V p-p composite also known as 140 IRE units per Institute of Radio Engineers (IRE). Provide up to 16 dB automatic gain control (AGC).
- 2.2.1.6. **Output Impedance.** 75 ohms  $\pm$  5%.
- 2.2.1.7. **Aspect Ratio.** Width to height aspect ratio of 4:3.
- 2.2.1.8. **Image Quality.** Ability to produce clear, free from distortion, usable video images of the areas, vehicles, objects, and other subjects visible from a roadside CCTV site. Ensure that video produced by the camera is true, accurate, distortion free, and free from transfer smear, oversaturation, and any other image defect that negatively impacts image quality under all lighting and weather conditions in both color and monochromatic modes.
- 2.2.1.9. **Over Exposure Protection.** Minimize glare and incur no permanent damage to the camera when pointed directly at strong light sources, including the sun, for brief periods of time.
- 2.2.1.10. **Geometric Distortion.** Zero.
- 2.2.1.11. **Signal to Noise Ratio (AGC Off).** 50 dB Minimum (weighted at 4.5 MHz).
- 2.2.1.12. **Electronic Shutter Speed.** Automatic shutter that is user selectable down to at least 1/10,000 sec.
- 2.2.1.13. **Electronic Image Stabilization.** User selectable on or off electronic image stabilization at 5 Hz and 10 Hz minimum.
- 2.2.1.14. **Day (Color) and Night (Mono).** Auto and manual switchover and iris control with user selectable modes for auto and manual control capabilities.
- 2.2.1.15. **Auto White Balance.** Color quality that is maintained by a continuous through the lens automatic white balance for color temperatures from 2850 K to greater than 5100 K with less than 10 IRE units unbalance.
- 2.2.1.16. **Inverted Operation.** Automatic or manual activation image inversion or "flip" operation when rotating through 0° or 180° vertical tilt positions.
- 2.2.1.17. **Mean Time Before Failure.** A minimum of 43,800 hr. or 5 yr. without mechanical malfunction or failure. Act of God failures are exempt.
- 2.2.2. **Lens.** Provide an integral lens assembly for each camera with the following features:
- an f/1.6 or better glass multi-coated zoom lens with variable focal lengths with a minimum 30X zoom range,
  - 10X auto and manual digital zoom minimum, and
  - automatic and manual focus and iris control.
- Provide lenses with capabilities for remote control of the zoom, focus, and iris operations. Mechanical or electrical means provided to protect the motors from overrunning in extreme positions. Lens and controller system capable of both auto iris and remote manual iris operation. Capabilities of lens for auto and manual zoom and focus control. Motorized iris as opposed to auto iris type, for system control capability.
- 2.2.3. **Network Interface Requirements.** Provide equipment that is compatible with the Department's Lonestar™ software and can be integrated into the Department's TMC CCTV control sub-systems through NTCIP 1205 Version 1.08 or latest Department approved version, Open Network Video Interface Forum (ONVIF), or approved equal. Support Cohu, Pelco D, Pelco P protocols, or approved equal for control.



Provide equipment that is compatible with other devices using Telecommunications Industry Association/Electronic Industries Alliance (TIA/EIA)-232 or EIA-422/485 at a rate of 9600 bps.

Provide camera equipment that supports local and remote configuration and management. Configuration and management functions must include access to all user-programmed features, including but not limited to, network configuration, video settings, device monitoring, control setting, and security functions. Configuration and management is achieved through serial login, telnet login, web-based interface, or manufacturer software. Provide manufacturer software with camera for local configuration, system maintenance and management control.

- 2.3. **Functional Requirements for Digital CCTV.** Provide color video cameras that produce digital video in standard definition or high definition that meet the following functional requirements:
- 2.3.1. **General.**
- 2.3.1.1. **Digital Signal Processing (DSP):**
- digital zoom,
  - auto and manual iris control,
  - auto and manual exposure control with built in frame buffer,
  - auto and manual focus control, and
  - built-in ID generator, with white letters on black outline minimum or approved equivalent.
- 2.3.1.2. **Image Pickup Device.** 1.2 megapixel (1,200,000 pixels), or better, progressive scan digital CCD or CMOS sensor.
- 2.3.1.3. **Resolution.** Support the following resolutions:
- 720p (1280 x 720 pixel array),
  - D1 (720 x 480 pixel array),
  - CIF (352 x 240 pixel array), and
  - VGA (640 x 480 pixel array) at a minimum dependent on video stream configuration.
- 2.3.1.4. **Frame Rate.** Allow user selectable frame rates at 30, 15, 7, 4, 2, and 1 frames per second.
- 2.3.1.5. **Data Rate.** Scalable from 64 kbps to 8 Mbps
- 2.3.1.6. **Video Stream Format.** Allow simultaneous encoding and transmission, of a minimum, two configurable digital video streams in conformance with the Moving Picture Experts Group's MPEG-4 part 10 (H.264) and Motion JPEG (MJPEG) video compression technology in accordance with the ISO and IEC requirements detailed in the ISO/IEC 14496-10 standard or most current version. Support configuration of the following at a minimum:
- H.264,
  - MJPEG,
  - H.264 + H.264, and
  - H.264 + MJPEG.
- 2.3.1.7. **Video Stream.** Support both uni-cast (one-to-one) and multi-cast (one-to-many).
- 2.3.1.8. **Aspect Ratio.** Support width to height aspect ratio of 4:3 or 16:9 dependent on TMC monitor video format functionality.
- 2.3.1.9. **Image Quality.** Ensure that video produced by the camera is true, accurate, distortion free, and free from transfer smear, oversaturation, and any other image defect that negatively impacts image quality under all lighting and weather conditions in both color and monochromatic modes.

- 2.3.1.10. **Wide Dynamic Range (WDR).** Operation with manual override option.
- 2.3.1.11. **Over Exposure Protection.** Minimize glare and incur no permanent damage to the camera when pointed directly at strong light sources, including the sun, for brief periods of time.
- 2.3.1.12. **Geometric Distortion.** Zero.
- 2.3.1.13. **Signal to Noise Ratio (AGC Off).** 50 dB minimum (weighted at 4.5 MHz).
- 2.3.1.14. **Electronic Shutter Speed.** Automatic shutter that is user selectable down to at least 1/10,000 sec.
- 2.3.1.15. **Electronic Image Stabilization.** User selectable on or off electronic image stabilization at 5 Hz and 10 Hz minimum.
- 2.3.1.16. **Day (Color) and Night (Mono).** Auto and manual switchover and iris control with user selectable modes for auto and manual control capabilities.
- 2.3.1.17. **Auto White Balance.** Color quality that is maintained by a continuous through the lens automatic white balance for color temperatures from 2850 K to greater than 5100 K with less than 10 IRE units unbalance.
- 2.3.1.18. **Inverted Operation.** Automatic image inversion or "flip" when rotating through 0° or 180° vertical tilt positions when not an integrated unit.
- 2.3.1.19. **Mean Time Before Failure.** A minimum of 43,800 hr. or 5 yr. without mechanical malfunction or failure. Act of God failures are exempt.

2.3.2. **Lens.** Provide an integral lens assembly for each camera with the following features:

- an f/1.6 or better glass multi-coated zoom lens with variable focal lengths with a minimum 18X zoom range,
- 10X auto and manual digital zoom minimum, and
- automatic and manual focus and iris control.

Provide lenses with capabilities for remote control of the zoom, focus, and iris operations. Mechanical or electrical means provided to protect the motors from overrunning in extreme positions. Lens and controller system capable of both auto iris and remote manual iris operation. Capabilities of lens for auto and manual zoom and focus control. Motorized iris as opposed to auto iris type, for system control capability.

2.3.3. **Network Interface Requirements.**

Provide CCTV field equipment that can integrate with the Department's Lonestar™ software and can be integrated into the Department's TMC CCTV control sub-systems through NTCIP 1205 Version 1.08 or higher, Open Network Video Interface Forum (ONVIF), or approved equal. Support CoVu, Pelco D or Pelco P protocols, or approved equal for control.

Provide camera equipment with a Local Area Network (LAN) connection that supports the requirements detailed in the IEEE 802.3 Standard for 10/100 Ethernet connections for half-duplex or full-duplex and provide auto negotiation. Provide equipment with a minimum of 1 Ethernet port, which has a 10/100 Base-TX connection. Provide connectors that conform to EIA and TIA requirements.

Support, at a minimum, RTP, RTSP, UDP/IP, TCP/IP, IPv4, HTTP, IGMPv2, DHCP, NTP, IEEE 802.1x, Ethernet 802.3u, and Telnet.

Provide camera equipment that supports local and remote configuration and management. Configuration and management functions must include access to all user-programmed features, including but not limited to, network configuration, video settings, device monitoring, control setting, and security functions. Configuration

and management is achieved through serial login, telnet login, web-based interface, or manufacturer software. Provide manufacturer software with camera for local configuration, system maintenance and management control.

- 2.4. **Cable Assembly.** Provide camera power and communication cable assembly equipped with cables used for video feed, camera control including PTZ function, communications signaling, and power supply. Camera power and communication cable can be configured as a composite cable or series of isolated cables. The following cable functions may be required depending on the data and video communication interface requirements, as shown on the plans.
- 2.4.1. **Serial.** Provide shielded twisted pair serial based communication cable rated for outdoor use in conformance to EIA RS-232/422/485 Standards, governed by the Electronic Components Association (ECA). Provide serial based conversion hardware, if necessary, to achieve this function.
- 2.4.2. **Video.** Provide coaxial cable, rated for outdoor use, between the camera and the communications equipment interface that is a mid-range RG-59/U type with a solid center conductor with 100% shield coverage, with a cellular polyethylene dielectric, or a cable as recommended by the manufacturer of the CCTV field equipment.
- 2.4.3. **Ethernet.** Provide a shielded twisted pair (STP) Category 5E (or equivalent) at a minimum rated for outdoor use in conformance to TIA/EIA 568B Standard. Cable must not exceed an attenuation of 30 dB per 300 ft. of cable at 100 MHz.
- 2.4.4. **Power.** Provide 3-wire, insulated for 300 V minimum, 115 VAC or 24 VAC power cabling between the camera and the power supply. If 24 VAC power is required, provide needed power supply conversion equipment.

Power may be achieved through Power over Ethernet (PoE) through a power supply or mid-span PoE injector, to be subsidiary to the camera unit, and must conform to the IEEE 802.3af or IEEE 802.3at standard or latest revision.

Provide power and communication cable assembly the entire length of the camera support structure from the camera to the cabinet with an additional 25 ft. of slack in the cabinet. Determine the appropriate length required for each site. The cable assembly is subsidiary to the camera unit.

Provide any necessary data, video, or power conversion hardware necessary to successfully integrate the camera unit into the field equipment cabinet hardware components and onto the communications backbone.

- 2.5. **Video Encoding Interoperability.** Digital video encoders and decoders are necessary to convert the analog signal to digital, transport digital packets via UDP/IP over fiber optic, copper Ethernet, wireless, or leased line networks and convert the digital packets back to an analog signal for viewing on a display monitor. Video encoding and decoding equipment may be achieved through software or hardware means. Ensure camera's encoded video is interoperable with hardware and software decoders from other manufacturers. Ensure the camera's encoded video can be decoded by a minimum of two other manufacturer's software or hardware decoders that are currently in use by the Department. Contact the Department for decoders supported prior to procurement of camera unit.

- 2.6. **Camera Housing.** Provide camera housing assembly and hardware material that reflects sunlight.

Provide camera housing with a sunshield to reduce the solar heating of the camera. The total weight of the camera (including housing, sunshield, and all internal components) must not exceed 35 lb.

Construct viewing window in such a way that unrestricted camera views can be obtained at all camera and lens positions.

Provide gaskets at cable entry point to the camera housing to prevent moisture or dust entry.

When shown on the plans or identified in the general notes, provide heating or cooling functionality with temperature sensors to maintain internal temperatures within the manufacturer required operating temperature range.

- 2.7. **Pan-Tilt Unit.** Furnish and install a medium duty anodized aluminum weatherproof pan-tilt-unit at each camera site, conforming to National Electrical Manufacturer's Association (NEMA) 4X and IP-66 rating or better, when not integral to the camera unit and housing. Provide mounting adapter and required attachment hardware to install the pan-tilt-unit to the pole or mounting bracket. Identify the type of mounting bracket and bolt pattern on shop drawings.

Provide a unit capable of a minimum of 180° vertical range of movement and horizontal movement of 360°, full, continuous rotation movement.

Provide a unit that has a pan and tilt speed of 20° per second minimum and is user adjustable through the full speed range. Unit must be capable of simultaneous pan-tilt movements with variable pan-tilt positioning control allowing variable speeds that are proportional through the zoom range.

Provide pan-tilt unit with a drive accuracy and drive repeatability of less than 1° and has an automatic pre-position speed of 120° per second minimum to a user defined preset position that is user adjustable.

Provide a pan-tilt unit, when not integral to the camera housing, capable of maintaining static position and does not move by more than 1.0° in any direction in speeds greater than 35 mph.

Ensure that the pan-tilt unit has seals and gaskets to protect the motors, gears, and cables and that the seals and gaskets are resistant to ozone, ultraviolet radiation, and other pollutants inherent to all local environmental conditions.

When shown on the plans or identified in the general notes, provide pan-tilt unit with heater that conforms to NEMA 4X standard when not integral to the camera unit and housing.

- 2.8. **Preset Functions.** Provide a camera unit capable of storing a minimum 62 presets for pan, tilt, zoom, and focus settings.

Provide a camera unit capable of user programmable tours with a minimum of 4 tours of up to 32 presets per tour. Any tours may be programmed for panning tours.

Provide a camera unit capable of user programmable sector zones with a minimum of 8 zones allowing right and left pan limitations.

Provide a camera unit capable of user programmable privacy zones with a minimum of 8 zones. Capable of click and drag position control through software.

- 2.9. **Control Receivers.** Provide a camera unit with an integrated camera control receiver, unless otherwise directed, that will execute all camera and lens functions as well as forward communication of commands for the pan-tilt functions to the pan-tilt control receiver. Mount the pan-tilt control receiver inside the pan-tilt unit.

The control receiver receives the data from the camera controller, it decodes the digital command data signals transmitted through the communication transmission interface, checks for errors, and acts on valid data to drive the pan-tilt unit and the camera controls.

Local field control is achieved through compatible control software on a laptop or through local control unit hardware located inside the field cabinet that can be EIA 19 in. rack or shelf mountable. Document that the camera control receiver and pan-tilt control receiver will execute all camera, lens, and pan-tilt functions through a laptop interface or through use of the local control unit hardware. Provide local control unit hardware only when shown on the plans or identified in the general notes.

- 2.10. **Connectors.** Provide and install connectors that are compatible with the communications equipment interfaces identified in Article 2.3.3 and Article 2.4. Supply all mating connectors. Provide all connector pins and mating connectors that are plated to achieve good electrical connection and resistance to corrosion.
- 2.11. **Source ID Generator.** Use a built-in ID Generator to insert camera ID over each of the camera-generated videos.
- Provide a minimum of 2 lines of alpha numeric, case specific, text supporting a minimum of 20 ASCII characters per line, with a minimum character height of 20 pixels, that is user programmable for displaying any combination of ID information consisting of camera, preset, privacy mask, low pressure warning, compass, and time and date at a minimum.
- Allow user selectable location of text to be displayed on the video image at the extreme top or bottom. Text display on the side of the image display prohibited .
- Automatically display the programmed ID with its associated video signal that can be turned on or off by user command.
- In the event of loss of signal or video signal failure, ID Generator automatically passes through failure message to display over video.
- Submit list of available text displays to the Department as part of documentation requirements.
- 2.12. **Cabinet Installation.** Install video communication equipment in a pole mounted equipment cabinet or in a ground mounted equipment cabinet as shown on the plans. Meet the following criteria:
- Contains all the lightning protection devices for data and video.
- Grounded to earth ground.
- Provide connectors for all inputs and outputs for data and video and additional ports for testing video and communications. Use the external connectors for testing and for connections to communication devices.
- 2.13. **Surge Protection.** Provide surge protection for the camera meeting the following requirements:
- mounting adapter – Electrically bonded to mounting structure,
  - pan-tilt mechanism – Electrically bonded to mounting adapter,
  - camera housing – Electrically bonded to pan-tilt mechanism, and
  - power and control cable surge protector – Integrated into cabinet surge protection system.
- 2.14. **Power Requirements.** Provide CCTV field equipment meeting all of its specified requirements when the input power is 115 VAC  $\pm$  20%, 60 Hz  $\pm$  3 Hz, and that maximum power required does not exceed 200 W including optional equipment.
- Provide appropriate voltage conversion, power injectors, or other power supply hardware if the camera equipment or any camera-related ancillary devices requires operating voltages other than 115 VAC  $\pm$  20%, such as 24 VAC, 12 VDC from solar power systems, or rely on PoE. Appropriate voltage converters or injectors must accept an input voltage of 115 VAC or 12 VDC from solar power systems as shown on the plans.
- 2.15. **Primary Input Power Interruption.** Provide CCTV field equipment that meets all the requirements in Section 2.1.4., "Power Interruption" of the NEMA Standard TS2 for Traffic Control System, or most current version.
- 2.16. **Power Service Transients.** Provide CCTV Field Equipment that meets the requirements for Section 2.1.6., "Transients, Power Service" of the NEMA Standard TS2, or most current version.

- 2.17. **Power Service Protection.** Provide equipment that contains readily accessible, manually resettable or replaceable circuit protection devices (such as circuit breakers or fuses) for equipment and power source protection. Provide circuit breakers or fuses sized appropriately such that no wire, component, connector, PC board or assembly is subjected to current loads in excess of their respective design limits upon failure of any single circuit element or wiring.
- 2.18. **Modular Design.** Provide CCTV field equipment hardware installed inside the cabinet that is modular in design that can be either shelf mountable or EIA 19 in. rack mountable. Clearly identify modules and assemblies with name, model number, serial number and any other pertinent information required to facilitate equipment maintenance.
- 2.19. **Connectors and Harnesses.** Make all external connections by means of connectors that are uniquely keyed to preclude improper hookups. Color-code and appropriately label with UV resistant material all wires to and from the connectors. Provide connecting harnesses of appropriate length and terminated with matching connectors for interconnection with the communications system equipment. Provide plated pins and mating connectors to improve conductivity and are corrosion resistant. All connectors utilizing solder type connections must have each soldered connection covered by a piece of heat shrink tubing securely shrunk to protect the connection for short circuiting.
- Provide a wiring diagram detailing wire function and connector pin-out.
- 2.20. **Environmental Design Requirements.** Provide equipment that conforms to NEMA TS2-2003 (R2008), International Electrotechnical Commission (IEC) 60529, and NEMA 250-2008, or most current version, for the following categories:
- 2.20.1. **Temperature.** Provide equipment that conforms to NEMA TS2 Section 2.1.5.1, or latest revision, and meets all the specified requirements during and after being subjected to any combination of the following conditions:
- ambient temperature range of -30 to 165°F,
  - temperature shock not exceeding 30°F per hour,
  - relative humidity of 0 to 100%,
  - moisture condensation on all exterior surfaces caused by temperature changes, and
  - provisions for a heater and blower function will be required to maintain internal temperatures within the manufacturer's operating temperatures for temperature ranges internal to the camera unit not conforming to NEMA TS2 Standard 2.1.5.1.
- 2.20.2. **Vibration.** Provide equipment that conforms to NEMA TS2 Section 2.1.9 and Section 2.2.3, or most current version, and meets all the specified requirements during and after being subjected to a vibration of 5 to 30 Hz up to 0.5 g applied in each of three mutually perpendicular planes for 30 min.
- 2.20.3. **Shock.** Provide equipment that conforms to NEMA TS2 Section 2.1.10 and Section 2.2.4, or most current version, and does not yield permanent mechanical deformation or any damage that renders the unit inoperable when subjected to a shock of 10 g applied in each of three mutually perpendicular planes for 30 min.
- 2.20.4. **Environmental Contaminants.** Provide equipment that conforms to IEC 60529 Section 14.2.6, or most current version, for IP 66 or greater rating when providing a pressurized unit.
- Provide equipment that conforms to IEC 60529 Section 14.2.7, or most current version, for IP 67 or greater rating when providing a non-pressurized unit.
- 2.20.5. **External Icing.** Provide equipment that is tested to conform to NEMA 250-2003 Section 5.6, or latest revision.

- 2.20.6. **Corrosion.** Provide equipment that is tested to conform to NEMA 250-2003 Section 5.10, or latest revision, when located in coastal Districts. Coastal Districts are Beaumont (BMT), Corpus Christi (CRP), Houston (HOU), Pharr (PHR), and Yoakum (YKM).
- 2.20.7. **Wind Rating.** Operational in adverse weather conditions and able to withstand wind loads in accordance with Department's basic wind velocity zone map standard as shown on the plans without permanent damage to mechanical and electrical equipment.

### 3. CONSTRUCTION

- 3.1. **General.** Maximize standardization and consistency by utilizing industry standard techniques in equipment design and construction, with the minimum number of parts, subassemblies, circuits, cards, and modules. Design equipment for ease of maintenance.

Provide mounting bracket assemblies or apparatus to mount equipment on the following structures as detailed in the plans or on the ITS standards:

- ITS Pole,
- overhead sign bridge or cantilever overhead sign structure ,
- retaining wall, and
- concrete column or parapet.

Provide mounting bracket design with documentation submittal for approval prior to fabrication. Include all mounting plates, screws, bolts, nuts, washers, and ancillary hardware needed to fabricate the entire mounting bracket.

- 3.2. **Mechanical Components.** Provide stainless steel external screws, nuts and locking washers. Self-tapping screws are not acceptable.

Provide parts that are made of corrosion resistant material; examples include: plastic, stainless steel, anodized aluminum, or brass.

Protect all materials used in construction from fungus growth and deterioration due to sustained moisture.

Separate dissimilar metals by an inert dielectric material.

- 3.3. **Wiring.** Provide wiring that meets the requirements of the National Electrical Code (NEC) most current version. Provide wires that are cut to proper length before assembly. It is not acceptable to "double-back" wires to take up slack inside the cabinet. Lace wires neatly with nylon lacing or plastic straps. Organize cables neatly inside the cabinet and secure cables with clamps. Provide service loops at connection points when connecting to hardware inside the cabinet. No splicing of cables or exposed wiring is allowed. Clearly label all wiring.

- 3.4. **Relocation of CCTV Field Equipment.** Perform the relocation in strict conformance with the requirements herein and as shown on the plans. Completion of the work will present a neat, workmanlike, and finished appearance. Maintain safe construction practices during relocation.

Inspect the existing CCTV field equipment, with a representative from the Department, and document any evidence of damage prior to removal. Conduct a pre-removal test in accordance with the testing requirements contained in this Item to document operational functionality. Remove and deliver to the Department, existing CCTV field equipment that fail inspection.

Prior to removal of existing CCTV field equipment, disconnect and isolate the power cables from the electric power supply and disconnect all communication cabling from the equipment located inside the cabinet. Coil and store power and communication cabling inside the cabinet until such time that it can be relocated.

Remove existing CCTV field equipment as shown on the plans only at such time as authorized by the Engineer.

Use care to prevent damage to any support structures. Any portion of CCTV field equipment or camera pole structure damaged or lost will be replaced by the Contractor at his expense. Contractor to document and report to the Department any existing damage to equipment prior to removal.

Make all arrangements for connection to the power supply and communication source including any permits required for the work to be done under the Contract. Provide wire for the power connection at least the minimum size indicated on the plans and insulated for 600 V. Meet the requirements of the NEC most current version.

- 3.5. **Removal of CCTV Field Equipment.** Disconnect and isolate any existing electrical power supply prior to removal of existing CCTV field equipment,

Perform removal in strict conformance with the requirements of this Specification, and the lines, grades, details and dimensions shown on the plans. Completion of the work will present a neat, workmanlike, and finished appearance.

Any portion of the CCTV field equipment or cabinet internal components damaged or lost will be replaced by the Contractor (with items requiring the approval of the Engineer) at no cost to the Department.

All materials not designated for reuse or retention by the Department will become the property of the Contractor and be removed from the project site at the Contractor's expense. Deliver items to be retained by the Department to a location shown on the plans or general notes. The Contractor is fully responsible for any removed equipment until released by the Engineer.

- 3.6. **Contractor Experience Requirements.** Contractor or designated subcontractor must meet the following experience requirements:

- 3.6.1. **Minimum Experience.** Three years of continuous existence offering services in the installation of CCTV camera systems.

- 3.6.2. **Completed Projects.** Three completed projects consisting of a minimum of 5 cameras in each project where the personnel installed, tested and integrated CCTV cameras on outdoor, permanently mounted structure(s) and related camera control and transmission equipment. The completed CCTV camera system installations must have been in continuous satisfactory operation for a minimum of 1 yr.

- 3.6.3. **Equipment Experience.** Three projects (may be the three in the preceding paragraph) in which the personnel worked in cooperation with technical representatives of equipment suppliers to perform specific stages of work. The Contractor will not be required to furnish equipment on this project from the supplier who furnished documentation demonstrating this experience.

Submit the names, addresses and telephone numbers of the references that can be contacted to verify the experience requirements given above.

- 3.7. **Documentation Requirements.** Provide a minimum of 2 complete sets of operation and maintenance manuals in bound hard copy format, as well as an electronic copy in Adobe PDF format on a CD/DVD or removable flash drive that include the following:

- complete and accurate wiring schematic diagrams,
- complete installation procedures,
- compliance matrix documenting conformance to this specification,
- complete performance specifications (Functional, electrical, mechanical and environmental) on the unit,
- complete parts list including names of vendors for parts not identified by universal part number such as JEDEC, RETMA, or EIA,



- pictorial of component layout on circuit board,
- ID Generator list of text display options,
- complete maintenance and trouble-shooting procedures,
- complete stage-by-stage explanation of circuit theory and operation,
- testing procedures and blank test forms,
- recovery procedures for malfunction,
- instructions for gathering maintenance assistance from manufacturer, and
- provide the Department with certification documentation verifying conformance with environmental and testing requirements contained in the special specification. Certifications may be provided by the manufacturer or through independent labs.

Identify material which is copyrighted or proprietary in nature as part of the documentation submittal. The Department will comply with sensitive material and secure submittal documentation and not distribute without written approval.

### 3.8. **Testing.**

3.8.1. **New Installations.** Unless otherwise shown on the plans, perform the following tests on the applicable equipment or systems.

3.8.1.1. **Test Procedures Documentation.** Provide 5 copies of the test procedures to include tests identified in Article 5.1.2 through Article 5.1.7 inclusive and blank data forms to the Engineer for review and comment as part of material documentation requirements for each test required on this project. Include the sequence of the tests in the procedures. The Engineer will comment, approve, or reject test procedures within 30 days after Contractor submittal of test procedures. Contractor to resubmit if necessary rejected test procedures for final approval within 10 days. Review time is calendar days. Conduct all tests in accordance with the approved test procedures.

Record test data on the data forms, as well as quantitative results. No bid item measurement or payment will be made until the Engineer has verified the test results meet the minimum requirements of the specification. The data forms for all tests, except design approval tests, must be signed by an authorized representative of the Contractor.

Provide written notice to the Engineer within 48 hr. of discovery of any testing discrepancy identified during testing by the Contractor. Furnish data forms containing the acceptable range of expected results as well as the measured values.

3.8.1.2. **Design Approval Test.** Conduct a design approval test on one randomly selected unit from the prototype design manufacturing run. If only 1 design prototype is manufactured, perform this test on that unit. If supplying multiple types of the equipment, provide and test a sample of each type.

Certification from an independent testing laboratory of a successfully completed design approval test is acceptable. Ensure that the testing by this laboratory is performed in accordance with the requirements of this specification. Failure of independent tests to comply with the requirements of this specification will be grounds for rejection of any certification.

Provide a copy of the certification to the District in which this contract is executed. The data forms for the design approval tests must be signed by an authorized representative (company official) of the equipment manufacturer or by an authorized representative of an independent testing facility.

Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests. Perform the following tests:

- 3.8.1.2.1. **Power Service Transients.** Provide equipment that meets the performance requirements, specified in this Item, when subjected to the power service transients as specified in Section 2.2.7.2, "Transient Tests (Power Service)" of the NEMA TS2 standard, most current version.
- 3.8.1.2.2. **Temperature and Condensation.** Provide equipment that meets the performance requirements, specified in this Item, when subjected to the following conditions in the order specified below:
- stabilize the equipment at -30°F and test as specified in Sections 2.2.7.3, "Low-Temperature Low-Voltage Tests" and 2.2.7.4, "Low-Temperature High-Voltage Tests" of the NEMA TS2 standard, most current version
  - allow the equipment to warm up to room temperature in an atmosphere having relative humidity of at least 40%. Operate the equipment for 2 hr., while wet, without degradation or failure, and
  - stabilize the equipment at 165°F and test as specified in Sections 2.2.7.5, "High-Temperature High Voltage Tests" and 2.2.7.6, "High-Temperature Low-Voltage Tests" of the NEMA TS2 standard, most current version.
- 3.8.1.2.3. **Relative Humidity.** Provide equipment that meets the performance requirements, specified in this Item, within 30 min. of being subjected to a temperature of 165°F and a relative humidity of 18% for 48 hr.
- 3.8.1.2.4. **Vibration.** Provide equipment that shows no degradation of mechanical structure, soldered components, or plug-in components and operates in accordance with the manufacturer's equipment specifications after being subjected to the vibration tests as described in Section 2.2.8, "Vibration Test" of the NEMA TS2 standard, most current version.
- 3.8.1.2.5. **Power Interruption.** Provide equipment that meets the performance requirements, specified in this Item, when subjected to nominal input voltage variations as specified in Section 2.2.10 "Power Interruption Test" of the NEMA TS2 standard, most current version.
- 3.8.1.3. **Demonstration Test.** Conduct a demonstration test on applicable equipment at an approved Contractor facility. The Contractor may submit procedures and results from previous contracts in the same District as this Contract provided the materials and equipment are identical, provided results are less than 5 yr. old. Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests. Perform the following tests:
- 3.8.1.3.1. **Examination of Product.** Examine each unit carefully and document that the materials, design, construction, markings and workmanship comply with the requirements of this Item.
- 3.8.1.3.2. **Continuity Tests.** Check the wiring to determine conformance with the requirements of the appropriate paragraphs in this Item.
- 3.8.1.3.3. **Operational Test.** Operate each unit for at least 15 min. to permit equipment temperature stabilization and an adequate number of performance characteristics to ensure compliance with the requirements of this Item.
- 3.8.1.4. **Field Acceptance (Stand-Alone) Test.** Conduct a field acceptance test for each unit after installation as required by the Engineer in order to demonstrate compliance with the functional requirements with this Item. Exercise all stand-alone (non-network) functional operations. Notify the Engineer 5 working days before conducting this test. The field acceptance test may consist of the following:
- 3.8.1.4.1. **Physical Construction.** Document physical construction is completed in accordance with the plans and specification.
- 3.8.1.4.2. **Electrical and Communication.** Document that all connectors for grounding, surge suppression, and electrical distribution are tightened correctly. Document all power supplies and circuits are operating under the proper voltages. Document all power and communications cables are terminated correctly, secured inside the cabinet, and fitted with appropriate connectors.

- 3.8.1.4.3. **Video Signal.** For analog signal format, conduct an impedance test, through a short 75 ohm coaxial cable, to an oscilloscope waveform monitor to ensure 75 ohm output impedance to conform with NTSC standards.
- Through use of a digital, hand-held, battery operated meter, conduct a test and measure the following video signal characteristics, if applicable:
- 3.8.1.4.3.1. **Sync.** Document the amplitude of the video synchronizing pulse and check for correct video level, coaxial cable continuity, and correct termination level is 40 IRE.
- 3.8.1.4.3.2. **Luminance.** Document the white level and correct brightness setting is 100 IRE.
- 3.8.1.4.3.3. **Composite.** Document the overall amplitude of the video signal is at 140 IRE or 1 V peak to peak.
- 3.8.1.4.3.4. **Color Burst.** Document color burst amplitude at 40 IRE.
- 3.8.1.4.3.5. **Ground-loop.** Document that no ground loop exists in the video picture. Ground loop voltages in the video signal causes bars to be present on the video picture.
- Document video image is present and free from over-saturation and any other image defect in both color and monochrome modes.
- Document video support of unicast and multicast video transmission modes.
- Document the video signal from the camera is present and of consistent quality at all connection points between the camera, the cabinet, and any video conversion hardware.
- 3.8.1.4.4. **Communication.** For digital camera models, document network connection to the camera through ping or telnet session from a remote PC. For analog camera models, document serial data transmission to execute control through serial ports.
- 3.8.1.4.5. **Pan-Tilt Mechanism.** Exercise pan, tilt, zoom, and focus in all directions and execute a minimum of 3 other unique programming commands, specified by the Department, to ensure that the communication link between the cabinet and the camera is functioning properly.
- 3.8.1.5. **System Integration Test.** Conduct a system integration test on the complete functional system. Demonstrate all control and monitor functions for each system component for 72 hr. Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests.
- Provide systems integration test procedures for proper adjustment and calibration of subsystem components. Proper adjustment and calibration involves documenting settings used to meet functional requirements while providing a margin for adjustment when future conditions change. Utilize the Department control software (when available) to perform subsystem testing. At a minimum, utilize this software to verify commands and confirms, as well as, detector actuations and occupancy dwell time. The Contractor is responsible for being familiar with any existing Department equipment and software.
- The failure of any one component material or equipment item in a system integration test is justification for rejecting the entire subsystem. Each subsystem component must function as a complete integrated subsystem for a minimal continuous 72 hr. period during the system integration test.
- 3.8.1.6. **Final Acceptance Test.** Following completion of the demonstration test, standalone test, and system integration test for all subsystems, provide completed data forms containing all of the data taken, including quantitative results for all tests, a set of "as built" working drawings, and a written request to begin a data communication and final acceptance test. Provide "as built" working drawings indicating the actual material, equipment, and construction of the various subsystem components, including established and calculated XY coordinates based on project control points provided by the Engineer, when shown on the plans. Perform field surveying and calculations under the supervision of and sealed by a licensed land surveyor.

Within 10 calendar days of the request, execute a data communications test using a Department supplied software program or Contractor supplied software approved by the Department. The data communications test may be executed by the Engineer or the Contractor with the prior approval of the Engineer. The purpose of this test is to verify that the communications plant will operate with application software provided by the State.

Perform the data communications test for a period of 72 hr. If a message error or component failure occurs anywhere in the network, resume the test once repairs are completed. All components of the communications network must operate as an integral system for the duration of the test.

A message error is defined as the occurrence of a parity error, framing error, or data error in any component of the message. The error free message rate is defined as the ratio of the number of messages in which no message error occurs to the number of messages transmitted. The error free message rate must exceed 99.99% for acceptable transmission quality, both for the system as a whole, and for each component of the network.

Provide all additional test results to the Engineer for review once a successful data communications test has been completed. If all the requirements of this specification have been satisfied, contract time will stop and all subsystems will be placed into operation and operate as a complete system for a period of 90 days.

Notify the Engineer of any defects suspected in integration or function of material or equipment. Investigate any suspected defects and correct if necessary. Provide a report of finding within 2 calendar days of notice of any suspected defects. Describe the nature of the any defects reported and any corrective action taken in the report. The integrated subsystems must operate defect free as a single complete system for a minimum of 72 continuous hours during a 30 calendar day review period. If the number of defects or frequency of failures prevents any subsystems from operating as described above, the Engineer may reject the entire subsystem(s) integration test results and resume contract time. Provide any necessary corrections and resubmit subsystem(s) integration test results and a request to begin a final acceptance test which may include "as built" plans and a data communications test.

The CCTV field equipment under this Item will not be accepted until the system, inclusive of all subsystems, has operated satisfactorily for a period of 90 days and in full compliance with the plans and specifications after approval of all submitted test results and reports.

- 3.8.1.7. **Consequences of Test Failure.** If a unit fails a test, submit a report describing the nature of the failure and the actions taken to remedy the situation prior to modification or replacement of the unit. If a unit requires modification, correct the fault and then repeat the test until successfully completed. Correct minor discrepancies within 30 days of written notice to the Engineer. If a unit requires replacement, provide a new unit and then repeat the test until successfully completed. Major discrepancies that will substantially delay receipt and acceptance of the unit will be sufficient cause for rejection of the unit.

Failure to satisfy the requirements of any test is considered a defect and the equipment is subject to rejection by the Engineer. The rejected equipment may be offered again for retest provided all noncompliance has been corrected.

If a failure pattern develops in similar units within the system, implement corrective measures, including modification or replacement of units, to all similar units within the system as directed. Perform the corrective measures without additional cost or extension of the contract period.

- 3.8.1.7.1. **Consequences of Design Approval Test Failure.** If the equipment fails the design approval test, correct the fault within 30 days and then repeat the design approval test until successfully completed.
- 3.8.1.7.2. **Consequences of Demonstration Test Failure.** If the equipment fails the demonstration test, correct the fault within 30 days and then repeat the demonstration test until successfully completed.
- 3.8.1.7.3. **Consequences of Field Acceptance (Stand-Alone) Test Failure.** If the equipment fails the stand-alone test, correct the fault within 30 days and then repeat the stand-alone test until successfully completed.

3.8.1.7.4. **Consequence of System Integration Test Failure.** If the equipment fails the system integration test, correct the fault within 30 days and then repeat the systems integration test until successfully completed.

3.8.1.7.5. **Consequences of Final Acceptance Test Failure.** If a defect within the system is detected during the final acceptance test, document and correct the source of failure. Once corrective measures are taken, monitor the point of failure until a 30 consecutive day period free of defects is achieved.

If after completion of the initial test period, the system downtime exceeds 72 hr. or individual points of failure have not operated for 30 consecutive days free of defects, extend the test period by an amount of time equal to the greater of the downtime in excess of 72 hr. or the number of days required to complete the performance requirement of the individual point of failure.

3.8.2. **Relocation and Removal.**

3.8.2.1. **Pre-Test.** Provide 5 copies of the test procedures to include tests of the basic functionality of the unit and blank data forms to the Engineer for review and comment as part of material documentation requirements. Functionality tests may include, but are not limited to, physical inspection of the unit and cable assemblies, lens iris and zoom control, video signal, and pan-tilt mechanism. Include the sequence of the tests in the procedures along with acceptance thresholds. The Engineer will comment, approve, or reject test procedures within 30 days after Contractor submittal of test procedures. Contractor to resubmit if necessary rejected test procedures for final approval within 10 days. Review time is calendar days. Conduct all tests in accordance with the approved test procedures.

Conduct basic functionality testing prior to removal of CCTV field equipment. Test all functional operations of the equipment in the presence of representatives of the Contractor and the Department. Ensure that both representatives sign the test report indicating that the equipment has passed or failed each function. Once removed, the equipment becomes the responsibility of the Contractor until accepted by the Department. Compare test data prior to removal and test data after installation. The performance test results after relocation must be equal to or better than the test results prior to removal. Repair or replace those components within the system which failed after relocation but which passed prior to removal.

3.8.2.2. **Post Test.** Testing of the CCTV field equipment is for the purpose of relieving the Contractor of maintenance of the system. The Contractor will be relieved of the responsibility for maintenance of the system in accordance with Item 7, "Legal Relations and Responsibilities", after a successful test period. The Contractor will not be required to pay for electrical energy consumed by the system.

After all existing CCTV field equipment has been installed, conduct approved continuity, stand alone, and equipment system tests. Furnish test data forms containing the sequence of tests including all of the data taken as well as quantitative results for all tests. Submit the test data forms to the Engineer at least 30 days prior to the day the tests are to begin. Obtain Engineer's approval of test procedures prior to submission of equipment for tests. Send at least 1 copy of the data forms to the Engineer.

Conduct an approved stand-alone test of the equipment installation at the field site(s). At a minimum, exercise all stand-alone (non-network) functional operations of the field equipment with all of the equipment installed per the plans as directed by the Engineer. Complete the approved data forms with test results and turn over to the Engineer for review and either acceptance or rejection of equipment. Give at least 30 working days notice prior to all tests to permit the Engineer or his representative to observe each test.

The Department will conduct approved CCTV field equipment system tests on the field equipment with the central equipment. The tests will, as a minimum, exercise all remote control functions and display the return status codes from the controller.

If any unit fails to pass a test, prepare a report and deliver it to the Engineer. Describe in the report the nature of the failure and the corrective action needed. If the failure is the result of improper installation or damage during reinstallation, reinstall or replace the unit and repeat the test until the unit passes successfully, at no additional cost to the Department or extension of the Contract period.

- 3.9. **Warranty.** Warrant the equipment against defects or failure in design, materials, and workmanship for a minimum of 3 yr. or in accordance with the manufacturer's standard warranty if that warranty period is greater. The start date of the manufacturer's standard warranty will begin after the equipment has successfully passed all tests contained in the final acceptance test plan. Any CCTV field equipment with less than 90% of its warranty remaining after the final acceptance test is completed will not be accepted by the Department. Guarantee that equipment furnished and installed for this project performs according to the manufacturer's published specifications. Assign, to the Department, all manufacturer's normal warranties or guarantees on all electronic, electrical, and mechanical equipment, materials, technical data, and products furnished for and installed on the project.

CCTV field equipment will be repaired or replaced at the Contractor's expense prior to completion of the final acceptance test plan in the event of a malfunction or failure. Furnish replacement parts for all equipment within 10 days of notification of failure by the Department.

- 3.10. **Training.** Conduct a training class for a minimum of 24 hr., unless otherwise directed, for up to 10 representatives designated by the Department on procedures of installation, operations, programming hardware settings, IP programming, port settings, testing, maintenance, troubleshooting, and repair of all equipment specified within this specification. Submit to the Engineer for approval, 10 copies of the training material at least 30 days before the training begins. Conduct training within the local area unless otherwise authorized by the Engineer. Consider operations through Department's Lonestar software when developing training modules.

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#### 4. MEASUREMENT

This Item will be measured by each CCTV field equipment unit and mounting apparatus furnished, installed, relocated, or removed, of the types specified as shown on the plans, or as directed.

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#### 5. PAYMENT

- 5.1. **Furnish and Install.** The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit bid price for "CCTV Field Equipment (Analog)", "CCTV Field Equipment (Digital)", and "CCTV Field Controller". This price is full compensation for making fully operational CCTV field equipment including any voltage converters or injectors, cables and connectors as shown on the plans; and all documentation, testing, training, software, equipment, labor, materials, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" for CCTV field equipment mounting assemblies will be paid for at the unit bid price for "CCTV Mount (Pole)", "CCTV Mount (Post)", "CCTV Mount (Wall)", "CCTV Mount (Parapet)", "CCTV Mount (Pendant)", and "CCTV Mount (Mast)". This price is full compensation for furnishing and installing mounting bracket assemblies, mounting bracket hardware; and all equipment, labor, materials, tools, equipment, and incidentals necessary to mount CCTV field equipment to mounting structures as shown on the plans.

- 5.2. **Install Only.** The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit bid price for "CCTV Field Equipment (Analog) (Install Only)" and "CCTV Field Equipment (Digital) (Install Only)." This price is full compensation for making fully operational CCTV field equipment including any voltage converters or injectors, furnishing and installing additional cables and connectors as shown on the plans; and all documentation, testing, training, software, equipment, labor, materials, tools, and incidentals.
- 5.3. **Relocate.** The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" for relocation of CCTV field equipment will be paid for at the unit bid price for "Relocate CCTV Field Equipment." This price is full compensation for relocating and making fully operational existing CCTV field equipment as shown on the plans; furnishing and installing additional cables or connectors as shown on the plans; for testing, delivery and storage of components designated for salvage or reuse; and all testing, training, software, equipment, labor, materials, tools, and incidentals.

- 5.4. **Remove.** The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" for removal of CCTV field equipment will be paid for at the unit bid price for "Remove CCTV Field Equipment." This price is full compensation for removing existing CCTV field equipment as shown on the plans; removal of cables and connectors; for testing, delivery and storage of components designated for salvage; and all testing training, software, equipment, labor, materials, tools, and incidentals.

# Special Specification 6027

## Preparation of Existing Conduits, Ground Boxes, or Manholes



### 1. DESCRIPTION

Prepare conduits, ground boxes, or manholes; replace conduits, ground boxes, or manholes, when necessary; replace conduit fittings with junction boxes; replace damaged ground box or manholes covers; adjust ground box or manholes covers; install pull lines in conduits; install cable racks in ground boxes or manholes.

### 2. MATERIALS

Provide new materials that comply with the details shown on the plans, the requirements of this Item, and to the pertinent requirements of the following Items:

- Item 624, "Ground Boxes"
- Item 465, "Manholes and Inlets"

When conduit replacement is required, provide conduit meeting the requirements of Item 618, "Conduit." Use conduit of same size and type of that being replaced or as directed.

Provide 24 in. × 24 in. × 12 in. (L × W × D) minimum size NEMA 4X junction boxes with screw covers.

Provide polyester tapes or rope pull cords with a tensile strength of at least 1200 lb.

Provide heavy duty, non-metallic, non-corrosive cable racks that can support a minimum dead load of 300 lbs. Ensure cable racks are resistant to the effects of oils, hydrocarbons, common esters, ketones, ethers, or amides. Ensure cable racks are adjustable between 8 in. and 14 in. wide. Do not provide grounding or insulators for cable racks.

### 3. CONSTRUCTION

Check existing conduit and ground boxes.

- 3.1. **Preparation of Conduit, Ground Box or Manhole.** Pull a mandrel through empty conduits. Use a mandrel with a diameter greater than 70% of the inside diameter of the conduit and 2 in. length. Repair or replace conduit runs that will not allow passage of the mandrel. Replace conduit deemed impractical to repair or remains unsuitable in accordance with Item 618, "Conduit." Clean the conduit by pulling a rubber swab slightly larger in diameter than the conduit.

Blow compressed air through conduits that contain wires. Remove debris from the conduit by pushing a fish tape through the conduit. Do not use water to clear debris. Retest the conduit by blowing compressed air.

Install 1 pull cord in each conduit for use in installing the conductors, cables, or innerduct. Leave 1 pull cord in each conduit after the conductors, cables, or innerduct have been installed.

Remove silt and debris from ground boxes or manholes prior to installing cable.

- 3.2. **Installation of Ground Box or Manhole.** Furnish new ground boxes or manholes as directed. Install ground boxes or manholes as shown the plans or as directed.



Backfill disturbed surface with material equal in composition and density to the surrounding area. Replace surfacing material with similar material to an equivalent condition.

- 3.3. **Installation or Adjustment of Ground Box or Manhole Covers.** Remove, dispose, and install ground box or manhole covers as shown on the plans or as directed. Adjust ground box or manhole covers as shown on the plans or as directed. Adjustment may include welding, raising, or lowering.

Backfill disturbed surface with material equal in composition and density to the surrounding area. Replace surfacing material with similar material to an equivalent condition.

- 3.4. **Installation of Junction Box.** Locate conduit fittings in conduits carrying fiber optic cables. Replace the conduit fitting and associated section of conduit with a junction box. Install junction boxes as shown on the plans.

- 3.5. **Installation of Cable Rack Assembly.** Install cable racks to permit coiling of conductors or cables without violating the manufacturer's minimum bending radius. Install 2 cable rack supports and 4 adjustable levels on each support, at a minimum, on each wall of the ground box or manhole as shown on plans or as directed. Anchor the cable rack support permanently to the ground box wall with mechanical or powder actuated fasteners. Use fasteners with an ultimate pull out strength of at least 2500 lb. and ultimate shear strength of at least 3000 lb. Provide sufficient cable supports for the particular number of conductors or cables coiled or passing through the ground box or manhole as shown on the plans or as directed.

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#### 4. MEASUREMENT

This Item will be measured by the foot of conduit cleared, tested, replaced and repaired, by each cable rack, junction box, ground box, or manhole installed or prepared, and by each ground box or manhole cover replaced or adjusted.

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#### 5. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Conduit (Prepare)," "Junction Box (Install)," "Manhole (Install)," "Ground Box (Install)," "Manhole (Prepare)," "Ground Box (Prepare)," "Cover (Replace)" of the sizes specified, "Cover (Adjust)," and "Cable Rack Assembly (Install)." This price is full compensation for cleaning and testing conduit, ground boxes, and manholes; furnishing and installing pull cords, ground boxes, manholes, junction boxes, and cable racks; excavating and backfilling; adjusting ground boxes and manholes covers; disposal of unsalvageable material; and equipment, materials, labor, tools, and incidentals.

Repair of existing conduit will be paid for by the Department in accordance with Article 9.7., "Payment for Extra Work and Force Account Method."

# Special Specification 6038

## Multipolymer Pavement Markings (MPM)



### 1. DESCRIPTION

Provide MPM on pavement surfaces shown on the plans to meet the performance requirements of this Specification for:

- color,
- durability, and
- retroreflectivity.

### 2. MATERIALS

- 2.1. **Multipolymer Pavement Marking Materials.** Use materials that produce an adherent, retroreflective pavement marking system that meets all of the performance requirements of this Specification. Use materials that do not result in the generation of any hazardous materials/wastes, as defined in Section 1.3.60., "Hazardous Materials or Waste," during application or removal. If requested, provide a laboratory report from a commercial laboratory indicating material used does not result in the generation of any hazardous materials/wastes, as defined in Section 1.3.60., during application or removal.

Use a multipolymer resin material, which is:

- 2-component (a predominantly multipolymer pigmented resin component with a curing agent component);
- 100% solids, producing no toxic fumes when heated to application temperature;
- track-free in less than 40 min. with appropriate ambient temperature as recommended by the manufacturer;
- formulated and tested to perform as a pavement marking material with glass spheres applied to the surface; and
- on the Material Producer List for [Pavement Markings \(Multipolymer\)](#) maintained by CST/M&P for MPM. Inclusion onto the MPL requires documentation of acceptable performance from Department pavement marking field application that have been in place for at least 1 yr. Contact CST/M&P to initiate and document field trials of new materials for MPL consideration.

Before work begins, provide a laboratory report from an independent testing laboratory showing that the initial color of each material selected for use conforms to the color limits set forth in Table 1, measured by 45°/0° geometry CIE, D65 Illuminant, 2° standard observation angle in accordance with ASTM E 1347, E 1348, or E 1349.

- 2.2. **Nonreflectorized Contrast or Shadow Markings.** The marking material used for the contrast or shadow marking must conform to the same formulation, material, prequalification, and sampling requirements with the exception of the following items:
- color pigment used;
  - documentation of acceptable performance from Department pavement marking field application that have been in place for at least 1 yr.; and
  - glass spheres must be replaced with a black, color-fast, anti-skid material.

Before work begins, provide a laboratory report from an independent testing laboratory showing that the initial color of each material selected for use conforms to the color limits set forth in Table 1, measured by

45°/0° geometry CIE, D65 Illuminant, 2° standard observation angle in accordance with ASTM E 1347, E 1348, or E 1349.

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### 3. EQUIPMENT

Provide equipment as required or directed according to the following:

- 3.1. **Preparation and Application.** Use equipment designed for the pavement preparation and application of the type of MPM material selected.
- 3.2. **Colorimeter.** Provide a colorimeter using 45°/0° geometry CIE, D65 Illuminant, 2° standard observation angle meeting the requirements of ASTM E 1347, E 1348, or E 1349.
- 3.3. **Retroreflector.** Unless otherwise shown on the plans, provide a portable or mobile retroreflector meeting the following requirements.
- 3.3.1. **Portable Retroreflector.** Provide a portable retroreflector that meets the requirements of ASTM E 1710.
- 3.3.2. **Mobile Retroreflector.** Provide a mobile retroreflector that:
- is approved by the Construction Division (CST) for project evaluation of retroreflectivity, which will include taking a set of readings on stripes designated by CST and comparing them with the readings of a portable retroreflector provided by CST that meets the specifications indicated in this Specification;
  - is calibrated daily, before measuring retroreflectivity on any pavement stripe, with a portable retroreflector meeting the following requirements: ASTM E 1710, entrance angle of 88.76°, observation angle of 1.05°, and an accuracy of ±15%;
  - requires no traffic control when retroreflectivity measurements are taken and is capable of taking continuous readings; and
  - documents mobile retroreflector evaluations, showing average retroreflectivity values for each 0.25-mi. section, or the area of concern if it is less than 0.25 mi., with all deficient sections clearly marked.

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### 4. CONSTRUCTION

- 4.1. **General.** Prepare the pavement surface using controlled techniques that minimize pavement damage and hazards to the traveling public. Apply the MPM materials according to the manufacturer's recommendations using widths, colors, and shapes, and at locations as shown on the plans.
- Obtain approval for the sequence of work and estimated daily production. Use traffic control as shown on the plans or as approved. Establish guides to mark the lateral location of pavement markings as shown on the plans or as directed, and have guide locations verified. Use material for guides that will not leave a permanent mark on the roadway. Apply markings in alignment with the guides and without deviating for the alignment more than 1 in. per 200 ft. of roadway or more than 2 in. maximum. Remove all applied markings that are not in alignment or sequence as stated in the plans or as stated in the specifications at the Contractor's expense and in accordance with Item 677, "Eliminating Existing Pavement Markings and Markers," except for measurement and payment.
- 4.2. **Initial Performance Requirements.** Meet the following initial performance requirements after installation. Perform an initial performance evaluation from 7 to 15 days after MPM are installed to verify that the MPM meet the performance requirements for retroreflectivity. Conduct initial retroreflectivity evaluations of placed pavement markings with either a portable or a mobile retroreflector, unless otherwise shown on the plans, according to Section 6038.5.2., "Retroreflectivity." The Contractor is responsible for traffic control when conducting performance evaluations.

The Engineer will conduct a visual evaluation for color and durability and require testing only if MPM do not appear to meet the performance requirements.

For MPM not meeting performance requirements, repair or replace until re-evaluation shows the MPM meet the performance requirements.

- 4.2.1. **Color.** Provide MPM consisting of pigments blended to provide color conforming to standard highway colors as shown in Table 1.

**Table1**  
**Color Requirements**

Federal 595 Color		Chromaticity Coordinates								Brightness (Y)
		1		2		3		4		
		x	y	x	y	x	y	x	y	
White	17855	.290	.315	.310	.295	.350	.340	.330	.360	60 Min
Yellow	33538	.470	.455	.510	.489	.490	.432	.537	.462	30 Min
Black	-	-	-	-	-	-	-	-	-	5 Max

- 4.2.2. **Retroreflectivity.** Provide MPM meeting the minimum retroreflectivity values listed in Table 2.

**Table2**  
**Minimum Retroreflectivity Requirements**

Color	Retroreflectivity, mcd/m <sup>2</sup> /lx, Min
White	250
Yellow	175

- 4.2.3. **Durability.** Provide MPM that do not lose more than 5% of the striping material in a 1,000-ft. section of continuous stripe or broken stripe (25 broken stripes). Pavement markings must remain in the proper alignment and location.

## 5. PERFORMANCE EVALUATIONS

Provide traffic control and conduct evaluations of color, retroreflectivity, and durability as required or directed.

- 5.1. **Color.** Measure the color using 45°/0° geometry CIE, D65 Illuminant, 2° standard observation angle in accordance with ASTM E 1347, E 1348, or E 1349.
- 5.2. **Retroreflectivity.** Unless otherwise shown on the plans, conduct retroreflectivity evaluations of pavement markings with either a portable or a mobile retroreflectometer. Make all measurements in the direction of traffic flow, except for broken centerline on 2-way roadways, where measurements will be made in both directions.

If using a portable retroreflectometer, take a minimum of 1 measurement every mile on each series of markings (i.e., edgeline, center skip line, each line of a double line, etc.), at approved locations. If more than 1 measurement is taken, average the measurements. For all markings measured in both directions, take a minimum of 1 measurement in each direction. If the measurement taken on a specific series of markings within each mile segment falls below the minimum retroreflectivity values, take a minimum of 5 more measurements within that mile segment for that series of marking. If the average of these 5 measurements falls below the minimum retroreflectivity requirements, that mile segment of the applied markings does not meet the performance requirement.

If using a mobile retroreflectometer, review the results to determine deficient sections and deficient areas of interest. These areas do not meet the performance requirements.

- 5.3. **Durability.** Measure the durability in accordance with ASTM D 913 for marking material loss and visual inspection for alignment and location. Conduct evaluations at approved locations.

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**6. MEASUREMENT**

This Item will be measured by the foot. Each stripe will be measured separately.

This is a plans quantity measurement Item. The quantity to be paid is the quantity shown in the proposal unless modified by Article 9.2, "Plans Quantity Measurement." Additional measurements or calculations will be made if adjustments of quantities are required.

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**7. PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Multipolymer Pavement Markings (MPM)" of the type and color specified and the shape, width, and size specified as applicable, at the time of project acceptance. This price is full compensation for materials, application of MPM, equipment, labor, tools, and incidentals.

Surface preparation, when shown on the plans, will be paid for under Item 678, "Pavement Surface Preparation for Markings."

# Special Specification 6064

## Intelligent Transportation System (ITS) Pole with Cabinet



### 1. DESCRIPTION

Furnish, install, relocate, or remove Intelligent Transportation System (ITS) pole structures and pole mounted cabinets of the various types and sizes at locations shown on the plans, or as directed.

1.1. **ITS Equipment Application.** At a minimum, the ITS pole structure serves as the structural support for the following ITS equipment applications:

- closed circuit television (CCTV),
- fixed video,
- microwave vehicle detector (MVD) or radar vehicle sensing device (RVSD),
- bluetooth equipment,
- wireless radio equipment,
- environmental sensor station (ESS),
- solar power system, and
- pole mounted cabinets.

Ensure the equipment, design, and construction use the latest available techniques with a minimum number of different parts, subassemblies, circuits, cards, and modules to maximize standardization and commonality.

Design the equipment for ease of maintenance. All component parts must be readily accessible for inspection and maintenance. The only tools and test instruments required for maintenance by maintenance personnel must be simple hand held tools, basic meters and oscilloscopes.

### 2. MATERIALS

Provide materials that comply with the details shown on the plans or as directed, the requirements of this Item, and the pertinent requirements of the following Items:

- Item 416, "Drilled Shaft Foundations,"
- Item 421, "Hydraulic Cement Concrete,"
- Item 440, "Reinforcement for Concrete,"
- Item 441, "Steel Structures,"
- Item 442, "Metal for Structures,"
- Item 445, "Galvanizing,"
- Item 449, "Anchor Bolts,"
- Item 496, "Removing Structures,"
- Item 618, "Conduit,"
- Item 620, "Electrical Conductors," and
- Item 740, "Graffiti Removal and Anti-Graffiti Coating".

2.1. **Anchor Bolts.** Provide anchor bolts, nuts, and washers that conform with the details shown on the plans, the requirements of this Item, and in accordance with Item 449, "Anchor Bolts."

Furnish "medium strength, mild steel" anchor bolts for anchor bolts 1 in. or less in diameter, unless otherwise shown on the plans. Furnish "alloy steel" anchor bolts for anchor bolts greater than 1 in. diameter, unless otherwise shown on the plans.

- 2.2. **ITS Poles.** Provide material for pole shafts that conforms to the requirements on the plans and the requirements of ASTM A1011 SS Grade 50, A572 Grade 50, A1011 HSLAS Grade 50, or A595 Grade A. Material thicknesses in excess of those stipulated under A1011 will be acceptable providing it meets all other ASTM A1011 requirements and the requirements of this specification. A595 Grade A material must have a minimum of 50 ksi yield strength adjacent to base welds after fabrication.

Fabrication plants that produce steel ITS poles must be approved in accordance with DMS-7380, "Steel Non-Bridge Member Fabrication Plant Qualification." The Department maintains an MPL of approved ITS pole fabrication plants.

- 2.3. **ITS Pole Mounted Cabinet.** Provide ITS pole mounted cabinets to house ITS field equipment as shown on the plans or as directed. ITS equipment applications inside the cabinet may include, but is not limited to:

- CCTV field equipment,
- fixed video,
- radar vehicle sensing device (RVSD),
- dynamic message sign (DMS) or lane control signal (LCS) controller,
- bluetooth equipment,
- highway advisory radio (HAR),
- media conversion equipment,
- hardened ethernet switch,
- wireless radio equipment,
- environmental sensor station (ESS),
- roadway weather information system (RWIS), and
- solar power system.

Provide the cabinet with fully wired back panels, with all the necessary terminal boards, wiring, harnesses, connectors and attachment hardware for each cabinet location. Place all terminals and panel facilities on the lower portion of the cabinet walls below all shelves.

Typically, an ITS pole mounted cabinet may contain, but is not limited to, the following:

- 19-in. EIA rack,
- adjustable shelves,
- fan and thermostat,
- cabinet light,
- back panel,
- surge protection,
- terminal strips,
- interconnect harnesses with connectors,
- "Door Open" connection to back panel,
- ITS equipment hardware (as listed in Article 2.3), and
- all necessary installation and mounting hardware.

Ensure all cabinets are identical in size, shape and quality for each type as provisioned on the plans or as directed. Equip and configure the cabinet set-up as defined in this Specification and as detailed in the ITS pole with cabinet standards.

Submit details of the cabinet design and equipment layout for each cabinet to the Engineer for review and approval before fabrication.

## 2.4. Electrical Requirements.

2.4.1. **Primary Input Power Interruption.** Use material that meets all the requirements in Section 2.1.4., "Power Interruption" of the National Electrical Manufacturers Association (NEMA) Standard TS2 for traffic control system, or most current version.

2.4.2. **Power Service Transients.** Use material that meets all the requirements in Section 2.1.6., "Transients" of the NEMA Standard TS2 for traffic control system, or most current version.

2.4.3. **Power Service Protection.** Ensure that equipment contains readily accessible, manually resettable or replaceable circuit protection devices (such as circuit breakers or fuses) for equipment and power source protection. Provide circuit breakers or fuses sized such that no wire, component, connector, PC board or assembly is subjected to sustained current in excess of their respective design limits upon failure of any single circuit element or wiring.

2.4.4. **Power Distribution Panel.** Provide cabinets with a 120 VAC +/- 5 VAC power distribution panel. Provide the following components on the panel:

2.4.4.1. **Duplex Receptacles.** Provide two 120 VAC NEMA Type 5-15R duplex receptacles, or as shown on the plans, protected by a circuit breaker. Permanently label duplex receptacles "For Internal ITS Equipment Only." Install duplex receptacles in an isolated location and provide a clear 1/8 in. thick removable cover made from transparent thermoplastic material to cover the duplex receptacles. Ensure this cover is installed as not to interfere with the functional operation within the cabinet and allows enough space to plug in AC adapters and any necessary equipment. Submit alternative cover material for approval as part of the documentation submittal requirement.

2.4.4.2. **Ground Fault Circuit Interrupter (GFCI) Duplex Receptacles.** Provide at least one 120 VAC NEMA Type 5-15R GFCI duplex receptacle, or as shown on the plans, protected by a circuit breaker. This GFCI duplex receptacle is intended for maintenance personnel and is not to be used to serve equipment inside the cabinet. Permanently label GFCI duplex receptacles "For Personnel Use." Install GFCI duplex receptacles in a readily accessible location.

Provide a 120 VAC, rack mountable outlet strip with 6 NEMA Type 5-15R receptacles with surge suppression. Plug outlet strip into GFCI duplex receptacle and label for personnel use.

**Circuit Breakers.** Determine the ampere rating, quantity, and configuration for main, accessory, spare, and equipment circuit breakers to support ITS equipment loads as shown on the plans. Provide Underwriters Laboratories (UL) 489 listed circuit breakers capable of operating in accordance with Section 2, "Environmental Standards and Test Procedures" of NEMA TS2-2003, or most current version. Provide circuit breakers with an interrupt capacity of 5,000 A. and insulation resistance of 100 megohms at 500 VDC. Provide minimum ampere rating for the following circuit types:

2.4.4.2.1. **Main Breaker.** Size the main circuit breaker such that the load of all branch circuits is less than the main circuit breaker ampere rating in accordance with the most current version of the National Electrical Code (NEC).

2.4.4.2.2. **Accessory Breaker.** Minimum 15 A. Size accessory circuit breaker to protect lighting, door switches, fans, and GFCI duplex receptacle in accordance with the most current version of the NEC.

2.4.4.2.3. **Equipment Breakers.** Minimum 15 A. Size equipment circuit breaker to protect ITS equipment and duplex receptacles in accordance with the most current version of the NEC.

2.4.4.2.4. **Spare Equipment Breaker.** Minimum 20 A. Provide one spare equipment breaker for future use.

Furnish breakers, which are in addition to any auxiliary fuses, with the electronic equipment to protect component parts. Provide 3-terminal lightning arrestor to protect the load side of all circuit breakers. Connect



the arrester into the circuit with size 8 AWG or larger stranded copper conductors. Connect arrester to the line filter as recommended by the manufacturer.

- 2.4.4.3. **Power Line Surge Protection.** Provide and install power line surge protection devices that meet the requirements of Article 2.6.
- 2.4.4.4. **Power Cable Input Junction Terminals.** Provide power distribution blocks suitable for use as a power feed and junction points for 2 and 3 wire circuits. Accommodate up to No. 4 AWG conductors on the line side of each circuit. Provide appropriate sized lugs at the junction terminals for conductors larger than a No. 4 AWG when shown on the plans.

Electrically isolate the AC neutral and equipment ground wiring from the line wiring by an insulation resistance of at least 10 megohms when measured at the AC neutral. Color code the AC neutral and equipment grounding wiring white and green respectively in accordance with the most current version of the NEC.

Utilize the back panel to distribute and properly interconnect all cabinet wiring related to the specific complement of equipment called out on the plans. Each item of equipment including any furnished by the Department must have the cable harness properly terminated at terminal boards on the back panel. Ensure all functions available at the equipment connector are carried in the connector cable harness to the terminal blocks from the power distribution panel mounted on the left side panel of the cabinet.

- 2.4.5. **Alternative Power Option.** When shown on the plans, accommodate renewable electrical power source for the design load specified in accordance with "ITS Solar Power System" Specification. Renewable electrical power source may, or may not, be integrated with public utility electrical services, as shown on the plans or as directed. Accommodate solar system components including batteries and solar charge controller when shown on the plans.

- 2.4.6. **Wiring.** Ensure all cabinet wiring identified by the use of insulated pre-printed sleeving slipped over the wire before attachment of the lug or making the connection. Supply enough text on wire markers in plain words or abbreviations with sufficient level of detail so that a translating sheet will not be required to identify the type and size of wire.

Cut all wires to the proper length before assembly. Ensure no wires are doubled back to take up slack. Ensure harnesses to connectors are covered with braided cable sleeves. Secure cables with nylon cable clamps.

Provide service loops to facilitate removal and replacement of assemblies, panels and modules. Use insulated parts and wire rated for at least 600 V. Color-code harnesses and wiring.

Route and bundle all wiring containing line voltage AC separately and shield from all low voltage, i.e., control circuits. Cover all conductors and live terminals or parts, which could be hazardous to maintenance personnel, with suitable insulating material.

Provide AC internal cabinet wiring identified in accordance with the most current version of the NEC. Provide white insulated conductors for AC neutral. Provide green insulated conductors for equipment ground. Provide any color different from the foregoing on other conductors in accordance with the most current version of the NEC. For equipment that requires grounding, provide grounding conductors and do not use conduit for grounding. Provide No. 22 AWG or larger stranded conductors for internal cabinet wiring. Provide conductors that are UL-listed THHN in accordance with the most current version of the NEC. Ensure the insulation has at least a thickness of 10 mm. Ensure all wiring containing line voltage is at least size No. 14 AWG. No strands of any conductor may be trimmed to "fit" the wiring into the breaker or terminal block.

- 2.4.7. **Terminal Strips.** Provide terminal strips located on the back panel that are accessible to the extent that it is not necessary to remove the electronic equipment from the cabinet to make an inspection or connection.

Ensure terminal blocks are 2 position, multiple pole barrier type.

Provide shorting bars in each of the positions provided along with an integral marking strip.

Arrange terminal blocks such that they will not upset the entrance, training and connection of incoming field conductors.

Identify all terminals with legends permanently affixed and attached to the terminal blocks.

Ensure not more than 3 conductors are brought to any 1 terminal screw.

Ensure no electrically energized components or connectors extend beyond the protection afforded by the barriers.

Locate all terminal blocks below the shelves.

Ensure terminals used for field connections are secure conductors by means of a No. 10-32 nickel or cadmium plated brass binder head screw.

Ensure terminals used for interwiring connections, but not for field connections, are secure conductors by means of a No. 5-32 nickel plated brass binder head screw.

Terminate all connections to and from the electronic equipment to an interwiring type block. These blocks will act as intermediate connection points for all electronic equipment input and output.

Provide termination panels that are used to distribute and properly interconnect all cabinet wiring related to the specific complement of equipment as shown on the plans. Provide properly terminated cable harnesses for each item including any furnished by the Department. Provide all functions available at the equipment terminals that are carried in the connector cable harness.

2.4.8. **Cabinet Internal Grounding.** The cabinet internal ground consists of at least 1 ground bus-bar permanently affixed to the cabinet and connected to the grounding electrode.

Use bare stranded No. 4 AWG copper wire between bus-bars and between the bus-bar and grounding electrode when providing multiple bus-bars.

Ensure each copper ground bus-bar has a minimum of 12 connection points, each capable of securing bare conductor ranging in size from No 4 AWG to No 14 AWG.

Return AC neutral and equipment ground wiring to these bus-bars.

2.4.9. **Door Switch.** Provide door switch meeting the following requirements:

- momentary, pin-type door switch,
- installed in the cabinet or on the door, and
- connected to a terminal so that the equipment installed in the cabinet can confirm input is connected to logic ground when the cabinet door is open.

Provide 2 momentary, pin type door switches for each door provided with the cabinet. Wire 1 switch to turn on the cabinet lights when the door is open and off when the door is closed. Wire the other in parallel to a terminal block to detect a cabinet intrusion condition.

2.5. **Mechanical Requirements.**

2.5.1. **Size and Construction.** Provide ITS pole mounted cabinets meeting the configuration types detailed in the Statewide ITS pole with cabinet standards.

**Table 1**  
**Minimum Cabinet Internal Dimensions**

	Depth (in.)	Width (in.)	Height (in.)
Type 1	12 <sup>1</sup>	24	24
Type 2	18	24	36
Type 3	20	24	41

1. Minimum dimension for cabinet provided without EIA 19 in. rack assembly.  
Provide 18 in. minimum depth when providing EIA 19 in. rack assembly.

Determine the suitability of the listed cabinet configuration types for the equipment at each field location identified on the plans or as desired.

2.5.2. **Ventilation.** Provide the cabinet with vent openings to allow cooling of electronic components.

Locate louvered air intake vent openings on the lower portion of the cabinet doors and covered fully on the inside with a commercially available disposable 3 layer graded pleated type filter of minimum size 6 in. (high) x 12 in. (wide) for Type 1 cabinet and 12 in. (high) x 16 in. (wide) for Type 2 and 3 cabinets. Size the louvered intake area and filter to allow maximum filtered air flow and cooling, securely mounted so that any air entering the cabinet must pass through the filter. Ensure the cabinet opening for intake of air is large enough to accommodate filter size. Screen the exhaust to prevent entry of insects. Provide the screen openings no larger than 0.0125-sq. in.

Provide a, minimum of 2, thermostatically controlled fans that are adjustable with an adjustment range of 70 to 110°F. Provide a press-to-test switch to test the operation of the fan. Provide a fan with a capacity of at least 110 cfm each.

There is no opening on the roof of the cabinet.

2.5.3. **Lighting.** Provide minimum 15 W fluorescent fixtures above each door inside the cabinet, each with clear shatter proof lens. NEMA TS2 rated light-emitting diode (LED) fixtures are acceptable instead of fluorescent light fixtures. Determine the appropriate number of fixtures to achieve at least 1000 lumens to illuminate the equipment. Position the fixtures to provide illumination to the face of the equipment in the cabinet and not into a technician's eyes.

2.5.4. **Exterior Finish.** Provide cabinets with a smooth aluminum finish and the exterior in its unpainted natural color.

When shown on the plans or as directed, provide cabinets with an anti-graffiti coating in accordance with Item 740 "Graffiti Removal and Anti-Graffiti Coating."

2.5.5. **Serial Number.** Provide the cabinets with a serial number unique to the manufacturer, preceded by an assigned 2 letter manufacturer's code. Provide at least a 0.2 in. letter height. Stamp the entire identification code and number on a metal plate which is riveted to the cabinet, stamp directly on the cabinet wall, or engrave on a metalized mylar plate that is epoxied on the upper right hand cabinet side wall.

2.5.6. **Modular Design.** Provide cabinets that have a modular design and allows ITS equipment to be installed in a variety of mounting configurations as detailed on the plans or as directed.

Provide Type 1 and Type 2 cabinets with 2 unistrut or DIN rail channels on each side wall of the cabinet for mounting power panel and auxiliary ITS equipment. Provide a 19 in. EIA rack assembly only when noted on the plans or in the general notes.

Provide Type 3 cabinets with an EIA 19 in. rack assembly, sized appropriately based on cabinet type inside height dimension and is accessible from either door. Provide a rack with a minimum of one 1RU (RU = rack

unit) horizontal power strip. Provide 2 unistrut or DIN rail channels on each side wall of the cabinet for mounting power panel and auxiliary ITS equipment.

- 2.5.7. **Shelves.** Provide adjustable shelves in each cabinet as required to support the equipment as specified on the plans. Ensure shelf adjustment at 1 RU intervals in the vertical position. Provide shelves that can be mounted to an EIA 19 in. rack cage or unistrut channel as detailed in the standards.

Provide shelves that are removable and capable of supporting the electronic equipment. Provide a minimum of 2 in. between the back and front edge of the shelf to back inside wall and door of the cabinet respectively to allow room for the equipment cables and connectors.

Provide each cabinet type with at least 1 slide out drawer with telescoping drawer guides to allow full extension from the rack frame. Provide at least 1.75 in. (high) x 16 in. (wide), drawer sized appropriately for the cabinet with a hinged lid to allow access to storage space.

- 2.5.8. **Mounting Hardware.** Provide cabinets with the appropriate "U" channel mounting brackets, stiffening plates, anchor bolts, and any other necessary hardware to mount the cabinet on the ITS pole structure. Provide mounting brackets made of 0.250 in. thick steel.

Weld cabinet mounting plates to the pole. This may be done in the field for transport reasons. Do not band the cabinet or mounting plates to the pole. Design the cabinet for pole mounting and reinforce at the points of attachment to the pole

- 2.6. **Surge Protective Devices (SPD).** Provide SPDs to protect electronics from lightning, transient voltage surges, and induced current. Install SPDs on all power, data, video, and any other conductive circuit.

- 2.6.1. **120 V or 120/240 V SPD at Service and ITS Cabinet Power Distribution Panel.** Install an SPD at the closest termination or disconnection point where the supply circuit enters the cabinet. Locate the SPD on the load side of the cabinet power distribution panel breakers and ahead of any and all electronic devices. Keep leads as short as possible with all conductor bends formed to the maximum possible radius. Connect the SPD ground lead directly to the ground bus. Use of wire nuts is prohibited. Install in accordance with manufacturers recommendations.

Provide UL Listed Type 1 or Type 2 SPD and labeled to UL 1449 Third Edition, posted at UL.com, under Certifications UL Category Code VZCA, and have a 20 kA I-nominal rating. Provide SPD rated as NEMA 4. SPD with integral EMI/RFI line filtering may be required if shown on the plans.

Do not exceed 700 V on the Voltage Protection Rating (VPR) on any mode (L-N, L-G, and N-G).

Do not exceed 150 V on the Maximum Continuous Operating Voltage (MCOV).

Equal or exceed 40 kA the SPD surge current rating per mode (L-N), (L-G), (N-G).

Equal or exceed 50 kA or the available short circuit current, whichever is higher for the SPD Short Circuit Current Rating (SCCR).

Provide SPD with directly connected Metal Oxide Varistors (MOV) exceeding 32 mm in diameter with thermal safety disconnectors. Gas tube and spark gap SPD are not be permitted. Ensure each MOV's operational status can be monitored via visual indicator, including N-G mode.

Provide SPD with one set of Normally Open (NO), Normally Closed (NC) Form C contacts for remote monitoring.

Ensure the SPD utilized for AC power does not dissipate any energy and does not provide any series impedance during standby operation. Return the unit to its non-shunting mode after the passage of any surge and do not allow the shunting of AC power

- 2.6.2. **Parallel SPD for 120 V Equipment.** Install an SPD inside of the cabinet on the power distribution to the equipment. Keep leads as short as possible with all conductor bends formed to the maximum possible radius. Connect the SPD ground lead directly to the ground bus. Use of wire nuts is prohibited. Install in accordance with manufacturers recommendations.

Provide UL Listed Type 1 or Type 2 SPD labeled to UL1449 Third Edition, posted at UL.com, under Certifications UL Category Code VZCA, and have a 20 kA I-nominal rating. Provide SPD rated as NEMA 4.

Do not exceed 700 V on the Voltage Protection Rating (VPR) on any mode (L-N and N-G).

Do not exceed 150 V on the Maximum Continuous Operating Voltage (MCOV).

Equal or exceed 40 kA the SPD surge current rating per mode (L-N) and (N-G).

Equal or exceed 50 kA or the available short circuit current, whichever is higher for the SPD Short Circuit Current Rating (SCCR).

Provide SPD with directly connected Metal Oxide Varistors (MOV) exceeding 32 mm in diameter with thermal safety disconnectors. Gas tube and spark gap SPD are not be permitted. Ensure each MOV's operational status can be monitored via visual indicator, including N-G mode.

Provide SPD with one set of Normally Open (NO), Normally Closed (NC) Form C contacts for remote monitoring.

- 2.6.3. **Low-Voltage Power, Control, Data and Signal Systems SPD.** Install a specialized SPD on all conductive circuits including, but not limited to, data communication cables, coaxial video cables, and low-voltage power cables. Ensure that these devices comply with the functional requirements shown in Table 2 for all available modes (i.e., power L-N, N-G; data and signal center pin-to-shield, L-L, L-G, and shield-G where appropriate).

These specialized SPD must have an operating voltage matching the characteristics of the circuit. Ensure that these specialized SPD are UL 497B or UL 497C Listed, as applicable.

Provide the SPD with 3 stages of surge suppression in a Pi ( $\pi$ ) configuration. The first stage (primary side) consists of parallel-connected Gas Discharge Tubes (GDTs). The second stage consists of a series connected resistor or inductor. The third stage (secondary side) consists of parallel-connected transorbs or silicone avalanche diodes (SADs).

Ground the SPD to the DIN rail and a wire terminal connection point. (Grounding solely through the DIN rail connection is not adequate and does not meet the performance or intent of this specification.)

Install coaxial SPDs in a manner that prevents ground loops and resulting signal deterioration. This is usually caused where the cable has different references to ground at either end and connecting SPDs at both ends that have only Pin to Shield protection completes a ground loop circuit through the Shield. SPDs having Pin to Shield protection, and separate Shield to Ground protection are acceptable to eliminate ground loops.

**Table 2**  
**SPD Minimum Requirements**

Circuit Description	Maximum Continuous Operating Voltage (MCOV)	Frequency/ Bandwidth/ Data Rate	Surge Capacity	Maximum Let-Through Voltage
12 VDC	15-20 V	N/A	5 kA per mode (8x20 $\mu$ s)	<150 Vpk
24 VAC	30-55 V	N/A	5kA per mode (8x20 $\mu$ s)	<175 Vpk
48 VDC	60-85 V	N/A	5 kA per mode (8x20 $\mu$ s)	<200 Vpk
Coaxial Composite Video	4-8 V	Up to 1.5 GHz	10 kA per mode (8x20 $\mu$ s)	<100 Vpk
RS422/RS485	8-15 V	Up to 10 Mbps	10 kA per mode (8x20 $\mu$ s)	<30 Vpk
T1	13-30 V	Up to 10 Mbps	10 kA per mode (8x20 $\mu$ s)	<30 Vpk
Ethernet Data	7-12 V	Up to 100 Mbps	3kA per mode (10x1000 $\mu$ s)	<30 Vpk

- 2.7. **Environmental Design Requirements.** Provide cabinets that meet the functional requirements of this Item during and after subsection to any combination of the following requirements:
- ambient temperature range of -30 to 165°F,
  - temperature shock not to exceed 30°F per hour, during which the relative humidity does not exceed 95%,
  - relative humidity range not to exceed 95% over the temperature range of 40 to 110°F, and
  - moisture condensation on all surfaces caused by temperature changes.
- 2.8. **Vibration.** Material used must show no degradation of mechanical structure, soldered components, plug in components or satisfactory operation in accordance with the manufacturer's equipment specifications after being subjected to the vibration test as described in the NEMA standard TS2, Section 2.2.8, "Vibration Test", or the latest revision.

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### 3. FABRICATION

- 3.1. **Anchor Bolts.** Fabricate anchor bolts, nuts, and washers in accordance with the details shown on the plans and Item 449, "Anchor Bolts." Galvanize these items in accordance with Item 445, "Galvanization."
- Provide 2 circular steel templates as shown on the plans conforming to ASTM A36 for each assembly. Tack weld the lower anchorage nuts to the lower template in the shop. Perform this welding with an appropriate jig to ensure that the anchor bolt is perpendicular to the template. Shipping of the anchor bolt cage in its assembled condition is not required.

- 3.2. **ITS Poles.** Fabricate ITS poles in accordance with the details shown on the plans, this Item, and Item 441, "Steel Structures." Alternate designs are not acceptable unless approved by the Department.

Provide properly fitting components. Provide round, octagonal (8-sided), or dodecagonal (12-sided) pole shafts tapered to the heights shown on the plans.

Permanently mark, at a visible location when erected, ITS pole base plates with the design wind speed. Locate the handholes, as shown on the plans, opposite of the direction of traffic flow.

Permanently mark, at a visible location when erected, ITS pole base plates with the fabrication plant's insignia or trademark. Place the mark on the pole base plate adjacent to the handhole access compartment.

Provide circumferential welds only at the ends of the shaft. Provide no more than 2 longitudinal seam welds in shaft sections. Grind or smooth the exterior of longitudinal seam welds to the same appearance as other shaft surfaces. Ensure 100% penetration within 6 in. of circumferential base welds and 60% minimum penetration at other locations along the longitudinal seam welds. Use a welding technique that minimizes acid entrapment during later galvanizing. Hot-dip galvanize all fabricated parts in accordance with Item 445, "Galvanizing."

Fabricate air terminal and bracket assembly to serve as a lightning arrestor in accordance with ITS pole air terminal details and IEEE standards for lightning protection. Bond air terminal with air terminal bracket via clad weld or other approved bolted connection.

- 3.3. **Cabinet.** Continuously weld all exterior seams for cabinet and doors. Fill edges to a radius of 0.03125 in. minimum. Smooth exterior welds.

Welding on aluminum cabinets are done by the gas metal arc (MIG) or gas tungsten arc (TIG) process using bare aluminum welding electrodes. Ensure electrodes conform to the requirements of the American Welding Society (AWS) A5.10 for ER5356 aluminum alloy bare welding electrodes.

Procedures, welding machines and welding machine operators for welding on aluminum must be qualified and conform with the requirements of AWS B3.0, "Welding Procedures and Performance Qualification", and to the practices recommended in AWS C5.6.

Construct all cabinets of welded sheet aluminum with a thickness of at least 0.125 in. meeting NEMA 3R standards. Do not allow wood, wood fiber product, or flammable products in the cabinet. Seal cabinet structure to prevent the entry of rain, dust, and dirt.

Provide a sunshield on the exterior top of the cabinet to reflect solar rays and mitigate temperature build-up inside the cabinet. Construct sunshield out of 0.125 in. thick aluminum and provide a minimum of 1.25 in. clearance above the top of cabinet secured in four locations.

Attach aluminum lifting eyes or ears to the top of the cabinet to permit lifting the cabinet with a sling. Lifting eyes may be permanently fabricated to the cabinet frame as long as they do not interfere with the construction and operation of the sunshield. Manufacturer may provide removable lifting eyes that can be removed after installation. Seal any penetrations to the cabinet exterior or sunshield after removal of lifting eyes.

Ensure cabinets conform to the requirements of ASTM designation: B209 for 5052-H32 aluminum sheet.

- 3.3.1. **Door.** Provide sturdy and torsionally rigid cabinet doors that substantially cover the full area of the cabinet access opening. Attach cabinet doors by a minimum of 2 heavy duty hinges or full length hinge. Provide stainless steel hinge pins.

Fabricate the doors and hinges to withstand a 100 lb. per vertical ft. force applied to the outer edge of the door when open without permanent deformation or impairment of the door or cabinet body when the load is removed.

Fit the cabinet doors with Number 2 Corbin locks and aluminum or chrome plated handles with a minimum 3/8 in. drive pin and a 3 point latch. Design the lock and latch so that the handles cannot be released until the lock is released. Provide a locking ring for a padlock along with a padlock. Provide 2 keys for the door and 2 keys for the padlock with each cabinet. Locate the lock clear of the arc of the handle. Keys must be removable in the locked position only. Mount locks with 2 stainless steel machine screws. Provide cabinet doors with a catch mechanism to hold the door open at 2 positions: 90° and 120°.

Fabricate the door and door stop mechanism to withstand a simulated wind load of 5 lb. per sq. ft. applied to both inside and outside surfaces without failure, permanent deformation, or compromising of door position.

Provide cabinets without auxiliary police doors.

Provide a gasket to act as a permanent and weather resistant seal at the cabinet door facing. The gasket material must be of a non-absorbent material and maintain its resiliency after long term exposure to the outdoor environment.

Provide a gasket with a minimum thickness of 0.25 in. Locate the gasket in a channel provided for this purpose either on the cabinet or on the door. An "L" bracket is acceptable instead of this channel if the gasket is fitted snugly against the bracket to insure a uniformly dust and weather resistant seal around the entire door facing.

3.3.2. **Mechanical Components.** Ensure all external screws, nuts, and locking washers are stainless steel. Do not use self-tapping screws unless specifically approved by the Engineer.

Ensure all parts are made of corrosion resistant material, such as plastic, stainless steel, aluminum or brass.

Ensure all materials used in construction are resistant to fungus growth and moisture deterioration.

Separate dissimilar metals by an inert dielectric material.

## 4. CONSTRUCTION

4.1. **Installation.** Locate ITS poles as shown on the plans unless otherwise directed to secure a more desirable location or to avoid conflict with utilities. Stake the ITS pole locations for verification by the Engineer.

Use established industry and utility safety practices when working near underground or overhead utilities. Consult with the appropriate utility company before beginning such work.

Construct foundations for new ITS poles in accordance with Item 416, "Drilled Shaft Foundations," and the details shown on the plans." Orient anchor bolts as shown on the plans. Install conduit per Item 618, Conduit."

Identify all items of a shipment with a weatherproof tag. This tag minimally must identify manufacturer, contract number, and date and destination of shipment.

Erect poles after foundation concrete has attained its design strength as required on the plans and Item 421, "Hydraulic Cement Concrete." Coat anchor bolt threads and tighten anchor bolts in accordance with Item 449, "Anchor Bolts." Do not grout between the base plate and the foundation.

Mount the pole mounted cabinet to the backside of the ITS pole, with door either parallel or perpendicular to the roadway, away from the direction of traffic flow, as shown on the plans. Mount cabinet plumb in all directions.



For ITS pole sites located on slopes greater than 4H:1V, mount the pole mounted cabinet to the backside of the ITS pole, from the perspective parallel to the roadway with the door facing the direction of traffic flow as shown on the plans.

Install grounding conductor from cabinet and ITS pole air terminal inside a minimum 1 in. PVC conduit within the foundation. Bond grounding conductors to the primary ground rod as part of the grounding ring in accordance with the ITS grounding details.

Construct reinforced maintenance pad, when required, with Class A concrete in accordance with Item 421, "Hydraulic Cement Concrete." Provide reinforcing steel in accordance with Item 440, "Reinforcing Steel."

- 4.2. **Relocation.** Before removal of the existing pole structure or cabinet, disconnect and isolate the power cables from the electric power supply and disconnect all cables (power and communication) from the equipment and remove any ITS equipment, associated mounting brackets, pole mounted cabinet, and cabling from the pole structure. Remove existing pole structure as shown on the plans only at such time as authorized by the Engineer.

Inspect the existing pole structure, with a representative from the Department, and document any evidence of structural stress cracks or fatigue before removal. Remove and deliver to the Department, existing pole structures that fail structural inspection to an address to be supplied by the Department.

Remove the existing pole structure in a manner acceptable to the Engineer using a method that does not cause undue overstress or damage to the structure or appurtenances attached.

Use a crane of sufficient capacity to remove the pole. Disconnect and relocate the existing pole structure from and to the foundation as shown on the plans in a manner acceptable to the Engineer.

When the poles are laid down, place the poles on timber cribbing so that the poles lie reasonably straight to prevent any damage or deterioration.

Maintain safe construction and operation practices at all times. Handle the poles in such a manner during removal so as to prevent damage to the pole's exterior finish. The Contractor will be responsible for any damage to poles.

Unless otherwise shown on the plans, remove abandoned concrete foundations, including steel, to a depth of at least 2 ft. below final grade in accordance with Item 496, "Removing Structures." Backfill the excavation with materials equal in composition and density to the surrounding area. Replace any surfacing material with similar material to an equivalent condition.

Supply all new anchor bolts required for the installation of the ITS pole structure. Match bolt dimensions and lengths previously used or as shown on the plans and as directed. Provide anchor bolts in accordance with Item 449, "Anchor Bolts."

Move existing poles to the locations shown on the plans or as directed. Construct new foundations for relocated ITS poles in accordance with Item 416, "Drilled Shaft Foundations," and the details shown on the plans. Install conduit per Item 618, "Conduit." Install existing poles on new foundations in accordance with Section 4.1, "Installation." Do not grout between the base plate and foundation.

- 4.3. **Removal.** Use established industry and utility safety practices when removing poles and assemblies located near overhead or underground facilities. Consult with the appropriate utility company before beginning work.

Inspect the pole and cabinet, where included, with a representative from the Department, and remove any ITS equipment, associated mounting hardware, and cabling still attached to the pole or inside the cabinet before commencing work. Inspect the existing pole and cabinet in place, with a representative from the Department, and document any evidence of damage to the representative before removal.

Before removal of the existing pole structure or cabinet, disconnect and isolate the power cables from the electric power supply and disconnect all cables (power and communication) from the equipment. Remove and coil existing cabling to the nearest ITS ground box or as identified on the plans.

Carefully remove the cabinet from the pole structure. Avoid damage or injury to surrounding objects or individuals. Deliver the cabinet to an address to be supplied by the Department.

Carefully remove the pole from the foundation in accordance with Item 496, "Removing Structures." Avoid damage or injury to surrounding objects or individuals. Separate the pole at the slip-fitted connections, if applicable. If the pole cannot be separated, transport the complete pole or partially separate the pole to make it transportable. Deliver the pole structure to an address to be supplied by the Department.

Unless otherwise shown on the plans, remove abandoned concrete foundations, including steel, to a depth of 2 ft. below final grade in accordance with Item 496, "Removing Structures." Backfill the excavation with materials equal in composition and density to the surrounding area. Replace surfacing material with similar material to an equivalent condition.

#### 4.4. **Testing.**

4.4.1. **Installation.** Unless otherwise shown on the plans, perform the following tests on cabinets supplied through this Item.

4.4.1.1. **Test Procedures Documentation.** Provide 5 copies of the test procedures to include tests identified in Article 4.4.2 through Article 4.4.4 inclusive and blank data forms to the Engineer for review and comment at least 45 days before testing for each test required on this project. Include the sequence of the tests in the procedures. The Engineer will comment, approve, or reject test procedures within 30 days after Contractor submittal of equipment for tests. Contractor to resubmit if necessary rejected test procedures for final approval within 10 days before testing. Review time is calendar days. Conduct all tests in accordance with the approved test procedures. The Department may witness all tests.

Record test data on the data forms and quantitative results. No bid item measurement or payment will be made until the Engineer has verified the test results meet the requirements of the specification. The data forms for all tests, except design approval tests, must be signed by an authorized representative of the Contractor.

Provide written notice to the Engineer within 48 hr. of discovery of any testing discrepancy performed in testing by the contractor. Furnish data forms containing the acceptable range of expected results and measured values.

4.4.1.2. **Design Approval Test.** Conduct a design approval test on 10% of the total number of cabinets supplied as part of the project, with at least one of each type of cabinet used on the project.

Certification from an independent testing laboratory of a successfully completed design approval test is acceptable. Ensure that the testing by this laboratory is performed in accordance with the requirements of this specification. Failure of independent tests to comply with the requirements of this specification will be grounds for rejection of any certification.

Provide a copy of the certification to the Engineer. The data forms for the design approval tests must be signed by an authorized representative (company official) of the equipment manufacturer or by an authorized representative of an independent testing facility.

Notify the Engineer 10 working days before conducting this testing. The Department may witness all the tests. Perform the following tests:

- 4.4.1.2.1. **Power Service Transients.** Provide equipment that meets the performance requirements, specified in this Item, when subjected to the power service transients as specified in NEMA TS2, Section 2.2.7.2, "Transient Tests (Power Service)", or most current version.
- 4.4.1.2.2. **Temperature and Condensation.** Provide equipment that meets the performance requirements, specified in this Item, when subjected to the following conditions in the order specified below:
- stabilize the equipment at -30°F and test as specified in NEMA TS2, Sections 2.2.7.3, "Low-Temperature Low-Voltage Tests" and 2.2.7.4, "Low-Temperature High-Voltage Tests", or most current version.
  - Allow the equipment to warm up to room temperature in an atmosphere with relative humidity of at least 40%. Operate the equipment for 2 hr., while wet, without degradation or failure.
  - Stabilize the equipment at 165°F and test as specified in NEMA TS2, Sections 2.2.7.5, "High-Temperature High Voltage Tests" and 2.2.7.6, "High-Temperature Low-Voltage Tests", or most current version.
- 4.4.1.2.3. **Relative Humidity.** Provide equipment that meets the performance requirements, specified in this Item, within 30 min. of being subjected to a temperature of 165°F and a relative humidity of 18% for 48 hr.
- 4.4.1.2.4. **Vibration.** Provide equipment that shows no degradation of mechanical structure, soldered components, or plug-in components and will operate in accordance with the manufacturer's equipment specifications after being subjected to the vibration tests as described in NEMA TS2, Section 2.2.8, "Vibration Test", or most current version.
- 4.4.1.2.5. **Power Interruption.** Provide equipment that meets the performance requirements, specified in this Item, when subjected to nominal input voltage variations as specified in NEMA TS2, Section 2.2.10, "Power Interruption Test", or most current version.
- 4.4.1.3. **Stand-Alone Tests.** Conduct a Stand-Alone Test for each cabinet after installation. Exercise all stand-alone (non-network) functional operations consisting of the following, at a minimum:
- 19-inch EIA rack,
  - adjustable shelves,
  - locking mechanism,
  - fan and thermostat,
  - cabinet light,
  - back panel,
  - circuit breakers,
  - surge protection,
  - grounding system,
  - terminal strips,
  - interconnect harnesses with connectors,
  - cabinet attachment to pole,
  - weatherproofing, and
  - "Door Open" connection to back panel.

Notify the Engineer 5 working days before conducting this test. The Engineer may witness all the tests.

- 4.4.1.4. **Consequences of Test Failure.** If a unit fails a test, submit a report describing the nature of the failure and the actions taken to remedy the situation before modification or replacement of the unit. If a unit requires modification, correct the fault and then repeat the test until successfully completed. Correct minor discrepancies within 30 days of written notice to the Engineer. If a unit requires replacement, provide a new unit and then repeat the test until successfully completed. Major discrepancies that will substantially delay receipt and acceptance of the unit will be sufficient cause for rejection of the unit.

Failure to satisfy the requirements of any test is considered a defect and the equipment is subject to rejection by the Engineer. The rejected equipment may be offered again for retest provided all noncompliance has been corrected.

If a failure pattern develops in similar units within the system, implement corrective measures, including modification or replacement of units, to all similar units within the system as directed. Perform the corrective measures within 30 calendar days without additional cost or extension of the contract period.

4.4.1.4.1. **Consequences of Design Approval Test Failure.** If the equipment fails the design approval test, correct the fault within 30 days and then repeat the design approval test until successfully completed.

4.4.1.4.2. **Consequences of Stand-Alone Test Failure.** If the equipment fails the stand-alone test, correct the fault within 30 days and then repeat the stand-alone test until successfully completed.

4.4.2. **Relocation.**

4.4.2.1. **Pre-Test.** Conduct performance testing before removal of ITS pole mounted cabinet. Test the following components or equipment, at a minimum, and document functional operations in the presence of representatives of the Contractor and the Department.

- locking mechanism,
- fan and thermostat,
- cabinet light,
- back panel,
- circuit breakers,
- surge protection system,
- grounding system, and
- "Door Open" connection to back panel.

Ensure that both representatives sign the test report indicating that the equipment has passed or failed each function. Once removed, the equipment becomes the responsibility of the Contractor until accepted by the State. Compare test data before removal and test data after installation.

4.4.2.2. **Post Test.** Testing of the ITS pole mounted cabinet is for the purpose of relieving the Contractor of maintenance of the system. The Contractor will be relieved of the responsibility for maintenance of the system in accordance with Item 7, "Legal Relations and Responsibilities", after a successful test period. The Contractor will not be required to pay for electrical energy consumed by the system.

After all existing ITS equipment has been installed, perform the same functional operation test described under Article 4.4.2.1. Furnish test data forms containing the sequence of tests including all of the data taken and quantitative results for all tests. Submit the test data forms to the Engineer at least 30 days before the day the tests are to begin. Obtain Engineer's approval of test procedures before submission of equipment for tests. Send at least 1 copy of the data forms to the Engineer.

The performance test results after relocation must be equal to or better than the test results before removal. Repair or replace those components within the system which failed after relocation but which passed before removal.

The Department will conduct approved ITS equipment system tests on the field equipment hardware with the central equipment. The tests will, as a minimum, exercise all remote control functions and display the return status codes from the controller.

If any unit fails to pass a test, prepare a report and deliver it to the Engineer. Describe in the report the nature of the failure and the corrective action needed. If the failure is the result of improper installation or damage during reinstallation, reinstall or replace the unit and repeat the test until the unit passes successfully, at no additional cost to the Department or extension of the contract period.

4.5. **Documentation.** Submit documentation for this Item consisting of the following:

4.5.1. **ITS Pole.** Shop drawings should clearly detail the following for the ITS poles submitted for the project:

- physical pole drawings,
- anchor bolts,
- material list,
- lightning suppression,
- weatherheads,
- cabinet Mounting attachments (when cabinet required), and
- grounding system.

4.5.2. **Pole Mounted Cabinet.** Shop drawings should clearly detail the following for ITS pole mounted cabinets when required as shown on the plans:

- dimensions,
- shelves,
- door,
- gasket,
- door look,
- materials list,
- exterior finish,
- ventilation,
- terminal strips,
- harnesses,
- filter,
- power distribution panel,
- surge suppression,
- back panel,
- outlets,
- circuit breakers,
- power cable terminals,
- wiring diagrams,
- cabinet grounding,
- environmental parameters, and
- connectors.

Submit shop drawings, signed, sealed, and dated by a registered professional Engineer in Texas showing the fabrication and erection details for each ITS pole including the ITS cabinet and mounting details in accordance with Item 5, "Control of the Work".

Provide at least 2 complete sets of operation and maintenance manuals in hard copy format in addition to a CD/DVD or removable flash drive that include the following:

- complete and accurate schematic diagrams,
- complete installation procedures,
- complete performance specifications (functional, electrical, mechanical and environmental) on the unit,
- complete parts list including names of vendors for parts not identified by universal part number such as JEDEC, RETMA, or EIA,
- pictorial of component layout on circuit board,
- complete maintenance and trouble-shooting procedures,
- complete stage-by-stage explanation of circuit theory and operation,
- recovery procedures for malfunction, and
- instructions for gathering maintenance assistance from manufacturer.

Identify material which is copyrighted or proprietary in nature as part of the documentation submittal. The Department will take proper provisions to secure such material and not distribute without written approval.

Provide Department with certification documentation verifying conformance with environmental and testing requirements contained in the special specification. Certifications may be provided by the manufacturer or through independent labs.

4.6. **Warranty.** The start date of the manufacturer's standard warranty will begin when the stand-alone test plan has been approved. Any equipment with less than 95% of its warranty remaining at the beginning of the stand-alone test will not be accepted by the Department. Guarantee that equipment furnished and installed

for this project performs according to the manufacturer's published specifications. Warrant the equipment against defects or failure in design, materials, and workmanship for a minimum of 5 years or in accordance with the manufacturer's standard warranty if warranty period is greater. Assign, to the Department, all manufacturer's normal warranties or guarantees on all electronic, electrical, and mechanical equipment, materials, technical data, and products furnished for and installed on the project. Repair or replace, at the manufacturer's option, defective equipment during the warranty period at no cost to the Department.

Repair or replace equipment at the Contractor's expense before beginning testing in the event of a malfunction or failure. Furnish replacement parts for all equipment within 30 days of notification of failure by the Department.

## 5. MEASUREMENT

This Item will be measured as each unit furnished, installed, relocated, or removed as shown on the plans, excluding new foundations and conduit.

## 6. PAYMENT

- 6.1. **Furnish and Install.** The work performed and materials furnished in accordance with this Item and measured as provided for under "Measurement" will be paid for at the unit price bid for "ITS Pole" of the type and height specified, including COSS/OSB extension, and "ITS Pole Mount Cabinet" of the type and configuration specified. This price is full compensation for furnishing, fabricating, and erecting ITS pole structures as shown on the plans; for furnishing, fabricating, and installing ITS pole mounted cabinets as shown on the plans; for furnishing and placing anchor bolts, nuts, washers, and templates; conducting cabinet testing; and equipment, materials, labor, tools, and incidentals necessary to provide an ITS pole structure or pole mounted cabinet complete in place and ready for the attachment of ITS equipment.

New drill shaft foundations will be paid for under Item 416, "Drilled Shaft Foundations." New conduit will be paid for under Item 618, "Conduit."

- 6.2. **Install Only.** The work performed and materials furnished in accordance with this Item and measured as provided for under "Measurement" will be paid for at the unit price bid for "ITS Pole (Install Only)" of the type and height specified, including COSS/OSB extension, and "ITS Pole Mount Cabinet (Install Only)" of the type and configuration specified. This price is full compensation for erecting ITS pole structures and installing ITS pole mounted cabinets furnished by the Department as shown on the plans; for installing and placing anchor bolts, nuts, washers, and templates; conducting cabinet testing; and equipment, materials, labor, tools, and incidentals necessary to provide an ITS pole structure or pole mounted cabinet, complete in place, and ready for the attachment of ITS equipment.

New drill shaft foundations will be paid for under Item 416, "Drilled Shaft Foundations." New conduit will be paid for under Item 618, "Conduit."

- 6.3. **Relocate.** The work performed and materials furnished in accordance with this Item and measured as provided for under "Measurement" will be paid for at the unit price bid for "ITS Pole (Relocate)" of the type and height specified, including COSS/OSB extension, and "ITS Pole Mount Cabinet (Relocate)" of the type and configuration specified. This price is full compensation for removing existing ITS pole structures or pole mounted cabinets as shown on the plans; removing existing foundations; backfilling and surface placement; hauling and erecting ITS pole structures; hauling and installing ITS pole mounted cabinets; furnishing and placing anchor bolts, nuts, washers, and templates; conducting cabinet testing; and equipment, materials, labor, tools, and incidentals necessary to relocate existing ITS pole structures or pole mounted cabinets, complete in place, and ready for the attachment of ITS equipment.

New drill shaft foundations will be paid for under Item 416, "Drilled Shaft Foundations." New conduit will be paid for under Item 618, "Conduit."

- 6.4. **Remove.** The work performed and materials furnished in accordance with this Item and measured as provided for under "Measurement" will be paid for at the unit price bid for "ITS Pole (Remove)" of the type and height specified, including COSS/OSB extension, and "ITS Pole Mount Cabinet (Remove)" of the type and configuration specified. This price is full compensation for removing existing ITS pole structures and pole mounted cabinets as shown on the plans; removing existing foundations; backfilling and surface placement; loading and hauling; and equipment; materials, labor, tools, and incidentals necessary to complete the removal of existing ITS pole structures and pole mounted cabinets.

# Special Specification 6185

## Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)




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### 1. DESCRIPTION

Furnish, operate, maintain and remove upon completion of work, Truck Mounted Attenuator (TMA) or Trailer Attenuator (TA).

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### 2. MATERIALS

Furnish, operate and maintain new or used TMAs or TAs. Assure used attenuators are in good working condition and are approved for use. A list of approved TMA/TA units can be found in the Department's Compliant Work Zone Traffic Control Devices List. The host vehicle for the TMA and TA must weigh a minimum of 19,000 lbs. Host vehicles may be ballasted to achieve the required weight. Any weight added to the host vehicle must be properly attached or contained within it so that it does not present a hazard and that proper energy dissipation occurs if the attenuator is impacted from behind by a large truck. The weight of a TA will not be considered in the weight of the host vehicle but the weight of a TMA may be included in the weight of the host vehicle. Upon request, provide either a manufacturer's curb weight or a certified scales weight ticket to the Engineer.

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### 3. CONSTRUCTION

Place or relocate TMA/TAs as shown on the plans or as directed. The plans will show the number of TMA/TAs needed, for how many days or hours, and for which construction phases.

Maintain the TMA/TAs in good working condition. Replace damaged TMA/TAs as soon as possible.

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### 4. MEASUREMENT

4.1. **Truck Mounted Attenuator/Trailer Attenuator (Stationary).** This Item will be measured by the each or by the day. TMA/TAs must be set up in a work area and operational before a calendar day can be considered measurable. When measurement by the day is specified, a day will be measured for each TMA/TA set up and operational on the worksite.

4.2. **Truck Mounted Attenuator/Trailer Attenuator (Mobile Operation).** This Item will be measured by the hour. The time begins once the TMA/TA is ready for operation at the predetermined site and stops when notified by the Engineer. A minimum of 4 hr. will be paid each day for each operating TMA/TA used in a mobile operation.

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### 5. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Truck Mounted Attenuators/Trailer Attenuators (Stationary)," or "Truck Mounted Attenuators/Trailer Attenuators (Mobile Operation)." This price is full compensation for furnishing TMA/TA: set up; relocating; removing; operating; fuel; and equipment, materials, tools, labor, and incidentals.



# Special Specification 6247

## Installation of Traffic Management Equipment



### 1. DESCRIPTION

Transport, install, and test Department furnished MPEG 4 Encoder systems, Ethernet Contact Closures, Field Hardened Ethernet switches, Cellular Modems, and Contact Closure Radio link as shown on the plans, as detailed in the special specification, and as directed.

### 2. MATERIALS

The Department will furnish: MPEG 4 Encoder w/Power Supply, Ethernet contact closures w/Power Supply, Field Hardened Ethernet switches w/Power Supply, RS-232 Port Servers w/Power Supply, and Cellular Modems w/Power Supply.

Provide all materials not supplied by the Department necessary for the MPEG 4 Encoder installation, Ethernet contact closure installation, Field Hardened Ethernet switch installation, RS-232 Port Server installation, Cellular Modem installation, and Contact Closure Radio link installation. All materials provided by the Contractor must be new.

Unless otherwise shown on the plans, equipment for the MPEG 4 Encoder, Ethernet Contact Closures, Field Hardened Ethernet switches, RS-232 Port Servers, Cellular Modems, and Contact Closure Radios for this project will be stored by the Department for pick up at TxDOT Laredo District Office, 1817 Bob Bullock Lp, Laredo TX 78043.

Ensure that all materials and construction methods necessary to complete the installation conform to the requirements of this Item, the plans, and the pertinent requirements of the following item:

- Item 620, "Electrical Conductors"

### 3. POWER REQUIREMENTS

Provide equipment appurtenances as required to ensure that operations are not affected by the transient voltages, surges, and sags normally experienced on commercial power lines.

- 3.1. **Wiring.** Provide wiring that meets the requirements of the National Electric Code. Provide wires that are cut to proper length before assembly. Provide cable slacks to facilitate removal and replacement of assemblies, panels, and modules. Do not doubleback wire to take up slack. Lace wires neatly into cable with nylon lacing or plastic straps. Secure cables with non-adhesive clamps and anchors. Provide service loops at connections.
- 3.2. **Power Service Protection.** Provide equipment that contains readily accessible, manually re-settable or replaceable circuit protection devices (such as circuit breakers or fuses) for equipment and power source protection. Provide and size circuit breakers or fuses such that no wire, component, connector, PC board or assembly must be subjected to sustained current in excess of their respective design limits upon failure of any single element or wiring.

### 4. MECHANICAL REQUIREMENTS

- 4.1. **Connectors and Harnesses.** Provide external connections made by means of connectors. Provide connectors that are keyed to preclude improper hookups. Color code wires and appropriately mark origin and destination of each cable.

Provide connecting harnesses of appropriate length and terminated with matching connectors for interconnection with the communications system equipment.

Provide pins and mating connectors that are plated to improve conductivity and resist corrosion. Cover connectors utilizing solder type connections by a piece of heat shrink tubing securely shrunk to ensure that it protects the connection.

- 4.2. **Mechanical Components.** Provide external screws, nuts and locking washers that are stainless steel. Provide parts made of corrosion resistant material, such as plastic, stainless steel, anodized aluminum or brass. Protect materials from fungus growth and moisture deterioration. Separate dissimilar metals by an inert dielectric material.

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## 5. INSTALLATION OF ETHERNET CONTACT CLOSURES

Install all materials, equipment, power, and control cabling. Ensure an operating and functional system.

Prevent damage to all Transceiver components supplied by the Department. Replace any component that is damaged or lost during transportation or installation at the Contractor's expense.

**Testing.** Verify operation of the contact closures and demonstrate that the data communication links can be transmitted at a satisfactory rate from the field location to the central location. Demonstrate that the data packets are being received at the central site via a networked computer.

**Experience Requirements.** The Contractor or designated subcontractors involved in the installation and testing of the Ethernet Contact Closures, as minimum, meet the following:

Two year experience in the installation of Ethernet Contact Closures.

Must have a minimum record of having installed two Ethernet Contact Closures where they have been in continuously satisfactory operation for at least 1 year. The Contractor shall submit as proof, supporting documents, and the names, addresses and telephone numbers of the operating personnel who can be contacted regarding the system.

Provide necessary documentation of subcontractor qualifications pursuant to contract award.

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## 6. INSTALLATION OF MPEG 4 ENCODER

Install all materials, equipment, power, video and control cabling. Ensure an operating and functional system.

Prevent damage to all Digital Video Encoder (Ethernet) system components supply by the Department. Replace any component that is damaged or lost during transportation or installation at the Contractor's expense.

**Testing.** Verify operation of the digital video encoder, together with operation of their wireless link and demonstrate that video images can be transmitted at a satisfactory rate from the field location to the central location. Demonstrate that the video image is being received at the central site and in a window on the monitor. The frame rated demonstrated at the field site shall be at least 6 frames per second for a traffic scene which has 30 percent of the scene being updated by moving vehicles.

**Experience Requirements.** The Contractor or designated subcontractors involved in the installation and testing of the Digital Video Encoder shall, as minimum, meet the following:

Two year experience in the installation of Digital Video Encoder (Ethernet) equipment.

Must have a minimum record of having installed two Digital Video Encoder (Ethernet) systems where they have been in continuously satisfactory operation for at least 1 year. The Contractor shall submit as proof, photographs or other supporting documents, and the names, addresses and telephone numbers of the operating personnel who can be contacted regarding the system.

Provide necessary documentation of subcontractor qualifications pursuant to contract award.

## 7. INSTALLATION OF FIELD HARDENED ETHERNET SWITCHES

Install all materials, equipment, power, video and control cabling. Ensure an operating and functional system.

Prevent damage to all Field Hardened Ethernet Switch system components supplied by the Department. Replace any component that is damaged or lost during transportation or installation at the Contractor's expense.

**Testing.** Verify operation of the Hardened Ethernet Switch, together with operation of its links and demonstrate that data can be transmitted at a satisfactory rate from the field location to the central location. Demonstrate that the Field Hardened Ethernet Switch data packets are being received at the central site via a networked computer.

**Experience Requirements.** The Contractor or designated subcontractors involved in the installation and testing of the Field Hardened Ethernet Switches shall, as minimum, meet the following:

Two year experience in the installation of Field Hardened Ethernet Switches.

Must have a minimum record of having installed two Hardened Ethernet Switches where they have been in continuously satisfactory operation for at least 1 year. The Contractor shall submit as proof, photographs or other supporting documents, and the names, addresses and telephone numbers of the operating personnel who can be contacted regarding the system.

Provide necessary documentation of subcontractor qualifications pursuant to contract award.

## 8. INSTALLATION OF RS-232 PORT SERVERS

Install all materials, equipment, power, and control cabling. Ensure an operating and functional system.

Prevent damage to all RS-232 Port Server components supplied by the Department. Replace any component that is damaged or lost during transportation or installation at the Contractor's expense.

**Testing.** Verify operation of the RS-232 port servers, together with operation of their wireless link, demonstrate that the data communication links can be transmitted at a satisfactory rate from the field location to the central location. Demonstrate that the RS-232 data packets are being received at the central site via a networked computer.

**Experience Requirements.** The Contractor or designated subcontractors involved in the installation and testing of the RS-232 port servers, as minimum, meet the following:

Two year experience in the installation of RS-232 Port Servers.

Must have a minimum record of having installed two RS-232 port server systems where they have been in continuously satisfactory operation for at least 1 year.

The Contractor shall submit as proof, supporting documents, and the names, addresses and telephone numbers of the operating personnel who can be contacted regarding the system.

Provide necessary documentation of subcontractor qualifications pursuant to contract award.

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## 9. INSTALLATION OF CELLULAR MODEMS

Install all materials, equipment, power, video and control cabling. Ensure an operating and functional system.

Prevent damage to all Cellular Modem components supplied by the Department. Replace any component that is damaged or lost during transportation or installation at the Contractor's expense.

**Testing.** Verify operation of the Cellular Modems, together with operation of its links, demonstrate that data can be transmitted at a satisfactory rate from the field location to the central location. Demonstrate that the Cellular Modems data packets are being received at the central site via a networked computer.

**Experience Requirements.** The Contractor or designated subcontractors involved in the installation and testing of the Cellular Modems shall, as a minimum, meet the following:

Two year experience in the installation of Cellular Modems.

Must have a minimum record of having installed two Cellular Modems where they have been in continuously satisfactory operation for at least 1 year. The Contractor shall submit as proof, photographs or other supporting documents, and the names, addresses and telephone numbers of the operating personnel who can be contacted regarding the system.

Provide necessary documentation of subcontractor qualifications pursuant to contract award.

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## 10. INSTALLATION OF CONTACT CLOSURE RADIO LINK

Installation consists of both radios, both antennas, all cables, mounting brackets and hardware; installed, positioned for optimal communication, configured, tested, and made operational between the RVSD (Radar Vehicle Sensing Device) and DMS in accordance with this specification and as shown on the plans.

Prevent damage to all Contact Closure Radio components supplied by the Department. Replace any component that is damaged or lost during transportation or installation at the Contractor's expense.

**Testing.** Verify operation of the Contact Closure Radio Link, demonstrate that data can be transmitted at a satisfactory rate as per manufacture's recommendations.

**Experience Requirements.** The Contractor or designated subcontractors involved in the installation and testing of the Contact Closure Radio link shall, as a minimum, meet the following:

Two year experience in the installation of Contact Closure Radios.

Must have a minimum record of having installed two Contact Closure radios where they have been in continuously satisfactory operation for at least 1 year. The Contractor shall submit as proof, photographs or other supporting documents, and the names, addresses and telephone numbers of the operating personnel who can be contacted regarding the system.

Provide necessary documentation of subcontractor qualifications pursuant to contract award.

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## 11. MEASUREMENT

This Item will be measured as each MPEG 4 Encoder, Ethernet Contact Closures, Field Hardened Ethernet Switches, RS-232 Port Servers, Cellular Modems, and Contact Closure Radio link made fully operational, and tested.

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**12.****PAYMENT**

The work performed and material furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Installation of MPEG 4 Encoder" or "Installation of Ethernet Contact Closures" or "Installation of Field Hardened Ethernet Switch" or "Installation of RS-232 Port Servers" or "Installation of Cellular Modems" or " Installation of Contact Closure Radio Link". This price is full compensation for transportation and installation of all equipment described under this Item; furnishing and installing all cables, connectors, and mounting assemblies; all documentation and testing; all labor, manipulations, materials, tools, equipment, and incidentals..

# Special Specification 6438

## Mobile Retroreflectivity Data Collection for Pavement Markings



### 1. DESCRIPTION

Furnish mobile retroreflectivity data collection (MRDC) for pavement markings on roadways as shown on the plans or as designated by the Engineer. Conduct MRDC on dry pavement only. Provider is defined as the Contractor or Subcontractor who collects the MRDC data.

### 2. EQUIPMENT AND PERSONNEL

- 2.1. **Mobile Retroreflectometer.** Provide a self-propelled, mobile retroreflectometer certified by the Texas A&M Transportation Institute (TTI) Mobile Retroreflectometer Certification Program.
- 2.2. **Portable Retroreflectometer.** Provide a portable retroreflectometer that uses 30-meter geometry meeting the requirements described in ASTM E 1710. Maintain, service, and calibrate all portable retroreflectometers according to the manufacturer's instructions.
- 2.3. **Operating Personnel for Mobile Retroreflectometer.** Provide all personnel required to operate the mobile retroreflectometer and portable retroreflectometer. Ensure MRDC system operator has a current certification from the TTI Mobile Retroreflectometer Certification Program to conduct MRDC with the certified mobile retroreflectometer provided.
- 2.4. **Additional Personnel.** Provide any other personnel necessary to compile, evaluate, and submit MRDC.
- 2.5. **Safety Equipment.** Supply and operate all required safety equipment to perform this service.

### 3. MRDC DOCUMENTATION AND TESTING

Document all MRDC by county and roadway or as directed by the Engineer. Submit all data to the Department and to the TTI Mobile Retroreflectometer Certification Program no later than three working days after the day the data is collected. Submit all raw data collected in addition to all other data submitted. Provide data files in Microsoft Excel format or a format approved by the Engineer. Provide measurement notification and field tests as specified. Verification and referee testing may be conducted at the Department's discretion.

- 3.1. **Preliminary Documentation Sample.** Submit a sample data file, video, and map of MRDC data in the required format 10 working days before beginning any work. The format must meet specification and be approved by the Engineer before any work may begin.
- 3.2. **Initial Documentation Review and Approval.** The Department will review documentation submitted for the first day of MRDC, and if it does not meet specification requirements, will not allow further MRDC until deficiencies are corrected. The Department will inform the Provider no later than three working days after submittal if the first day of MRDC does not meet specification requirements. Time charges will continue unless otherwise directed by the Engineer.
- 3.3. **Data File.** Provide data files with the following:
  - date;
  - district number;

- county;
- Project CSJ number;
- name of mobile retroreflector operator;
- route number with reference markers or other reference information provided by the Engineer to indicate the location of beginning and end data collection points on that roadway;
- cardinal direction;
- line type (single solid, single broken, double solid, etc.);
- line color;
- file name corresponding to video;
- data for each centerline listed separately;
- average reading taken for each 0.1-mi. interval (or interval designated by the Engineer);
- accurate GPS coordinates (within 20 ft.) for each interval;
- color-coding for each interval indicating passing or failing, unless otherwise directed by the Engineer (passing and failing thresholds provided by the Engineer);
- graphical representation of the MRDC (y-axis showing retroreflectivity and x-axis showing intervals) corresponding with each data file;
- distance in miles driven while measuring the pavement markings;
- event codes (pre-approved by the Engineer) indicating problems with measurement;
- portable retroreflector field check average reading and corresponding mobile average reading for that interval when applicable; and
- upper validation threshold (may be included separately with the raw data but must be clearly identified with the data collected using that threshold).

3.4. **Map.** Provide a map in an electronic format approved by the Engineer with each MRDC submission that includes the following information:

- date;
- district number;
- county;
- color-coded 1-mi. intervals (or interval length designated by the Engineer) for passing and failing retroreflectivity values or retroreflectivity threshold values provided by the Engineer; and
- percentage of passing and failing intervals, if required by the Engineer.

3.5. **Video.** Provide a high-quality DVD or electronic video file with the following information:

- date and corresponding data file name on label;
- district number;
- county;
- route number with reference markers or other designated reference information to indicate the location of beginning and end collection points on that roadway; and
- retroreflectivity values presented on the same screen with the following information:
  - date;
  - location;
  - starting and ending mileage;
  - total miles;
  - retroreflectivity readings; and
  - upper validation thresholds (may be included separately with the raw data but must be clearly identified with the data collected using that threshold).

3.6. **Field Comparison Checks with a Portable Retroreflector.** Take a set of field comparison readings with the portable retroreflector at least once every 4 hr. while conducting MRDC or at the frequency designated by the Engineer. Take a minimum of 20 readings, spread out over the interval measured. List the average portable retroreflector reading next to the mobile average reading for that interval with the

reported MRDC data. Request approval from the Engineer to take field comparison readings on a separate roadway, when measuring a roadway where portable retroreflectometer readings are difficult to take. Take the off-location field comparison readings at no additional cost. Submit the portable retroreflectometer printout of all the readings taken for the field comparison check with the corresponding MRDC data submitted. The mobile average reading must be within  $\pm 15\%$  of the portable average reading. The Engineer may require new MRDC for some or all of the pavement markings measured in a 4-hr. interval before a field comparison check not meeting the  $\pm 15\%$  range. Provide the new MRDC at no extra cost to the Department. The Engineer may take readings with a Department portable retroreflectometer to ensure accuracy at any time. The Department's Materials and Tests Division (MTD) will take comparison readings and serve as the referee if there is a significant difference between the Engineer's portable readings and the Provider's mobile and handheld readings. For best results, take field comparison readings on a fairly flat and straight roadway when possible.

- 3.7. **Periodic Field Checks at Pre-Measured Locations.** When requested by the Engineer, measure with the mobile unit and report to the Engineer immediately after measurement the average retroreflectivity values for a designated pre-measured test location. The Engineer will have taken measurements at the test location within 10 days of the test. The test location will not include pavement markings less than 30 days old. If the measured averages do not fall within  $\pm 15\%$  of the pre-measured averages, further calibration and comparison measurements may be required before any further MRDC. Submit the results of the field check with the MRDC report for that day.
- 3.8. **Measurement Notification.** Provide notification via email to [Mobileretro@tamu.edu](mailto:Mobileretro@tamu.edu) with a carbon copy to the Engineer a minimum of 24 hr. before mobile retroreflectivity data collection to allow for scheduling verification testing when needed.
- 3.9. **Verification Testing.** The Engineer or a third party may perform retroreflectivity verification testing within seven days of the Provider's retroreflectivity readings. The Provider-submitted retroreflectivity data will be compared to the verification test data to determine acceptability of the Provider's mobile retroreflectometer data. Comparison of the data will result in one of the two scenarios below:
- Provider's Data is Validated – if the difference between Provider's and Engineer-third party data is 20% or less, then the Provider's data is validated. The Provider's data will be used for acceptance.
  - Provider's Data is not Validated – if the difference between Provider's and Engineer-third party data is more than 20%, then the Provider's data is not validated. The Engineer-third party data will be used for acceptance and the Provider will be required to take corrective action before additional Provider data collection and may require re-certification of the mobile retroreflectometer. If the Engineer determines that the Provider's data might be correct then, referee testing may be requested by the Engineer.
- 3.10. **Referee Testing.** MTD will perform referee testing using portable retroreflectometers to determine if the markings need to be restriped to meet the required retroreflectivity level. The referee test results will be final. Referee testing will be conducted on the verification test sections using the method for portable retroreflectometers specified in Item 666, "Reflectorized Pavement Markings."

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#### 4. FINAL REPORT

Submit a final report in the format specified by the Engineer to the Department's Traffic Engineering representative within one calendar week after the service is complete. The final report must contain a list of all problems encountered (pre-approved event codes) and the locations where problems occurred during MRDC.

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#### 5. MEASUREMENT

When mobile retroreflectivity data collection for pavement markings is specified on the plans to be a pay item, measurement will be by the mile driven while measuring pavement markings.



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**6. PAYMENT**

Unless otherwise specified on the plans, the work performed, materials furnished, equipment, labor, tools, and incidentals will not be paid for directly, but will be considered subsidiary to bid items of the Contract. When mobile retroreflectivity data collection for pavement markings is specified on the plans to be a pay item, the work performed in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Mobile Retroreflectivity Data Collection." This price is full compensation for providing summaries of readings to the Engineer, equipment calibration and prequalification, equipment, labor, tools, and incidentals.