

Control	0086-10-053, ETC.
Project	F 2023(859), ETC.
Highway	SH 359
County	DUVAL

## ADDENDUM ACKNOWLEDGMENT

**Each bidder is required to acknowledge receipt of an addendum issued for a specific project. This page is provided for the purpose of acknowledging an addendum.**

FAILURE TO ACKNOWLEDGE RECEIPT OF AN ADDENDUM WILL RESULT IN THE BID NOT BEING READ.

In order to properly acknowledge an addendum place a mark in the box next to the respective addendum.

- ADDENDUM NO. 1
- ADDENDUM NO. 2
- ADDENDUM NO. 3
- ADDENDUM NO. 4
- ADDENDUM NO. 5

In addition, the bidder by affixing their signature to the signature page of the proposal is acknowledging that they have taken the addendum(s) into consideration when preparing their bid and that the information contained in the addendum will be included in the contract, if awarded by the Commission or other designees.

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# PROPOSAL TO THE TEXAS TRANSPORTATION COMMISSION

## 2014 SPECIFICATIONS

### WORK CONSISTING OF REHABILITATE EXISTING ROADWAY DUVAL COUNTY, TEXAS

The quantities in the proposal are approximate. The quantities of work and materials may be increased or decreased as considered necessary to complete the work as planned and contemplated.

This project is to be completed in 228 working days and will be accepted when fully completed and finished to the satisfaction of the Executive Director or designee.

Provide a proposal guaranty in the form of a Cashier's Check, Teller's Check (including an Official Check) or Bank Money Order on a State or National Bank or Savings and Loan Association, or State or Federally chartered Credit Union made payable to the Texas Transportation Commission in the following amount:

SEVENTY-SIX THOUSAND (Dollars) ( \$76,000 )

A bid bond may be used as the required proposal guaranty. The bond form may be detached from the proposal for completion. The proposal may not be disassembled to remove the bond form. The bond must be in accordance with Item 2 of the specifications.

Any addenda issued amending this proposal and/or the plans that have been acknowledged by the bidder, become part of this proposal.

By signing the proposal the bidder certifies:

1. the only persons or parties interested in this proposal are those named and the bidder has not directly or indirectly participated in collusion, entered into an agreement or otherwise taken any action in restraint of free competitive bidding in connection with the above captioned project.
2. in the event of the award of a contract, the organization represented will secure bonds for the full amount of the contract.
3. the signatory represents and warrants that they are an authorized signatory for the organization for which the bid is submitted and they have full and complete authority to submit this bid on behalf of their firm.
4. that the certifications and representations contained in the proposal are true and accurate and the bidder intends the proposal to be taken as a genuine government record.

• **Signed: \*\***

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Print Name:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Title:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

**Company:**

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

- Signatures to comply with Item 2 of the specifications.

\*\*Note: Complete (1) for single venture, through (2) for joint venture and through (3) for triple venture.

\* **When the working days field contains an asterisk (\*) refer to the Special Provisions and General Notes.**

## **NOTICE TO CONTRACTORS**

**ANY CONTRACTORS INTENDING TO BID ON ANY WORK TO BE AWARDED BY THIS DEPARTMENT MUST SUBMIT A SATISFACTORY “AUDITED FINANCIAL STATEMENT” AND “EXPERIENCE QUESTIONNAIRE” AT LEAST TEN DAYS PRIOR TO THE LETTING DATE.**

**UNIT PRICES MUST BE SUBMITTED IN ACCORDANCE WITH ITEM 2 OF THE STANDARD SPECIFICATIONS OR SPECIAL PROVISION TO ITEM 2 FOR EACH ITEM LISTED IN THIS PROPOSAL.**



# TEXAS DEPARTMENT OF TRANSPORTATION

## BID BOND

KNOW ALL PERSONS BY THESE PRESENTS,

That we, (Contractor Name) \_\_\_\_\_  
\_\_\_\_\_

Hereinafter called the Principal, and (Surety Name) \_\_\_\_\_  
\_\_\_\_\_

a corporation or firm duly authorized to transact surety business in the State of Texas, hereinafter called the Surety, are held and firmly bound unto the Texas Department of Transportation, hereinafter called the Oblige, in the sum of not less than two percent (2%) of the department's engineer's estimate, rounded to the nearest one thousand dollars, not to exceed one hundred thousand dollars (\$100,000) as a proposal guaranty (amount displayed on the cover of the proposal), the payment of which sum will and truly be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the principal has submitted a bid for the following project identified as:

<b>Control</b>	<b>0086-10-053, ETC.</b>
<b>Project</b>	<b>F 2023(859), ETC.</b>
<b>Highway</b>	<b>SH 359</b>
<b>County</b>	<b>DUVAL</b>

NOW, THEREFORE, if the Oblige shall award the Contract to the Principal and the Principal shall enter into the Contract in writing with the Oblige in accordance with the terms of such bid, then this bond shall be null and void. If in the event of failure of the Principal to execute such Contract in accordance with the terms of such bid, this bond shall become the property of the Oblige, without recourse of the Principal and/or Surety, not as a penalty but as liquidated damages.

Signed this \_\_\_\_\_ Day of \_\_\_\_\_ 20\_\_\_\_\_

By: \_\_\_\_\_  
(Contractor/Principal Name)

\_\_\_\_\_  
(Signature and Title of Authorized Signatory for Contractor/Principal)

\*By: \_\_\_\_\_  
(Surety Name)

\_\_\_\_\_  
(Signature of Attorney-in-Fact)

Impressed  
Surety Seal  
Only

\*Attach Power of attorney (Surety) for Attorney-in-Fact

**This form may be removed from the proposal.**

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# BIDDER'S CHECK RETURN

## IMPORTANT

The space provided for the return address must be completed to facilitate the return of your bidder's check. Care must be taken to provide a legible, accurate, and complete return address, including zip code. A copy of this sheet should be used for each different return address.

## NOTE

Successful bidders will receive their guaranty checks with the executed contract.

RETURN BIDDERS CHECK TO (PLEASE PRINT):


<b>Control</b>	<b>0086-10-053, ETC.</b>
<b>Project</b>	<b>F 2023(859), ETC.</b>
<b>Highway</b>	<b>SH 359</b>
<b>County</b>	<b>DUVAL</b>

## IMPORTANT

### PLEASE RETURN THIS SHEET IN ITS ENTIRETY

Please acknowledge receipt of this check(s) at your earliest convenience by signing below in longhand, in ink, and returning this acknowledgement in the enclosed self addressed envelope.

Check Received By: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_

For (Contractor's Name): \_\_\_\_\_

Project \_\_\_\_\_ County \_\_\_\_\_

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## NOTICE TO THE BIDDER

In the space provided below, please enter your total bid amount for this project. Only this figure will be read publicly by the Department at the public bid opening.

It is understood and agreed by the bidder in signing this proposal that the total bid amount entered below is not binding on either the bidder or the Department. It is further agreed that **the official total bid amount for this proposal will be determined by multiplying the unit bid prices for each pay item by the respective estimated quantities shown in this proposal and then totaling all of the extended amounts.**

\$ \_\_\_\_\_  
**Total Bid Amount**

Control 0001-03-030  
 Project STP 2000(938)HES  
 Highway SH 20  
 County EL PASO

ALT	ITEM	DESC	SP	Bid Item Description	Unit	Quantity	Bid Price	Amount	Seq
	I04	509	X	REMOV CONC (SDWLK)	MSY	266.400	\$10.000	\$2,664.00	1
							Total Bid Amount	\$2,664.00	

Signed \_\_\_\_\_  
 Title \_\_\_\_\_  
 Date \_\_\_\_\_

Additional Signature for Joint Venture:

Signed \_\_\_\_\_  
 Title \_\_\_\_\_  
 Date \_\_\_\_\_

**EXAMPLE OF BID PRICES SUBMITTED BY COMPUTER PRINTOUT**

EXAMPLE

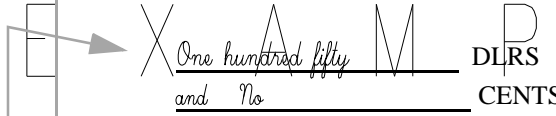
EXAMPLE

EXAMPLE


EXAMPLE

# EXAMPLES

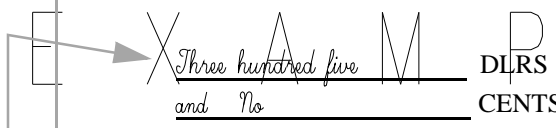
## BID PRICES SUBMITTED BY HAND WRITTEN FORMAT

ALT	ITEM-CODE			UNIT BID PRICE <u>ONLY</u> WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC NO	S.P. NO.				
	190	026		RED OAK 1 1/2 - 1 3/4 GAL BB  	EA	9.000	1

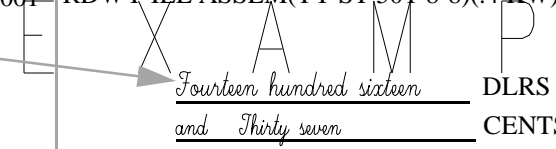
**Unit price for each plant in place**

	249	014		FLEX BASE(DEL)(DENSOT)(TY A GR4 CL2)  	TON	56,787.00	14
--	-----	-----	--	--	-----	-----------	----

**Unit price for each ton of Flexible Base**

	430	001	001	CL A CONC FOR EXT STR (CULV)  	CY	45.000	27
--	-----	-----	-----	---	----	--------	----

**Unit price for each cubic yard of Concrete**

	610	007	001	RDWY ILL ASSEM(TY ST 50T-8-8)(.4 KW)S  	EA	13.000	7
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**Unit price of each Roadway Illumination Assembly**

EXAMPLE

EXAMPLE

EXAMPLE

EXAMPLE

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ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	100	6002		PREPARING ROW  DOLLARS and CENTS	STA	19.920	1
	104	6015		REMOVING CONC (SIDEWALKS)  DOLLARS and CENTS	SY	1,769.000	2
	104	6017		REMOVING CONC (DRIVEWAYS)  DOLLARS and CENTS	SY	321.000	3
	104	6029		REMOVING CONC (CURB OR CURB & GUT- TER)  DOLLARS and CENTS	LF	1,484.000	4
	105	6037		REMOVING STAB BASE AND ASPH PAV(0"- 16")  DOLLARS and CENTS	SY	6,348.000	5
	110	6001		EXCAVATION (ROADWAY)  DOLLARS and CENTS	CY	3,062.000	6
	110	6002		EXCAVATION (CHANNEL)  DOLLARS and CENTS	CY	100.000	7
	132	6001		EMBANKMENT (FINAL)(ORD COMP)(TY A)  DOLLARS and CENTS	CY	30.000	8
	162	6002		BLOCK SODDING  DOLLARS and CENTS	SY	141.000	9
	168	6001		VEGETATIVE WATERING  DOLLARS and CENTS	MG	1.600	10
	216	6001		PROOF ROLLING  DOLLARS and CENTS	HR	9.000	11

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	310	6009		PRIME COAT (MC-30)  DOLLARS and CENTS	GAL	1,374.000	12
	360	6020	001	CONC PVMT (JOINTED - CPCD) (10")  DOLLARS and CENTS	SY	3,498.000	13
	360	6074	001	CONC PVMT(JOINTED-CPCD)(FAST TRK)(10")  DOLLARS and CENTS	SY	2,541.000	14
	360	6083	001	CONC PVMT (JC) (TRANSITION SLAB)  DOLLARS and CENTS	SY	506.000	15
	402	6001		TRENCH EXCAVATION PROTECTION  DOLLARS and CENTS	LF	1,863.000	16
	432	6009		RIPRAP (CONC) (CL B) (4")  DOLLARS and CENTS	CY	2.000	17
	432	6010		RIPRAP (CONC)(CL B)(5 IN)  DOLLARS and CENTS	CY	48.000	18
	460	6003		CMP (GAL STL 24 IN)  DOLLARS and CENTS	LF	8.000	19
	464	6003	001	RC PIPE (CL III)(18 IN)  DOLLARS and CENTS	LF	128.000	20
	464	6005	001	RC PIPE (CL III)(24 IN)  DOLLARS and CENTS	LF	1,141.000	21
	464	6007	001	RC PIPE (CL III)(30 IN)  DOLLARS and CENTS	LF	591.000	22
	465	6006	001	JCTBOX(COMPL)(PJB)(4FTX4FT)  DOLLARS and CENTS	EA	1.000	23

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	465	6021	001	INLET (COMPL)(PCO)(5FT)(NONE) DOLLARS and CENTS	EA	19.000	24
	465	6022	001	INLET (COMPL)(PCO)(5FT)(LEFT) DOLLARS and CENTS	EA	2.000	25
	466	6055		HEADWALL (CH - FW - 45) (DIA= 42 IN) DOLLARS and CENTS	EA	2.000	26
	474	6009		SLOT DRAIN (GAL STL) (24 IN) DOLLARS and CENTS	LF	30.000	27
	479	6002		ADJUSTING INLETS DOLLARS and CENTS	EA	1.000	28
	500	6001		MOBILIZATION DOLLARS and CENTS	LS	1.000	29
	502	6001	008	BARRICADES, SIGNS AND TRAFFIC HAN- DLING DOLLARS and CENTS	MO	12.000	30
	506	6038	002	TEMP SEDMT CONT FENCE (INSTALL) DOLLARS and CENTS	LF	1,537.000	31
	506	6039	002	TEMP SEDMT CONT FENCE (REMOVE) DOLLARS and CENTS	LF	1,537.000	32
	506	6040	002	BIODEG EROSN CONT LOGS (INSTL) (8") DOLLARS and CENTS	LF	231.000	33
	506	6043	002	BIODEG EROSN CONT LOGS (REMOVE) DOLLARS and CENTS	LF	231.000	34
	510	6001		ONE-WAY TRAF CONT (FLAGGER CONT) DOLLARS and CENTS	HR	112.000	35

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	510	6003		ONE-WAY TRAF CONT (PORT TRAF SIG) DOLLARS and CENTS	MO	8.000	36
	512	6009		PORT CTB (FUR & INST)(LOW PROF)(TY 1) DOLLARS and CENTS	LF	1,280.000	37
	512	6010		PORT CTB (FUR & INST)(LOW PROF)(TY 2) DOLLARS and CENTS	LF	40.000	38
	512	6033		PORT CTB (MOVE)(LOW PROF)(TY 1) DOLLARS and CENTS	LF	1,280.000	39
	512	6034		PORT CTB (MOVE)(LOW PROF)(TY 2) DOLLARS and CENTS	LF	40.000	40
	512	6057		PORT CTB (REMOVE)(LOW PROF)(TY 1) DOLLARS and CENTS	LF	1,280.000	41
	512	6058		PORT CTB (REMOVE)(LOW PROF)(TY 2) DOLLARS and CENTS	LF	40.000	42
	528	6001		COLORED TEXTURED CONC (4") DOLLARS and CENTS	SY	1,015.000	43
	529	6001		CONC CURB (TY I) DOLLARS and CENTS	LF	134.000	44
	529	6002		CONC CURB (TY II) DOLLARS and CENTS	LF	2,697.000	45
	529	6033		CONC CURB (LANDSCAPE) DOLLARS and CENTS	LF	1,783.000	46
	530	6020		DRIVEWAYS (CONC)(TYPE 1) DOLLARS and CENTS	SY	577.000	47

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	531	6003		CONC SIDEWALKS (6")  DOLLARS and CENTS	SY	1,582.000	48
	531	6004		CURB RAMPS (TY 1)  DOLLARS and CENTS	EA	16.000	49
	531	6005		CURB RAMPS (TY 2)  DOLLARS and CENTS	EA	10.000	50
	531	6058		SIDEWALK CURB DRAIN (1 FT WIDE)  DOLLARS and CENTS	EA	2.000	51
	644	6001		IN SM RD SN SUP&AM TY10BWG(1)SA(P)  DOLLARS and CENTS	EA	23.000	52
	644	6004		IN SM RD SN SUP&AM TY10BWG(1)SA(T)  DOLLARS and CENTS	EA	2.000	53
	644	6007		IN SM RD SN SUP&AM TY10BWG(1)SA(U)  DOLLARS and CENTS	EA	6.000	54
	644	6033		IN SM RD SN SUP&AM TYS80(1)SA(U)  DOLLARS and CENTS	EA	1.000	55
	644	6037		IN SM RD SN SUP&AM TYS80(1)SA(U-WC)  DOLLARS and CENTS	EA	1.000	56
	644	6076		REMOVE SM RD SN SUP&AM  DOLLARS and CENTS	EA	31.000	57
	662	6075		WK ZN PAV MRK REMOV (W)24"(SLD)  DOLLARS and CENTS	LF	48.000	58
	666	6036	007	REFL PAV MRK TY I (W)8"(SLD)(100MIL)  DOLLARS and CENTS	LF	124.000	59

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	666	6042	007	REFL PAV MRK TY I (W)12"(SLD)(100MIL) DOLLARS and CENTS	LF	63.000	60
	666	6048	007	REFL PAV MRK TY I (W)24"(SLD)(100MIL) DOLLARS and CENTS	LF	129.000	61
	666	6054	007	REFL PAV MRK TY I (W)(ARROW)(100MIL) DOLLARS and CENTS	EA	1.000	62
	666	6078	007	REFL PAV MRK TY I (W)(WORD)(100MIL) DOLLARS and CENTS	EA	1.000	63
	666	6318	007	RE PM W/RET REQ TY I (Y)6"(BRK)(100MIL) DOLLARS and CENTS	LF	220.000	64
	666	6321	007	RE PM W/RET REQ TY I (Y)6"(SLD)(100MIL) DOLLARS and CENTS	LF	2,090.000	65
	672	6007		REFL PAV MRKR TY I-C DOLLARS and CENTS	EA	6.000	66
	672	6009		REFL PAV MRKR TY II-A-A DOLLARS and CENTS	EA	26.000	67
	680	6002	006	INSTALL HWY TRF SIG (ISOLATED) DOLLARS and CENTS	EA	1.000	68
	682	6018		PED SIG SEC (LED)(COUNTDOWN) DOLLARS and CENTS	EA	8.000	69
	688	6001		PED DETECT PUSH BUTTON (APS) DOLLARS and CENTS	EA	8.000	70
	688	6003		PED DETECTOR CONTROLLER UNIT DOLLARS and CENTS	EA	1.000	71

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	690	6024		REMOVAL OF SIGNAL HEAD ASSM DOLLARS and CENTS	EA	8.000	72
	690	6030		REMOVAL OF PEDESTRIAN PUSH BUTTONS DOLLARS and CENTS	EA	8.000	73
	3076	6001		D-GR HMA TY-B PG64-22 DOLLARS and CENTS	TON	1,784.000	74
	6001	6002		PORTABLE CHANGEABLE MESSAGE SIGN DOLLARS and CENTS	EA	4.000	75
	6185	6002	002	TMA (STATIONARY) DOLLARS and CENTS	DAY	770.000	76
	6185	6003	002	TMA (MOBILE OPERATION) DOLLARS and CENTS	HR	8.000	77
	7032	6008		WTR(CASE PIPE,JACK,BOR OR TUNL)STL(12") DOLLARS and CENTS	LF	90.000	78
	7032	6012		WTR(GATE VALVE & BOX)COMPL(8") DOLLARS and CENTS	EA	15.000	79
	7032	6013		WTR(GATE VALVE & BOX)COMPL(6") DOLLARS and CENTS	EA	4.000	80
	7032	6016		WTR(FIRE HYDRANT W/6" VALVE & BOX) DOLLARS and CENTS	EA	3.000	81
	7032	6020		WTR(TRENCH EXCAV PROTECT)(5' TO 10') DOLLARS and CENTS	LF	1,660.000	82
	7032	6054		WTR(CASE PIPE JACK,BOR OR TNL)(STL 20") DOLLARS and CENTS	LF	220.000	83

ALT	ITEM-CODE			UNIT BID PRICE ONLY. WRITTEN IN WORDS	UNIT	APPROX QUANTITIES	DEPT USE ONLY
	ITEM NO	DESC CODE	S.P. NO.				
	7032	6063		WTR(PPIPE WTR MAIN)(PVC C900DR14)(6") DOLLARS and CENTS	LF	180.000	84
	7032	6064		WTR(PPIPE WTR MAIN)(PVC C900DR14)(8") DOLLARS and CENTS	LF	1,800.000	85
	7032	6102		WTR CONNECT EXISTING WATER MAIN DOLLARS and CENTS	EA	10.000	86
	7032	6220		TAPPING SLEEVE AND VALVE (8 IN X 8 IN) DOLLARS and CENTS	EA	4.000	87
	7032	6222		TEE (8" X 8") DOLLARS and CENTS	EA	4.000	88
	7032	6225		WTR(PPIPE STEEL CASING)(12")OPEN CUT DOLLARS and CENTS	LF	90.000	89
	7032	6226		STEEL CASING (20") DOLLARS and CENTS	LF	220.000	90
	7032	6227		TEE (8"X6") DOLLARS and CENTS	LF	2.000	91
	7032	6228		RELOCATE SHORT SERVICE DOLLARS and CENTS	EA	10.000	92
	7032	6229		RELOCATE LONG SERVICE DOLLARS and CENTS	EA	7.000	93
	7136	6012		CONSTRUCTION STAKING DOLLARS and CENTS	LS	1.000	94
	7136	6013		AS-BUILT SURVEY DOLLARS and CENTS	LS	1.000	95



# **CERTIFICATION OF INTEREST IN OTHER BID PROPOSALS FOR THIS WORK**

By signing this proposal, the bidding firm and the signer certify that the following information, as indicated by checking "Yes" or "No" below, is true, accurate, and complete.

- A. Quotation(s) have been issued in this firm's name to other firm(s) interested in this work for consideration for performing a portion of this work.

\_\_\_\_\_ YES

\_\_\_\_\_ NO

- B. If this proposal is the low bid, the bidder agrees to provide the following information prior to award of the contract.

1. Identify firms which bid as a prime contractor and from which the bidder received quotations for work on this project.
2. Identify all the firms which bid as a prime contractor to which the bidder gave quotations for work on this project.



## **INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity or this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

# DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

0348-0046

## CONTINUATION SHEET

Reporting Entity: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_

# CONTRACTOR'S ASSURANCE

(Subcontracts-Federal Aid Projects)

By signing this proposal, the contractor is giving assurances that all subcontract agreements will incorporate the Standard Specification and Special Provisions to Section 9.9., Payment Provisions for Subcontractors, all subcontract agreements exceeding \$2,000 will incorporate the applicable Wage Determination Decision, and all subcontract agreements will incorporate the following:

Special Provision	Certification of Nondiscrimination in Employment
Special Provision	Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)
Special Provision	Standard Federal Equal Employment Opportunity
Construction	Construction Specifications (Executive Order 11246)
Form FHWA 1273	Required Contract Provisions Federal-aid Construction Contracts (Form FHWA 1273 must also be physically attached to subcontracts and all lower-tier subcontracts)
Special Provision	Nondiscrimination (Include provisions of Sections 3.1 – 3.6 in all subcontracts and agreements for materials)
Special Provision	Cargo Preference Act Requirements in Federal-Aid Contracts
Special Provision	Disadvantaged Business Enterprise in Federal-Aid Contracts

# ENGINEER SEAL

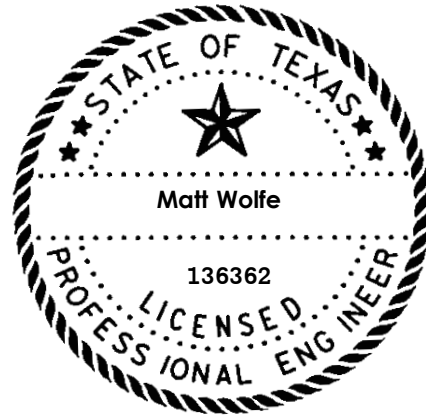
Control 0086-10-053, ETC.

Project F 2023(859), ETC.

Highway SH 359

County DUVAL

The enclosed Texas Department of Transportation Specifications, Special Specifications, Special Provisions, General Notes and Specification Data in this document have been selected by me, or under my responsible supervision as being applicable to this project. Alteration of a sealed document without proper notification to the responsible engineer is an offense under the Texas Engineering Practice Act.



The seal appearing on this document was authorized by  
*Matt Wolfe, P.E.*  
APRIL 26, 2023

**Project Number:**

**Sheet**

**County:** Duval

**Control:** 0086-10-053

**Highway:** SH 359

## **GENERAL NOTES:**

Contractor questions on this project are to be addressed to the following individual(s):

Luis Urbina – Luis.Urbina@txdot.gov

Angel Martinez – [Angel.Martinez@txdot.gov](mailto:Angel.Martinez@txdot.gov)

Questions may be submitted via the Letting Pre-Bid Q&A web page. This webpage can be accessed from the Notice to Contractors dashboard located at the following Address:

<https://tableau.txdot.gov/views/ProjectInformationDashboard/NoticetoContractors>

All contractor questions will be reviewed by the Engineer. All questions and any corresponding responses that are generated will be posted through the same Letting Pre-Bid Q&A web page.

The Letting Pre-Bid Q&A webpage for each project can be accessed by using the dashboard to navigate to the project you are interested in by scrolling or filtering the dashboard using the controls on the left. Hover over the blue hyperlink for the project you want to view the Q&A for and click on the link in the window that pops up.

### **Item 5 - Control of the Work**

The Contractor shall maintain and preserve the integrity of all “existing survey markers” by avoiding the disturbance of such markers, which include all control points (horizontal and/or vertical), stakes, marks, and right-of-way markers. The Department will repair all Contractor disturbed control points, stakes, marks, and right-of-way markers. The cost for any and all repairs to the “existing survey markers” will be deducted from money due or to become due to the Contractor. The responsibility for the construction surveying on this contract will be in accordance with Article 5.9.3, “Method C”.

Contact the Laredo District Signal Section (956-712-7770) for coordination with TxDOT underground lines and/or facilities.

Prior to construction must call 811 to verify any utilities located within project limits. Contractor will also coordinate with utility owners listed below for any

adjustments needed to sanitary sewer manholes, water valves, gas valve, telecommunication, television manhole located within project limits. The utility company is responsible for any adjustment when necessary. The work should be performed in a manner as to not delay construction contractor work activity.

Contractor will make necessary arrangements with the utility owner(s) when utility adjustments are required, as a result of construction activities.

<u>Utility Owner</u>	<u>Phone Number</u>	<u>City/County</u>
AT&T	361-876-1814	San Diego
Time Warner	361-689-3220	San Diego
AEP	361-881-5532	San Diego
Center Point Energy	361-219-5421	San Diego
City of San Diego	361-701-3357	San Diego
FiberLight	989-287-2764	San Deigo

When a precast or cast-in-place concrete element is included in the plans, a precast concrete alternate may be submitted in accordance with “Standard Operating Procedure for Alternate Precast Proposal Submission” found online at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/publications/bridge.html#design>.

Acceptance or denial of an alternate is at the sole discretion of the Engineer. Impacts to the project schedule and any additional costs resulting from the use of alternates are the sole responsibility of the Contractor.

**No vibratory compaction equipment will be allowed to achieve density. The contractor will provide adequate equipment to achieve final compaction.**

**Item 6 - Control of Materials**

To comply with the latest provisions of Build America Act (BABA Act) of the Bipartisan Infrastructure Law, the contractor must submit a notarized original of the TxDOT Construction Material Buy America Certification Form for all items classified as construction materials. This form is not required for materials classified as a manufactured product.

Refer to the Buy America Material Classification Sheet for clarification on material categorization.

The Buy America Material Classification Sheet is located at the below link:



<https://www.txdot.gov/business/resources/materials/buy-america-materials-classification-sheet.html> for clarification on material categorization.

## **Item 7 - Legal Relations and Responsibilities**

No significant traffic generator events identified.

Jurisdictional Waters of the United States and Project Specific Locations (PSL) Coordination - This project requires permit(s) with environmental resource agencies. There is a high probability that environmentally sensitive areas will be encountered on contractor designated project specific locations (PSLS) for the project (including but not limited to haul roads, equipment staging areas, parking areas, etc.).

Requirements for Work within Jurisdictional Waters of the United States:

The department has been authorized to perform work within designated areas of the project under U.S. Army Corps of Engineers (USACE) nationwide permit (NWP) #14 and/or #3a and/or #3b.

The contractor will not initiate activities in a project specific location (PSL) associated with a U.S. Army Corps of Engineers (USACE) permit area (i.e. an area where the USACE has jurisdiction) that has not been previously evaluated by the USACE as part of the permitting for this project. Such activities include, but are not limited to, haul roads, equipment staging areas, borrow and disposal sites. Associated defined here includes materials delivered to or from the PSL. The permit area includes all waters of the U.S. and their associated wetlands affected by activities associated with this project. Special restrictions may be required for such work in these USACE jurisdictional areas. The contractor will be responsible for any and all consultations with the USACE regarding activities, including PSLs, which have not been previously evaluated by the USACE. The Contractor will provide the department with a copy of all consultation(s) or approval(s) from the USACE prior to initiating activities.

The contractor may proceed with activities in PSLs that do not affect a USACE permit area if a self-determination has been made that the PSL is non-jurisdictional or proper USACE clearances have been obtained in jurisdictional areas or have been previously evaluated by the USACE as part of the permit review of this project. The contractor is solely responsible for documenting any determination(s) that their activities do not affect a USACE permit area. The contractor will maintain copies of their determination(s) for review by the department and/or any regulatory agency.

The disturbed area for all project locations in the Contract, and the Contractor project specific locations (PSLs) within 1 mile of the project limits for the Contract, will further establish the authorization requirements for storm water discharges. The Department will obtain an authorization to discharge storm water from the Texas Commission on Environmental Quality (TCEQ) for the construction activities shown on the plans. The Contractor is to obtain required authorization from the TCEQ for Contractor PSLs for construction support activities on or off the ROW. When the total area disturbed in the Contract and PSLs within 1 mile of the project limits exceeds 5 acres, the Contractor shall provide a copy of the Contractor Notice of Intent (NOI) for the PSLs to the Engineer and to the local government operating a municipal separate storm sewer system (MS4) if applicable. If the total area of project disturbed areas and PSLs total between 1-acre but less than 5-acres, the Contractor shall post the appropriate Contractor Construction Site Notice for all Contractor PSLs to be in compliance with TCEQ storm water regulations.

In order to expedite the approval process for PSLs or to eliminate or minimize potential impacts to project progress, initiate coordination efforts with the U.S.A.C.E. within 30 days from the date of "authorization to begin work" for all PSLs that are in areas where the USACE has jurisdiction (i.e. USACE permit areas). If this is not done, the contractor waives the right to request any contract time considerations if project progress is impacted and PSL'S approval is still pending.

Requests submitted to the area engineer will be evaluated on this basis and will require documentation showing substantial early coordination efforts to expedite the approval process as herein stated. The request will include a detailed chronological summary status with dates of coordination activities with the resource agencies, including those occurring after the initial coordination, to be reviewed and confirmed by the district's environmental section.

For PSLs that fall within USACE permit areas, the Contractor must document and coordinate with the USACE, if required, before any excavation hauled from or embankment hauled into a USACE permit area by either (1) or (2) below.

1. Restricted Use of Materials for Previously Evaluated Permit Areas. The Contractor will document both the project specific location (PSL) and their authorization, and the Contractor will maintain copies for review by the Department and/or any regulatory agency. When an area within the project limits has been evaluated by the USACE as part of the permit process for this project, then:

- a. Suitable excavation of required material in the areas shown on the plans and cross sections as specified in Item 110 is used for permanent or
  - b. temporary fill (Item 132, Embankment) within a USACE permit area may be restricted.
  - c. Suitable embankment (Item 132) from within the USACE permit area is used as fill within a USACE evaluated area may be restricted; and,
  - d. Unsuitable excavation or excess excavation ["Waste"] (Item 110) that is disposed of at an approved location within a USACE evaluated area may be restricted.
2. Contractor Materials from Areas Other than Previously Evaluated Areas. The Contractor will provide the Department with a copy of all USACE coordination or approvals before initiating any activities for an area within the project limits that has not been evaluated by the USACE or for any off-right-of-way locations used for the following, but not limited to, haul roads, equipment staging areas, borrow and disposal sites, including:
- a. Item 132, Embankment, used for temporary or permanent fill within a USACE permit area; and,
  - b. Unsuitable excavation or excess excavation ["Waste"] (Item 110, Excavation) that is disposed of outside a USACE evaluated area.

**Storm Water Regulations Requirements:**

The Contractor shall be responsible for (off ROW) PSLs applicable to the TCEQ Construction General Permit (CGP) requirements and will notify the Engineer of the disturbed acreage within one (1) mile of the project limits. The Contractor shall obtain any required authorization form the TCEQ for any Contractor PSLs for construction support activities on or off ROW.

The total disturbed areas within the ROW are anticipated at less than one (1) acre and/or this project is classified as "surface work" consisting of an asphalt overlay of an existing roadway without shoulder-up disturbances. Due to this type of construction, the project qualifies for exclusion under the *Construction General Permit* (CGP) issued by the Texas Commission on Environmental Quality (TCEQ) on March 5, 2018 and amended on January 28, 2022. However, should the sum of the Engineer's anticipated disturbances and all of the Contractor's (On ROW and off ROW) PSLs equal or exceed the one (1) acre threshold, both TxDOT and the Contractor shall have project responsibilities under the CGP that reverts to non-exclusion status. To ensure project compliance with all applicable water quality regulations, the Contractor shall obtain Engineer approval for all non-depicted areas of disturbance that increases the Engineer's initial soil and vegetation disturbed area estimates before associated work operations start.

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### **Item 8 - Prosecution and Progress**

Before starting work, provide a sequence of work and estimated progress schedule meeting the requirements of Section 8.5.2, "Progress Schedule."

Working days will be computed and charged in accordance with Article 8.3.1.4 Five-Day Workweek)

Nighttime work will be allowed to be performed, as approved and directed by the Engineer. Refer to the Sequence of Work, Traffic Control Plan, etc. shown in the plans, for other details.

Perform night work with traffic control set up no earlier than 7:00 PM and shall be removed no later than 6:00 AM.

Concrete intersection work at SH 44 and SH 359 will be allowed only on weekend days, Friday night to Monday morning. Or as approved by the engineer.

Contractor will be responsible for providing a smooth transition before removing weekend traffic control, Monday morning.

### **Item 9 - Measurement and Payment**

Coordinate and provide off-duty law enforcement officers with officially marked vehicles (if patrol cruisers are available from the enforcement agency involved) during the following operations: transitioning to a new sequence of construction, lane closures, *and/or* during a one-way traffic control situation. For payment through TxDOT state force account method, complete the weekly tracking forms provided by the department and submit invoices that agree with the tracking form for payment at the end of each month approved services were provided.

### **Item 100 - Preparing Right of Way**

Burning of brush will not be permitted.

Do not begin any clearing operations until the trees and areas of vegetation that should not be removed or disturbed by construction activities have been identified. To ensure that these areas are not disturbed, place protection fencing as shown in the plans or as directed/approved by the Engineer.

All right of way clearing operations will be coordinated with the project's SW3P and as directed/approved by the Engineer.

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Trim and remove brush and trees in order to construct the project or to provide a horizontal clearance of approximately 2 feet inside the right of way line and a vertical clearance of at least 12 feet. For this operation, no vertical flailing equipment is allowed, and the Engineer will approve the method used. The limits are from beginning to the end of the project.

### **Item 105 - Removing Treated and Untreated Base and Asphalt Pavement**

Asphalt pavement *and* Base material to be removed under this item will remain the property of the Contractor.

### **Item 132 - Embankment**

The embankment material must be consistent and homogeneous, free from vegetation or other objectionable matter, reasonably free from lumps of earth and suitable for forming a stable embankment.

For fill sections from embankment finished grade line and below, to a depth of 4 feet:

Field compact density to  $\geq 98\%$  dry density.

Plasticity Index (PI) limit is:  $5 \leq PI \leq 25$ .

Liquid limit  $\leq 45$

For all other fill sections, Plasticity Index (PI) limit is less than or equal to 30.

Static rolling is required for this project.

It is the Contractor's responsibility to advise the Engineer of the location of the source sufficiently in advance to avoid delay.

### **Item 162 - Sodding for Erosion Control**

Furnish and place Bermuda grass sod.

### **Item 166 - Fertilizer**

Fertilize all areas of project to be seeded or sodded.

### **Item 168 - Vegetative Watering**

Maintain the seed bed in a condition favorable for the growth of grass. Watering can be postponed immediately after a rainfall on the site of ½ in. or greater, but will be resumed before the soil dries out. Watering will continue until final acceptance.

Obtain water at a source that is metered or furnish the manufacturer's specifications showing the tank capacity for each truck used. Notify the Engineer before watering so meter readings or truck counts may be verified.

Establish 70% uniform vegetative coverage during this period in order to comply with stabilization requirements. Operate and meter water equipment under pumping pressure in order to deliver the required quantities of water necessary. During periods of adequate moisture, as determined by the Engineer, mechanical watering may not be required. In addition to metering the water equipment, provide a log book showing daily water usage and receipts of water applied upon request of the Engineer.

Upon establishment of 70% vegetative coverage as determined by the Engineer, the Engineer has the option to require the Contractor to continue watering as specified for a period not to exceed 30 days.

### **Item 301 - Asphalt Antistripping Agent**

The only method(s) allowed for mixing lime for Item 340, "Dense-Graded Hot-Mix Asphalt" are;

- 1) Mix hydrated lime in an approved pug mill mixer with damp aggregate containing water at least 2% above saturated surface dry conditions.
- 2) Add hydrated lime into the drum-mix plant immediately before asphalt binder addition or in the pug mill of the weigh-batch plant before asphalt binder addition. If a weigh-batch plant is used, dry mix aggregates and hydrated lime before adding asphalt binder.
- 3) Mix lime slurry in a suitable pug mill mixer with the aggregate.
- 4) During mixture production, mix lime slurry with aggregate between the plant cold feeds and the dryer or mixing drum.

**Item 305 – Salvaging, Hauling, and Stockpiling Reclaimable Asphalt Pavement**

Asphalt pavement *and* Base material to be removed under this item will remain the property of the Contractor.

**Item 310 - Prime Coat**

Remove all loose and scabbed material from the surface prior to prime coat application. Allow the prime coat to cure for a minimum of 48-72 hours before placing any successive layers, unless otherwise approved by the Engineer. In winter weather, allow the prime to cure for a minimum of 72 hours.

Do not allow any type of traffic including construction vehicles to drive on the curing prime coat. Make necessary adjustments for driveways and accesses that need to be maintained during construction, as approved by the Engineer.

When a prime coat is left open to traffic for more than 14 days or when the application is visually inconsistent such as but not limited to streaking and tracking, then the surface shall be re-primed as directed by the Engineer at no additional cost to the Department.

**Item 432 - Riprap**

Provide Class B Concrete for riprap.

**Item 500 - Mobilization**

"Materials-on-Hand" payments will not be considered in determining percentages used to compute mobilization payments.

**Item 502 - Barricades, Signs, and Traffic Handling**

Designate, as the Contractor Responsible Person (CRP), an English-speaking employee on-call nights and weekends (or any other time that work is not in progress) with a local address and telephone number for maintenance of signs and barricades. This employee will be located within one (1) hour of traveling time to the project site. Notify the Engineer in writing of the name, address and telephone number of this employee. Furnish this information to local law enforcement officials.

When advanced warning flashing arrow panel(s) is/are specified, maintain one standby unit in good condition at the job site ready for immediate use is required.

Notify the Engineer (956-712-7701) at least two weeks prior to a proposed traffic pattern change(s) that will require a revision to traffic signals. This is required to provide the State/City time to perform a traffic study, determine the new signal timing and phasing settings that need to be implemented with the traffic change.

Traffic signals in new locations require:

1. The contractor to place the signals in flashing mode two weeks prior to activation.
2. The contractor to post variable message boards major (previous through) approaches announcing signal activation in two weeks on \_\_\_09/01/2023\_\_\_ (provide date).

Whenever it is necessary for the signals to be turned off, when directed/approved by the Engineer, hire off-duty law enforcement officers as covered by Item 9 to control the traffic until the signals are back in satisfactory condition.

Provide two-way radios in areas where flagmen do not have visual contact with one another or cannot communicate with one another.

Limit lane closures to a maximum of 2 miles. If more than one lane closure location is desired, provide a minimum of a 2 mile passing zone between locations. Provide a separate sign set up for each location.

Ensure equipment not in use, stockpile aggregate, and other working materials are:

- A minimum of 30 feet from the edge of the travel lane;
- Do not obstruct traffic or sight distance;
- Do not interfere with the access from abutting property; or
- Do not interfere with roadway drainage.

Erect signs in locations not obstructing the traveling public's view of the normal roadway signing or necessary sight distance at intersections and curves.

During the holiday time frame of December 21<sup>st</sup> through January 1<sup>st</sup>, every effort should be taken to ensure that all travel lanes remain open where possible.

The Contractor Force Account "Safety Contingency" that has been established for this project is intended to be utilized for work zone enhancements, to improve the effectiveness of the Traffic Control Plan, that could not be foreseen in the project planning and design stage. These enhancements will be mutually agreed upon by



the Engineer and the Contractor's Responsible Person based on weekly or more frequent traffic management reviews on the project. The Engineer may choose to use existing bid items if it does not slow the implementation of enhancement.

**Item 504 - Field Office and Laboratory**

Provide a Type D Structure and Asphalt Content by Ignition Method for TxDOT Quality Assurance Testing. Contractor's quality control testing shall be performed in a separate space or facility. If a separate space is utilized within a shared facility, partition the space with a floor to ceiling wall with a door access for indoor use that is lockable with a key. Each separate space shall have an exterior door access.

Ensure that the field lab has an office for TxDOT use along with lockable file cabinet, desk and chair.

The floor and landing of the facility shall support the weight of all equipment and personnel providing a stable, essentially zero deflection during testing operations, acceptable to the Engineer.

Contractor is responsible to transport to and from the field lab TxDOT owned testing equipment required for hot mix operations. Contractor will pick up, deliver, install and set up TxDOT owned equipment required in the field lab. TxDOT owned equipment required in the field lab will be picked up at LRD DST LAB or as determined by the LRD DST LAB Supervisor.

Pick up and deliver TxDOT owned equipment under the supervision of a TxDOT lab technician. A TxDOT lab technician will verify the installation and set-up of the equipment at least 48 hours prior to beginning of hot mix operations (trial batch included).

All equipment will be returned by the Contractor in the same manner and location as it was picked up. Contractor is responsible for any damages incurred to TxDOT equipment.

**Item 506 - Temporary Erosion, Sedimentation, and Environmental Controls**

The Department will take over responsibility for the establishment of 70% vegetative cover, based on adjacent undisturbed vegetation, upon the completion of all other work in accordance with the contract and final acceptance.

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Concrete washout area(s) shall be installed prior to concrete placement on site. The concrete washout area(s) shall be entirely self-contained. Location must be Approved by the Engineer. Concrete washout area(s) are subsidiary to pertinent Items.

**Item 512 - Portable Traffic Barrier**

Do not use different types of Portable Traffic Barriers in a single continuous installation.

Place PTB at ½" GAP over SPAN BRIDGE DECKS for Expansion to AVOID THERMAL SLAB vs. PTB Extreme THERMAL Movement.

**Item 528 – Colored Textured Concrete and Landscape Pavers**

The color and pattern for the Colored Textured Concrete shall be as directed by the engineer.

**Item 531 – Sidewalks**

Include subsidiary information, dowel cap or dowel gap for expansion space for Expansion joints.

Expansion Joints to be placed at 30' Max. spacing to avoid Extreme Heat Buckling.

**Item 644 - Small Roadside Sign Assemblies**

Salvage and deliver all aluminum sign faces to the local TxDOT maintenance office.

**Item 666 – Reflectorized Pavement Markings**

Reflectivity requirements for Type I will be as per Item 666.

Payment on Type I markings requiring retroreflective testing will be made at a 75% rate until passing test results are received.

**Item 680 - Highway Traffic Signals**

All workers installing electrical materials, including conduit in trenches, services poles and all others system electrical apparatus, will be directly supervised by persons who have completed a TxDOT approved course in electrical

underground installations. Furnish evidence of satisfactory completion of the underground electrical installation for roadway illumination and signal control course for all personnel responsible for direct supervision of electrical installation work.

The signal installation will be wired to operate in accordance with the wiring diagram shown in the plans. The contractor will ensure that the timing and phasing are the same as shown in the plans. All timing and phasing will be approved and/or provided by the Transportation Operations Engineer prior to downloading to the controller.

On the terminal block, use the left side for the home runs and the right side for the signal heads. This pattern will be used in all signal installations. For grounding and bonding install a green insulated copper wire no. 6.

**Item 682 - Vehicle and Pedestrian Signal Heads**

All new signal heads will be covered with burlap from the time of installation until the signal is placed in operation. Position all vehicle signal section heads and pedestrian signal heads to provide the best view for motorists and pedestrians.

**Item 690 - Maintenance of Traffic Signals**

Prior to construction, meet with the District Transportation Operations Section to determine salvageable traffic signal equipment. Dispose of all other equipment not deemed salvageable by the Engineer or his representative in a manner approved by the Engineer.

**Item 6001 - Portable Changeable Message Sign**

Provide four 4 electronic portable changeable message signs as required by the Engineer. Provide backups and keep operational and available on the jobsite at all times during traffic control operations. The electronic portable changeable message signs will be made available for utilization for the entire duration of the project, including all alternative locations.

**Item 6185 – Truck Mounted Attenuator (TMA) and Trailer**

Provide 3 Truck Mounted Attenuator or required by the Engineer. Provide backup and keep operational and available on the jobsite at all times during traffic control operations. The Truck Mounted Attenuator will be made available for utilization for the entire duration of the project, including all alternative locations.

CONTROL : 0086-10-053, ETC  
PROJECT : F 2023(859), ETC  
HIGHWAY : SH 359  
COUNTY : DUVAL

TEXAS DEPARTMENT OF TRANSPORTATION

**GOVERNING SPECIFICATIONS AND SPECIAL PROVISIONS**

ALL SPECIFICATIONS AND SPECIAL PROVISIONS APPLICABLE TO THIS PROJECT ARE IDENTIFIED AS FOLLOWS:

STANDARD SPECIFICATIONS: ADOPTED BY THE TEXAS DEPARTMENT OF  
----- TRANSPORTATION NOVEMBER 1, 2014.  
STANDARD SPECIFICATIONS ARE INCORPORATED  
INTO THE CONTRACT BY REFERENCE.

ITEMS 1 TO 9 INCL., GENERAL REQUIREMENTS AND COVENANTS  
ITEM 100 PREPARING RIGHT OF WAY (103)  
ITEM 104 REMOVING CONCRETE  
ITEM 105 REMOVING TREATED AND UNTREATED BASE AND ASPHALT PAVEMENT  
ITEM 110 EXCAVATION (132)  
ITEM 132 EMBANKMENT (100)(160)(204)(210)(216)(260)(400)  
ITEM 162 SODDING FOR EROSION CONTROL (166)(168)  
ITEM 168 VEGETATIVE WATERING  
ITEM 216 PROOF ROLLING (210)  
ITEM 310 PRIME COAT (300)<3096>  
ITEM 340 DENSE-GRADED HOT-MIX ASPHALT (SMALL QUANTITY) (300)(301)  
(320)(520)(585)  
ITEM 360 CONCRETE PAVEMENT (421)(422)(438)(440)(529)(585)  
ITEM 402 TRENCH EXCAVATION PROTECTION  
ITEM 416 DRILLED SHAFT FOUNDATIONS (405)(420)(421)(423)(440)(448)  
ITEM 420 CONCRETE SUBSTRUCTURES (400)(404)(421)(422)(426)(427)  
(440)(441)(448)  
ITEM 422 CONCRETE SUPERSTRUCTURES (420)(421)(424)(438)(440)(448)  
(454)<780>  
ITEM 432 RIPRAP (247)(420)(421)(431)(440)  
ITEM 438 CLEANING AND SEALING JOINTS  
ITEM 442 METAL FOR STRUCTURES (441)(445)(446)(447)(448)  
ITEM 460 CORRUGATED METAL PIPE (400)(402)(403)(445)(467)(476)  
ITEM 464 REINFORCED CONCRETE PIPE (400)(402)(403)(467)(476)  
ITEM 465 JUNCTION BOXES, MANHOLES, AND INLETS (400)(420)(421)(424)  
(440)(471)  
ITEM 466 HEADWALLS AND WINGWALLS (400)(420)(421)(432)(440)(464)  
ITEM 474 LINEAR DRAINS (400)(420)(421)(440)(445)(460)(471)  
ITEM 479 ADJUSTING MANHOLES AND INLETS (400)(421)(465)(471)  
ITEM 500 MOBILIZATION

ITEM 502 BARRICADES, SIGNS, AND TRAFFIC HANDLING  
 ITEM 504 FIELD OFFICE AND LABORATORY  
 ITEM 506 TEMPORARY EROSION, SEDIMENTATION, AND ENVIRONMENTAL  
 CONTROLS (161) (432) (556)  
 ITEM 510 ONE-WAY TRAFFIC CONTROL (502)  
 ITEM 512 PORTABLE TRAFFIC BARRIER (420) (421) (424) (440) (442)  
 ITEM 528 COLORED TEXTURED CONCRETE AND LANDSCAPE PAVERS (132) (247)  
 (275) (401) (420) (421) (440)  
 ITEM 529 CONCRETE CURB, GUTTER, AND COMBINED CURB AND GUTTER (360)  
 (420) (421) (440)  
 ITEM 530 INTERSECTIONS, DRIVEWAYS, AND TURNOUTS (247) (260) (263)  
 (275) (276) (292) (316) (330) (334) (340) <341> (360) (421) (440)  
 <3076>  
 ITEM 531 SIDEWALKS (104) (360) (420) (421) (440) (530)  
 ITEM 540 METAL BEAM GUARD FENCE  
 ITEM 610 ROADWAY ILLUMINATION ASSEMBLIES (416) (421) (432) (441) (442)  
 (445) (449) (614) (616) (618) (620) (622) (624) (628)  
 ITEM 618 CONDUIT (400) (476)  
 ITEM 620 ELECTRICAL CONDUCTORS (610) (628)  
 ITEM 624 GROUND BOXES <302> (420) (421) (432) (440) (618) (620)  
 ITEM 628 ELECTRICAL SERVICES (441) (445) (449) (618) (620) (627) (656)  
 ITEM 644 SMALL ROADSIDE SIGN ASSEMBLIES (421) (440) (441) (442) (445)  
 (636) (643) (656)  
 ITEM 662 WORK ZONE PAVEMENT MARKINGS (666) (668) (672) (677)  
 ITEM 666 RETROREFLECTORIZED PAVEMENT MARKINGS (502) (662) (677) (678)  
 <6438>  
 ITEM 672 RAISED PAVEMENT MARKERS (677) (678)  
 ITEM 680 HIGHWAY TRAFFIC SIGNALS (416) (610) (618) (624) (625) (627)  
 (628) (636) (656) (682) (684) (686) (688)  
 ITEM 682 VEHICLE AND PEDESTRIAN SIGNAL HEADS  
 ITEM 688 PEDESTRIAN DETECTORS AND VEHICLE LOOP DETECTORS (618)  
 (624) (682) (684)  
 ITEM 690 MAINTENANCE OF TRAFFIC SIGNALS (416) (421) (476) (610) (618)  
 (620) (622) (624) (625) (627) (628) (636) (656) (680) (682) (684)  
 (685) (686) (687) (688)

SPECIAL PROVISIONS: SPECIAL PROVISIONS WILL GOVERN AND TAKE  
 ----- PRECEDENCE OVER THE SPECIFICATIONS ENUMERATED  
 HEREON WHEREVER IN CONFLICT THEREWITH.

REQUIRED CONTRACT PROVISIONS, FEDERAL-AID CONSTRUCTION CONTRACTS  
 (FORM FHWA 1273)

WAGE RATES

SPECIAL PROVISION "NONDISCRIMINATION" (000---002)  
 SPECIAL PROVISION "CERTIFICATION OF NONDISCRIMINATION IN EMPLOYMENT"  
 (000---003)  
 SPECIAL PROVISION "NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO  
 ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE  
 ORDER 11246" (000---004)  
 SPECIAL PROVISION "STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY  
 CONSTRUCTION CONTRACT SPECIFICATIONS" (000---005)  
 SPECIAL PROVISION "ONTHEJOB TRAINING PROGRAM" (000---006)

SPECIAL PROVISION "AMERICANS WITH DISABILITIES ACT CURB RAMP WORKSHOP  
 " (000---025)  
 SPECIAL PROVISION "CERTIFICATE OF INTERESTED PARTIES (FORM 1295)"  
 (000--1019)  
 SPECIAL PROVISION "SCHEDULE OF LIQUIDATED DAMAGES" (000--1243)  
 SPECIAL PROVISION "IMPORTANT NOTICE TO CONTRACTORS" (000--1424)  
 SPECIAL PROVISION "CARGO PREFERENCE ACT REQUIREMENTS IN FEDERAL AID  
 CONTRACTS" (000---241)  
 SPECIAL PROVISION "DISADVANTAGED BUSINESS ENTERPRISE IN FEDERAL AID  
 CONTRACTS" (000---394)  
 SPECIAL PROVISION "NOTICE OF CONTRACTOR PERFORMANCE EVALUATIONS"  
 (000---659)  
 SPECIAL PROVISIONS TO ITEM 2 (002---009) (002---011) (002---013)  
 SPECIAL PROVISIONS TO ITEM 3 (003---011) (003---013)  
 SPECIAL PROVISIONS TO ITEM 5 (005---002) (005---003)  
 SPECIAL PROVISIONS TO ITEM 6 (006---012) (006---030)  
 SPECIAL PROVISIONS TO ITEM 7 (007---004) (007---008) (007---010)  
 (007---011)  
 SPECIAL PROVISIONS TO ITEM 8 (008---030) (008---033)  
 SPECIAL PROVISIONS TO ITEM 9 (009---010) (009---011)  
 SPECIAL PROVISION TO ITEM 247 (247---003)  
 SPECIAL PROVISION TO ITEM 300 (300---020)  
 SPECIAL PROVISION TO ITEM 302 (302---003)  
 SPECIAL PROVISION TO ITEM 316 (316---002)  
 SPECIAL PROVISION TO ITEM 334 (334---003)  
 SPECIAL PROVISION TO ITEM 340 (340---004)  
 SPECIAL PROVISION TO ITEM 341 (341---004)  
 SPECIAL PROVISION TO ITEM 342 (342---005)  
 SPECIAL PROVISION TO ITEM 347 (347---003)  
 SPECIAL PROVISION TO ITEM 348 (348---004)  
 SPECIAL PROVISION TO ITEM 360 (360---001)  
 SPECIAL PROVISION TO ITEM 420 (420---001)  
 SPECIAL PROVISION TO ITEM 421 (421---010)  
 SPECIAL PROVISION TO ITEM 426 (426---005)  
 SPECIAL PROVISION TO ITEM 427 (427---003)  
 SPECIAL PROVISION TO ITEM 440 (440---004)  
 SPECIAL PROVISION TO ITEM 441 (441---004)  
 SPECIAL PROVISION TO ITEM 442 (442---001)  
 SPECIAL PROVISION TO ITEM 446 (446---005)  
 SPECIAL PROVISION TO ITEM 447 (447---001)  
 SPECIAL PROVISION TO ITEM 448 (448---001)  
 SPECIAL PROVISION TO ITEM 449 (449---002)  
 SPECIAL PROVISION TO ITEM 450 (450---001)  
 SPECIAL PROVISION TO ITEM 464 (464---001)  
 SPECIAL PROVISION TO ITEM 465 (465---001)  
 SPECIAL PROVISION TO ITEM 502 (502---008)  
 SPECIAL PROVISION TO ITEM 506 (506---002)  
 SPECIAL PROVISION TO ITEM 520 (520---002)  
 SPECIAL PROVISION TO ITEM 540 (540---001)  
 SPECIAL PROVISION TO ITEM 636 (636---001)  
 SPECIAL PROVISION TO ITEM 643 (643---001)  
 SPECIAL PROVISION TO ITEM 656 (656---001)  
 SPECIAL PROVISION TO ITEM 666 (666---007)  
 SPECIAL PROVISION TO ITEM 680 (680---006)

SPECIAL PROVISION TO SPECIAL SPECIFICATION ITEM 6185 (6185--002)

SPECIAL SPECIFICATIONS:

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- ITEM 3076 DENSE-GRADED HOT-MIX ASPHALT <300><301><316><320><340>  
<341><342><347><348><520><585><3079><3081><3082><3096>
- ITEM 3079 PERMEABLE FRICTION COURSE (PFC)
- ITEM 3081 THIN OVERLAY MIXTURES (TOM)
- ITEM 3082 THIN BONDED FRICTION COURSES
- ITEM 3096 ASPHALTS, OILS, AND EMULSIONS
- ITEM 6001 PORTABLE CHANGEABLE MESSAGE SIGN
- ITEM 6185 TRUCK MOUNTED ATTENUATOR (TMA) AND TRAILER ATTENUATOR (TA)
- ITEM 6438 MOBILE RETROREFLECTIVITY DATA COLLECTION FOR PAVEMENT  
MARKINGS
- ITEM 7032 WATER MAINS AND SERVICE LINES <341><400><401><402><421>
- ITEM 7136 WATER MAINS AND APPURTENANCES

GENERAL: THE ABOVE-LISTED SPECIFICATION ITEMS ARE THOSE UNDER WHICH  
----- PAYMENT IS TO BE MADE. THESE, TOGETHER WITH SUCH OTHER  
PERTINENT ITEMS, IF ANY, AS MAY BE REFERRED TO IN THE ABOVE-  
LISTED SPECIFICATION ITEMS, AND INCLUDING THE SPECIAL  
PROVISIONS LISTED ABOVE, CONSTITUTE THE COMPLETE SPECIFI-  
CATIONS FOR THIS PROJECT.

**Control**    **0086-10-053, ETC.**  
**Project**    **F 2023(859), ETC.**  
**Highway**   **SH 359**  
**County**    **DUVAL**

**DISADVANTAGED BUSINESS ENTERPRISE  
REQUIREMENTS**

The following goal for disadvantaged business enterprises is established:

**DBE  
6.0%**

**Certification of DBE Goal Attainment**

**By signing the proposal, the Bidder certifies that the above DBE goal will be met by committing to DBE participation that meets or exceeds the goal or providing adequate documentation of good faith efforts (GFE) to achieve the goal.**

**The DBE participation or GFE must be submitted within five (5) calendar days after bid opening. If the fifth day falls on a weekend or a day when TxDOT offices are closed, the deadline moves to the next business day.**

**The Department may impose remedies as defined by state or local law if a bidder fails to submit required documentation, including forfeiting the bid proposal guaranty and exclusion from rebidding on the contract if it is re-advertised.**



## **CHILD SUPPORT STATEMENT**

**Under Section 231.006, Family Code, the vendor or applicant certifies that the individual or business entity named in this contract, bid, or application is not ineligible to receive the specified grant, loan, or payment and acknowledges that this contract may be terminated and payment may be withheld if this certification is inaccurate.**

## CONFLICT OF INTEREST CERTIFICATION

Pursuant to Texas Government Code Section 2261.252(b), the Department is prohibited from entering into contracts in which Department officers and employees have a financial interest.

By signing the Contract, the Contractor certifies that it is not prohibited from entering into a Contract with the Department as a result of a financial interest as defined under Texas Government Code Section 2261.252(b), and that it will exercise reasonable care and diligence to prevent any actions or conditions that could result in a conflict of interest with the Department.

The Contractor also certifies that none of the following individuals, nor any of their family members within the second degree of affinity or consanguinity, owns 1% or more interest or has a financial interest as defined under Texas Government Code Section 2261.252(b) in the Contractor:

- Any member of the Texas Transportation Commission; and
- The Department's Executive Director, General Counsel, Chief of Procurement and Field Support Operations, Director of Procurement, and Director of Contract Services.

Violation of this certification may result in action by the Department.

## E-VERIFY CERTIFICATION

Pursuant to Texas Transportation Code §223.051, all TxDOT contracts for construction, maintenance, or improvement of a highway must include a provision requiring Contractors and subcontractors to use the U.S. Department of Homeland Security's E-Verify system to determine employment eligibility. By signing the contract, the Contractor certifies that prior to the award of the Contract:

- the Contractor has registered with and will, to the extent permitted by law, utilize the United States Department of Homeland Security's E-Verify system during the term of the Contract to determine the eligibility of all persons hired to perform duties within Texas during the term of the agreement; and
- the Contractor will require that all subcontractors also register with and, to the extent permitted by law, utilize the United States Department of Homeland Security's E-Verify system during the term of the subcontract to determine the eligibility of all persons hired to perform duties within Texas during the term of the agreement.

Violation of this requirement constitutes a material breach of the Contract, subjects a subcontractor to removal from the Contract, and subjects the Contractor or subcontractors to possible sanctions in accordance with Title 43, Texas Administrative Code, Chapter 10, Subchapter F, "Sanctions and Suspension for Ethical Violations by Entities Doing Business with the Department."

## Certification Regarding Disclosure of Public Information

Pursuant to Subchapter J, Chapter 552, Texas Government Code, contractors executing a contract with a governmental body that results in the expenditure of at least \$1 million in public funds must:

- 1) preserve all contracting information\* as provided by the records retention requirements applicable to Texas Department of Transportation (TxDOT) for the duration of the contract,
- 2) on request of TxDOT, promptly provide any contracting information related to the contract that is in the custody or possession of the entity, and
- 3) on completion of the contract, either:
  - A. provide, at no cost to TxDOT, all contracting information related to the contract that is in the custody or possession of the entity, or
  - B. preserve the contracting information related to the contract as provided by the records retention requirements applicable to TxDOT

The requirements of Subchapter J, Chapter 552, Government Code, may apply to this contract, and the contractor or vendor agrees that the contract can be terminated if the contractor or vendor knowingly or intentionally fails to comply with a requirement of that subchapter.

By entering into Contract, the Contractor agrees to:

- provide, or make available, to TxDOT and any authorized governmental investigating or auditing agency all records, including electronic and payment records related to the contract, for the same period provided by the records retention schedule applicable to TxDOT, and
- ensure that all subcontracts include a clause requiring the same.

\* As defined in Government Code §552.003, "Contracting information" means the following information maintained by a governmental body or sent between a governmental body and a vendor, contractor, potential vendor, or potential contractor:

- 1) information in a voucher or contract relating to the receipt or expenditure of public funds by a governmental body;
- 2) solicitation or bid documents relating to a contract with a governmental body;
- 3) communications sent between a governmental body and a vendor, contractor, potential vendor, or potential contractor during the solicitation, evaluation, or negotiation of a contract;
- 4) documents, including bid tabulations, showing the criteria by which a governmental body evaluates each vendor, contractor, potential vendor, or potential contractor responding to a solicitation and, if applicable, an explanation of why the vendor or contractor was selected; and
- 5) communications and other information sent between a governmental body and a vendor or contractor related to the performance of a final contract with the governmental body or work performed on behalf of the governmental body.

## CERTIFICATION TO NOT BOYCOTT ISRAEL

Pursuant to Texas Government Code §2271.002, the Department must include a provision requiring a written verification affirming that the Contractor does not boycott Israel, as defined in Government Code §808.001, and will not boycott Israel during the term of the contract. This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not boycott Israel and will not boycott Israel during the term of this contract. "Boycott" means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes.

Violation of this certification may result in action by the Department.

## CERTIFICATION TO NOT BOYCOTT ENERGY COMPANIES

Pursuant to Texas Government Code §2274.002, the Department must include a provision requiring a written verification affirming that the Contractor does not boycott energy companies, as defined in Government Code §809.001, and will not boycott energy companies during the term of the contract. This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not boycott energy companies and will not boycott energy companies during the term of this contract. "Boycott" means taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations with a company because the company: (1) engages in the exploration, production, utilization, transportation, sale, or manufacturing of fossil fuel-based energy and does not commit or pledge to meet environmental standards beyond applicable federal and state law; or (2) does business with a company described by (1).

Violation of this certification may result in action by the Department.

## CERTIFICATION TO NOT DISCRIMINATE AGAINST FIREARM ENTITIES OR FIREARM TRADE ASSOCIATIONS

Pursuant to Texas Government Code §2274.002, the Department must include a provision requiring a written verification affirming that the Contractor:

- 1) does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association, as defined in Government Code §2274.001, and
- 2) will not discriminate against a firearm entity or firearm trade association during the term of the contract.

This provision applies to a contract that:

- 1) is with a Contractor that is not a sole proprietorship,
- 2) is with a Contractor with 10 or more full-time employees, and
- 3) has a value of \$100,000 or more.

By signing the contract, the Contractor certifies that it does not discriminate against a firearm entity or firearm trade association as described and will not do so during the term of this contract. "Discriminate against a firearm entity or firearm trade association" means, with respect to the entity or association, to: (1) refuse to engage in the trade of any goods or services with the entity or association based solely on its status as a firearm entity or firearm trade association; (2) refrain from continuing an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association; or (3) terminate an existing business relationship with the entity or association based solely on its status as a firearm entity or firearm trade association. "Discriminate against a firearm entity or firearm trade association" does not include: (1) the established policies of a merchant, retail seller, or platform that restrict or prohibit the listing or selling of ammunition, firearms, or firearm accessories; (2) a company's refusal to engage in the trade of any goods or services, decision to refrain from continuing an existing business relationship, or decision to terminate an existing business relationship to comply with federal, state, or local law, policy, or regulations or a directive by a regulatory agency, or for any traditional business reason that is specific to the customer or potential customer and not based solely on an entity's or association's status as a firearm entity or firearm trade association.

Violation of this certification may result in action by the Department.

# PROHIBITION ON CERTAIN TELECOMMUNICATIONS EQUIPMENT OR SERVICES

The Federal Register Notice issued the Final Rule and states that the amendment to 2 CFR 200.216 is effective on August 13, 2020. The new 2 CFR 200.471 regulation provides clarity that the telecommunications and video surveillance costs associated with 2 CFR 200.216 are unallowable for services and equipment from these specific providers. OMB's Federal Register Notice includes the new 2 CFR 200.216 and 2 CFR 200.471 regulations.

<https://www.federalregister.gov/documents/2020/08/13/2020-17468/guidance-for-grants-and-agreements>

Per the Federal Law referenced above, use of services, systems, or services or systems that contain components produced by any of the following manufacturers is strictly prohibited for use on this project. Therefore, for any telecommunications, CCTV, or video surveillance equipment, services or systems cannot be manufactured by, or have components manufactured by:

- Huawei Technologies Company,
- ZTE Corporation (any subsidiary and affiliate of such entities),
- Hytera Communications Corporation,
- Hangzhou Hikvision Digital Technology Company,
- Dahua Technology Company (any subsidiary and affiliate of such entities).

Violation of this prohibition will require replacement of the equipment at the contractor's expense.



**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

**II. NONDISCRIMINATION** (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

#### **6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### **10. Assurances Required:**

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages (29 CFR 5.5)

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b.(1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## **2. Withholding (29 CFR 5.5)**

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics,

including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## **3. Payrolls and basic records (29 CFR 5.5)**

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency.

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or

subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under 29 CFR 5.5(a)(3)(ii), the appropriate information is being maintained under 29 CFR 5.5(a)(3)(i), and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees (29 CFR 5.5)

##### a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State

Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

##### b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the

corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

**9. Disputes concerning labor standards.** As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor

set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

#### **10. Certification of eligibility (29 CFR 5.5)**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

#### **V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph 1 of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph 1 of this section, in the sum currently provided in 29 CFR 5.5(b)(2)\* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1 of this section. 29 CFR 5.5.

\* \$27 as of January 23, 2019 (See 84 FR 213-01, 218) as may be adjusted annually by the Department of Labor; pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990).

### **3. Withholding for unpaid wages and liquidated damages.**

The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 2 of this section. 29 CFR 5.5.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraphs 1 through 4 of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1 through 4 of this section. 29 CFR 5.5.

## **VI. SUBLETTING OR ASSIGNING THE CONTRACT**

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or

equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

## **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance



with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

### **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)**

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.326.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders

or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.326.

### **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

#### **1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant

who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

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## **2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;.

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

## **3. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is

submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contractor). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov/>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

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**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(a) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(b) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(c) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

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**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier

subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

## **XII. USE OF UNITED STATES-FLAG VESSELS:**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.
2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS** (23 CFR 633, Subpart B, Appendix B)

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

The wage rates listed herein are those predetermined by the Secretary of Labor and State Statute and listed in the United States Department of Labor's (USDOL) General Decisions dated **01-06-2023** and are the minimum wages to be paid accordingly for each specified classification. To determine the applicable wage rate zone, a list entitled "TEXAS COUNTIES IDENTIFIED BY WAGE RATE ZONES" is provided in the contract. Any wage rate that is not listed herein and not in the USDOL's general decision, must be submitted to the Engineer for approval. **IMPORTANT NOTICE FOR STATE PROJECTS:** only the controlling wage rate zone applies to the contract. Effective 01-06-2023.

CLASS. #	CLASSIFICATION DESCRIPTION	ZONE TX02 *(TX20230002)	ZONE TX03 *(TX20230003)	ZONE TX04 *(TX20230004)	ZONE TX05 *(TX20230005)	ZONE TX06 *(TX20230006)	ZONE TX07 *(TX20230007)	ZONE TX08 *(TX20230008)	ZONE TX24 *(TX20230024)	ZONE TX25 *(TX20230025)	ZONE TX27 *(TX20230027)	ZONE TX28 *(TX20230028)	ZONE TX29 *(TX20230029)	ZONE TX30 *(TX20230030)	ZONE TX37 *(TX20230037)	ZONE TX38 *(TX20230038)	ZONE TX42 *(TX20230042)
1428	Agricultural Tractor Operator						\$12.69					\$12.35			\$11.75		
1300	Asphalt Distributor Operator	\$14.87	\$13.48	\$13.88	\$15.72	\$15.58	\$15.55	\$15.72	\$13.28	\$15.32	\$15.62	\$14.36	\$14.25	\$14.03	\$13.75	\$14.06	\$14.40
1303	Asphalt Paving Machine Operator	\$13.40	\$12.25	\$12.35	\$13.87	\$14.05	\$14.36	\$14.20	\$13.26	\$13.99	\$14.68	\$12.92	\$13.44	\$12.53	\$14.00	\$14.32	\$12.99
1106	Asphalt Raker	\$12.28	\$10.61	\$12.02	\$14.21	\$11.65	\$12.12	\$11.64	\$11.44	\$12.69	\$12.05	\$11.34	\$11.67	\$11.40	\$12.59	\$12.36	\$11.78
1112	Batching Plant Operator, Asphalt																
1115	Batching Plant Operator, Concrete																
1214	Blaster																
1615	Boom Truck Operator						\$18.36										
1444	Boring Machine Operator																
1305	Broom or Sweeper Operator	\$11.21	\$10.33	\$10.08	\$11.99		\$11.04	\$11.62		\$11.74	\$11.41	\$10.30		\$10.23	\$10.60	\$12.68	\$11.05
1144	Communications Cable Installer																
1124	Concrete Finisher, Paving and Structures	\$13.55	\$12.46	\$13.16	\$12.85	\$12.64	\$12.56	\$12.77	\$12.44	\$14.12	\$13.04	\$13.38	\$12.64	\$12.80	\$12.79	\$12.98	\$13.32
1318	Concrete Pavement Finishing Machine Operator				\$16.05			\$15.48		\$16.05		\$19.31				\$13.07	
1315	Concrete Paving, Curing, Float, Texturing Machine Operator											\$16.34					\$11.71
1333	Concrete Saw Operator				\$14.67					\$14.48	\$17.33						\$13.99
1399	Concrete/Gunite Pump Operator																
1344	Crane Operator, Hydraulic 80 tons or less				\$18.22		\$18.36			\$18.12	\$18.04	\$20.21			\$18.63	\$13.86	
1345	Crane Operator, Hydraulic Over 80 Tons																
1342	Crane Operator, Lattice Boom 80 Tons or Less	\$16.82	\$14.39	\$13.85	\$17.27		\$15.87			\$17.27		\$14.67			\$16.42	\$14.97	\$13.87
1343	Crane Operator, Lattice Boom Over 80 Tons				\$20.52		\$19.38			\$20.52		\$17.49			\$25.13	\$15.80	
1306	Crawler Tractor Operator	\$13.96	\$16.63	\$13.62	\$14.26		\$15.67			\$14.07	\$13.15	\$13.38			\$14.60	\$13.68	\$13.50
1351	Crusher or Screen Plant Operator																
1446	Directional Drilling Locator						\$11.67										
1445	Directional Drilling Operator				\$20.32		\$17.24										
1139	Electrician	\$20.96		\$19.87	\$19.80		\$26.35		\$20.27	\$19.80		\$20.92				\$27.11	\$19.87
1347	Excavator Operator, 50,000 pounds or less	\$13.46	\$12.56	\$13.67	\$17.19		\$12.88	\$14.38	\$13.49	\$17.19		\$13.88			\$14.09	\$12.71	\$14.42
1348	Excavator Operator, Over 50,000 pounds		\$15.23	\$13.52	\$17.04		\$17.71			\$16.99	\$18.80	\$16.22				\$14.53	\$13.52
1150	Flagger	\$9.30	\$9.10	\$8.50	\$10.28	\$8.81	\$9.45	\$8.70		\$10.06	\$9.71	\$9.03	\$8.81	\$9.08	\$9.90	\$10.33	\$8.10
1151	Form Builder/Setter, Structures	\$13.52	\$12.30	\$13.38	\$12.91	\$12.71	\$12.87	\$12.38	\$12.26	\$13.84	\$12.98	\$13.07	\$13.61	\$12.82	\$14.73	\$12.23	\$12.25
1160	Form Setter, Paving & Curb	\$12.36	\$12.16	\$13.93	\$11.83	\$10.71	\$12.94			\$13.16	\$12.54	\$11.33	\$10.69		\$13.33	\$12.34	\$13.93
1360	Foundation Drill Operator, Crawler Mounted				\$17.99					\$17.99							\$17.43
1363	Foundation Drill Operator, Truck Mounted		\$16.86	\$22.05	\$21.51		\$16.93			\$21.07	\$20.20	\$20.76		\$17.54	\$21.39	\$15.89	\$22.05
1369	Front End Loader Operator, 3 CY or Less	\$12.28	\$13.49	\$13.40	\$13.85		\$13.04	\$13.15	\$13.29	\$13.69	\$12.64	\$12.89			\$13.51	\$13.32	\$12.17
1372	Front End Loader Operator, Over 3 CY	\$12.77	\$13.69	\$12.33	\$14.96		\$13.21	\$12.86	\$13.57	\$14.72	\$13.75	\$12.32			\$13.19	\$13.17	\$13.02
1329	Joint Sealer																
1172	Laborer, Common	\$10.30	\$9.86	\$10.08	\$10.51	\$10.71	\$10.50	\$10.24	\$10.58	\$10.72	\$10.45	\$10.30	\$10.25	\$10.03	\$10.54	\$11.02	\$10.15
1175	Laborer, Utility	\$11.80	\$11.53	\$12.70	\$12.17	\$11.81	\$12.27	\$12.11	\$11.33	\$12.32	\$11.80	\$11.53	\$11.23	\$11.50	\$11.95	\$11.73	\$12.37
1346	Loader/Backhoe Operator	\$14.18	\$12.77	\$12.97	\$15.68		\$14.12			\$15.18	\$13.58	\$12.87		\$13.21	\$14.13	\$14.29	\$12.90
1187	Mechanic	\$20.14	\$15.47	\$17.47	\$17.74	\$17.00	\$17.10			\$17.68	\$18.94	\$18.58	\$17.00	\$16.61	\$18.46	\$16.96	\$17.47
1380	Milling Machine Operator	\$15.54	\$14.64	\$12.22	\$14.29		\$14.18			\$14.32	\$14.35	\$12.86			\$14.75	\$13.53	\$12.80

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1390	Motor Grader Operator, Fine Grade	\$17.49	\$16.52	\$16.88	\$17.12	\$18.37	\$18.51	\$16.69	\$16.13	\$17.19	\$18.35	\$17.07	\$17.74	\$17.47	\$17.08	\$15.69	\$20.01
1393	Motor Grader Operator, Rough	\$16.15	\$14.62	\$15.83	\$16.20	\$17.07	\$14.63	\$18.50		\$16.02	\$16.44	\$15.12	\$16.85	\$14.47	\$17.39	\$14.23	\$15.53
1413	Off Road Hauler			\$10.08	\$12.26		\$11.88			\$12.25		\$12.23			\$13.00	\$14.60	
1196	Painter, Structures Pavement Marking Machine Operator	\$16.42		\$13.10	\$13.55		\$19.17	\$12.01		\$13.63	\$14.60	\$13.17		\$16.65	\$10.54	\$11.18	\$13.10
1443	Percussion or Rotary Drill Operator																
1202	Piledriver															\$14.95	
1205	Pipelayer		\$11.87	\$14.64	\$13.17	\$11.17	\$12.79		\$11.37	\$13.24	\$12.66	\$13.24	\$11.17	\$11.67		\$12.12	\$14.64
1384	Reclaimer/Pulverizer Operator	\$12.85			\$11.90		\$12.88			\$11.01		\$10.46					
1500	Reinforcing Steel Worker	\$13.50	\$14.07	\$17.53	\$16.17		\$14.00			\$16.18	\$12.74	\$15.83		\$17.10		\$15.15	\$17.72
1402	Roller Operator, Asphalt	\$10.95		\$11.96	\$13.29		\$12.78	\$11.61		\$13.08	\$12.36	\$11.68			\$11.71	\$11.95	\$11.50
1405	Roller Operator, Other	\$10.36		\$10.44	\$11.82		\$10.50	\$11.64		\$11.51	\$10.59	\$10.30		\$12.04	\$12.85	\$11.57	\$10.66
1411	Scraper Operator	\$10.61	\$11.07	\$10.85	\$12.88		\$12.27		\$11.12	\$12.96	\$11.88	\$12.43		\$11.22	\$13.95	\$13.47	\$10.89
1417	Self-Propelled Hammer Operator																
1194	Servicer	\$13.98	\$12.34	\$14.11	\$14.74		\$14.51	\$15.56	\$13.44	\$14.58	\$14.31	\$13.83		\$12.43	\$13.72	\$13.97	\$14.11
1513	Sign Erector																
1708	Slurry Seal or Micro-Surfacing Machine Operator																
1341	Small Slipform Machine Operator									\$15.96							
1515	Spreader Box Operator	\$12.60		\$13.12	\$14.71		\$14.04			\$14.73	\$13.84	\$13.68		\$13.45	\$11.83	\$13.58	\$14.05
1705	Structural Steel Welder															\$12.85	
1509	Structural Steel Worker						\$19.29									\$14.39	
1339	Subgrade Trimmer																
1143	Telecommunication Technician																
1145	Traffic Signal/Light Pole Worker						\$16.00										
1440	Trenching Machine Operator, Heavy						\$18.48										
1437	Trenching Machine Operator, Light																
1609	Truck Driver Lowboy-Float	\$14.46	\$13.63	\$13.41	\$15.00	\$15.93	\$15.66			\$16.24	\$16.39	\$14.30	\$16.62	\$15.63	\$14.28	\$16.03	\$13.41
1612	Truck Driver Transit-Mix				\$14.14					\$14.14							
1600	Truck Driver, Single Axle	\$12.74	\$10.82	\$10.75	\$13.04	\$11.61	\$11.79	\$13.53	\$13.16	\$12.31	\$13.40	\$10.30	\$11.61		\$11.97	\$11.46	\$10.75
1606	Truck Driver, Single or Tandem Axle Dump Truck	\$11.33	\$14.53	\$11.95	\$12.95		\$11.68		\$14.06	\$12.62	\$11.45	\$12.28		\$13.08	\$11.68	\$11.48	\$11.10
1607	Truck Driver, Tandem Axle Tractor with Semi Trailer	\$12.49	\$12.12	\$12.50	\$13.42		\$12.81	\$13.16		\$12.86	\$16.22	\$12.50			\$13.80	\$12.27	\$12.50
1441	Tunneling Machine Operator, Heavy																
1442	Tunneling Machine Operator, Light																
1706	Welder		\$14.02		\$14.86		\$15.97		\$13.74	\$14.84					\$13.78		
1520	Work Zone Barricade Servicer	\$10.30	\$12.88	\$11.46	\$11.70	\$11.57	\$11.85	\$10.77		\$11.68	\$12.20	\$11.22	\$11.51	\$12.96	\$10.54	\$11.67	\$11.76

Notes:

\*Represents the USDOL wage decision.

Any worker employed on this project shall be paid at the rate of one and one half (1-1/2) times the regular rate for every hour worked in excess of forty (40) hours per week.

For reference, the titles and descriptions for the classifications listed here are detailed further in the AGC of Texas' *Standard Job Classifications and Descriptions for Highway, Heavy, Utilities, and Industrial Construction in Texas* posted on the AGC's Web site for any contractor.

**TEXAS COUNTIES IDENTIFIED BY  
WAGE RATE ZONES: 2, 3, 4, 5, 6, 7, 8, 24, 25, 27, 28, 29, 30, 37, 38, 42**

County Name	Zone	County Name	Zone	County Name	Zone	County Name	Zone
Anderson	28	Donley	37	Karnes	27	Reagan	37
Andrews	37	Duval	30	Kaufman	25	Real	37
Angelina	28	Eastland	37	Kendall	7	Red River	28
Aransas	29	Ector	2	Kenedy	30	Reeves	8
Archer	25	Edwards	8	Kent	37	Refugio	27
Armstrong	2	El Paso	24	Kerr	27	Roberts	37
Atascosa	7	Ellis	25	Kimble	37	Robertson	7
Austin	38	Erath	28	King	37	Rockwall	25
Bailey	37	Falls	28	Kinney	8	Runnels	37
Bandera	7	Fannin	28	Kleberg	27	Rusk	4
Bastrop	7	Fayette	27	Knox	37	Sabine	28
Baylor	37	Fisher	37	Lamar	28	San Augustine	28
Bee	27	Floyd	37	Lamb	37	San Jacinto	38
Bell	7	Foard	37	Lampasas	7	San Patricio	29
Bexar	7	Fort Bend	38	LaSalle	30	San Saba	37
Blanco	27	Franklin	28	Lavaca	27	Schleicher	37
Borden	37	Freestone	28	Lee	27	Scurry	37
Bosque	28	Frio	27	Leon	28	Shackelford	37
Bowie	4	Gaines	37	Liberty	38	Shelby	28
Brazoria	38	Galveston	38	Limestone	28	Sherman	37
Brazos	7	Garza	37	Lipscomb	37	Smith	4
Brewster	8	Gillespie	27	Live Oak	27	Somervell	28
Briscoe	37	Glasscock	37	Llano	27	Starr	30
Brooks	30	Goliad	29	Loving	37	Stephens	37
Brown	37	Gonzales	27	Lubbock	2	Sterling	37
Burleson	7	Gray	37	Lynn	37	Stonewall	37
Burnet	27	Grayson	25	Madison	28	Sutton	8
Caldwell	7	Gregg	4	Marion	28	Swisher	37
Calhoun	29	Grimes	28	Martin	37	Tarrant	25
Callahan	25	Guadalupe	7	Mason	27	Taylor	2
Cameron	3	Hale	37	Matagorda	27	Terrell	8
Camp	28	Hall	37	Maverick	30	Terry	37
Carson	2	Hamilton	28	McCulloch	37	Throckmorton	37
Cass	28	Hansford	37	McLennan	7	Titus	28
Castro	37	Hardeman	37	McMullen	30	Tom Green	2
Chambers	38	Hardin	38	Medina	7	Travis	7
Cherokee	28	Harris	38	Menard	37	Trinity	28
Childress	37	Harrison	42	Midland	2	Tyler	28
Clay	25	Hartley	37	Milam	28	Upshur	4
Cochran	37	Haskell	37	Mills	37	Upton	37
Coke	37	Hays	7	Mitchell	37	Uvalde	30
Coleman	37	Hemphill	37	Montague	37	Val Verde	8
Collin	25	Henderson	28	Montgomery	38	Van Zandt	28
Collingsworth	37	Hidalgo	3	Moore	37	Victoria	6
Colorado	27	Hill	28	Morris	28	Walker	28
Comal	7	Hockley	37	Motley	37	Waller	38
Comanche	37	Hood	28	Nacogdoches	28	Ward	37
Concho	37	Hopkins	28	Navarro	28	Washington	28
Cooke	37	Houston	28	Newton	28	Webb	3
Coryell	7	Howard	37	Nolan	37	Wharton	27
Cottle	37	Hudspeth	8	Nueces	29	Wheeler	37
Crane	37	Hunt	25	Ochiltree	37	Wichita	5
Crockett	8	Hutchinson	37	Oldham	37	Wilbarger	37
Crosby	2	Irion	2	Orange	38	Willacy	30
Culberson	8	Jack	28	Palo Pinto	28	Williamson	7
Dallam	37	Jackson	27	Panola	28	Wilson	7
Dallas	25	Jasper	28	Parker	25	Winkler	37
Dawson	37	Jeff Davis	8	Parmer	37	Wise	25
Deaf Smith	37	Jefferson	38	Pecos	8	Wood	28
Delta	25	Jim Hogg	30	Polk	28	Yoakum	37
Denton	25	Jim Wells	27	Potter	2	Young	37
DeWitt	27	Johnson	25	Presidio	8	Zapata	30
Dickens	37	Jones	25	Rains	28	Zavala	30
Dimmit	30			Randall	2		



# Special Provision to Item 000

## Nondiscrimination



### 1. DESCRIPTION

All recipients of federal financial assistance are required to comply with various nondiscrimination laws including Title VI of the Civil Rights Act of 1964, as amended, (Title VI). Title VI forbids discrimination against anyone in the United States on the grounds of race, color, or national origin by any agency receiving federal funds.

Texas Department of Transportation, as a recipient of Federal financial assistance, and under Title VI and related statutes, ensures that no person shall on the grounds of race, religion (where the primary objective of the financial assistance is to provide employment per 42 U.S.C. § 2000d-3), color, national origin, sex, age or disability be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any Department programs or activities.

### 2. DEFINITION OF TERMS

Where the term “contractor” appears in the following six nondiscrimination clauses, the term “contractor” is understood to include all parties to contracts or agreements with the Texas Department of Transportation.

### 3. NONDISCRIMINATION PROVISIONS

During the performance of this contract, the contractor agrees as follows:

- 3.1. **Compliance with Regulations.** The Contractor shall comply with the Regulations relative to nondiscrimination in Federally-assisted programs of the Department of Transportation (hereinafter, “DOT”) Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- 3.2. **Nondiscrimination.** The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- 3.3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- 3.4. **Information and Reports:** The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Texas Department of Transportation to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to the Recipient, or the Texas Department of Transportation as appropriate, and shall set forth what efforts it has made to obtain the information.

- 3.5. **Sanctions for Noncompliance.** In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the Recipient shall impose such contract sanctions as it or the Texas Department of Transportation may determine to be appropriate, including, but not limited to:
- withholding of payments to the contractor under the contract until the contractor complies, and/or
  - cancellation, termination or suspension of the contract, in whole or in part.
- 3.6. **Incorporation of Provisions.** The contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the Recipient or the Texas Department of Transportation may direct as a means of enforcing such provisions including sanctions for non-compliance: Provided, however, that, in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the Recipient to enter into such litigation to protect the interests of the Recipient, and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

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# Special Provision to Item 000

## Certification of Nondiscrimination in Employment

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### 1. GENERAL

By signing this proposal, the Bidder certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, or if he has not participated in a previous contract of this type, or if he has had previous contract or subcontracts and has not filed, he will file with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

**Note**—The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by Bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

## Special Provision to Item 000

# Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)



### 1. GENERAL

In addition to the affirmative action requirements of the Special Provision titled "Standard Federal Equal Employment Opportunity Construction Contract Specifications" as set forth elsewhere in this proposal, the Bidder's attention is directed to the specific requirements for utilization of minorities and females as set forth below.

### 2. GOALS

2.1. Goals for minority and female participation are hereby established in accordance with 41 CFR 60-4.

2.2. The goals for minority and female participation expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area are as follows:

Goals for minority participation in each trade, %	Goals for female participation in each trade, %
See Table 1	6.9

2.3. These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it will apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and non-federally involved construction. The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 will be based on its implementation of the Standard Federal Equal Employment Opportunity Construction Contract Specifications Special Provision and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the Contract, and in each trade, and the Contractor must make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority and female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals will be a violation of the Contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

2.4. A Contractor or subcontractor will be considered in compliance with these provisions by participation in the Texas Highway-Heavy Branch, AGC, Statewide Training and Affirmative Action Plan. Provided that each Contractor or subcontractor participating in this plan must individually comply with the equal opportunity clause set forth in 41 CFR 60-1.4 and must make a good faith effort to achieve the goals set forth for each participating trade in the plan in which it has employees. The overall good performance of other Contractors and subcontractors toward a goal in an approved plan does not excuse any covered Contractor's or subcontractor's failure to make good faith efforts to achieve the goals contained in these provisions. Contractors or subcontractors participating in the plan must be able to demonstrate their participation and document their compliance with the provisions of this Plan.

### 3. SUBCONTRACTING

The Contractor must provide written notification to the Department within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the Contract resulting from this solicitation pending concurrence of the Department in the award. The notification will list the names,

address and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the Contract is to be performed.

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#### 4. COVERED AREA

As used in this special provision, and in the Contract resulting from this solicitation, the geographical area covered by these goals for female participation is the State of Texas. The geographical area covered by these goals for other minorities are the counties in the State of Texas as indicated in Table 1.

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#### 5. REPORTS

The Contractor is hereby notified that he may be subject to the Office of Federal Contract Compliance Programs (OFCCP) reporting and record keeping requirements as provided for under Executive Order 11246 as amended. OFCCP will provide direct notice to the Contractor as to the specific reporting requirements that he will be expected to fulfill.

**Table 1**  
**Goals for Minority Participation**

<b>County</b>	<b>Participation, %</b>	<b>County</b>	<b>Participation, %</b>
Anderson	22.5	Chambers	27.4
Andrews	18.9	Cherokee	22.5
Angelina	22.5	Childress	11.0
Aransas	44.2	Clay	12.4
Archer	11.0	Cochran	19.5
Armstrong	11.0	Coke	20.0
Atascosa	49.4	Coleman	10.9
Austin	27.4	Collin	18.2
Bailey	19.5	Collingsworth	11.0
Bandera	49.4	Colorado	27.4
Bastrop	24.2	Comal	47.8
Baylor	11.0	Comanche	10.9
Bee	44.2	Concho	20.0
Bell	16.4	Cooke	17.2
Bexar	47.8	Coryell	16.4
Blanco	24.2	Cottle	11.0
Borden	19.5	Crane	18.9
Bosque	18.6	Crockett	20.0
Bowie	19.7	Crosby	19.5
Brazoria	27.3	Culberson	49.0
Brazos	23.7	Dallam	11.0
Brewster	49.0	Dallas	18.2
Briscoe	11.0	Dawson	19.5
Brooks	44.2	Deaf Smith	11.0
Brown	10.9	Delta	17.2
Burleson	27.4	Denton	18.2
Burnet	24.2	DeWitt	27.4
Caldwell	24.2	Dickens	19.5
Calhoun	27.4	Dimmit	49.4
Callahan	11.6	Donley	11.0
Cameron	71.0	Duval	44.2
Camp	20.2	Eastland	10.9
Carson	11.0	Ector	15.1
Cass	20.2	Edwards	49.4
Castro	11.0	Ellis	18.2

County	Participation, %	County	Participation, %
El Paso	57.8	Kenedy	44.2
Erath	17.2	Kent	10.9
Falls	18.6	Kerr	49.4
Fannin	17.2	Kimble	20.0
Fayette	27.4	King	19.5
Fisher	10.9	Kinney	49.4
Floyd	19.5	Kleberg	44.2
Foard	11.0	Knox	10.9
Fort Bend	27.3	Lamar	20.2
Franklin	17.2	Lamb	19.5
Freestone	18.6	Lampasas	18.6
Frio	49.4	LaSalle	49.4
Gaines	19.5	Lavaca	27.4
Galveston	28.9	Lee	24.2
Garza	19.5	Leon	27.4
Gillespie	49.4	Liberty	27.3
Glasscock	18.9	Limestone	18.6
Goliad	27.4	Lipscomb	11.0
Gonzales	49.4	Live Oak	44.2
Gray	11.0	Llano	24.2
Grayson	9.4	Loving	18.9
Gregg	22.8	Lubbock	19.6
Grimes	27.4	Lynn	19.5
Guadalupe	47.8	Madison	27.4
Hale	19.5	Marion	22.5
Hall	11.0	Martin	18.9
Hamilton	18.6	Mason	20.0
Hansford	11.0	Matagorda	27.4
Hardeman	11.0	Maverick	49.4
Hardin	22.6	McCulloch	20.0
Harris	27.3	McLennan	20.7
Harrison	22.8	McMullen	49.4
Hartley	11.0	Medina	49.4
Haskell	10.9	Menard	20.0
Hays	24.1	Midland	19.1
Hemphill	11.0	Milam	18.6
Henderson	22.5	Mills	18.6
Hidalgo	72.8	Mitchell	10.9
Hill	18.6	Montague	17.2
Hockley	19.5	Montgomery	27.3
Hood	18.2	Moore	11.0
Hopkins	17.2	Morris	20.2
Houston	22.5	Motley	19.5
Howard	18.9	Nacogdoches	22.5
Hudspeth	49.0	Navarro	17.2
Hunt	17.2	Newton	22.6
Hutchinson	11.0	Nolan	10.9
Irion	20.0	Nueces	41.7
Jack	17.2	Ochiltree	11.0
Jackson	27.4	Oldham	11.0
Jasper	22.6	Orange	22.6
Jeff Davis	49.0	Palo Pinto	17.2
Jefferson	22.6	Panola	22.5
Jim Hogg	49.4	Parker	18.2
Jim Wells	44.2	Parmer	11.0
Johnson	18.2	Pecos	18.9
Jones	11.6	Polk	27.4
Karnes	49.4	Potter	9.3
Kaufman	18.2	Presidio	49.0
Kendall	49.4	Randall	9.3

<b>County</b>	<b>Participation, %</b>	<b>County</b>	<b>Participation, %</b>
Rains	17.2	Reagan	20.0
Real	49.4	Throckmorton	10.9
Red River	20.2	Titus	20.2
Reeves	18.9	Tom Green	19.2
Refugio	44.2	Travis	24.1
Roberts	11.0	Trinity	27.4
Robertson	27.4	Tyler	22.6
Rockwall	18.2	Upshur	22.5
Runnels	20.0	Upton	18.9
Rusk	22.5	Uvalde	49.4
Sabine	22.6	Val Verde	49.4
San Augustine	22.5	Van Zandt	17.2
San Jacinto	27.4	Victoria	27.4
San Patricio	41.7	Walker	27.4
San Saba	20.0	Waller	27.3
Schleicher	20.0	Ward	18.9
Scurry	10.9	Washington	27.4
Shackelford	10.9	Webb	87.3
Shelby	22.5	Wharton	27.4
Sherman	11.0	Wheeler	11.0
Smith	23.5	Wichita	12.4
Somervell	17.2	Wilbarger	11.0
Starr	72.9	Willacy	72.9
Stephens	10.9	Williamson	24.1
Sterling	20.0	Wilson	49.4
Stonewall	10.9	Winkler	18.9
Sutton	20.0	Wise	18.2
Swisher	11.0	Wood	22.5
Tarrant	18.2	Yoakum	19.5
Taylor	11.6	Young	11.0
Terrell	20.0	Zapata	49.4
Terry	19.5	Zavala	49.4

# Special Provision to Item 000

## Standard Federal Equal Employment Opportunity Construction Contract Specifications (Executive Order 11246)



### 1. GENERAL

1.1. As used in these specifications:

- “Covered area” means the geographical area described in the solicitation from which this Contract resulted;
- “Director” means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
- “Employer identification number” means the Federal Social Security number used on the Employer’s Quarterly Federal Tax Return, U.S. Treasury Department Form 941.
- “Minority” includes:
  - Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
  - Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
  - American Indian or Alaskan Native (all persons having origins in any of the original peoples of North American and maintaining identifiable tribal affiliations through membership and participation or community identification).

1.2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it will physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this Contract resulted.

1.3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U. S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) will be in accordance with that plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the equal employment opportunity (EEO) clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor’s or Subcontractor’s failure to take good faith efforts to achieve the Plan goals and timetables.

1.4. The Contractor will implement the specific affirmative action standards provided in Section 1.7.1. through Section 1.7.16. of these specifications. The goals set forth in the solicitation from which this Contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction Contractors performing Contracts in geographical areas where they do not have a Federal or federally assisted construction Contract will apply the minority and female goals established for the geographical area where the Contract is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or any Federal procurement contracting officer. The



Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.

- 1.5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women will excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 1.6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U. S. Department of Labor.
- 1.7. The Contractor will take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications will be based upon its effort to achieve maximum results from its actions. The Contractor will document these efforts fully, and will implement affirmative action steps at least as extensive as the following:
  - 1.7.1. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor will specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - 1.7.2. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
  - 1.7.3. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this will be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
  - 1.7.4. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral Process has impeded the Contractor's efforts to meet its obligations.
  - 1.7.5. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor will provide notice of these programs to the sources compiled under 7b above.
  - 1.7.6. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and Collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
  - 1.7.7. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other

employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., before the initiation of construction work at any job site. A written record must be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

- 1.7.8. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- 1.7.9. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month before the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor will send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- 1.7.10. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's workforce.
- 1.7.11. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- 1.7.12. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- 1.7.13. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- 1.7.14. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities will be provided to assure privacy between the sexes.
- 1.7.15. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- 1.7.16. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 1.8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (Section 7.1. through Section 7.16.). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under Section 7.1. through Section 7.16. of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation will not be a defense for the Contractor's noncompliance.
- 1.9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor

may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

- 1.10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 1.11. The Contractor will not enter into any Subcontract with any person or firm debarred from Government Contracts pursuant to Executive Order 11246.
- 1.12. The Contractor will carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties will be in violation of these specifications and Executive Order 11246, as amended.
- 1.13. The Contractor, in fulfilling its obligations under these specifications, will implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director will proceed in accordance with 41 CFR 60-4.8.
- 1.14. The Contractor will designate a responsible official to monitor all employment-related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records must at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records must be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, Contractors shall not be required to maintain separate records.
- 1.15. Nothing herein provided will be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).
- 1.16. In addition to the reporting requirements set forth elsewhere in this Contract, the Contractor and the subcontractors holding subcontracts, not including material suppliers, of \$10,000 or more, will submit for every month of July during which work is performed, employment data as contained under Form PR 1391 (Appendix C to 23 CFR, Part 230), and in accordance with the included instructions.

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# Special Provision to Item 000

## On-the-Job Training Program

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### 1. DESCRIPTION

The primary objective of this Special Provision is the training and advancement of minorities, women and economically disadvantaged persons toward journeyworker status. Accordingly, make every effort to enroll minority, women and economically disadvantaged persons to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and will not be used to discriminate against any applicant for training, whether or not he/she is a member of a minority group.

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### 2. TRAINEE ASSIGNMENT

Training assignments are based on the past volume of state-let highway construction contracts awarded with the Department. Contractors meeting the selection criteria will be notified of their training assignment at the beginning of the reporting year by the Department's Office of Civil Rights.

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### 3. PROGRAM REQUIREMENTS

Fulfill all of the requirements of the On-the-Job Training Program including the maintenance of records and submittal of periodic reports documenting program performance. Trainees will be paid at least 60% of the appropriate minimum journeyworker's rate specified in the Contract for the first half of the training period, 75% for the third quarter, and 90% for the last quarter, respectively.

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### 4. REIMBURSEMENT

If requested, Contractors may be reimbursed \$0.80 per training hour at no additional cost to the Department. Training may occur on this project, all other Department contracts, or local-administered federal-aid projects with concurrence of the local government entity. However, reimbursement for training is not available on projects to the extent that such projects that do not contain federal funds.

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### 5. COMPLIANCE

The Contractor will have fulfilled the contractual responsibilities by having provided acceptable training to the number of trainees specified in their goal assignment. Noncompliance may be cause for corrective and appropriate measures pursuant to Article 8.7., "Abandonment of Work or Default of Contract," which may be used to comply with the sanctions for noncompliance pursuant to 23 CFR Part 230.

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## **Special Provision to Item 000**

### **Americans with Disabilities Act Curb Ramp Workshop**

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Before starting work, schedule and attend a mandatory preconstruction Americans with Disabilities Act curb ramp workshop. The workshop will be administered by the Department, will be four hours or less, and will be held during normal working hours at an approved location in proximity to the project.

Supervisory personnel responsible for control of the work must attend the workshop.

The Department will provide workshop facilitators and facilities. No direct compensation will be made for fulfilling these requirements, as this workshop is considered subsidiary to the Items of the Contract.

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## Special Provision 000

### Certificate of Interested Parties (Form 1295)

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Submit a notarized Form 1295, "Certificate of Interested Parties," in the following instances:

- at Contract execution for Contracts awarded by the Commission;
- at Contract execution for Contracts awarded by the District Engineer or Chief Engineer with an award amount of \$1,000,000 or more; at any time an existing Contract awarded by the District Engineer or Chief Engineer increases in value to \$1,000,000 or more due to changes in the Contract; at any time there is an increase of \$1,000,000 or more to an existing Contract (change orders, extensions, and renewals); or
- at any time there is a change to the information in Form 1295, when the form was filed for an existing Contract.

Form 1295 and instructions on completing and filing the form are available on the Texas Ethics Commission website.

# Special Provision 000

## Important Notice to Contractors



For Dollar Amount of Original Contract		Dollar Amount of Daily Contract Administration Liquidated Damages per Working Day
From More Than	To and including	
0	1,000,000	618
1,000,000	3,000,000	832
3,000,000	5,000,000	940
5,000,000	15,000,000	1317
15,000,000	25,000,000	1718
25,000,000	50,000,000	2411
50,000,000	Over 50,000,000	4265

In addition to the amount shown in Table 1, the Liquidated Damages will be increased by the amount shown in Item 8 of the General Notes for Road User Cost (RUC), when applicable.

# Special Provision 000

## Important Notice to Contractors



As of May 9, 2023, utilities within the project limits have not been cleared. The Department anticipates clearance by the dates listed below. Unless otherwise stated, clearance of these obstructions will be performed by their owners. Estimated clearance dates are not anticipated to interfere with the Contractor's operations. In the event the clearance dates are not met, requests for additional compensation or time will be made in accordance with the Standard Specifications.

The Contractor is invited to review the mapped information of obstructions on file with the Engineer.

UTILITY			
Utility Owner	Approximate Location	Estimated Clearance Date	Effect on Construction
AEP Texas – Distribution	Overhead Power STA. 17+00 – STA. 29+25, (RT)	June 10, 2023	None
AT&T Texas	Overhead Telephone STA. 19+00 – STA. 26+00, (RT)	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 18+40, (LT)	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 22+25, (LT)	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 28+40, (LT)	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 29+25, (LT)	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 19+00, (LT)	August 31, 2023	None
AT&T Texas	Overhead Telephone, Parallel STA. 19+00, (LT) – STA. 23+00	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 19+00	August 31, 2023	None
AT&T Texas	Overhead Telephone, Crossing STA. 26+00, (LT)	August 31, 2023	None
Fiberlight	Fiber Optic Cable STA. 464+00 – STA. 544+88	August 31, 2023	None
Spectrum/Charter	Overhead Telephone, Crossing STA. 19+00 – STA. 19+00	August 31, 2023	None
Spectrum/Charter	Overhead Telephone, Crossing STA. 26+00 – STA. 26+00	August 31, 2023	None
AT&T Texas	Overhead Telephone, Parallel STA. 23+10, (RT) – STA. 26+00, (RT)	August 31, 2023	None



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# Special Provision 000

## Cargo Preference Act Requirements in Federal Aid Contracts

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### 1. DESCRIPTION

All recipients of federal financial assistance are required to comply with the U.S. Department of Transportation's (DOT) Cargo Preference Act Requirements, 46 CFR Part 381, Use of United States-Flag Vessels.

This requirement applies to material or equipment that is acquired specifically for a Federal-aid highway project. It is not applicable to goods or materials that come into inventories independent of a Federal Highway Administration (FHWA) funded contract.

When oceanic shipments are necessary for materials or equipment acquired for a specific Federal-aid construction project, the contractor agrees to:

- Utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
- Furnish a legible copy of a rated, on-board commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of 46 CFR Part 381 Section 7, "Federal Grant, Guaranty, Loan and Advance of Funds Agreements," within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, to both the Engineer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
- Insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.

# Special Provision to Item 000

## Disadvantaged Business Enterprise in Federal-Aid Contracts



### 1. DESCRIPTION

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's (DOT) policy of ensuring nondiscrimination in the award and administration of DOT-assisted Contracts and creating a level playing field on which firms owned and controlled by individuals who are determined to be socially and economically disadvantaged can compete fairly for DOT-assisted Contracts.

### 2. DISADVANTAGED BUSINESS ENTERPRISE IN FEDERAL-AID CONTRACTS

2.1. **Policy.** It is the policy of the DOT and the Texas Department of Transportation (Department) that DBEs, as defined in 49 CFR Part 26, Subpart A, and the Department's DBE Program, will have the opportunity to participate in the performance of Contracts financed in whole or in part with federal funds. The DBE requirements of 49 CFR Part 26, and the Department's DBE Program, apply to this Contract as follows.

The Contractor will solicit DBEs through reasonable and available means, as defined in 49 CFR Part 26, Appendix A, and the Department's DBE Program, or show a good faith effort to meet the DBE goal for this Contract.

The Contractor, subrecipient, or subcontractor will not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. Carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted Contracts. Failure to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as the Department deems appropriate.

The requirements of this Special Provision must be physically included in any subcontract.

By signing the Contract proposal, the Bidder is certifying that the DBE goal as stated in the proposal will be met by obtaining commitments from eligible DBEs or that the Bidder will provide acceptable evidence of good faith effort to meet the commitment.

#### 2.2. Definitions.

2.2.1. **Administrative Reconsideration.** A process by which the low bidder may request reconsideration when the Department determines the good faith effort (GFE) requirements have not been met.

2.2.2. **Commercially Useful Function (CUF).** A CUF occurs when a DBE has the responsibility for the execution of the work and carrying out such responsibilities by actually performing, managing, and supervising the work.

2.2.3. **Disadvantaged Business Enterprise (DBE).** A for-profit small business certified through the Texas Unified Certification Program in accordance with 49 CFR Part 26, that is at least 51% owned by one or more socially and economically disadvantaged individuals, or in the case of a publicly owned business, in which is at least 51% of the stock is owned by one or more socially and economically disadvantaged individuals, and whose management and daily business operations are controlled by one or more of the individuals who own it.

2.2.4. **DBE Joint Venture.** An association of a DBE firm and one or more other firms to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills, and knowledge, and

in which the DBE is responsible for a distinct, clearly defined portion of the work of the Contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.

- 2.2.5. **DOT.** The U.S. Department of Transportation, including the Office of the Secretary, the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Aviation Administration (FAA).
- 2.2.6. **Federal-Aid Contract.** Any Contract between the Department and a Contractor that is paid for in whole or in part with DOT financial assistance.
- 2.2.7. **Good Faith Effort.** All necessary and reasonable steps to achieve the contract goal which, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to obtain sufficient DBE participation, even if not fully successful. Good faith efforts are evaluated prior to award and throughout performance of the Contract. For guidance on good faith efforts, see 49 CFR Part 26, Appendix A.
- 2.2.8. **North American Industry Classification System (NAICS).** A designation that best describes the primary business of a firm. The NAICS is described in the North American Industry Classification Manual—United States, which is available on the Internet at the U.S. Census Bureau website: <http://www.census.gov/eos/www/naics/>.
- 2.2.9. **Race-Conscious.** A measure or program that is focused specifically on assisting only DBEs, including women-owned businesses.
- 2.2.10. **Race-Neutral DBE Participation.** Any participation by a DBE through customary competitive procurement procedures.
- 2.2.11. **Texas Unified Certification Program (TUCP) Directory.** An online directory listing all DBEs currently certified by the TUCP. The Directory identifies DBE firms whose participation on a Contract may be counted toward achievement of the assigned DBE Contract goal.
- 2.3. **Contractor's Responsibilities.**
- 2.3.1. **DBE Liaison Officer.** Designate a DBE liaison officer who will administer the Contractor's DBE program and who will be responsible for maintenance of records of efforts and contacts made to subcontract with DBEs.
- 2.3.2. **Compliance Tracking System (CTS).** This Contract is subject to electronic Contract compliance tracking. Contractors and DBEs are required to provide any noted and requested Contract compliance-related data electronically in the Department's tracking system. This includes commitments, payments, substitutions, and good faith efforts. Contractors and DBEs are responsible for responding by any noted response date or due date to any instructions or request for information, and to check the system on a regular basis. A Contractor is responsible for ensuring all DBEs have completed all requested items and that their contact information is accurate and up-to-date. The Department may require additional information related to the Contract to be provided electronically through the system at any time before, during, or after contract award. The system is web-based and can be accessed at the following Internet address: <https://txdot.txdotcms.com/>.
- In its sole discretion, the Department may require that contract compliance tracking data be submitted by Contractors and DBEs in an alternative format prescribed by the Department.
- 2.3.3. **Apparent Low Bidder.** The apparent low bidder must submit DBE commitments to satisfy the DBE goal or submit good faith effort Form 2603 and supporting documentation demonstrating why the goal could not be achieved, in whole or part, no later than 5 calendar days after bid opening. The means of transmittal and the risk of timely receipt of the information will be the bidder's responsibility and no extension of the 5-calendar-day timeframe will be allowed for any reason.

2.3.4. **DBE Contractor.** A DBE Contractor may receive credit toward the DBE goal for work performed by its own forces and work subcontracted to DBEs. In the event a DBE subcontracts to a non-DBE, that information must be reported monthly.

2.3.5. **DBE Committal.** Only those DBEs certified by the TUCP are eligible to be used for goal attainment. The Department maintains the TUCP DBE Directory. The Directory can be accessed at the following Internet address: <https://txdot.txdotcms.com/FrontEnd/VendorSearchPublic.asp?TN=txdot&XID=2340>.

A DBE must be certified on the day the commitment is considered and at time of subcontract execution. It is the Contractor's responsibility to ensure firms identified for participation are approved certified DBE firms.

The Bidder is responsible to ensure that all submittals are checked for accuracy. Any and all omissions, deletions, and/or errors that may affect the end result of the commitment package are the sole liabilities of the bidder.

Commitments in excess of the goal are considered race-neutral commitments.

2.3.6. **Good Faith Effort Requirements.** A Contractor who cannot meet the Contract goal, in whole or in part, must make adequate good faith efforts to obtain DBE participation as so stated and defined in 49 CFR Part 26, Appendix A.

2.3.6.1. **Administrative Reconsideration.** If the Department determines that the apparent low bidder has failed to satisfy the good faith efforts requirement, the Department will notify the Bidder of the failure and will give the Bidder an opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so..

The Bidder must request an administrative reconsideration of that determination within 3 days of the date of receipt of the notice. The request must be submitted directly to the Texas Department of Transportation, Civil Rights Division, 125 East 11th Street, Austin, Texas 78701-2483.

If a request for administrative reconsideration is not filed within the period specified the determination made is final and further administrative appeal is barred.

If a reconsideration request is timely received, the reconsideration decision will be made by the Department's DBE liaison officer or, if the DBE liaison officer took part in the original determination, the Department's executive director will appoint a department employee to perform the administrative reconsideration. The employee will hold a senior leadership position and will report directly to the executive director.

The meeting or written documentation must be provided or held within 7 days of the date the request was submitted.

The Department will provide to the Bidder a written decision if the Bidder did or did not make adequate good faith efforts to meet the Contract goal. The reconsideration decision is final and is not administratively appealed to DOT.

2.3.7. **Determination of DBE Participation.** The work performed by the DBE must be reasonably construed to be included in the work area and NAICS work code identified by the Contractor in the approved commitment.

Participation by a DBE on a Contract will not be counted toward DBE goals until the amount of the participation has been paid to the DBE.

Payments made to a DBE that was not on the original commitment may be counted toward the Contract goal if that DBE was certified as a DBE before the execution of the subcontract and has performed a Commercially Useful Function.

The total amount paid to the DBE for work performed with its own forces is counted toward the DBE goal. When a DBE subcontracts part of the work of its Contract to another firm, the value of the subcontracted work may be counted toward DBE goals only if the subcontractor is itself a DBE.

DBE Goal credit for the DBE subcontractors leasing of equipment or purchasing of supplies from the Contractor or its affiliates is not allowed. Project materials or supplies acquired from an affiliate of the Contractor cannot directly or indirectly (second or lower tier subcontractor) be used for DBE goal credit.

If a DBE firm is declared ineligible due to DBE decertification after the execution of the DBE's subcontract, the DBE firm may complete the work and the DBE firm's participation will be counted toward the Contract goal. If the DBE firm is decertified before the DBE firm has signed a subcontract, the Contractor is obligated to replace the ineligible DBE firm or demonstrate that it has made good faith efforts to do so.

The Contractor may count 100% of its expenditure to a DBE manufacturer. According to 49 CFR 26.55(e)(1)(i), a DBE manufacturer is a firm that operates or maintains a factory or establishment that produces, on the premises, the materials, supplies, articles, or equipment required under the Contract and of the general character described by the specifications.

The Contractor may count only 60% of its expenditure to a DBE regular dealer. According to 49 CFR 26.55(e)(2)(i), a DBE regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles, or equipment of the general character described by the specifications and required under the Contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. A firm may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business if the firm both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment must be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis. A long-term lease with a third-party transportation company is not eligible for 60% goal credit.

With respect to materials or supplies purchased from a DBE that is neither a manufacturer nor a regular dealer, the Contractor may count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site.

A Contractor may count toward its DBE goal a portion of the total value of the Contract amount paid to a DBE joint venture equal to the distinct, clearly defined portion of the work of the Contract performed by the DBE.

2.3.8. **Commercially Useful Function.** It is the Contractor's obligation to ensure that each DBE used on federal-assisted contracts performs a commercially useful function on the Contract.

The Department will monitor performance during the Contract to ensure each DBE is performing a CUF.

Under the terms established in 49 CFR 26.55, a DBE performs a CUF when it is responsible for execution of the work of the Contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved.

With respect to material and supplies used on the Contract, a DBE must be responsible for negotiating price, determining quality and quantity, ordering the material, installing the material, if applicable, and paying for the material itself.

With respect to trucking, the DBE trucking firm must own and operate at least one fully licensed, insured, and operational truck used on the Contract. The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the Contract. The DBE may also lease trucks from a non-DBE firm, including from an owner-operator. The DBE that leases trucks equipped with drivers from a non-DBE is entitled to credit for the total value of transportation services provided by non-DBE leased trucks equipped with drivers not to exceed the value of transportation services on the Contract.

provided by DBE-owned trucks or leased trucks with DBE employee drivers. Additional participation by non-DBE owned trucks equipped with drivers receives credit only for the fee or commission it receives as a result of the lease arrangement.

A DBE does not perform a CUF when its role is limited to that of an extra participant in a transaction, Contract, or project through which funds are passed in order to obtain the appearance of DBE participation. The Department will evaluate similar transactions involving non-DBEs in order to determine whether a DBE is an extra participant.

If a DBE does not perform or exercise responsibility for at least 30% of the total cost of its Contract with its own work force, or the DBE subcontracts a greater portion of the work than would be expected on the basis of normal industry practice for the type of work involved, the Department will presume that the DBE is not performing a CUF.

If the Department determines that a DBE is not performing a CUF, no work performed by such DBE will count as eligible participation. The denial period of time may occur before or after a determination has been made by the Department.

In case of the denial of credit for non-performance of a CUF, the Contractor will be required to provide a substitute DBE to meet the Contract goal or provide an adequate good faith effort when applicable.

- 2.3.8.1. **Rebuttal of a Finding of No Commercially Useful Function.** Consistent with the provisions of 49 CFR 26.55(c)(4)&(5), before the Department makes a final finding that no CUF has been performed by a DBE, the Department will notify the DBE and provide the DBE the opportunity to provide rebuttal information.

CUF determinations are not subject to administrative appeal to DOT.

- 2.3.9. **Joint Check.** The use of joint checks between a Contractor and a DBE is allowed with Department approval. To obtain approval, the Contractor must submit a completed Form 2178, "DBE Joint Check Approval," to the Department.

The Department will closely monitor the use of joint checks to ensure that such a practice does not erode the independence of the DBE nor inhibit the DBE's ability to perform a CUF. When joint checks are utilized, DBE credit toward the Contract goal will be allowed only when the subcontractor is performing a CUF in accordance with 49 CFR 26.55(c)(1).

Long-term or open-ended joint checking arrangements may be a basis for further scrutiny and may result in the lack of participation towards the Contract goal requirement if DBE independence cannot be established.

Joint checks will not be allowed simply for the convenience of the Contractor.

If the proper procedures are not followed or the Department determines that the arrangements result in a lack of independence for the DBE involved, no credit for the DBE's participation as it relates to the material cost will be used toward the Contract goal requirement, and the Contractor will need to make up the difference elsewhere on the project.

- 2.3.10. **DBE Termination and Substitution.** No DBE named in the commitment submitted under Section 2.3.5. will be terminated for convenience, in whole or part, without the Department's approval. This includes, but is not limited to, instances in which a Contractor seeks to perform work originally designated for a DBE subcontractor with its own forces or those of an affiliate, a non-DBE firm, or with another DBE firm.

Unless consent is provided, the Contractor will not be entitled to any payment for work or material unless it is performed or supplied by the listed DBE.

The Contractor, prior to submitting its request to terminate, must first give written notice to the DBE of its intent to terminate and the reason for the termination. The Contractor will copy the Department on the Notice of Intent to terminate.

The DBE has 5 calendar days to respond to the Contractor's notice and will advise the Contractor and the Department of the reasons, if any, why it objects to the proposed termination of its subcontract and why the Department should not approve the prime Contractor's request for termination.

The Department may provide a shorter response time if required in a particular case as a matter of public necessity.

The Department will consider both the Contractor's request and DBE's stated position prior to approving the request. The Department may provide a written approval only if it agrees, for reasons stated in its concurrence document, that the Contractor has good cause to terminate the DBE. If the Department does not approve the request, the Contractor must continue to use the committed DBE firm in accordance with the Contract. For guidance on what good cause includes, see 49 CFR 26.53.

Good cause does not exist if the Contractor seeks to terminate, reduce, or substitute a DBE it relied upon to obtain the Contract so that the Contractor can self-perform the work for which the DBE firm was engaged.

When a DBE subcontractor is terminated, make good faith efforts to find, as a substitute for the original DBE, another DBE to perform, at least to the extent needed to meet the established Contract goal, the work that the original DBE was to have performed under the Contract.

Submit the completed Form 2228, "DBE Termination Substitution Request," within seven (7) days, which may be extended for an additional 7 days if necessary at the request of the Contractor. The Department will provide a written determination to the Contractor stating whether or not good faith efforts have been demonstrated. If the Department determines that good faith efforts were not demonstrated, the Contractor will have the opportunity to appeal the determination to the Civil Rights Division.

- 2.3.11. **Reports and Records.** By the 15th of each month and after work begins, report payments to meet the DBE goal and for DBE race-neutral participation on projects with or without goals. These payment reports will be required until all DBE subcontracting or material supply activity is completed. Negative payment reports are required when no activity has occurred in a monthly period.

Notify the Area Engineer if payment to any DBE subcontractor is withheld or reduced.

Before receiving final payment from the Department, the Contractor must indicate a final payment on the compliance tracking system. The final payment is a summary of all payments made to the DBEs on the project.

All records must be retained for a period of 3 years following completion of the Contract work, and must be available at reasonable times and places for inspection by authorized representatives of the Department or the DOT. Provide copies of subcontracts or agreements and other documentation upon request.

- 2.3.12. **Failure to Comply.** If the Department determines the Contractor has failed to demonstrate good faith efforts to meet the assigned goal, the Contractor will be given an opportunity for reconsideration by the Department.

A Contractor's failure to comply with the requirements of this Special Provision will constitute a material breach of this Contract. In such a case, the Department reserves the right to terminate the Contract; to deduct the amount of DBE goal not accomplished by DBEs from the money due or to become due the Contractor; or to secure a refund, not as a penalty but as liquidated damages, to the Department or such other remedy or remedies as the Department deems appropriate.

- 2.3.13. **Investigations.** The Department may conduct reviews or investigations of participants as necessary. All participants, including, but not limited to, DBEs and complainants using DBE Subcontractors to meet the

Contract goal, are required to cooperate fully and promptly with compliance reviews, investigations, and other requests for information.

2.3.14.

**Falsification and Misrepresentation.** If the Department determines that a Contractor or subcontractor was a knowing and willing participant in any intended or actual subcontracting arrangement contrived to artificially inflate DBE participation or any other business arrangement determined by the Department to be unallowable, or if the Contractor engages in repeated violations, falsification, or misrepresentation, the Department may:

- refuse to count any fraudulent or misrepresented DBE participation;
- withhold progress payments to the Contractor commensurate with the violation;
- reduce the Contractor's prequalification status;
- refer the matter to the Office of Inspector General of the US Department of Transportation for investigation; and/or
- seek any other available contractual remedy.



# Special Provision 000

## Notice of Contractor Performance Evaluations



### 1. GENERAL

In accordance with Texas Transportation Code §223.012, the Engineer will evaluate Contractor performance based on quality, safety, and timeliness of the project.

### 2. DEFINITIONS

- 2.1. **Project Recovery Plan (PRP)**—a formal, enforceable plan developed by the Contractor, in consultation with the District, that documents the cause of noted quality, safety, and timeliness issues and specifies how the Contractor proposes to correct project-specific performance deficiencies.

In accordance with Title 43, Texas Administrative Code (TAC), §9.23, the District will request a PRP if the Contractor's performance on a project is below the Department's acceptable standards and will monitor the Contractor's compliance with the established plan.

- 2.2. **Corrective Action Plan (CAP)**—a formal, enforceable plan developed by the Contractor, and proposed for adoption by the Construction or Maintenance Division, that documents the cause of noted quality, safety, and timeliness issues and specifies how the Contractor proposes to correct statewide performance deficiencies.

In accordance with 43 TAC §9.23, the Division will request a CAP if the average of the Contractor's statewide final evaluation scores falls below the Department's acceptable standards for the review period and will monitor the Contractor's compliance with the established plan.

### 3. CONTRACTOR EVALUATIONS

In accordance with Title 43, Texas Administrative Code (TAC) §9.23, the Engineer will schedule evaluations at the following intervals, at minimum:

- Interim evaluations—at or within 30 days after the anniversary of the notice to proceed, for Contracts extending beyond 1 yr., and
- Final evaluation—upon project closeout.

In case of a takeover agreement, neither the Surety nor its performing Contractor will be evaluated.

In addition to regularly scheduled evaluations, the Engineer may schedule an interim evaluation at any time to formally communicate issues with quality, safety, or timeliness. Upon request, work with the Engineer to develop a PRP to document expectations for correcting deficiencies.

Comply with the PRP as directed. Failure to comply with the PRP may result in additional remedial actions available to the Engineer under Item 5, "Control of the Work." Failure to meet a PRP to the Engineer's satisfaction may result in immediate referral to the Performance Review Committee for consideration of further action against the Contractor.

The Engineer will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards or comply with a PRP, including consideration of sufficient time.

Follow the escalation ladder if there is a disagreement regarding an evaluation or disposition of a PRP. The Contractor may submit additional documentation pertaining to the dispute. The District Engineer's decision

on a Contractor's evaluation score and recommendation of action required in a PRP or follow up for non-compliance is final.

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#### **4. DIVISION OVERSIGHT**

Upon request of the Construction or Maintenance Division, develop and submit for Division approval a proposed CAP to document expectations for correcting deficiencies in the performance of projects statewide.

Comply with the CAP as directed. The CAP may be modified at any time up to completion or resolution after written approval of the premise of change from the Division. Failure to meet an adopted or revised adopted CAP to the Division's satisfaction within 120 days will result in immediate referral to the Performance Review Committee for consideration of further action against the Contractor.

The Division will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards or comply with a CAP, including consideration of sufficient time and associated costs as appropriate.

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#### **5. PERFORMANCE REVIEW COMMITTEE**

The Performance Review Committee, in accordance with 43 TAC §9.24, will review at minimum all final evaluations, history of compliance with PRPs, any adopted CAPs including agreed modifications, any information about events outside a Contractor's control contributing to the Contractor's performance, and any documentation submitted by the Contractor and may recommend one or more of the following actions:

- take no action,
- reduce the Contractor's bidding capacity,
- prohibit the Contractor from bidding on one or more projects,
- immediately suspend the Contractor from bidding for a specified period of time, by reducing the Contractor's bidding capacity to zero, or
- prohibit the Contractor from being awarded a Contract on which they are the apparent low bidder.

The Deputy Executive Director will determine any further action against the Contractor.

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#### **6. APPEALS PROCESS**

In accordance with 43 TAC §9.25, the Contractor may appeal remedial actions determined by the Deputy Executive Director.

## Special Provision to Item 2

### Instructions to Bidders



Item 2, "Instructions to Bidders," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2.3., "Issuing Proposal Forms,"** second paragraph, is supplemented by the following.

The Department will not issue a proposal form if one or more of the following apply:

- the Bidder or affiliate of the Bidder that was originally determined as the apparent low Bidder on a project, but was deemed nonresponsive for failure to submit a DBE commitment as specified in Article 2.14., "Disadvantaged Business Enterprise (DBE)," is prohibited from rebidding that specific project.

**Article 2.7., "Nonresponsive Bid,"** is supplemented by the following:

The Department will not accept a nonresponsive bid. A bid that has one or more of the deficiencies listed below is considered nonresponsive:

- the Bidder failed to submit a DBE commitment as specified in Article 2.14., "Disadvantaged Business Enterprise (DBE)."

**Article 2.14., "Disadvantaged Business Enterprise (DBE),"** is added.

The apparent low bidder must submit DBE commitment information on federally funded projects with DBE goals within 5 calendar days (as defined in 49 CFR Part 26, Subpart A) of bid opening. For a submission that meets the 5-day requirement, administrative corrections will be allowed.

If the apparent low Bidder fails to submit their DBE information within the specified timeframe, they will be deemed nonresponsive and the proposal guaranty will become the property of the State, not as a penalty, but as liquidated damages. The Bidder forfeiting the proposal guaranty will not be considered in future proposals for the same work unless there has been a substantial change in the design of the work. The Department may recommend that the Commission:

- reject all bids, or
- award the Contract to the new apparent low Bidder, if the new apparent low Bidder submits DBE information within one calendar day of notification by the Department.

If the new apparent low Bidder is unable to submit the required DBE information within one calendar day:

- the new apparent low Bidder will not be deemed nonresponsive,
- the new apparent low Bidder's guaranty will not be forfeited,
- the Department will reject all bids, and
- the new apparent low Bidder will remain eligible to receive future proposals for the same project.

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## Special Provision to Item 2

### Instructions to Bidders

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Item 2, "Instructions to Bidders," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2.3., "Issuing Proposal Forms,"** is supplemented by the following:

- the Bidder or affiliate of the Bidder that was originally determined as the apparent low Bidder on a project, but was deemed nonresponsive for failure to register or participate in the Department of Homeland Security's (DHS) E-Verify system as specified in Article 2.15., "Department of Homeland Security (DHS) E-Verify System," is prohibited from rebidding that specific project.

**Article 2.7., "Nonresponsive Bid,"** is supplemented by the following:

- the Bidder failed to participate in the Department of Homeland Security's (DHS) as specified in Article 2.15., "Department of Homeland Security (DHS) E-Verify System."

**Article 2.15., "Department of Homeland Security (DHS) E-Verify System,"** is added.

The Department will not award a Contract to a Contractor that is not registered in the DHS E-Verify system. Remain active in E-Verify throughout the life of the contract. In addition, in accordance with paragraph six of Article 8.2, "Subcontracting," include this requirement in all subcontracts and require that subcontractors remain active in E-Verify until their work is completed.

If the apparent low Bidder does not appear on the DHS E-Verify system prior to award, the Department will notify the Contractor that they must submit documentation showing that they are compliant within 5-business days after the date the notification was sent. A Contractor who fails to comply or respond within the deadline will be declared non-responsive and the Department will execute the proposal guaranty. The proposal guaranty will become the property of the State, not as a penalty, but as liquidated damages. The Bidder forfeiting the proposal guaranty will not be considered in future proposals for the same work unless there has been a substantial change in the scope of the work.

The Department may recommend that the Commission:

- reject all bids, or
- award the Contract to the new apparent low Bidder, if the Department is able to verify the Bidder's participation in the DHS E-verify system. For the Bidder who is not registered in E-Verify, the Department will allow for one business day after notification to provide proof of registration.

If the Department is unable to verify the new apparent low Bidder's participation in the DHS E-Verify system within one calendar day:

- the new apparent low Bidder will not be deemed nonresponsive,
- the new apparent low Bidder's guaranty will not be forfeited,
- the Department will reject all bids, and
- the new apparent low Bidder will remain eligible to receive future proposals for the same project.

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## Special Provision to Item 2

### Instructions to Bidders

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Item 2, "Instructions to Bidders" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 3., "Issuing Proposal Forms,"** is supplemented by the following:

The Electronic State Business Daily (ESBD), the Integrated Contractor Exchange (iCX) system, and the project proposal are the official sources of advertisement and bidding information for the State and Local Lettings. Bidders should bid the project using the information found therein, including any addenda. These sources take precedence over information from other sources, including TxDOT webpages, which are unofficial and intended for informational purposes only.

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## Special Provision to Item 3 Award and Execution Contract

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Item 3, Award and Execution of Contract," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 4.3, "Insurance."** The first sentence is voided and replaced by the following:

For construction and building Contracts, submit a certificate of insurance showing coverages in accordance with Contract requirements. For routine maintenance Contracts, refer to Article 8, "Beginning of Work."

**Article 8, "Beginning of Work."** The first sentence is supplemented by the following:

For a routine maintenance Contract, do not begin work until a certificate of insurance showing coverages in accordance with the Contract requirements is provided and accepted.

## Special Provision to Item 3

### Award and Execution of Contract



Item 3, "Award and Execution of Contract" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 4.3 "Insurance" is being amended by the following:**

**Table 2**  
**Insurance Requirements**

<b>Type of Insurance</b>	<b>Amount of Coverage</b>
Commercial General Liability Insurance	Not Less Than: \$600,000 each occurrence
Business Automobile Policy	Not Less Than: \$600,000 combined single limit
Workers' Compensation	Not Less Than: Statutory
All Risk Builder's Risk Insurance (For building-facilities contracts only)	100% of Contract Price

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## Special Provision to Item 5

### Control of the Work

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Item 5, "Control of the Work," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 5.1, "Authority of Engineer,"** is voided and replaced by the following.

The Engineer has the authority to observe, test, inspect, approve, and accept the work. The Engineer decides all questions about the quality and acceptability of materials, work performed, work progress, Contract interpretations, and acceptable Contract fulfillment. The Engineer has the authority to enforce and make effective these decisions.

The Engineer acts as a referee in all questions arising under the terms of the Contract. The Engineer's decisions will be final and binding.

The Engineer will pursue and document actions against the Contractor as warranted to address Contract performance issues. Contract remedies include, but are not limited to, the following:

- conducting interim performance evaluations requiring a Project Recovery Plan, in accordance with Title 43, Texas Administrative Code (TAC) §9.23,
- requiring the Contractor to remove and replace defective work, or reducing payment for defective work,
- removing an individual from the project,
- suspending the work without suspending working day charges,
- assessing standard liquidated damages to recover the Department's administrative costs, including additional project-specific liquidated damages when specified in the Contract in accordance with 43 TAC §9.22,
- withholding estimates,
- declaring the Contractor to be in default of the Contract, and
- in case of a Contractor's failure to meet a Project Recovery Plan, referring the issue directly to the Performance Review Committee for consideration of further action against the Contractor in accordance with 43 TAC §9.24.

The Engineer will consider and document any events outside the Contractor's control that contributed to the failure to meet performance standards, including consideration of sufficient time.

Follow the issue escalation ladder if there is disagreement regarding the application of Contract remedies.



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## Special Provision to Item 5

### Control of the Work

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Item 5, "Control of the Work" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 5.4, "Coordination of Plans, Specifications, and Special Provisions," the last sentence of the last paragraph is replaced by the following:**

Failure to promptly notify the Engineer will constitute a waiver of all contract claims against the Department for misunderstandings or ambiguities that result from the errors, omissions, or discrepancies.

# Special Provision to Item 6

## Control of Materials



Item 6, "Control of Materials" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 6.10., "Hazardous Materials,"** is voided and replaced by the following:

Comply with the requirements of Article 7.12., "Responsibility for Hazardous Materials."

Notify the Engineer immediately when a visual observation or odor indicates that materials on sites owned or controlled by the Department may contain hazardous materials. Except as noted herein, the Department is responsible for testing, removing, and disposing of hazardous materials not introduced by the Contractor. The Engineer may suspend work wholly or in part during the testing, removing, or disposing of hazardous materials, except in the case where hazardous materials are introduced by the Contractor.

Use materials that are free of hazardous materials. Notify the Engineer immediately if materials are suspected to contain hazardous materials. If materials delivered to the project by the Contractor are suspected to contain hazardous materials, have an approved commercial laboratory test the materials for the presence of hazardous materials as approved. Remove, remediate, and dispose of any of these materials found to contain hazardous materials. The work required to comply with this section will be at the Contractor's expense if materials are found to contain hazardous materials. Working day charges will not be suspended and extensions of working days will not be granted for activities related to handling hazardous material introduced by the Contractor. If suspected materials are not found to contain hazardous materials, the Department will reimburse the Contractor for hazardous materials testing and will adjust working day charges if the Contractor can show that this work impacted the critical path.

**10.1. Painted Steel Requirements.** Coatings on existing steel contain hazardous materials unless otherwise shown on the plans. Remove paint and dispose of steel coated with paint containing hazardous materials in accordance with the following:

**10.1.1. Removing Paint From Steel** For contracts that are specifically for painting steel, Item 446, "Field Cleaning and Painting Steel" will be included as a pay item. Perform work in accordance with that item.

For projects where paint must be removed to allow for the dismantling of steel or to perform other work, the Department will provide for a separate contractor (third party) to remove paint containing hazardous materials prior to or during the Contract. Remove paint covering existing steel shown not to contain hazardous materials in accordance with Item 446, "Field Cleaning and Painting Steel."

**10.1.2. Removal and Disposal of Painted Steel.** For steel able to be dismantled by unbolting, paint removal will not be performed by the Department. The Department will remove paint, at locations shown on the plans or as agreed, for the Contractor's cutting and dismantling purposes. Utilize Department cleaned locations for dismantling when provided or provide own means of dismantling at other locations.

Painted steel to be retained by the Department will be shown on the plans. For painted steel that contains hazardous materials, dispose of the painted steel at a steel recycling or smelting facility unless otherwise shown on the plans. Maintain and make available to the Engineer invoices and other records obtained from the facility showing the received weight of the steel and the facility name. Dispose of steel that does not contain hazardous material coatings in accordance with federal, state and local regulations.

**10.2. Asbestos Requirements.** The plans will indicate locations or elements where asbestos containing materials (ACM) are known to be present. Where ACM is known to exist or where previously unknown ACM has been found, the Department will arrange for abatement by a separate contractor prior to or during the Contract. Notify the Engineer of proposed dates of demolition or removal of structural elements with ACM at least 60 days before beginning work to allow the Department sufficient time for abatement.

The Department of State Health Services (DSHS), Asbestos Programs Branch, is responsible for administering the requirements of the National Emissions Standards for Hazardous Air Pollutants, 40 CFR Part 61, Subpart M and the Texas Asbestos Health Protection Rules (TAHPR). Based on EPA guidance and regulatory background information, bridges are considered to be a regulated "facility" under NESHAP. Therefore, federal standards for demolition and renovation apply.

The Department is required to notify the DSHS at least 10 working days (by postmarked date) before initiating demolition or renovation of each structure or load bearing member shown on the plans. If the actual demolition or renovation date is changed or delayed, notify the Engineer in writing of the revised dates in sufficient time to allow for the Department's notification to DSHS to be postmarked at least 10 days in advance of the actual work.

Failure to provide the above information may require the temporary suspension of work under Article 8.4., "Temporary Suspension of Work or Working Day Charges," due to reasons under the control of the Contractor. The Department retains the right to determine the actual advance notice needed for the change in date to address post office business days and staff availability.

**10.3. Lead Abatement.** Provide traffic control as shown on the plans, and coordinate and cooperate with the third party and the Department for managing or removing hazardous materials. Work for the traffic control shown on the plans and coordination work will not be paid for directly but will be subsidiary to pertinent Items.

# Special Provision to Item 006

## Control of Materials



Item 6, “Control of Materials” of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 1.1, “Buy America,”** The section is removed and replaced by the following:

Comply with the latest provisions of Build America, Buy America Act (BABA Act) of the Bipartisan Infrastructure Law which restricts funds being made available from Federal financial assistance programs unless all the iron products, steel products, manufactured products, and construction materials used in the project are produced in the United States. Use steel or iron products, manufactured products, or construction materials produced in the United States except when:

- a waiver exists exempting the material from Buy America compliance
- the cost of materials, including delivery, does not exceed 0.1% of the total Contract cost or \$2,500, whichever is greater,
- the Contract contains an alternate item for a foreign source product and the Contract is awarded based on the alternate item, or
- the materials are temporarily installed.

For construction materials submit a notarized original of TxDOT Construction Material Buy America Certification Form (Department Form 2806) with the proper attachments for verification of compliance.

Construction Materials are classified as an article, material, or supply—other than an item of primarily iron or steel; a manufactured product; cement and cementitious materials; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives—that is or consists primarily of:

- Non-ferrous metals,
- plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables),
- Glass (including optic glass)
- Lumber, or
- Drywall.

Details shown on the plans provide additional clarification on Buy America requirements for this project.

For steel or Iron materials submit a notarized original of the FORM D-9-USA-1 (Department Form 1818) with the proper attachments for verification of compliance. For Steel or Iron materials the manufacturing process includes any process that modifies the chemical content, physical shape or size, or final finish of a product. The manufacturing process begins with initial melting and mixing and continues through fabrication (cutting, drilling, welding, bending, etc.) and coating (paint, galvanizing, epoxy, etc.).

**Article 4., “Sampling, Testing, and Inspection,”** is supplemented by the following:

Meet with the Engineer and choose either the Department or a Department-selected Commercial Lab (CL) for conducting the subset of project-level sampling and testing shown in Table 1, “Select Guide Schedule Sampling and Testing.” Selection may be made on a test by test basis. CLs will meet the testing turnaround times shown (includes test time and time for travel and sampling and reporting) and in all cases issue test reports as soon as possible.

If the Contractor chooses a Department-selected CL for any Table 1 sampling and testing:

- notify the Engineer, District Lab, and the CL of project scheduling that may require CL testing;
- provide the Engineer, District Lab, and CL at least 24 hours' notice by phone or e-mail;
- reimburse the Department for CL Table 1 testing using the contract fee schedule for the CL (including mileage, travel, and stand time) at the minimum guide schedule testing frequencies;
- reimburse the Department for CL Table 1 testing above the minimum guide schedule testing frequencies for retesting when minimum frequency testing results in failures to meet specification limits;
- agree with the Engineer and CL upon a policy regarding notification for testing services;
- give any cancellation notice to the Engineer, District Lab, and CL by phone or e-mail;
- reimburse the Department a \$150 cancellation fee to cover technician time and mileage charges for previously scheduled work cancelled without adequate notice, which resulted in mobilization of technician and/or equipment by the CL; and
- all CL charges will be reimbursed to the Department by a deduction from the Contractor's monthly pay estimate.

If the CL does not meet the Table 1 turnaround times, testing charge to the Contractor will be reduced by 50% for the first late day and an additional 5% for each succeeding late day.

Approved CL project testing above the minimum testing frequencies in the Guide Schedule of Sampling and Testing, and not as the result of failing tests, will be paid by the Department.

Other project-level Guide Schedule sampling and testing not shown on Table 1 will be the responsibility of the Department.

**Table 1  
Select Guide Schedule Sampling and Testing (Note 1)**

TxDOT Test	Test Description	Turn-Around Time (Calendar days)
<b>SOILS/BASE</b>		
<a href="#">Tex-101-E</a>	Preparation of Soil and Flexible Base Materials for Testing (included in other tests)	
<a href="#">Tex-104-E</a>	Liquid Limit of Soils (included in 106-E)	
<a href="#">Tex-105-E</a>	Plastic Limit of Soils (included in 106-E)	
<a href="#">Tex-106-E</a>	Calculating the Plasticity Index of Soils	7
<a href="#">Tex-110-E</a>	Particle Size Analysis of Soils	6
<a href="#">Tex-113-E</a>	Moisture-Density Relationship of Base Materials	7
<a href="#">Tex-114-E</a>	Moisture-Density Relationship of Subgrade and Embankment Soil	7
<a href="#">Tex-115-E</a>	Field Method for In-Place Density of Soils and Base Materials	2
<a href="#">Tex-116-E</a>	Ball Mill Method for the Disintegration of Flexible Base Material	5
<a href="#">Tex-117-E, Part II</a>	Triaxial Compression Tests For Disturbed Soils and Base Materials (Part II)	6
<a href="#">Tex-113-E</a> w/ <a href="#">Tex-117-E</a>	Moisture-Density Relationship of Base Materials <b>with</b> Triaxial Compression Tests For Disturbed Soils and Base Materials (Part II)	10
<a href="#">Tex-140-E</a>	Measuring Thickness of Pavement Layer	2
<a href="#">Tex-145-E</a>	Determining Sulfate Content in Soils - Colorimetric Method	4
<b>HOT MIX ASPHALT</b>		
<a href="#">Tex-200-F</a>	Sieve Analysis of Fine and Coarse Aggregate (dry, from ignition oven with known correction factors)	1 (Note 2)
<a href="#">Tex-203-F</a>	Sand Equivalent Test	3
<a href="#">Tex-206-F</a> , w/ <a href="#">Tex-207-F</a> , Part I, w/ <a href="#">Tex-227-F</a>	<b>(Lab-Molded Density of Production Mixture – Texas Gyrotory)</b> Method of Compacting Test Specimens of Bituminous Mixtures <b>with</b> Density of Compacted Bituminous Mixtures, Part I - Bulk Specific Gravity of Compacted Bituminous Mixtures, <b>with</b> Theoretical Maximum Specific Gravity of Bituminous Mixtures	1 (Note 2)
<a href="#">Tex-207-F</a> , Part I &/or Part VI	<b>(In-Place Air Voids of Roadway Cores)</b> Density of Compacted Bituminous Mixtures, Part I - Bulk Specific Gravity of Compacted Bituminous Mixtures <b>&amp;/or</b> Part VI - Bulk Specific Gravity of Compacted Bituminous Mixtures Using the Vacuum Method	1 (Note 2)

<a href="#">Tex-207-F</a> , Part V	Density of Compacted Bituminous Mixtures, Part V - Determining Mat Segregation using a Density-Testing Gauge	3
<a href="#">Tex-207-F</a> , Part VII	Density of Compacted Bituminous Mixtures, Part VII - Determining Longitudinal Joint Density using a Density-Testing Gauge	4
<a href="#">Tex-212-F</a>	Moisture Content of Bituminous Mixtures	3
<a href="#">Tex-217-F</a>	Deleterious Material and Decantation Test for Coarse Aggregate	4
<a href="#">Tex-221-F</a>	Sampling Aggregate for Bituminous Mixtures, Surface Treatments, and LRA (included in other tests)	
<a href="#">Tex-222-F</a>	Sampling Bituminous Mixtures (included in other tests)	
<a href="#">Tex-224-F</a>	Determination of Flakiness Index	3
<a href="#">Tex-226-F</a>	Indirect Tensile Strength Test (production mix)	4
<a href="#">Tex-235-F</a>	Determining Draindown Characteristics in Bituminous Materials	3
<a href="#">Tex-236-F</a> (Correction Factors)	Asphalt Content from Asphalt Paving Mixtures by the Ignition Method (Determining Correction Factors)	4
<a href="#">Tex-236-F</a>	Asphalt Content from Asphalt Paving Mixtures by the Ignition Method (Production Mixture)	1 (Note 2)
<a href="#">Tex-241-F</a> w/ <a href="#">Tex-207-F</a> , Part I, w/ <a href="#">Tex-227-F</a>	<b>(Lab-Molded Density of Production Mixture – Superpave Gyrotory)</b> Superpave Gyrotory Compacting of Specimens of Bituminous Mixtures (production mixture) <b>with</b> Density of Compacted Bituminous Mixtures, Part I- Part I - Bulk Specific Gravity of Compacted Bituminous Mixtures, <b>with</b> Theoretical Maximum Specific Gravity of Bituminous Mixtures	1 (Note 2)
<a href="#">Tex-242-F</a>	Hamburg Wheel-Tracking Test (production mix, molded samples)	3
<a href="#">Tex-244-F</a>	Thermal Profile of Hot Mix Asphalt	1
<a href="#">Tex-246-F</a>	Permeability of Water Flow of Hot Mix Asphalt	3
<a href="#">Tex-280-F</a>	Flat and Elongated Particles	3
<a href="#">Tex-530-C</a>	Effect of Water on Bituminous Paving Mixtures (production mix)	4
<b>AGGREGATES</b>		
<a href="#">Tex-400-A</a>	Sampling Flexible Base, Stone, Gravel, Sand, and Mineral Aggregates	3
<a href="#">Tex-410-A</a>	Abrasion of Coarse Aggregate Using the Los Angeles Machine	5
<a href="#">Tex-411-A</a>	Soundness of Aggregate by Use of Sodium Sulfate or Magnesium Sulfate	12
<a href="#">Tex-461-A</a>	Degradation of Coarse Aggregate by Micro-Deval Abrasion	5
<b>CHEMICAL</b>		
<a href="#">Tex-612-J</a>	Acid Insoluble Residue for Fine Aggregate	4
<b>GENERAL</b>		
HMA Production Specialist [TxAPA – Level 1-A] (\$/hr)		
HMA Roadway Specialist [TxAPA – Level 1-B] (\$/hr)		
Technician Travel/Standby Time (\$/hr)		
Per Diem (\$/day – meals and lodging)		
Mileage Rate (\$/mile from closest CL location)		
<b>Note 1– Turn-Around Time includes test time and time for travel/sampling and reporting.</b>		
<b>Note 2 – These tests require turn-around times meeting the governing specifications. Provide test results within the stated turn-around time. CL is allowed one additional day to provide the signed and sealed report.</b>		

# Special Provision to Item 7

## Legal Relations and Responsibilities



Item 7, "Legal Relations and Responsibilities," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 7.7.2., "Texas Pollutant Discharge Elimination System (TPDES) Permits and Storm Water Pollution Prevention Plans (SWP3)," is voided and replaced by the following:**

**7.2. Texas Pollution Discharge Elimination System (TPDES) Permits and Storm Water Pollution Prevention Plans (SWP3).**

**7.2.1. Projects with less than one acre of soil disturbance including required associated project specific locations (PSL's) per TPDES GP TXR 150000.**

No posting or filing will be required for soil disturbances within the right of way. Adhere to the requirements of the SWP3.

**7.2.2. Projects with one acre but less than five acres of soil disturbance including required associated PSL's per TPDES GP TXR 150000.**

The Department will be considered a primary operator for Operational Control Over Plans and Specifications as defined in TPDES GP TXR 150000 for construction activity in the right of way. The Department will post a small site notice along with other requirements as defined in TPDES GP TXR 150000 as the entity of having operational control over plans and specifications for work shown on the plans in the right of way.

The Contractor will be considered a Primary Operator for Day-to-Day Operational Control as defined in TPDES GP TXR 150000 for construction activity in the right of way. In addition to the Department's actions, the Contractor will post a small site notice along with other requirements as defined in TPDES GP TXR 150000 as the entity of having day-to-day operational control of the work shown on the plans in the right of way. This is in addition to the Contractor being responsible for TPDES GP TXR 150000 requirements for on- right of way and off- right of way PSL's. Adhere to all requirements of the SWP3 as shown on the plans. The Contractor will be responsible for Implement the SWP3 for the project site in accordance with the plans and specifications, TPDES General Permit TXR150000, and as directed.

**7.2.3. Projects with 5 acres or more of soil disturbance including required associated PSL's per TPDES GP TXR 150000.**

The Department will be considered a primary operator for Operational Control Over Plans and Specifications as defined in TPDES GP TXR 150000 for construction activities in the right of way. The Department will post a large site notice, file a notice of intent (NOI), notice of change (NOC), if applicable, and a notice of termination (NOT) along with other requirements per TPDES GP TXR 150000 as the entity having operational control over plans and specifications for work shown on the plans in the right of way.

The Contractor will be considered a primary operator for Day-to-Day Operational Control as defined in TPDES GP TXR 150000 for construction activities in the right of way. In addition to the Department's actions, the Contractor shall file a NOI, NOC, if applicable, and NOT and post a large site notice along with other requirements as the entity of having day-to-day operational control of the work shown on the plans in the right of way. This is in addition to the Contractor

being responsible for TPDES GP TXR 150000 requirements for on- right of way and off- right of way PSL's. Adhere to all requirements of the SWP3 as shown on the plans.



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## Special Provision to Item 7

### Legal Relations and Responsibilities

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Item 7, "Legal Relations and Responsibilities" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 19.1., Minimum Wage Requirements for Federally Funded Contracts.** The second paragraph is voided and replaced by the following:

Submit electronic payroll records to the Engineer using the Department's payroll system.

**Section 19.2., Minimum Wage Requirements for State Funded Contracts.** The second paragraph is voided and replaced by the following:

Submit electronic payroll records to the Engineer using the Department's payroll system.

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## Special Provision to Item 7

# Legal Relations and Responsibilities

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Item 7, "Legal Relations and Responsibilities," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 7.2.4., "Public Safety and Convenience."** The first paragraph is deleted and replaced by the following.

Ensure the safety and convenience of the public and property as provided in the Contract and as directed. Keep existing roadways open to traffic or construct and maintain detours and temporary structures for safe public travel. Manage construction to minimize disruption to traffic. Maintain the roadway in a good and passable condition, including proper drainage and provide for ingress and egress to adjacent property.

If the construction of the project requires the closing of a highway, as directed, coordinate the closure with the Engineer and work to ensure all lanes and ramps possible are available during peak traffic periods before, during, and after significant traffic generator events to avoid any adverse economic impact on the municipalities during:

- dates or events as shown on the plans, and
- other dates as directed.

# Special Provision to Item 007

## Legal Relations and Responsibilities



Item 7, “Legal Relations and Responsibilities,” of the Standard Specifications is amended with respect to the clauses cited below.

**Section 2.6., “Barricades, Signs, and Traffic Handling,”** the first paragraph is voided and replaced by the following:

- 2.6. **Barricades, Signs, and Traffic Handling.** Comply with the requirements of Item 502 “Barricades, Signs, and Traffic Handling,” and as directed. Provide traffic control devices that conform to the details shown on the plans, the TMUTCD, and the Department’s Compliant Work Zone Traffic Control Device List maintained by the Traffic Safety Division. When authorized or directed, provide additional signs or traffic control devices not required by the plans.

**Section 2.6.1., “Contractor Responsible Person and Alternative,”** is voided and replaced by the following:

- 2.6.1. **Contractor Responsible Person and Alternative.** Designate in writing, a Contractor’s Responsible Person (CRP) and an alternate to be the representative of the Contractor who is responsible for taking or directing corrective measures regarding the traffic control. The CRP or alternate must be accessible by phone 24 hr. per day and able to respond when notified. The CRP and alternate must comply with the requirements of Section 2.6.5., “Training.”

**Section 2.6.2, “Flaggers,”** the first paragraph is voided and replaced by the following:

- 2.6.2. **Flaggers.** Designate in writing, a flagger instructor who will serve as a flagging supervisor and is responsible for training and assuring that all flaggers are qualified to perform flagging duties. Certify to the Engineer that all flaggers will be trained and make available upon request a list of flaggers trained to perform flagging duties.

**Section 2.6.5, “Training,”** is voided and replaced by the following:

- 2.6.5. **Training.** Train workers involved with the traffic control using Department-approved training as shown on the “Traffic Control Training” Material Producer List.

Coordinate enrollment, pay associated fees, and successfully complete Department-approved training or Contractor-developed training. Training is valid for the period prescribed by the provider. Except for law enforcement personnel training, refresher training is required every 4 yr. from the date of completion unless otherwise specified by the course provider. The Engineer may require training at a frequency instead of the period prescribed based on the Department’s needs. Training and associated fees will not be measured or paid for directly but are considered subsidiary to pertinent Items.

Certify to the Engineer that workers involved in traffic control and other work zone personnel have been trained and make available upon request a copy of the certification of completion to the Engineer. Ensure the following is included in the certification of completion:

- name of provider and course title,
- name of participant,
- date of completion, and
- date of expiration.

Where Contractor-developed training or a Department-approved training course does not produce a certification, maintain a log of attendees. Make the log available upon request. Ensure the log is legible and includes the following:

- printed name and signature of participant,
- name and title of trainer, and
- date of training.

2.6.5.1. **Contractor-developed Training.** Develop and deliver Contractor-developed training meeting the minimum requirements established by the Department. The outline for this training must be submitted to the Engineer for approval at the preconstruction meeting. The CRP or designated alternate may deliver the training instead of the Department-approved training. The work performed and materials furnished to develop and deliver the training will not be measured or paid for directly but will be considered subsidiary to pertinent Items.

2.6.5.1.1. **Flagger Training Minimum Requirements.** A Contractor's certified flagging instructor is permitted to train other flaggers.

2.6.5.1.2. **Optional Contractor-developed Training for Other Work Zone Personnel.** For other work zone personnel, the Contractor may provide training meeting the curriculum shown below instead of Department-approved training.

Minimum curriculum for Contractor-provided training is as follows:

Contractor-developed training must provide information on the use of personnel protection equipment, occupational hazards and health risks, and other pertinent topics related to traffic management. The type and amount of training will depend on the job duties and responsibilities. Develop training applicable to the work being performed. Develop training to include the following topics.

- The Life You Save May Be Your Own (or other similar company safety motto).
- Purpose of the training.
  - It's the Law.
  - To make work zones safer for workers and motorist.
  - To understand what is needed for traffic control.
  - To save lives including your own.
- Personal and Co-Worker Safety.
  - **High Visibility Safety Apparel.** Discuss compliant requirements; inspect regularly for fading and reduced reflective properties; if night operations are required, discuss the additional and appropriate required apparel in addition to special night work risks; if moving operations are underway, discuss appropriate safety measures specific to the situation and traffic control plan.
  - **Blind Areas.** A blind area is the area around a vehicle or piece of construction equipment not visible to the operators, either by line of sight or indirectly by mirrors. Discuss the "Circle of Safety" around equipment and vehicles; use of spotters; maintain eye contact with equipment operators; and use of hand signals.
  - **Runovers and Backovers.** Remain alert at all times; keep a safe distance from traffic; avoid turning your back to traffic and if you must then use a spotter; and stay behind protective barriers, whenever possible. Note: It is not safe to sit on or lean against a concrete barrier, these barriers can deflect four plus feet when struck by a vehicle.
  - Look out for each other, warn co-workers.
  - Be courteous to motorists.
  - Do not run across active roadways.
  - Workers must obey traffic laws and drive courteously while operating vehicles in the work zones.
  - Workers must be made aware of company distracted driving policies.
- **Night Time Operations.** Focus should be placed on projects with a nighttime element.

- **Traffic Control Training.** Basics of Traffic Control.
  - Identify work zone traffic control supervisor and other appropriate persons to report issues to when they arise.
  - Emphasize that work zone traffic control devices must be in clean and in undamaged condition. If devices have been hit but not damaged, put back in their correct place and report to traffic control supervisor. If devices have been damaged, replace with new one and report to traffic control supervisor. If devices are dirty, faded or have missing or damaged reflective tape clean or replace and report to traffic control supervisor. Show examples of non-acceptable device conditions. Discuss various types of traffic control devices to be used and where spacing requirements can be found.
  - **Channelizing Devices and Barricades with Slanted Stripes.** Stripes are to slant in the direction you want traffic to stay or move to; demonstrate this with a device.
  - **Traffic Queuing.** Workers must be made aware of traffic queuing and the dangers created by it. Workers must be instructed to immediately notify the traffic control supervisor and other supervisory personnel if traffic is queuing beyond advance warning sign and devices or construction limits.
  - **Signs.** Signs must be straight and not leaning. Report problems to the traffic control supervisor or other as designated for immediate repair. Covered signs must be fully covered. If covers are damaged or out of place, report to traffic control supervisor or other as designated.

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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress" of the Standard Specification is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 8.2., "Subcontracting,"** is supplemented by the following paragraph, which is added as paragraph six to this article:

The Contractor certifies by signing the Contract that the Contractor will not enter into any subcontract with a subcontractor that is not registered in the Department of Homeland Security's (DHS) E-Verify system. Require that all subcontractors working on the project register and require that all subcontractors remain active in the DHS E-Verify system until their work is complete on the project.

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## Special Provision to Item 8 Prosecution and Progress

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Item 8, "Prosecution and Progress" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Article 8.7.2., "Wrongful Default,"** is revised and replaced by the following:

If it is determined after the Contractor is declared in default, that the Contractor was not in default, the rights and obligations of all parties will be the same as if termination had been issued for the convenience of the public as provided in Article 8.8 "Termination of Contract."

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# Special Provision to Item 009

## Measurement and Payment

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Item 009 "Measurement and Payment" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 9.5., "PROGRESS PAYMENTS" is supplemented with the following:**

It is the Department's desire to pay a Contractor for work through the last working day of the month; however, the use of early cut-off dates for monthly estimates and MOH is a project management practice to manage workload at the Area Office level. Approval for using early cut-off dates is at the District's discretion. The earliest cut-off date for estimates is the 25<sup>th</sup> of the month.

**Article 9.6., "PAYMENT FOR MATERIAL ON HAND (MOH)" first paragraph is amended as follows:**

If payment for MOH is desired, request compensation for the invoice cost of acceptable nonperishable materials that have not been used in the work before the request, and that have been delivered to the work location or are in acceptable storage places. Nonperishable materials are those that do not have a shelf life or whose characteristics do not materially change when exposed to the elements. Include only materials that have been sampled, tested, approved, or certified, and are ready for incorporation into the work. Only materials which are completely constructed or fabricated on the Contractor's order for a specific Contract and are so marked and on which an approved test report has been issued are eligible. Payment for MOH may include the following types of items: concrete traffic barrier, precast concrete box culverts, concrete piling, reinforced concrete pipe, and illumination poles. Any repairs required after fabricated materials have been approved for storage will require approval of the Engineer before being made and will be made at the Contractor's expense. Include only those materials and products, when cumulated under an individual item or similar bid items, that have an invoice cost of at least \$1,000 in the request for MOH payment (e.g. For MOH eligibility, various sizes of conductor are considered similar bid items and may be cumulated to meet the threshold; for small roadside signs, the sign supports, mounting bolts, and the sign face is considered one bid item or similar bid items for more than one pay item for sign supports.) Requests for MOH are to be submitted at least two days before but not later than the estimate cutoff date unless otherwise agreed. If there is a need to request MOH after the established cut-off date, the district can make accommodation as the need arises. This needed accommodation is to be the exception, though, and not the rule.



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# Special Provision to Item 9

## Measurement and Payment

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Item 9, "Measurement and Payment" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 9.7.1.4.3., "Standby Equipment Costs,"** is voided and replaced by the following:

7.1.4.3.           **Standby Equipment Costs.** Payment for standby equipment will be made in accordance with Section 9.7.1.4., "Equipment," except that the 15% markup will not be allowed and that:

**Section 7.1.4.3.1., "Contractor-Owned Equipment,"** is voided and replaced by the following:

7.1.4.3.1.       **Contractor-Owned Equipment.** For Contractor-owned equipment:

- Standby will be paid at 50% of the monthly Equipment Watch rate after the regional and age adjustment factors have been applied. Operating costs will not be allowed. Calculate the standby rate as follows.

$$\text{Standby rate} = (\text{FHWA hourly rate} - \text{operating costs}) \times 50\%$$

- If an hourly rate is needed, divide the monthly *Equipment Watch* rate by 176.
- No more than 8 hr. of standby will be paid during a 24-hr. day period, nor more than 40 hr. per week.
- Standby costs will not be allowed during periods when the equipment would have otherwise been idle.

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# Special Provision to Item 247

## Flexible Base

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Item 247, "Flexible Base" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 2.4., "Certification."** This section is added.

Personnel certified by the Department-approved soils and base certification program must conduct all sampling, field testing, and laboratory testing required by the following:

- Section 2.1, "Aggregate,"
- Section 2.1.3.2, "Recycled Material (Including Crushed Concrete) Requirements,"
- Section 4.3, "Compaction," for measuring flexible base depth, and
- Section 4.3.2, "Density Control," for determining the roadway density and moisture content.

Supply the Engineer with a list of certified personnel and copies of their current certificates before laboratory and field testing is performed and when personnel changes are made. At any time during the project, the Engineer may perform production tests as deemed necessary in accordance with Item 5, "Control of the Work."

**Section 2.5., "Reporting and Responsibilities."** This section is added.

Use Department-provided templates to record and calculate all test data. Obtain the current version of the templates at <http://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. Record and electronically submit all test results and pertinent information on Department-provided templates.

**Section 2.6., "Sampling."** This section is added.

The Engineer will sample flexible base from stockpiles located at the production site or at the project location in accordance with [Tex-400-A](#), Section 5.3. The Engineer will label the sample containers as "Engineer," "Contractor" or "Supplier," and "CST/M&P." Witness the sampling and take immediate possession of the sample containers labeled "Contractor" or "Supplier." The Engineer will maintain custody of the samples labeled "CST/M&P" until testing and reporting is completed.

**Section 2.7., "Referee Testing."** This section is added.

CST/M&P is the referee laboratory. The Contractor may request referee testing when the Engineer's test results fail to meet any of the material requirements listed in Table 1. Make the request via email within 5 working days after receiving test results from the Engineer. Submit test reports signed and sealed by a licensed professional engineer from a commercial laboratory listed on the Department's Material Producer List (MPL) of laboratories approved to perform compaction and triaxial compression testing located at <http://ftp.dot.state.tx.us/pub/txdot-info/cmd/mpl/complabs.pdf>. Submit completed test reports electronically on Department-provided templates in their original format. The referee laboratory will report test results to the Engineer within the allowable number of working days listed in Table 2 from the time the referee laboratory receives the samples. It is at the discretion of the Engineer or the referee laboratory to deny a referee request upon review of the test reports provided by the Contractor.

**Table 2**  
**Number of Allowable Working Days to Report Referee Test Results**

Material Property	Test Method	Working Days
Gradation	Tex-110-E, Part I	5
Liquid Limit (Multi-Point Method)	Tex-104-E, Part I	5
Plasticity Index	Tex-106-E	5
Wet Ball Mill Value	Tex-116-E, Parts I and II	5
Wet Ball Mill, % Increase passing #40 sieve		
Compressive Strength <sup>1</sup>	Tex-117-E, Part II	6
Compressive Strength <sup>2</sup>	Tex-117-E	12

1. Moisture-Density curve provided by the District
2. Moisture-Density curve determined by the referee laboratory

**Section 4.6., “Ride Quality.”** This section is voided and replaced by the following.

Measurement of ride quality only applies to the final travel lanes that receive a 1- or 2-course surface treatment for the final riding surface, unless otherwise shown on the plans. Measure the ride quality of the base course either before or after the application of the prime coat, as directed, and before placement of the surface treatment. Use a certified profiler operator from the Department’s MPL. When requested, furnish the Engineer documentation for the person certified to operate the profiler.

Provide all profile data to the Engineer in electronic data files within 3 days of measuring the ride quality using the format specified in [Tex-1001-S](#). The Engineer will use Department software to evaluate longitudinal profiles to determine areas requiring corrective action. Correct 0.1-mi.sections for each wheel path having an average international roughness index (IRI) value greater than 100 in. per mile to an IRI value of 100 in. per mile or less, unless otherwise shown on the plans.

Re-profile and correct sections that fail to maintain ride quality, as directed. Correct re-profiled sections until specification requirements are met, as approved. Perform this work at no additional expense to the Department.

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## Special Provision to Item 300 Asphalt, Oils, and Emulsions

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Item 300, "Asphalt, Oils, and Emulsions" of the Standard Specifications is replaced by Special Specification [3096](#), "Asphalts, Oils, and Emulsions." All Item 300 Special Provisions are no longer available, beginning with the April 2022 letting.

# Special Provision to Item 302

## Aggregates for Surface Treatments



Item 302, "Aggregates for Seal Coats," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

Section 2.1., "Aggregate." Tables 2 and 3 are voided and replaced by the following.

**Table 2**  
**Aggregate Gradation Requirements (Cumulative % Retained<sup>1</sup>)**

Sieve	Grade								
	1	2	3S <sup>2</sup>	3	4S <sup>2</sup>	4	5S <sup>2</sup>	5	
				Non-Lightweight	Lightweight				
1"	-	-	-	-	-	-	-	-	-
7/8"	0-2	0	-	-	-	-	-	-	-
3/4"	20-35	0-2	0	0	0	-	-	-	-
5/8"	85-100	20-40	0-5	0-5	0-2	0	0	-	-
1/2"	-	80-100	55-85	20-40	10-25	0-5	0-5	0	0
3/8"	95-100	95-100	95-100	80-100	60-80	60-85	20-40	0-5	0-5
1/4"	-	-	-	95-100	95-100	-	-	65-85	-
#4	-	-	-	-	-	95-100	95-100	95-100	50-80
#8	99-100	99-100	99-100	98-100	98-100	98-100	98-100	98-100	98-100

1. Round test results to the nearest whole number.
2. Single-size gradation.

**Table 3**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement <sup>1</sup>	
		Minimum	Maximum
SAC	<a href="#">AQMP</a>	As shown on the plans	
Deleterious Material <sup>2</sup> , %	<a href="#">Tex-217-F</a> , Part I	-	2.0
Decantation, %	<a href="#">Tex-406-A</a>	-	1.5
Flakiness Index, %	<a href="#">Tex-224-F</a>	-	17
Gradation	<a href="#">Tex-200-F</a> , Part I	Table 2 Requirements	
Los Angeles Abrasion, %	<a href="#">Tex-410-A</a>	-	35
Magnesium Sulfate Soundness, 5 Cycle, %	<a href="#">Tex-411-A</a>	-	25
Micro-Deval Abrasion, %	<a href="#">Tex-461-A</a>	Note 3	
Coarse Aggregate Angularity <sup>4</sup> , 2 Crushed Faces, %	<a href="#">Tex-460-A</a> , Part I	85	-
Additional Requirements for Lightweight Aggregate			
Dry Loose Unit Wt., lb./cu. ft.	<a href="#">Tex-404-A</a>	35	60
Pressure Slaking, %	<a href="#">Tex-431-A</a>	-	6.0
Freeze-Thaw Loss, %	<a href="#">Tex-432-A</a>	-	10.0
Water Absorption, 24hr., %	<a href="#">Tex-433-A</a>	-	12.0

1. Material requirements are listed below, unless otherwise shown on the plans.
2. Not required for lightweight aggregate.
3. Used to estimate the magnesium sulfate soundness loss in accordance with Section 2.1.1.
4. Only required for crushed gravel.

**Section 2.1.1., “Micro-Deval Abrasion,”** is added.

The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source per project that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula.

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved by the Engineer. The Engineer may require additional testing before granting approval.

**Section 2.2., “Precoating.”** The third paragraph is voided and replaced by the following.

The Engineer retains the right to remove precoat material from aggregate samples in accordance with [Tex-210-F](#), or as recommended by the Construction Division, and test the aggregate to verify compliance with Table 2 and Table 3 requirements. Gradation testing may be performed with precoat intact.

**Section 2.3., “Sampling,”** is added.

Personnel who conduct sampling and witnessing of sampling must be certified by the Department-approved certification program. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning construction and when personnel changes are made. At any time during the project, the Engineer may perform production tests as deemed necessary in accordance with Item 5, “Control of the Work.”

The Engineer will sample aggregate from stockpiles located at the production site, intermediate distribution site, or project location in accordance with [Tex-221-F](#), Section 3.2.3. The Engineer will split each sample into 2 equal portions in accordance with [Tex-200-F](#), Section 3.3, and label these portions “Engineer” and “Contractor” or “Supplier.” Witness the sampling and splitting, and take immediate possession of the samples labeled “Contractor” or “Supplier”.

**Section 2.4., “Reporting and Responsibilities,”** is added.

The Engineer will provide test results to the Contractor and Supplier within 10 working days from the date the stockpile was sampled for sources listed on the Department’s Bituminous Rated Source Quality Catalog (BRSQC), unless otherwise directed. The Engineer will provide test results for the LA Abrasion ([Tex-410-A](#)) and Magnesium Sulfate Soundness ([Tex-411-A](#)) tests within 30 calendar days for sources not listed on the BRSQC, or for sources not meeting the requirements of Section 2.1.1., “Micro-Deval Abrasion.” The Engineer will report to the other party within 24 hours when any test result does not meet the requirements listed in Table 2 or Table 3.

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## Special Provision to Item 316

### Seal Coat

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Item 316, "Seal Coat" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 4.8, "Asphalt Placement"** is supplemented by the following:

4.8.5. Collect all samples in accordance with Tex-500-C, "Sampling Bituminous Materials, Pre-Molded Joint Fillers, and Joint Sealers" from the distributor and with witness by the Engineer.

At least once per project, collect split samples of each binder grade and source used. The Engineer will submit one split sample to MTD for testing and retain the other split sample.

In addition, collect one sample of each binder grade and source used on the project for each production day. The Engineer will retain these samples.

The Engineer will keep all retained samples for one yr., for hot-applied binders and cutback asphalts; or for two mo., for emulsified asphalts. The Engineer may submit retained samples to MTD for testing as necessary or as requested by MTD.

# Special Provision to Item 334

## Hot-Mix Cold-Laid Asphalt Concrete Pavement



Item 334, "Hot-Mix Cold-Laid Asphalt Concrete Pavement," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed hereby.

Section 334.4.1.2., "Job-Mix Formula Approval," Table 5, is voided and replaced by the following:

**Table 5**  
**Laboratory Mixture Design Properties**

Property	Test Method	Requirement
Target laboratory-molded density, % <sup>1</sup>	<a href="#">Tex-207-F</a>	94.0 ± 1.5
Hveem stability, Min	<a href="#">Tex-208-F</a>	35
Hydrocarbon-volatile content, %, Max	<a href="#">Tex-213-F</a>	0.6
Moisture content, %, Max <sup>2</sup>	<a href="#">Tex-212-F</a>	1.0
Boil test, %, Max <sup>3</sup>	<a href="#">Tex-530-C</a>	10

1. Unless otherwise shown on the plans.
2. Unless otherwise approved.
3. Limit may be increased or eliminated when approved.



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## Special Provision to Item 340 Dense-Graded Hot-Mix (Small Quantity)

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Item 340, "Dense-Graded Hot-Mix (Small Quantity)" of the Standard Specifications is replaced by Special Specification [3076](#), "Dense-Graded Hot-Mix Asphalt," Section 4.9.4., "Exempt Production." All Item 340 Special Provisions and bid codes are no longer available, beginning with the February 2022 letting.

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## Special Provision to Item 341 Dense-Graded Hot-Mix Asphalt

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Item 341, "Dense-Graded Hot-Mix Asphalt" of the Standard Specifications is replaced by Special Specification [3076](#), "Dense-Graded Hot-Mix Asphalt." All Item 341 Special Provisions and bid codes are no longer available, beginning with the February 2020 letting.

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## Special Provision to Item 342 Permeable Friction Course (PFC)

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Item 342, "Permeable Friction Course (PFC)" of the Standard Specifications is replaced by Special Specification [3079](#), "Permeable Friction Course." All Item 342 Special Provisions and bid codes are no longer available, beginning with the April 2022 letting.

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## Special Provision to Item 347 Thin Overlay Mixture (TOM)

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Item 347, "Thin Overlay Mixture (TOM)" of the Standard Specifications is replaced by Special Specification [3081](#), "Thin Overlay Mixture (TOM)". All Item 347 Special Provisions and bid codes are no longer available, beginning with the April 2022 letting.

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## Special Provision to Item 348 Thin Bonded Friction Courses

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Item 348, "Thin Bonded Friction Courses" of the Standard Specifications is replaced by Special Specification [3082](#), "Thin Bonded Friction Courses." All Item 348 Special Provisions and bid codes are no longer available, beginning with the April 2022 letting.

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## Special Provision to Item 360 Concrete Pavement

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Item 360, "Concrete Pavement" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 360.2.1., "Materials,"** the third paragraph is voided and replaced by the following:

For continuously reinforced concrete pavements, use a coarse aggregate with a rated coefficient of thermal expansion of not more than  $5.5 \times 10^{-6}$  in./in./°F as listed in the Department's *Concrete Rated Source Quality Catalog*.

**Section 360.4.8.3., "Surface Texture,"** the second paragraph is voided and replaced by the following:

A metal-tine texture finish is required unless otherwise shown on the plans. Provide transverse or longitudinal tining unless otherwise shown on the plans. Immediately following the carpet drag, apply a single coat of evaporation retardant, if needed, at the rate recommended by the manufacturer. Provide the metal-tine finish immediately after the concrete surface has set enough for consistent tining. Operate the metal-tine device to obtain grooves approximately 3/16 in. deep, with a minimum depth of 1/8 in., and approximately 1/12 in. wide. Do not overlap a previously tined area. Use manual methods to achieve similar results on ramps, small or irregular areas, and narrow width sections of pavements. Repair damage to the edge of the slab and joints immediately after texturing. Do not tine pavement that will be overlaid or that is scheduled for blanket diamond grinding or shot blasting.

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## Special Provision to Item 420

### Concrete Substructure

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Item 420, "Concrete Substructures" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Article 420.6., "Payment."** The first paragraph is replaced by the following:

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for the class of concrete and element identified and by the special designation when appropriate. This price is full compensation for furnishing, hauling, and mixing concrete materials; furnishing, bending, fabricating, splicing, welding and placing the required reinforcement; clips, blocks, metal spacers, ties, wire, or other materials used for fastening reinforcement in place; placing, finishing, and curing concrete; mass placement controls; applying ordinary surface finish; furnishing and placing drains, metal flashing strips, and expansion-joint material; excavation, subgrade preparation; and forms and falsework, equipment, labor, tools, and incidentals.

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# Special Provision to Item 421

## Hydraulic Cement Concrete

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Item 421, "Hydraulic Cement Concrete" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 421.2., "Materials,"** the second sentence of the first paragraph is voided and replaced by the following.

Provide aggregates from sources listed in the Department's Concrete Rated Source Quality Catalog (CRSQC).

**Article 421.2.2., Supplementary Cementing Materials (SCM),** is voided and replaced with the following.

Supplementary Cementing Materials (SCM).

- **Fly Ash.** Furnish fly ash, Modified fly ash (MFA), and Ground Bottom Ash (GBA) conforming to [DMS-4610](#), "Fly Ash."
- **Slag Cement.** Furnish Slag Cement conforming to [DMS-4620](#), "Slag Cement."
- **Silica Fume.** Furnish silica fume conforming to [DMS-4630](#), "Silica Fume."
- **Metakaolin.** Furnish metakaolin conforming to [DMS-4635](#), "Metakaolin."

**Article 421.3.1.3., "Agitators and Truck and Stationary Mixers,"** the first paragraph is voided and replaced by the following.

Provide stationary and truck mixers capable of combining the ingredients of the concrete into a thoroughly mixed and uniform mass and capable of discharging the concrete so that the requirements of [Tex-472-A](#) are met.

**Article 421.3.1.3., "Agitators and Truck and Stationary Mixers,"** is supplemented with the following.

Truck mixers with automated water and chemical admixture measurement and slump and slump flow monitoring equipment meeting the requirement of ASTM C 94 will be allowed. Provide data every 6 mo. substantiating the accuracy of slump, slump flow, temperature, water, and chemical admixture measurements. The slump measured by the automated system must be within 1 in. of the slump measured in accordance with [Tex-415-A](#). The concrete temperature measured by the automated system must be within 1°F of concrete temperature measured in accordance with [Tex-422-A](#). The Engineer will not use the automated measurements for acceptance.



Article 421.4.2, "Mix Design Proportioning," Table 8 is voided and replaced by the following.

**Table 8**  
**Concrete Classes**

Class of Concrete	Design Strength, <sup>1</sup> Min $f'_c$ (psi)	Max w/cm Ratio	Coarse Aggregate Grades <sup>2,3,4</sup>	Cement Types	Mix Design Options	Exceptions to Mix Design Options	General Usage <sup>5</sup>
A	3,000	0.60	1-4, 8	I, II, I/II, IL, IP, IS, IT, V	1, 2, 4, & 7	When the cementitious material content does not exceed 520 lb./cu. yd., any fly ash listed in the MPL may be used at a cement replacement of 20% to 50%.	Curb, gutter, curb & gutter, conc. retards, sidewalks, driveways, back-up walls, anchors, non-reinforced drilled shafts
B	2,000	0.60	2-7				Riprap, traffic signal controller foundations, small roadside signs, and anchors
C <sup>6</sup>	3,600	0.45	1-6	I, II, I/II, IP, IL, IS, IT, V	1-8		Drilled shafts, bridge substructure, traffic rail, culverts except top slab of direct traffic culverts, headwalls, wing walls, inlets, manholes, traffic barrier
E	3,000	0.50	2-5	I, II, I/II, IL, IP, IS, IT, V	1-8	When the cementitious material content does not exceed 520 lb./cu. yd., any fly ash listed in the MPL may be used at a cement replacement of 20% to 50%.	Seal concrete
F <sup>6</sup>	Note <sup>7</sup>	0.45	2-5	I, II, I/II, IP, IL, IS, IT, V			Railroad structures; occasionally for bridge piers, columns, bents, post-tension members
H <sup>6</sup>	Note <sup>7</sup>	0.45	3-6	I, II, I/II, III, IP, IL, IS, IT, V	1-4, 8	Mix design options 1-8 allowed for cast-in-place concrete and the following precast elements unless otherwise stated in the plans: <ul style="list-style-type: none"> <li>■ Bridge Deck Panels,</li> <li>■ Retaining Wall Systems,</li> <li>■ Coping,</li> <li>■ Sound Walls,</li> <li>■ Wall Columns,</li> <li>■ Traffic Rail,</li> <li>■ Traffic Barrier,</li> <li>■ Long/Arch Span Culverts, and</li> <li>■ precast concrete products included in Items 462, 464, and 465.</li> </ul> Do not use Type III cement in mass placement concrete. Up to 20% of blended cement may be replaced with listed SCMs when Option 4 is used for precast concrete. Options 6, & 7 allowed for cast-in-place Class H concrete.	Precast concrete, post-tension members
S <sup>6</sup>	4,000	0.45	2-5	I, II, I/II, IP, IL, IS, IT, V	1-8		Bridge slabs, top slabs of direct traffic culverts, approach slabs
P	See Item 360, "Concrete Pavement."	0.50	2-3	I, II, I/II, IL, IP, IS, IT, V	1-8	When the cementitious material content does not exceed 520 lb./cu. yd., any fly ash listed in the MPL may be used at a cement replacement of 20% to 50%.	Concrete pavement

Class of Concrete	Design Strength, <sup>1</sup> Min $f_c$ (psi)	Max w/cm Ratio	Coarse Aggregate Grades <sup>2,3,4</sup>	Cement Types	Mix Design Options	Exceptions to Mix Design Options	General Usage <sup>5</sup>
CO <sup>6</sup>	4,600	0.40	6		1-8		Bridge deck concrete overlay
LMC <sup>6</sup>	4,000	0.40	6-8				Latex-modified concrete overlay
SS <sup>6</sup>	3,600	0.45	4-6	I, II, I/II, IP, IL, IS, IT, V	1-8	Use a minimum cementitious material content of 658 lb./cu. yd. of concrete. Limit the alkali loading to 4.0 lbs./cu. yd. or less when using option 7.	Slurry displacement shafts, underwater drilled shafts
K <sup>6</sup>	Note <sup>7</sup>	0.40	Note <sup>7</sup>	I, II, I/II, III, IP, IL, IS, IT, V	1-8		Note <sup>7</sup>
HES	Note <sup>7</sup>	0.45	Note <sup>7</sup>	I, IL, II, I/II, III		Mix design options do not apply. 700 lb. of cementitious material per cubic yard limit does not apply.	Concrete pavement, concrete pavement repair
"X" (HPC) <small>6,8,9</small>	Note <sup>10</sup>	0.45	Note <sup>10</sup>	I, II, I/II, III, IP, IL, IS, IT, V	1-4, & 8	Maximum fly ash replacement for Option 3 may be increased to 50%. Up to 20% of a blended cement may be replaced with listed SCMs for Option 4. Do not use Option 8 for precast concrete.	
"X" (SRC) <small>6,8,9</small>	Note <sup>10</sup>	0.45	Note <sup>10</sup>	I/II, II, IP, IL, IS, IT, V	1-4, & 7	When using fly ash, only use fly ashes allowed for SRC as listed in the Fly Ash MPL. Type III-MS may be used where allowed. Type I and Type III cements may be use when fly ashes allowed for SRC as listed in the Fly Ash MPL are used, and with a maximum w/cm of 0.40. Up to 20% of blended cement may be replaced with listed SCMs when Option 4 is used for precast concrete. Use Option 7 for precast concrete where allowed.	

- Design strength must be attained within 56 days.
- Do not use Grade 1 coarse aggregate except in massive foundations with 4 in. minimum clear spacing between reinforcing steel bars, unless otherwise permitted. Do not use Grade 1 aggregate in drilled shafts.
- Use Grade 8 aggregate in extruded curbs unless otherwise approved.
- Other grades of coarse aggregate maybe used in non-structural concrete classes when allowed by the Engineer.
- For information only.
- Structural concrete classes.
- As shown on the plans or specified.
- "X" denotes class of concrete shown on the plans or specified.
- (HPC): High Performance Concrete, (SRC): Sulfate Resistant Concrete.
- Same as class of concrete shown on the plans.

**Article 421.4.2.2., "Aggregates,"** is supplemented by the following.

Use the following equation to determine if the aggregate combination meets the sand equivalency requirement when blending fine aggregate or using an intermediate aggregate:

$$\frac{(SE_1 \times P_1) + (SE_2 \times P_2) + (SE_{ia} \times P_{ia})}{100} \geq 80\%$$

where:

$SE_1$  = sand equivalency (%) of fine aggregate 1

$SE_2$  = sand equivalency (%) of fine aggregate 2

$SE_{ia}$  = sand equivalency (%) of intermediate aggregate passing the 3/8 in. sieve

$P_1$  = percent by weight of fine aggregate 1 of the fine aggregate blend

$P_2$  = percent by weight of fine aggregate 2 of the fine aggregate blend

$P_{ia}$  = percent by weight of intermediate aggregate passing the 3/8 in. sieve

**Article 421.4.2.3., “Chemical Admixtures,”** the second paragraph is voided and replaced with the following.

Use a 30% calcium nitrite solution when a corrosion-inhibiting admixture is required. Dose the admixture at the rate of gallons of admixture per cubic yard of concrete shown on the plans. Use set retarding admixtures, as needed, to control setting time to ensure concrete containing corrosion inhibiting admixtures remain workable for the entire duration of the concrete placement. Perform setting time testing and slump loss testing during trial batch testing.

**Article 421.4.2.5., “Slump,”** the second paragraph is voided and not replaced. Table 9 is voided and replaced with below:

**Table 9**  
**Placement Slump Requirements**

General Usage	Placement Slump Range, <sup>1,2</sup> in.
Walls (over 9 in. thick), caps, columns, piers	3 to 7
Bridge slabs, top slabs of direct traffic culverts, approach slabs, concrete overlays, latex-modified concrete for bridge deck overlays	3 to 6
Inlets, manholes, walls (less than 9 in. thick), bridge railing, culverts, concrete traffic barrier, concrete pavement (formed)	4 to 6
Precast concrete	4 to 9
Underwater concrete placements	6 to 8-1/2
Drilled shafts, slurry displaced and underwater drilled shafts	See Item 416, “Drilled Shaft Foundations.”
Curb, gutter, curb and gutter, concrete retards, sidewalk, driveways, seal concrete, anchors, riprap, small roadside sign foundations, concrete pavement repair, concrete repair	As approved

1. Maximum slump values may be increase above these values shown using chemical admixtures, provided the admixture treated concrete has the same or lower water-to-cementitious ratio and does not exhibit segregation or excessive bleeding. Request approval to increase slump limits in advance for proper evaluation by the Engineer.
2. For fiber reinforced concrete, perform slump before addition of fibers.

**Article 421.4.2.6., “Mix Design Options”**, is voided and replaced with the following.

**Option 1.** Replace cement with at least the minimum dosage listed in the Fly Ash MPL for the fly ash used in the mixture. Do not replace more than 50% of the cement with fly ash.

**Option 2.** Replace 35% to 50% of the cement with slag cement.

**Option 3.** Replace 35% to 50% of the cement with a combination of fly ash, slag cement, MFA, metakaolin, or at least 3% silica fume; however, no more than 35% may be fly ash, and no more than 10% may be silica fume.

**Option 4.** Use Type IP, Type IS, or Type IT cement as allowed in Table 8 for each class of concrete. Up to 10% of a Type IP, Type IS, or Type IT cement may be replaced with fly ash, slag cement, or silica fume. Use no more than 10% silica fume in the final cementitious material mixture if the Type IT cement contains silica fume, and silica fume is used to replace the cement.

**Option 5.** Option 5 is left intentionally blank.

**Option 6.** Use a lithium nitrate admixture at a minimum dosage determined by testing conducted in accordance with Tex-471-A. Before use of the mix, provide an annual certified test report signed and sealed by a licensed professional engineer, from a laboratory on the Department's MPL, certified by the Construction Division as being capable of testing according to Tex-471-A.

**Option 7.** Ensure the total alkali contribution from the cement in the concrete does not exceed 3.5 lb. per cubic yard of concrete when using hydraulic cement not containing SCMs calculated as follows:

$$\text{lb. alkali per cu. yd.} = \frac{(\text{lb. cement per cu. yd.}) \times (\% \text{ Na}_2\text{O equivalent in cement})}{100}$$

In the above calculation, use the maximum cement alkali content reported on the cement mill certificate.

**Option 8.** Use Table 10 when deviating from Options 1–3 or when required by the Fly Ash MPL. Perform required testing annually and submit results to the Engineer. Laboratories performing ASTM C1260, ASTM C1567, and ASTM C1293 testing must be listed on the Department's MPL. Before use of the mix, provide a certified test report signed and sealed by a licensed professional engineer demonstrating the proposed mixture conforms to the requirements of Table 10.

Provide a certified test report signed and sealed by a licensed professional engineer, when HPC is required, and less than 20% of the cement is replaced with SCMs, demonstrating ASTM C1202 test results indicate the permeability of the concrete is less than 1,500 coulombs tested immediately after either of the following curing schedules:

- Moisture cure specimens 56 days at 73°F.
- Moisture cure specimens 7 days at 73°F followed by 21 days at 100°F.

**Table 10**  
**Option 8 Testing and Mix Design Requirements**

Scenario	ASTM C1260 Result		Testing Requirements for Mix Design Materials or Prescriptive Mix Design Options
	Mix Design Fine Aggregate	Mix Design Coarse Aggregate	
<b>A</b>	> 0.10%	> 0.10%	Determine the dosage of SCMs needed to limit the 14-day expansion of each aggregate <sup>1</sup> to 0.10% when tested individually in accordance with ASTM C1567.
<b>B</b>	≤ 0.10%	≤ 0.10%	Use the minimum replacement listed in the Fly Ash MPL, or When Option 8 is listed on the MPL, use a minimum of 40% fly ash with a maximum CaO <sup>2</sup> content of 25%, or Use any ternary combination which replaces 35% to 50% of cement.
	≤ 0.10%	ASTM C1293 1 yr. Expansion ≤ 0.04%	Use a minimum of 20% of any fly ash; or Use any ternary combination which replaces 20% to 50% of cement.
<b>C</b>	≤ 0.10%	> 0.10%	Determine the dosage of SCMs needed to limit the 14-day expansion of coarse and intermediate <sup>1</sup> aggregate to 0.10% when tested individually in accordance with ASTM C1567.
<b>D</b>	> 0.10%	≤ 0.10%	Use the minimum replacement listed in the Fly Ash MPL, or When Option 8 is listed on the MPL, use a minimum of 40% fly ash with a maximum CaO <sup>2</sup> content of 25%, or Use any ternary combination which replaces 35% to 50% of cement.
	> 0.10%	ASTM C1293 1 yr. Expansion ≤ 0.04%	Determine the dosage of SCMs needed to limit the 14-day expansion of each fine aggregate to 0.10% when individually tested in accordance with ASTM C1567.

1. Intermediate size aggregates will fall under the requirements of mix design coarse aggregate.
2. Average the CaO content from the previous ten values as listed on the test certificate.

**Article 421.4.2.7., "Optimized Aggregate Gradation (OAG) Concrete,"** the first sentence of the first paragraph is voided and replaced by the following.

The gradations requirements in Table 4 and Table 6 do not apply when OAG concrete is specified or used by the Contractor unless otherwise shown on the plans.

The fineness modulus for fine aggregate listed in Table 5, does not apply when OAG Concrete is used,

**Article 421.4.6.2., "Delivering Concrete,"** the third paragraph is supplemented by the following.

When truck mixers are equipped with automated water or chemical admixture measurement and slump or slump flow monitoring equipment, the addition of water or chemical admixtures during transit is allowed. Reports generated by this equipment must be submitted to the Engineer daily.

**Article 421.4.6.2., "Delivering Concrete,"** the fifth paragraph is voided and replaced with the following. Begin the discharge of concrete delivered in truck mixers within the times listed in Table 14. Concrete delivered after these times, and concrete that has not begun to discharge within these times will be rejected

**Article 421.4.8.3., "Testing of Fresh Concrete,"** is voided and replaced with the following.

**Testing Concrete.** The Engineer, unless specified in other Items or shown on the plans, will test the fresh and hardened concrete in accordance with the following methods:

- Slump. [Tex-415-A](#);
- Air Content. [Tex-414-A](#) or [Tex-416-A](#);
- Temperature. [Tex-422-A](#);
- Making and Curing Strength Specimens. [Tex-447-A](#);
- Compressive Strength. [Tex-418-A](#);
- Flexural Strength. [Tex-448-A](#); and
- Maturity. [Tex-426-A](#).

Flexural strength and maturity specimens will not be made unless specified in other items or shown on the plans.

Concrete with slump less than minimum required after all addition of water withheld will be rejected, unless otherwise allowed by the Engineer. Concrete with slump exceeding maximum allowed may be used at the contractor's option. If used, Engineer will make, test, and evaluate strength specimens as specified in Article 421.5., "Acceptance of Concrete." Acceptance of concrete not meeting air content or temperature requirements will be determined by Engineer. Fresh concrete exhibiting segregation and excessive bleeding will be rejected.

**Article 421.4.8.3.1. "Job-Control Testing,"** is voided and not replaced.

# Special Provision to Item 426

## Post-Tensioning



Item 426, "Post-Tensioning" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 2.1., "Prestressing Steel."** The first bullet is voided and replaced with the following.

- Seven-wire steel strand meeting [DMS-4500](#), "Steel Strand, Uncoated Seven-Wire Low Relaxation for Prestressed Concrete," or

**Section 2.2., "Post-Tensioning System."** The second bulleted item is voided and replaced with the following:

- Provide pre-packaged grouts in accordance with [DMS-4670](#), "Grouts for Post-Tensioning." Do not use grouts that exceed the manufacturers' recommended shelf life or 6 mo. after date of manufacture, whichever is less.

**Section 4.2., "Required Submittals."** The section is voided and replaced with the following.

4.2. **Required Submittals.** Submit information required in this Section for post-tensioned elements, in addition to forming and falsework plans required by Item 420, "Concrete Substructures," and Item 424, "Precast Concrete Structural Members (Fabrication)." Include all necessary construction information in these submittals for cast-in-place and precast construction including, but not limited to the information required in this Section.

4.2.1. **Design Calculations.** Provide design procedures, coefficients, allowable stresses, tendon spacing, and clearances in accordance with the AASHTO LRFD *Bridge Design Specifications* and PTI/ASBI M50 unless otherwise shown on the plans. Submit enough calculations to support the proposed system and method of post-tensioning including friction loss diagrams. When the required jacking force for a particular type of tendon, duct, and configuration is furnished on the plans, design calculations are not required except to adjust for conditions different from those shown on the plans.

4.2.2. **Post-Tensioning Details.** Provide drawings with details that meet the requirements of PTI/ASBI M50 and this Specification.

4.2.3. **Grouting Plan.** Submit for approval written grouting procedures at least four weeks before the start of the element's construction. Include items required by PTI M55.

Include the names of people responsible for PT installation and grouting operations, with the foreman of each grouting crew certified as a PTI Level 2 Bonded PT Field Specialist and ASBI Certified Grouting Technician.

4.2.4. **Stressing Safety Plan.** Provide a plan to protect the public, workers, and Department personnel on and around the vicinity where post-tensioning operations are occurring.

Submit for approval, a detailed safety plan which identifies potential risk associated with post-tensioning operations, including but not limited to:

- tendon alignment,
- temporary shoring,
- ram operations, and
- stand anchorage.

**Section 4.3., “Design Calculations.”** The section is voided and replaced with the following.

- 4.3. **Packaging, Storing, and Handling of Post-Tensioning Components.** Package, store, and handle post-tensioning steel, grout, duct, and other accessories in accordance with PTI/ASBI M50 and PTI M55 unless otherwise indicated. Acceptance and rejection criteria for strand will follow PTI/ASBI M50 and PTI M55.

The following exceptions apply:

- grout storage onsite will be limited to 30 days unless approval by the Engineer is given in advance of material delivery,
- install grout caps and ensure vents are closed at all times so that water and other contaminants cannot enter the duct before strand installation, and
- do not flush ducts at any time.

**Section 4.4., “Packaging, Storing, and Handling of Post-Tensioning Components.”** The section is voided and replaced with the following.

- 4.4. **Duct and Prestressing Steel Installation for Post-Tensioning.** Follow PTI/ASBI M50 for duct and prestressing steel installation procedures and requirements unless otherwise specified. Verify that concrete strength requirements on the plans are met for stressing and staged loading of post-tensioned structural elements.

Stress the tendons within seven days of installing the strand in the ducts unless otherwise approved in advance. Follow the tensioning procedure noted in the approved post-tensioning details.

**Section 4.5., “Duct and Prestressing Steel Installation for Post-Tensioning.”** The section is voided and replaced with the following.

- 4.5. **Grouting.** Grout in accordance with PTI M55.

Grout within 14 days of tendon stressing unless otherwise specified or approved. Obtain approval to extend the grouting time before stressing tendons.

Do not allow the grout temperature to exceed 85°F during mixing and pumping. Do not grout when the ambient temperature is below 35°F. Field-test the grout in accordance with Table 1 during grout installation. Perform field-testing by trained personnel at the Contractor’s expense while witnessed by the Engineer. Pump at the lowest pressure possible that will maintain a continuous flow of grout.

**Table1**  
**Requirements for Field-Testing of Grout**

Test	Frequency	Requirement
Schupak Pressure Bleed Test (ASTM C1741)	1 per day	Per <a href="#">DMS-4670</a>
Fluidity test ( <a href="#">Tex-437-A</a> , Method 2)	2 every 2 hr. 2 min. per day	per <a href="#">DMS-4670</a>
Compressive Strength test (3" × 6" cylinders)	1 per day	per <a href="#">DMS-4670</a>
Mud Balance test ( <a href="#">Tex-130-E</a> , Part II) <sup>1,2</sup>	2 per day	per <a href="#">PTI M55</a>

1. Take one sample from the mixer and one sample from the farthest duct outlet.
2. Verify wet density is within the range established by the department.

**Section 4.6., “Grouting.”** The section is voided and not replaced.

**Article 5., “MEASUREMENT AND PAYMENT.”** The section is voided and replaced with the following.

5. **MEASUREMENT**

This Item will be measured by the each PT element or member. An element or member is defined by one of the following individual components.

- PT Cap

- PT Column
- PT Bent
- Other elements shown in the plans.

The PT may extend into other elements which is subsidiary to the main element being post-tensioned.

6.

#### **PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "PT" for the member type shown on the plans. This price is full compensation for submittals, mock-ups, prestressing steel, post-tensioning, ducts, grout fittings, grout, end anchorages, bearing plates, equipment, labor, materials, tools, and incidentals. Materials furnished for testing will not be paid for directly.

Post-tensioning of precast members, tensioned at a fabrication plant, will not be paid for directly but will be subsidiary to pertinent Items.



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## Special Provision to Item 427

### Surface Finishes for Concrete

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Item 427, "Surface Finishes for Concrete" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 427.2.1 "Coatings,"** is supplemented with the following:

**Epoxy Waterproofing.** Provide Type X Epoxy per [DMS-6100](#) "Epoxies and Adhesives." Match color of coating with Federal Standard 595C color 35630, concrete gray, unless otherwise shown on the plans.

**Article 427.4.2.2 "Application,"** is supplemented with the following:

**Epoxy Waterproofing.** Mix epoxy per manufacturer's instructions. Apply the coating on a dry surface at a maximum application rate of 100 sq. ft per gallon. Apply a thin uniform film of mixed epoxy to the substrate by the use of a short nap roller or brush. The epoxy may be sprayed following the thinning requirements of the manufacturer. No more than 15% reduction is permitted.

Match the color of the applied coating with the color standard shown on the plans. Apply when ambient temperature is between 50°F and 100°F.

**Article 427.6 "Payment,"** the second paragraph is voided and replaced in its entirety with:

When a surface finish for concrete is specified as a pay item, the work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Adhesive Grout Finish," "Concrete Paint Finish," "Opaque Sealer Finish," "Silicone Resin Paint Finish," "Epoxy Waterproof Finish," or "Blast Finish." This price is full compensation for materials; cleaning and preparing surfaces; application of materials; and equipment, labor, tools, and incidentals.

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# Special Provision to Item 440

## Reinforcement for Concrete

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Item 440, "Standard Specification Title" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 440.2., "Materials"** is supplemented with the following:

- 2.14. Provide zinc-coated, hot-dip galvanized Class I or II steel reinforcement conforming to ASTM A767, Grades 60 or 75 when shown on the plans and as allowed.
- 2.15. Provide continuously hot-dip galvanized reinforcement (CGR) conforming to ASTM A1094 steel reinforcement, Grades 60 or 75 when shown on the plans and as allowed.

**Article 440.2.5., "Weldable Reinforcing Steel"** is supplemented with the following:

All welding operations must be performed prior to hot-dip galvanizing.

**Article 440.2.8., "Mechanical Couplers"** is supplemented with the following:

Provide hot-dipped or mechanically galvanized couplers when splicing galvanized reinforcing or continuously galvanized reinforcing.

**Article 440.2.11., "Low-Carbon, Chromium Reinforcing Steel."** The first sentence is voided and replaced by the following:

Provide deformed steel bars conforming to ASTM A1035, Grade 100, Type CS when low-carbon, chromium reinforcing steel is required on the plans. Type CM will only be permitted if specified on the plans.

**Article 440.3.1., "Bending"** is supplemented with the following:

Do not bend hot-dip galvanized reinforcement. Only minor positioning adjustments are permitted.

Bending of continuously galvanized reinforcement is permitted after galvanizing.

**Article 440.3.5, "Placing"** the following will be added to paragraph four.

Use Class 1 or 1A supports with continuously galvanized reinforcing. Provide epoxy or plastic-coated tie wires and clips for use with epoxy coated reinforcing steel.

**Article 440.3.6.3., "Repairing Coating"** is supplemented with the following:

Repair damaged galvanized surfaces in accordance with Article 445.3.5.2. "Repair Processes."

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# Special Provision to Item 441

## Steel Structures

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Item 441, "Steel Structures" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 441.2.2., Approved Electrodes and Flux-Electrode Combinations,**" is voided and replaced with the following:

Use only electrodes and flux-electrode combinations conforming to AWS A5 specifications, and pertinent classifications for the applicable welding processes. When requested, submit a current Certificate of Conformance (COC) containing all test results as required by the applicable AWS A5 specification and welding code. Provide proof of Buy America compliance for welding consumables when requested. For bridge main member fabrication, submit the COC annually.

**Section 441.2.3., "High-Strength Bolts,"** is revised and replaced by the following:

Use fasteners that meet Item 447, "Structural Bolting." Use galvanized fasteners on field connections of bridge members when ASTM F3125-Grade A325 bolts are specified, and steel is painted.

**Section 441.3.1.5.1., "Plants,"** The second and third paragraphs are voided and replaced with the following:

Fabrication plants that produce the following non-bridge steel members must be approved in accordance with DMS-7380, "Steel Non-Bridge Member Fabrication Plant Qualification."

- Item 610, "Roadway Illumination Poles"
- Item 613, "High Mast Illumination Poles"
- Item 614, "High Mast Rings and Support Assemblies"
- Item 650, "Overhead Sign Support Structures"
- Item 654, "Sign Walkways"
- Item 686, "Traffic Signal Poles"
- Special Specification 6064, "Intelligent Transportation System (ITS) Poles."

The Materials and Tests Division (MTD) maintains a list of approved non-bridge fabrication plants on the Department MPL that produce these members.

**Section 441.3.1.6.1., "Erection Drawings,"** the third paragraph is voided and replaced with the following:

Perform erection engineering evaluation of the structural adequacy and stability of constructing the bridge system for each step of the steel erection.

**Section 441.3.1.5.3., "Nondestructive Testing (NDT),"** is voided and replaced with the following:

Personnel performing NDT must be qualified in accordance with the applicable AWS code and the employer's Written Practice. Level III personnel who qualifies Level I and Level II technicians must be certified by ASNT for which the NDT Level III is qualified. In addition, NDT technicians must pass hands-on tests that MTD administers. This will remain current provided they continue to perform testing on Department materials as evidenced by test reports requiring their signature. A technician who fails any of the hands-on tests must wait 3 mo. or as approved otherwise before retesting. Qualification to perform NDT will be revoked when the technician's employment is terminated or when the technician goes 6 mo. without performing a test on a Department project. The technician must pass a new hands-on test to be re-certified. Testing of similar weld joints for non-Department projects may be considered by the Engineer instead of re-testing provided enough documentation is submitted with the signature of the project's Engineer. These requirements also apply to testing agencies, and individual third-party contractors.

**Section 441.3.1.5.4., “Welding Procedure Specification Qualification Testing,”** is voided and replaced by the following:

For Fabricators qualified in accordance with DMS-7370, DMS-7380, or DMS-7395, laboratories performing procedure qualification testing for welding procedure specifications (WPSs) must be accredited by a nationally recognized agency that performs testing in accordance with ISO/International Electrotechnical Commission (IEC) 17025 in the mechanical field of testing.

**Section 441.3.1.9., “Material Identification,”** is amended to include the following paragraph:

Low-stress stencil marks must have a radius instead of a sharp point. Acceptable stencils include dot, vibration, and rounded-V stencils. Label these stencils so that they are easily distinguishable from other stencils that are not low-stress.

**Section 441.3.2.4.1., “Flange Tilt,”** the last sentence is voided and replaced with the following:

Minor jacking that does not deform the material will be permitted.

**Section 441.3.2.5.3., “Magnetic Particle Testing,”** is voided and replaced with the following:

Use alternating current (AC) when using the yoke method unless otherwise approved. Welds may be further evaluated with half-wave rectified DC for subsurface indications. Centerline cracking may be detected with aluminum prod method when approved.

**Section 441.3.5.8., “Hammering,”** is added to state the following:

Do not perform hammering on any portion of the member that causes the material to permanently deform. Avoid damage to the material by measures such as use of brass or aluminum hammers or by padding the area to be hammered.

**Section 441.3.8.1., “Shop Painting,”** is amended to include with the following paragraph:

Measure the anchor profile after blast cleaning at random locations along the thermal cut surfaces. If specified anchor profile is not achieved over the entire flame cut surface, grind the edges and re-blast to achieve the required anchor pattern.

**Section 441.3.9., “Handling and Storage of Materials,”** The second sentence of the second paragraph is replaced by the following:

Keep materials clean and avoid damaging of the applied coating.

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## Special Provision to Item 442

### Metal for Structures

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Item 442, "Metal for Structures" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Section 442.2.1.3.3., "Fasteners."** The first sentence of the first paragraph is replaced by the following:

**Fasteners.** Provide high-strength bolts that meet ASTM F3125-Grade A325 unless otherwise shown on the plans.

**Section 442.2.1.3.3., "Fasteners."** The third paragraph is deleted and not replaced.

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# Special Provision to Item 446

## Field Cleaning and Painting Steel

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For this project, Item 446, "Field Cleaning and Painting Steel," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 446.4.1., "Qualification,"** the first and second paragraphs are voided and replaced by the following:

Submit to the Engineer documentation verifying SSPC QP 1 or NACE NIICAP AS-1 certification for work requiring the removal or application of coatings. Additionally, submit to the Engineer documentation verifying SSPC QP 2 Cat A or NACE NIICAP AS-2 certification when work requires removal of coatings containing hazardous materials. Maintain certifications throughout the project. No work may be performed without current and active certifications unless otherwise shown on the plans. The Engineer may waive certification requirements for minor, touch-up repair work and coating steel members repaired in accordance with Item 784, "Steel Member Repair."

The Engineer may waive certification requirements, when stated on the plans, for the purpose of qualification in either contractor certification program if the project has been accepted as a qualification project as part of the process for obtaining SSPC QP1 Cat A or NACE NIICAP AS-1 certification. Submit certification applications and proof of acceptance before beginning work or provide SSPC QP 7 certification when required on the plans.

**Section 446.4.7.3.2., "Classes of Cleaning,"** is amended with the following:

Prepare all surfaces of painted steel members subsequently exposed from structural operations, such as deck removal or steel repair, in accordance with this Item. Prevent loose or damaged paint from entering the environment.

# Special Provision to Item 447

## Structural Bolting



Item 447, "Structural Bolting" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Article 447.2.2., "Bolt Assemblies."** The first paragraph is replaced by the following:

**Bolt Assemblies.** Provide ASTM F3125 bolts and nuts and washers meeting the type, grade, and finish requirements in Table 1, unless otherwise shown in the plans.

**Article 447.2.2., "Bolt Assemblies."** The second paragraph is replaced by the following:

Use Grade A325 or Grade A490 Type 3 plain (uncoated) bolts for weathering steel as indicated and Grade A325 Type 1 galvanized bolts for coated steel, unless otherwise shown on the plans.

**Article 447.2.2., "Bolt Assemblies."** Table 1 is replaced by the following:

**Table 1**  
**ASTM Type, Finish, and Grade for Structural Bolts, Nuts, and Washers**

	Bolt <sup>1</sup> Grade	Bolt Type	Bolt Finish	ASTM A563 Nut Grade and Finish	ASTM F436 Washer Type and Finish
Heavy-Hex Bolts <sup>1</sup>	A325	1	Galvanized	DH, <sup>2</sup> galvanized and lubricated	1; galvanized
	A325	3	Plain	C3 and DH3; plain	3; plain
	A490	3	Plain	DH3; plain	3; plain
Tension-Control Bolts <sup>1</sup>	F1852	1	Galvanized	DH, <sup>2</sup> galvanized and lubricated	1; galvanized
	F1852	3	Plain	C3 and DH3; plain	3; plain
	F2280	3	Plain	DH3; plain	3; plain

1. ASTM F3125 High Strength Structural Bolts
2. ASTM A194 Heavy Hex Grade 2H nuts may be substituted.

**Article 447.2.6., "Fitup Bolts and Erection Pins."** The first paragraph is replaced by the following:

**Fitup Bolts and Erection Pins.** Provide heavy-hex fitup bolts of the same diameter as the connection bolts. Do not use washer-type indicating devices for fitups. Do not reuse galvanized bolts or Grade A490 bolts that have been used as fitup bolts. Provide a sufficient number of erection or drift pins, 1/32 in. larger than the bolt diameter.

**Article 447.4.3., "General."** The second sentence of the third paragraph is replaced by the following:

Install hardened washers under both the nut and bolt head of Grade A490 bolts when the outer plies being fastened have a yield strength less than 40 ksi.

**Article 447.4.3., “General.”** Table 2 is replaced by the following:

**Table 2  
Bolt Tension**

Nominal Bolt Size, in.	Minimum Tension (kips)	
	Grade A325 Bolts	Grade A490 Bolts
½	12	15
5/8	19	24
¾	28	35
7/8	39	49
1	51	64
1-1/8	56	80
1-1/4	71	102
1-3/8	85	121
1-1/2	103	148

**Article 447.4.5.2., “Install Bolts.”** The second paragraph is replaced by the following:

Fully tighten a minimum number of bolts as directed until the plies are in full contact if snugging does not bring the plies of the joint into full contact. Mark these bolts as fitup bolts. Use a non-galvanized Grade A325 bolt of the same diameter as a fitup bolt in connections requiring the use of galvanized Grade A325 bolts. Re-snug all remaining bolts.

**Article 447.4.5.3., “Tension Bolts.”** The first paragraph is replaced by the following:

**Tension Bolts.** Loosen all fitup bolts after tensioning all the other bolts in the connection. Ungalvanized Grade A325 bolts used as fitup bolts may be reused in a connection using this type of bolt. Replace all galvanized bolts and Grade A490 bolts used as fitup bolts. Tension these remaining untensioned bolts in accordance with this paragraph. Ensure the element not turned by the wrench (bolt head or nut) does not rotate.

**Article 447.4.5.4., “Bolt Reuse.”** The first paragraph is replaced by the following:

**Bolt Reuse.** Do not reuse Grade A490 or galvanized Grade A325 bolts. Ungalvanized Grade A325 bolts may be reused one time if the threads have not been damaged. Re-tensioning previously tensioned bolts loosened by the tensioning of adjacent bolts is not considered to be reuse.



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# Special Provision to Item 448

## Structural Field Welding

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Item 448, "Structural Field Welding" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 448.2., "Materials,"** the third paragraph is voided and replaced with the following:

Use only electrodes and flux-electrode combinations conforming to AWS A5 specifications and pertinent classifications for the applicable welding processes. When requested, submit a current Certificate of Conformance (COC) containing acceptable wording indicating Buy America compliance and all tests required by the applicable AWS specifications and welding codes. Tests must be conducted on electrodes of the same class, size, and brand; and manufactured by the same process and with the same materials as the electrodes to be furnished.

# Special Provision to Item 449

## Anchor Bolts



Item 449, "Anchor Bolts" of the Standard Specifications is amended with respect to the clause cited below. No other clauses or requirements of this Item are waived or changed.

**Section 449.2.1., "Bolts and Nuts."** Table 1 is replaced by the following:

Table 1  
Bolt and Nut Standards

Specified Anchor Bolt Category	Bolt Standards	Nut Standards
Mild steel	ASTM A307 Gr. A, F1554 Gr. 36, or A36	ASTM A563
Medium-strength, mild steel	ASTM F1554 Gr. 55 with supplementary requirement S1	ASTM A194 Gr. 2 or A563 Gr. D or better
High-strength steel	ASTM F3125-Grade A325 or ASTM A449 <sup>1</sup>	ASTM A194 or A563, heavy hex
Alloy steel	ASTM A193 Gr. B7 or F1554 Gr. 105	ASTM A194 Gr. 2H or A563 Gr. DH, heavy hex

1. If headed bolts are specified, ASTM A449 bolts must be heavy hex head.

**Section 449.3.3.1, "Anchor Bolt Thread Lubricant Coating,"** The first sentence of the first paragraph is voided and replaced by the following.

Coat anchor bolt threads before installing nuts with an electrically conducting lubricant compound described in Section 449.3.3.2.1., "Definitions," for traffic signal poles, roadway illumination poles, high mast illumination poles, intelligent transportation system poles, overhead sign support structures, and steel electrical service supports.

**Section 449.3.3.2, "Anchor Bolt Tightening Procedure,"** The first sentence of the first paragraph is voided and replaced by the following.

Tighten anchor bolts for traffic signal poles, shoe base and concrete traffic barrier base roadway illumination poles, high mast illumination poles, intelligent transportation system poles, and overhead sign support structures in accordance with this Section.

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# Special Provision to Item 450

## Railing

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Item 450, "Railing" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 450.3.1.2, "Fabrication,"** is supplemented with the following.

Fabrication plants that produce metal railing (steel and aluminum) must be approved in accordance with DMS-7395, "Metal Railing Fabrication Plant Qualification." This required approval does not include fabricators of chain link fence. The Materials and Tests Division maintains a MPL of approved fabrication plants of metal railing.

Permanently mark each metal railing post base plate, at a visible location when erected, with the fabrication plant's insignia or trademark. For fabricated rail panels, provide this permanent mark on one post base plate, per panel.

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# Special Provision to Item 464

## Reinforced Concrete Pipe

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Item 464, "Reinforced Concrete Pipe," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 2.1., "Fabrication."** The section is voided and replaced with the following.

Fabrication plants must be approved by the Materials and Tests Division in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures," before furnishing precast reinforced concrete pipe for Departmental projects. The Department's MPL has a list of approved reinforced concrete pipe plants.

Furnish material and fabricate reinforced concrete pipe in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Section 2.3., "Marking."** The first paragraph is voided and replaced with the following.

Furnish each section of reinforced concrete pipe marked with the following information specified in DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

- Class or D-Load of pipe,
- ASTM designation,
- Date of manufacture,
- Pipe size,
- Name or trademark of fabricator and plant location,
- Designation "TX" for precast units fabricated per DMS-7305;
- Designated fabricator's approval stamp for each approved unit,
- Pipe to be used for jacking and boring (when applicable), and
- Designation "SR" for pipe meeting sulfate-resistant concrete plan requirements (when applicable).

**Section 2.5., "Causes for Rejection."** The section is voided and replaced with the following.

Individual sections of pipe may be rejected for any of the conditions stated in the Annex of DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

**Section 2.6., "Repairs."** The section is voided and replaced with the following:

Make repairs, if necessary, as stated in the Annex of DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures."

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# Special Provision to Item 465

## Junction Boxes, Manholes, and Inlets

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Item 465, "Junction Boxes, Manholes, and Inlets," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 2.1., "Concrete,"** The section is voided and replaced with the following.

Furnish concrete per DMS-7305 for formed and machine-made precast junction boxes, manholes, and inlets. Furnish Class C concrete for cast-in-place junction boxes, manholes, and inlets unless otherwise shown on the plans.

**Section 3.1., "Precast Junction Boxes, Manholes, and Inlets,"** The section is voided and replaced with the following.

Construct formed and machine-made precast junction boxes, manholes, and inlets in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures" and the Contract Plans, except as otherwise noted in this Item.

Multi-project fabrication plants as defined in Item 424 "Precast Concrete Structural Members (Fabrication)," that produce junction boxes, manholes, and inlets will be approved by the Materials and Tests Division in accordance with DMS-7305, "Fabrication and Qualification Procedure for Multi-Project Fabrication Plants of Precast Concrete Drainage Structures." The Department's MPL has a list of approved multi-project fabrication plants.

**Section 3.1.1., "Lifting Holes,"** The section is voided and not replaced.

**Section 3.1.2., "Marking,"** The section is voided and replaced with the following.

**Marking.** Clearly mark each precast junction box, manhole, and inlet unit with the following information:

- name or trademark of fabricator and plant location;
- product designation;
- ASTM designation (if applicable);
- date of manufacture;
- designation "TX" for precast units fabricated per DMS-7305;
- designated fabricator's approval stamp for each approved unit; and
- designation "SR" for product meeting sulfate-resistant concrete plan requirements (when applicable).

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## Special Provision to Item 502

### Barricades, Signs and Traffic Handling

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Item 502, "Barricades, Signs and Traffic Handling" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Article 502.1., "Description,"** is supplemented by the following:

Temporary work-zone (TWZ) traffic control devices manufactured after December 31, 2019, must have been successfully tested to the crashworthiness requirements of the 2016 edition of the Manual for Assessing Safety Hardware (MASH). Such devices manufactured on or before this date and successfully tested to NCHRP Report 350 or the 2009 edition of MASH may continue to be used throughout their normal service lives. An exception to the manufacture date applies when, based on the project's date of letting, a category of MASH-2016 compliant TWZ traffic control devices are not approved, or are not self-certified after the December 31, 2019, date. In such case, devices that meet NCHRP-350 or MASH-2009 may be used regardless of the manufacture date.

Such TWZ traffic control devices include: portable sign supports, barricades, portable traffic barriers designated exclusively for use in temporary work zones, crash cushions designated exclusively for use in temporary work zones, longitudinal channelizers, truck and trailer mounted attenuators. Category I Devices (i.e., lightweight devices) such as cones, tubular markers and drums without lights or signs attached however, may be self-certified by the vendor or provider, with documentation provided to Department or as are shown on Department's Compliant Work Zone Traffic Control Device List.

**Article 502.4., "Payment,"** is supplemented by the following:

Truck mounted attenuators and trailer attenuators will be paid for under Special Specification, "Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)." Portable Changeable Message Signs will be paid for under Special Specification, "Portable Changeable Message Sign." Portable Traffic Signals will be paid for under Special Specification, "Portable Traffic Signals."

# Special Provision to Item 506

## Temporary Erosion, Sedimentation, and Environmental Controls



For this project, Item 506, "Temporary Erosion, Sedimentation, and Environmental Controls," of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Article 506.1., "Description,"** is voided and replaced by the following:

Install, maintain, and remove erosion, sedimentation, and environmental control measures to prevent or reduce the discharge of pollutants in accordance with the Storm Water Pollution Prevention Plan (SWP3) or as directed. Ensure the installation and maintenance of control measures is performed in accordance with the manufacturer's or designer's specifications. Erosion and sediment control devices must be selected from the "Erosion Control Approved Products" or "Sediment Control Approved Products" lists. Perform work in a manner to prevent degradation of receiving waters, facilitate project construction, and comply with applicable federal, state, and local regulations.

**Article 506.3., "Qualifications, Training, and Employee Requirements,"** is voided and not replaced.

**Section 506.4.1., "Contractor Responsibilities," Section 506.4.2., "Implementation," and Section 506.4.3., "General,"** are voided and replaced by the following:

4.1. **Contractor Responsibilities.** Implement the SWP3 for the project site in accordance with the plans and specifications, and as directed. Coordinate storm water management with all other work on the project. Develop and implement an SWP3 for project-specific material supply plants within and outside of the Department's right of way in accordance with the specific or general storm water permit requirements. Prevent water pollution from storm water associated with construction activity from entering any surface water or private property on or adjacent to the project site.

4.2. **Implementation.**

4.2.1. **Commencement.** Implement the SWP3 as shown and as directed. Contractor proposed recommendations for changes will be allowed as approved. Do not implement changes until approval has been received and changes have been incorporated into the plans by the Engineer. Minor adjustments to meet field conditions are allowed and will be recorded by the Engineer in the SWP3.

Implement control measures before the commencement of activities that result in soil disturbance. Phase and minimize the soil disturbance to the areas shown on the plans. Coordinate temporary control measures with permanent control measures and all other work activities on the project to assure economical, effective, safe, continuous water pollution prevention. Provide control measures that are appropriate to the construction means, methods, and sequencing allowed by the Contract.

Do not prolong final grading and shaping. Preserve vegetation where possible throughout the project and minimize clearing, grubbing, and excavation within stream banks, bed, and approach sections.

4.3. **General.**

4.3.1. **Temporary Alterations or Control Measure Removal.** Altering or removal of control measures is allowed when control measures are restored within the same working day.

- 4.3.2. **Stabilization.** Initiate stabilization for disturbed areas no more than 14 days after the construction activities in that portion of the site has temporarily or permanently ceased. Establish a uniform vegetative cover or use another stabilization practice as approved.
- 4.3.3. **Finished Work.** Upon the Engineer's acceptance of vegetative cover or other stabilization practice, remove and dispose of all temporary control measures unless otherwise directed. Complete soil disturbing activities and establish a uniform perennial vegetative cover. A project will not be considered for acceptance until a vegetative cover of 70% density of existing adjacent undisturbed areas is obtained or equivalent permanent stabilization is obtained as approved.
- 4.3.4. **Restricted Activities and Required Precautions.** Do not discharge onto the ground or surface waters any pollutants such as chemicals, raw sewage, fuels, lubricants, coolants, hydraulic fluids, bitumens, or any other petroleum product. Operate and maintain equipment on site in a manner as to prevent actual or potential water pollution. Manage, control, and dispose of litter on site such that no adverse impacts to water quality occur. Prevent dust from creating a potential or actual unsafe condition, public nuisance, or condition endangering the value, utility, or appearance of any property. Wash out concrete trucks only in approved contained areas. Use appropriate controls to minimize the offsite transport of suspended sediments and other pollutants if it is necessary to pump or channel standing water (i.e. dewatering). Prevent discharges that would contribute to a violation of Edwards Aquifer Rules, water quality standards, the impairment of a listed water body, or other state or federal law.

**Section 506.4.4., "Installation, Maintenance, and Removal Work."** The first paragraph is voided and replaced by the following.

Perform work in accordance with the SWP3, and according to the manufacturers' guidelines. Install and maintain the integrity of temporary erosion and sedimentation control devices to accumulate silt and debris until soil disturbing activities are completed and permanent erosion control features are in place or the disturbed area has been adequately stabilized as determined by the Engineer.

**Section 506.4.5., "Monitoring and Documentation,"** is voided and not replaced.

**Section 506.6.5.2., "Maintenance Earthwork for Erosion and Sediment Control for Cleaning and/or Restoring Control Measures,"** is voided and replaced by the following:

Earthwork needed to remove and obliterate of erosion-control features will not be paid for directly but is subsidiary to pertinent Items unless otherwise shown on the plans.

Sprinkling and rolling required by this Item will not be paid for directly but will be subsidiary to this Item.



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## Special Provision to Item 520

### Weighing and Measuring Equipment

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Item 520, "Weighing and Measuring Equipment" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 520.2., "Equipment."** The third paragraph is voided and replaced by the following.

Calibrate truck scales using weights certified by the Texas Department of Agriculture (TDA) or an equivalent agency as approved. Provide a written calibration report from a scale mechanic for truck scale calibrations. Cease plant operations during the checking operation. Do not use inaccurate or inadequate scales. Bring performance errors as close to zero as practicable when adjusting equipment.

**Article 520.2., "Equipment."** The fourth paragraph is amended to include the following:

At the Contractors option, an electronic ticket delivery system (e-ticketing) may be used instead of printed tickets. The use of e-ticketing will require written approval of the Engineer. At a minimum, the approved system will:

- Provide electronic, real-time e-tickets meeting the requirements of the applicable bid items;
- Automatically generate e-tickets using software and hardware fully integrated with the automated scale system used to weigh the material, and be designed in such a way that data input cannot be altered by the Contractor or the Engineer;
- Provide the Engineer access to the e-ticketing data in real-time with a web-based or app-based system compatible with iOS;
- Provide offline capabilities to prevent data loss if power or connectivity is lost;
- Require both the Contractor and the Engineer to accept or reject the e-ticket and provide the ability to record the information required by the applicable bid items, as well as any comments. Record the time of the approval/rejection and include it in the summary spreadsheet described below. Provide each party the capability to edit their respective actions and any entered information;

The Contractor may discontinue use of the e-ticket system and provide printed tickets as needed to meet the requirements of the applicable bid items.

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## Special Provision to Item 540 Metal Beam Guard Fence

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Item 540, "Metal Beam Guard Fence" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 540.4.7, "Measurement,"** is voided and replaced with the following:

**Long Span System.** Measurement will be by each long span system, complete in place. Each long span system will be from the first CRT to the last CRT in the system.

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# Special Provision to Item 636

## Signs

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Item 636, "Signs" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Section 636.3.1, "Fabrication."** is deleted.

**Section 636.3.1.2, "Sheeting Application."** The last sentence of the fourth paragraph is voided and replaced by the following.

Do not splice sheeting or overlay films for signs fabricated with ink or with colored transparent films.

# Special Provision to Item 643

## Sign Identification Decals



Item 643, "Sign Identification Decals," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 2. "Materials."** The sign identification decal design shown in Figure 1 and the description for each row in Table 1 are supplemented by the following.

Texas Department of Transportation													
<b>C</b>	<b>Fabrication Date</b>											<b>T</b>	1
J	F	M	A	M	J	J	A	S	O	N	D		2
	201		202		203		204		205				3
	0	1	2	3	4	5	6	7	8	9			4
<b>Sheeting MFR - Substrate</b>													
A	B	C	D	E	F	G	H	J	K	L	M		5
<b>Film MFR</b>													
A	B	C	D	E	F	G	H	J	K	L	M		6
<b>Sheeting MFR - Legend</b>													
A	B	C	D	E	F	G	H	J	K	L	M		7
<b>Installation Date</b>													
				0	1	2	3						8
	0	1	2	3	4	5	6	7	8	9			9
J	F	M	A	M	J	J	A	S	O	N	D		10
	201		202		203		204		205				11
	0	1	2	3	4	5	6	7	8	9			12
<b>Name of Sign Fabricator</b> <b>Physical Address</b> <b>City, State, Zip Code</b>													13

**Figure 1**  
Decal Design (Row numbers explained in Table 1)

**Table 1**  
**Decal Description**  
**Row Explanation**

<b>1</b>	Sign fabricator
<b>2</b>	Month fabricated
<b>3</b>	First 3 digits of year fabricated
<b>4</b>	Last digit of year fabricated
<b>5</b>	Manufacturer of the sheeting applied to the substrate
<b>6</b>	Film (colored transparent or non-reflective black) manufacturer
<b>7</b>	Manufacturer of the sheeting for the legend
<b>8</b>	Tens digit of date installed
<b>9</b>	Ones digit of date installed
<b>10</b>	Month installed
<b>11</b>	First 3 digits of year installed
<b>12</b>	Last digit of year installed
<b>13</b>	Name of sign fabricator and physical location of sign shop

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## Special Provision to Item 656

### Foundations for Traffic Control Devices

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Item 656, "Foundations for Traffic Control Devices" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 3. "Construction,"** the first paragraph is supplemented by the following:

Ensure the top of the foundation and anchor bolts meet specified requirements in relation to the final grade.

# Special Provision to Item 666

## Retroreflectorized Pavement Markings



Item 666, "Retroreflectorized Pavement Markings," of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Section 2.3., "Glass Traffic Beads."** The first paragraph is voided and replaced by the following:

Furnish drop-on glass beads in accordance with DMS-8290, "Glass Traffic Beads," or as approved. Furnish a double-drop of Type II and Type III drop-on glass beads for longitudinal pavement markings where each type bead is applied separately in equal portions (by weight), unless otherwise approved. Apply the Type III beads before applying the Type II beads. Furnish Type II beads for work zone pavement markings and transverse markings or symbols.

**Section 4.3.1., "Type I Markings.,"** is supplemented by the following:

**4.3.1.3. Spot Striping.** Perform spot striping on a callout basis with a minimum callout quantity as shown on the plans.

**Section 4.3.2., "Type II Markings.,"** is supplemented by the following:

**4.3.2.1. Spot Striping.** Perform spot striping on a callout basis with a minimum callout quantity as shown on the plans.

**Section 4.4., "Retroreflectivity Requirements.,"** is voided and replaced by the following.

Type I markings for Contracts totaling more than 20,000 ft. of pavement markings must meet the following minimum retroreflectivity values for all longitudinal edgeline, centerline or no passing barrier-line, and lane line markings when measured any time after 3 days, but not later than 10 days after application.

- White markings: 250 millicandelas per square meter per lux (mcd/m<sup>2</sup>/lx)
- Yellow markings: 175 mcd/m<sup>2</sup>/lx

Retroreflectivity requirements for Type I markings are not required for Contracts with less than 20,000 ft. of pavement markings or Contracts with callout work, unless otherwise shown on the plans.

**Section 4.5., "Retroreflectivity Measurements.,"** is voided and replaced by the following:

Use a mobile retroreflectometer to measure retroreflectivity for Contracts totaling more than 50,000 ft. of pavement markings, unless otherwise shown on the plans. For Contracts with less than 50,000 ft. of pavement markings, mobile or portable retroreflectometers may be used at the Contractor's discretion. Coordinate with and obtain authorization from the Engineer before starting any retroreflectivity data collection.

**Section 4.5.1., "Mobile Retroreflectometer Measurements."** The last paragraph is voided and replaced by the following.

Restripe again at the Contractor's expense with a minimum of 0.060 in. (60 mils) of Type I marking material if the average of these measurements falls below the minimum retroreflectivity requirements. Take measurements every 0.1 miles a minimum of 10 days after this third application within that mile segment for that series of markings. If the markings do not meet minimum retroreflectivity after this third application, the Engineer may require removal of all existing markings, a new application as initially specified, and a repeat of the application process until minimum retroreflectivity requirements are met.

**Section 4.5.2., "Portable Retroreflector Measurements."** The first and second paragraphs are voided and replaced by the following.

Provide portable measurement averages for every 1.0 mile unless otherwise specified or approved. Take a minimum of 20 measurements for each 1-mi. section of roadway for each series of markings (e.g., edgeline, center skip line, each line of a double line) and direction of traffic flow when using a portable reflectometer. Measure each line in both directions for centerlines on two-way roadways (i.e., measure both double solid lines in both directions and measure all center skip lines in both directions). The spacing between each measurement must be at least 100 ft. The Engineer may decrease the mileage frequency for measurements if the previous measurements provide satisfactory results. The Engineer may require the original number of measurements if concerns arise.

Restripe at the Contractor's expense with a minimum of 0.060 in. (60 mils) of Type I marking material if the averages of these measurements fail. Take a minimum of 10 more measurements after 10 days of this second application within that mile segment for that series of markings. Restripe again at the Contractor's expense with a minimum of 0.060 in. (60 mils) of Type I marking material if the average of these measurements falls below the minimum retroreflectivity requirements. If the markings do not meet minimum retroreflectivity after this third application, the Engineer may require removal of all existing markings, a new application as initially specified, and a repeat of the application process until minimum retroreflectivity requirements are met.

**Section 4.6. "Performance Period."** The first sentence is voided and replaced by the following:

All longitudinal markings must meet the minimum retroreflectivity requirements within the time frame specified. All markings must meet all other performance requirements of this specification for at least 30 calendar days after installation.

**Article 6. "Payment."** The first two paragraphs are voided and replaced by the following.

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Pavement Sealer" of the size specified; "Retroreflectorized Pavement Markings" of the type and color specified and the shape, width, size, and thickness (Type I markings only) specified, as applicable; "Retroreflectorized Pavement Markings with Retroreflective Requirements" of the types, colors, sizes, widths, and thicknesses specified; "Retroreflectorized Profile Pavement Markings" of the various types, colors, shapes, sizes, and widths specified; or "Reflecterized Pavement Marking (Call Out)" of the shape, width, size, and thickness (Type I markings only) specified, as applicable; or "Pavement Sealer (Call Out)" of the size specified.

This price is full compensation for materials, application of pavement markings, equipment, labor, tools, and incidentals.



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## Special Provision to Item 680 Highway Traffic Signals

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Item 680, "Highway Traffic Signals" of the Standard Specifications is amended with respect to the clauses cited below. No other clauses or requirements of this Item are waived or changed.

**Article 680.3.1.1.2,"Conduit,"** The fourth sentence of the first paragraph is voided and replaced by the following.

Seal the ends of each conduit with approved sealant, after all cables and conductors are installed.

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# Special Provision to Special Specification 6185

## Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)

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Item 6185, "Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)" of the Standard Specifications, is hereby amended with respect to the clauses cited below, and no other clauses or requirements of this Item are waived or changed hereby.

**Article 4. "Measurement"**, is voided and replaced by the following:

- 4.1. **Truck Mounted Attenuator/Trailer Attenuator (Stationary).** This Item will be measured by the day. TMA/TAs must be set up in a work area and operational before a calendar day can be considered measurable. A day will be measured for each TMA/TA set up and operational on the worksite.
- 4.2. **Truck Mounted Attenuator/Trailer Attenuator (Mobile Operation).** This Item will be measured by the hour or by the day. The time begins once the TMA/TA is ready for operation at the predetermined site and stops when notified by the Engineer. When measurement by the hour is specified, a minimum of 4 hr. will be paid each day for each operating TMA/TA used in a mobile operation. When measurement by the day is specified, a day will be measured for each TMA/TA set up and operational on the worksite.

# Special Specification 3076

## Dense-Graded Hot-Mix Asphalt



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) pavement layer composed of a compacted, dense-graded mixture of aggregate and asphalt binder mixed hot in a mixing plant. Payment adjustments will apply to HMA placed under this specification unless the HMA is deemed exempt in accordance with Section 3076.4.9.4., "Exempt Production."

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements shown in Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse, intermediate, or fine aggregate. Aggregate from reclaimed asphalt pavement (RAP) is not required to meet Table 1 requirements unless otherwise shown on the plans. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests listed in Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance; and
- once approved, do not add material to the stockpile unless otherwise approved.

Provide aggregate from non-listed sources only when tested by the Engineer and approved before use. Allow 30 calendar days for the Engineer to sample, test, and report results for non-listed sources.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program (AQMP)* ([Tex-499-A](#)) is listed in the BRSQC.

- 2.1.1.1. **Blending Class A and Class B Aggregates.** Class B aggregate meeting all other requirements in Table 1 may be blended with a Class A aggregate to meet requirements for Class A materials, unless otherwise shown on the plans. Ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source when blending Class A and B aggregates to meet a Class A requirement unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Coarse aggregate from RAP and Recycled Asphalt Shingles (RAS) will be considered as Class B aggregate for blending purposes.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Intermediate Aggregate.** Aggregates not meeting the definition of coarse or fine aggregate will be defined as intermediate aggregate. Supply intermediate aggregates, when used that are free from organic impurities. The Engineer may test the intermediate aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Supply intermediate aggregate from coarse aggregate sources, when used that meet the requirements shown in Table 1 unless otherwise approved.

Test the stockpile if 10% or more of the stockpile is retained on the No. 4 sieve, and verify that it meets the requirements in Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

2.1.3.

**Fine Aggregate.** Fine aggregates consist of manufactured sands, screenings, and field sands. Fine aggregate stockpiles must meet the gradation requirements in Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Unless otherwise shown on the plans, up to 10% of the total aggregate may be field sand or other uncrushed fine aggregate. Use fine aggregate, with the exception of field sand, from coarse aggregate sources that meet the requirements shown in Table 1 unless otherwise approved.

Test the stockpile if 10% or more of the stockpile is retained on the No. 4 sieve and verify that it meets the requirements in Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

**Table 1**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement
<b>Coarse Aggregate</b>		
SAC	<a href="#">Tex-499-A</a> (AQMP)	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.5
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note 1
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	40
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	30
Crushed face count, <sup>2</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	85
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
<b>Fine Aggregate</b>		
Linear shrinkage, %, Max	<a href="#">Tex-107-E</a>	3
Sand equivalent, %, Min	<a href="#">Tex-203-F</a>	45

- Used to estimate the magnesium sulfate soundness loss in accordance with Section 3076.2.1.1.2., "Micro-Deval Abrasion."
- Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70–100
#200	0–30

2.2.

**Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, hydrated lime, or fly ash. Mineral filler is allowed unless otherwise shown on the plans. Use no more than 2% hydrated lime or fly ash unless otherwise shown on the plans. Use no more than 1% hydrated lime if a substitute binder is used unless otherwise shown on the plans or allowed. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-107-E](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:

- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
- does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
- meets the gradation requirements in Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55–100

2.3.

**Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.

2.4.

**Asphalt Binder.** Furnish the type and grade of performance-graded (PG) asphalt specified on the plans.

- 2.5. **Tack Coat.** Furnish CSS-1H, SS-1H, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's MPL are allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.
- 2.6. **Additives.** Use the type and rate of additive specified when shown on the plans. Additives that facilitate mixing, compaction, or improve the quality of the mixture are allowed when approved. Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.6.1. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.6.2. **Warm Mix Asphalt (WMA).** Warm Mix Asphalt (WMA) is defined as HMA that is produced within a target temperature discharge range of 215°F and 275°F using approved WMA additives or processes from the Department's MPL.
- WMA is allowed for use on all projects and is required when shown on the plans. When WMA is required, the maximum placement or target discharge temperature for WMA will be set at a value below 275°F.
- Department-approved WMA additives or processes may be used to facilitate mixing and compaction of HMA produced at target discharge temperatures above 275°F; however, such mixtures will not be defined as WMA.
- 2.6.3. **Compaction Aid.** Compaction Aid is defined as a chemical warm mix additive that is used to produce an asphalt mixture at a discharge temperature greater than 275°F.
- Compaction Aid is allowed for use on all projects and is required when shown on the plans.
- 2.7. **Recycled Materials.** Use of RAP and RAS is permitted unless otherwise shown on the plans. Use of RAS is restricted to only intermediate and base mixes unless otherwise shown on the plans. Do not exceed the maximum allowable percentages of RAP and RAS shown in Table 4. The allowable percentages shown in Table 4 may be decreased or increased when shown on the plans. Determine the asphalt binder content and gradation of the RAP and RAS stockpiles for mixture design purposes in accordance with [Tex-236-F](#), Part I. The Engineer may verify the asphalt binder content of the stockpiles at any time during production. Perform other tests on RAP and RAS when shown on the plans. Asphalt binder from RAP and RAS is designated as recycled asphalt binder. Calculate and ensure that the ratio of the recycled asphalt binder to total binder does not exceed the percentages shown in Table 5 during mixture design and HMA production when RAP or RAS is used. Use a separate cold feed bin for each stockpile of RAP and RAS during HMA production.
- Surface, intermediate, and base mixes referenced in Tables 4 and 5 are defined as follows:
- **Surface.** The final HMA lift placed at the top of the pavement structure or placed directly below mixtures produced in accordance with Items 316, 342, 347, or 348;
  - **Intermediate.** Mixtures placed below an HMA surface mix and less than or equal to 8.0 in. from the riding surface; and
  - **Base.** Mixtures placed greater than 8.0 in. from the riding surface. Unless otherwise shown on the plans, mixtures used for bond breaker are defined as base mixtures.
- 2.7.1. **RAP.** RAP is salvaged, milled, pulverized, broken, or crushed asphalt pavement. Fractionated RAP is defined as a stockpile that contains RAP material with a minimum of 95.0% passing the 3/8-in. or 1/2-in. sieve, before burning in the ignition oven, unless otherwise approved. The Engineer may allow the Contractor to use an alternate to the 3/8-in. or 1/2-in. screen to fractionate the RAP.

Use of Contractor-owned RAP including HMA plant waste is permitted unless otherwise shown on the plans. Department-owned RAP stockpiles are available for the Contractor's use when the stockpile locations are shown on the plans. If Department-owned RAP is available for the Contractor's use, the Contractor may use Contractor-owned fractionated RAP and replace it with an equal quantity of Department-owned RAP. Department-owned RAP generated through required work on the Contract is available for the Contractor's use when shown on the plans. Perform any necessary tests to ensure Contractor- or Department-owned RAP is appropriate for use. The Department will not perform any tests or assume any liability for the quality of the Department-owned RAP unless otherwise shown on the plans. The Contractor will retain ownership of RAP generated on the project when shown on the plans.

Do not use Department- or Contractor-owned RAP contaminated with dirt or other objectionable materials. Do not use Department- or Contractor-owned RAP if the decantation value exceeds 5% and the plasticity index is greater than 8. Test the stockpiled RAP for decantation in accordance with [Tex-406-A](#), Part I. Determine the plasticity index in accordance with [Tex-106-E](#) if the decantation value exceeds 5%. The decantation and plasticity index requirements do not apply to RAP samples with asphalt removed by extraction or ignition.

Do not intermingle Contractor-owned RAP stockpiles with Department-owned RAP stockpiles. Remove unused Contractor-owned RAP material from the project site upon completion of the project. Return unused Department-owned RAP to the designated stockpile location.

**Table 4**  
**Maximum Allowable Amounts of RAP<sup>1</sup>**

Maximum Allowable Fractionated RAP (%)		
Surface	Intermediate	Base
15.0	25.0	30.0

1. Must also meet the recycled binder to total binder ratio shown in Table 5.

#### 2.7.2.

**RAS.** Use of post-manufactured RAS or post-consumer RAS (tear-offs) is not permitted in surface mixtures unless otherwise shown on the plans. RAS may be used in intermediate and base mixtures unless otherwise shown on the plans. Up to 3% RAS may be used separately or as a replacement for fractionated RAP in accordance with Table 4 and Table 5. RAS is defined as processed asphalt shingle material from manufacturing of asphalt roofing shingles or from re-roofing residential structures. Post-manufactured RAS is processed manufacturer's shingle scrap by-product. Post-consumer RAS is processed shingle scrap removed from residential structures. Comply with all regulatory requirements stipulated for RAS by the TCEQ. RAS may be used separately or in conjunction with RAP.

Process the RAS by ambient grinding or granulating such that 100% of the particles pass the 3/8 in. sieve when tested in accordance with [Tex-200-F](#), Part I. Perform a sieve analysis on processed RAS material before extraction (or ignition) of the asphalt binder.

Add sand meeting the requirements of Table 1 and Table 2 or fine RAP to RAS stockpiles if needed to keep the processed material workable. Any stockpile that contains RAS will be considered a RAS stockpile and be limited to no more than 3.0% of the HMA mixture in accordance with Table 4.

Certify compliance of the RAS with [DMS-11000](#), "Evaluating and Using Nonhazardous Recyclable Materials Guidelines." Treat RAS as an established nonhazardous recyclable material if it has not come into contact with any hazardous materials. Use RAS from shingle sources on the Department's MPL. Remove substantially all materials before use that are not part of the shingle, such as wood, paper, metal, plastic, and felt paper. Determine the deleterious content of RAS material for mixture design purposes in accordance with [Tex-217-F](#), Part III. Do not use RAS if deleterious materials are more than 0.5% of the stockpiled RAS unless otherwise approved. Submit a sample for approval before submitting the mixture design. The Department will perform the testing for deleterious material of RAS to determine specification compliance.

2.8.

**Substitute Binders.** Unless otherwise shown on the plans, the Contractor may use a substitute PG binder listed in Table 5 instead of the PG binder originally specified, if using recycled materials, and if the substitute PG binder and mixture made with the substitute PG binder meet the following:

- the substitute binder meets the specification requirements for the substitute binder grade in accordance with Section 300.2.10., "Performance-Graded Binders;" and
- the mixture has less than 10.0 mm of rutting on the Hamburg Wheel test ([Tex-242-F](#)) after the number of passes required for the originally specified binder. Use of substitute PG binders may only be allowed at the discretion of the Engineer if the Hamburg Wheel test results are between 10.0 mm and 12.5 mm.

**Table 5**  
**Allowable Substitute PG Binders and Maximum Recycled Binder Ratios**

Originally Specified PG Binder	Allowable Substitute PG Binder for Surface Mixes	Allowable Substitute PG Binder for Intermediate and Base Mixes	Maximum Ratio of Recycled Binder <sup>1</sup> to Total Binder (%)		
			Surface	Intermediate	Base
76-22 <sup>4,5</sup>	70-22	70-22	10.0	20.0	25.0
70-22 <sup>2,5</sup>	N/A	64-22	10.0	20.0	25.0
64-22 <sup>2,3</sup>	N/A	N/A	10.0	20.0	25.0
76-28 <sup>4,5</sup>	70-28	70-28	10.0	20.0	25.0
70-28 <sup>2,5</sup>	N/A	64-28	10.0	20.0	25.0
64-28 <sup>2,3</sup>	N/A	N/A	10.0	20.0	25.0

1. Combined recycled binder from RAP and RAS. RAS is not permitted in surface mixtures unless otherwise shown on the plans.
2. Binder substitution is not allowed for surface mixtures.
3. Binder substitution is not allowed for intermediate and base mixtures.
4. Use no more than 10.0% recycled binder in surface mixtures when using this originally specified PG binder.
5. Use no more than 20.0% recycled binder when using this originally specified PG binder for intermediate mixtures. Use no more than 25.0% recycled binder when using this originally specified PG binder for base mixtures.

3.

### EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement."

4.

### CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

4.1.

**Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 6. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.



**Table 6**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate and Recycled Material Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F</a> , Part I	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F</a> , Part II	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F</a> , Parts I & III	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F</a> , Part II	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	TxDOT
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	TxDOT
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Linear shrinkage	<a href="#">Tex-107-E</a>	✓	✓	AGG101
Sand equivalent	<a href="#">Tex-203-F</a>	✓	✓	AGG101
Organic impurities	<a href="#">Tex-408-A</a>	✓	✓	AGG101
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C</a> , Part III	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F</a> , Part II	✓	✓	2
Indirect tensile strength	<a href="#">Tex-226-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test	<a href="#">Tex-530-C</a>	✓	✓	1A
<b>4. Production Testing</b>				
Selecting production random numbers	<a href="#">Tex-225-F</a> , Part I		✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	✓	✓	1A
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F</a> , Part II	✓	✓	1A/AGG101
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Boil test	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	TxDOT
<b>5. Placement Testing</b>				
Selecting placement random numbers	<a href="#">Tex-225-F</a> , Part II		✓	1B
Trimming roadway cores	<a href="#">Tex-251-F</a> , Parts I & II	✓	✓	1A/1B
In-place air voids	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
In-place density (nuclear method)	<a href="#">Tex-207-F</a> , Part III	✓		1B
Establish rolling pattern	<a href="#">Tex-207-F</a> , Part IV	✓		1B
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Segregation (density profile)	<a href="#">Tex-207-F</a> , Part V	✓	✓	1B
Longitudinal joint density	<a href="#">Tex-207-F</a> , Part VII	✓	✓	1B
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Shear Bond Strength Test	<a href="#">Tex-249-F</a>		✓	TxDOT

- Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
- Refer to Section 3076.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.
- Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.

4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement QC/QA, control charts, thermal profiles, segregation density profiles, and longitudinal joint density. Obtain the current version of the templates at <http://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is as given in Table 7 unless otherwise approved. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement, a payment adjustment less than 1.000, or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Article 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 7**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>2</sup>			
Moisture content <sup>3</sup>			
Boil test <sup>3</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>3</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>3</sup>			
Laboratory-molded density <sup>1</sup>			
Hamburg Wheel test <sup>4</sup>			
Boil test <sup>3</sup>			
Binder tests <sup>4</sup>			
<b>Placement Quality Control</b>			
In-place air voids <sup>2</sup>	Contractor	Engineer	1 working day of completion of the lot
Segregation <sup>1</sup>			
Longitudinal joint density <sup>1</sup>			
Thermal profile <sup>1</sup>			
<b>Placement Quality Assurance</b>			
In-place air voids <sup>1</sup>	Engineer	Contractor	1 working day after receiving the trimmed cores <sup>5</sup>
Segregation <sup>3</sup>			1 working day of completion of the lot
Longitudinal joint density <sup>3</sup>			
Thermal profile <sup>3</sup>			
Aging ratio <sup>4</sup>			
Payment adjustment summary	Engineer	Contractor	2 working days of performing all required tests and receiving Contractor test data

1. These tests are required on every subplot.
2. Optional test. When performed on split samples, report the results as soon as they become available.
3. To be performed at the frequency specified in Table 16 or as shown on the plans.
4. To be reported as soon as the results become available.
5. 2 days are allowed if cores cannot be dried to constant weight within 1 day.

The Engineer will use the Department-provided template to calculate all payment adjustment factors for the lot. Sublot samples may be discarded after the Engineer and Contractor sign off on the payment adjustment summary documentation for the lot.

Use the procedures described in [Tex-233-F](#) to plot the results of all quality control (QC) and quality assurance (QA) testing. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting. Receive approval of the QCP before beginning production. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:

- a list of individuals responsible for QC with authority to take corrective action;
- current contact information for each individual listed; and
- current copies of certification documents for individuals performing specified QC functions.

- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:

- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
- aggregate stockpiling procedures to avoid contamination and segregation;
- frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
- procedure for monitoring the quality and variability of asphalt binder.

- 4.3.3. **Production.** For production, include:

- loader operation procedures to avoid contamination in cold bins;
- procedures for calibrating and controlling cold feeds;
- procedures to eliminate debris or oversized material;
- procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, RAP, RAS, lime, liquid antistripping, WMA);
- procedures for reporting job control test results; and
- procedures to avoid segregation and drain-down in the silo.

- 4.3.4. **Loading and Transporting.** For loading and transporting, include:

- type and application method for release agents; and
- truck loading procedures to avoid segregation.

- 4.3.5. **Placement and Compaction.** For placement and compaction, include:

- proposed agenda for mandatory pre-paving meeting, including date and location;
- proposed paving plan (e.g., paving widths, joint offsets, and lift thicknesses);
- type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
- procedures for the transfer of mixture into the paver, while avoiding segregation and preventing material spillage;
- process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
- paver operations (e.g., operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
- procedures to construct quality longitudinal and transverse joints.

#### 4.4. Mixture Design.

4.4.1. **Design Requirements.** The Contractor will design the mixture using a Superpave Gyrotory Compactor (SGC). A Texas Gyrotory Compactor (TGC) may be used when shown on the plans. Use the dense-graded design procedure provided in [Tex-204-F](#). Design the mixture to meet the requirements listed in Tables 1, 2, 3, 4, 5, 8, 9, and 10.

4.4.1.1. **Design Number of Gyration (Ndesign) When The SGC Is Used.** Design the mixture at 50 gyrations (Ndesign). Use a target laboratory-molded density of 96.0% to design the mixture; however, adjustments can be made to the Ndesign value as noted in Table 9. The Ndesign level may be reduced to at least 35 gyrations at the Contractor's discretion.

Use an approved laboratory from the Department's MPL to perform the Hamburg Wheel test, and provide results with the mixture design, or provide the laboratory mixture and request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the laboratory mixture design.

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- asphalt binder content and aggregate gradation of RAP and RAS stockpiles;
- the target laboratory-molded density (or Ndesign level when using the SGC);
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 8**  
**Master Gradation Limits (% Passing by Weight or Volume) and VMA Requirements**

Sieve Size	B Fine Base	C Coarse Surface	D Fine Surface	F Fine Mixture
2"	–	–	–	–
1-1/2"	100.0 <sup>1</sup>	–	–	–
1"	98.0–100.0	100.0 <sup>1</sup>	–	–
3/4"	84.0–98.0	95.0–100.0	100.0 <sup>1</sup>	–
1/2"	–	–	98.0–100.0	100.0 <sup>1</sup>
3/8"	60.0–80.0	70.0–85.0	85.0–100.0	98.0–100.0
#4	40.0–60.0	43.0–63.0	50.0–70.0	70.0–90.0
#8	29.0–43.0	32.0–44.0	35.0–46.0	38.0–48.0
#30	13.0–28.0	14.0–28.0	15.0–29.0	12.0–27.0
#50	6.0–20.0	7.0–21.0	7.0–20.0	6.0–19.0
#200	2.0–7.0	2.0–7.0	2.0–7.0	2.0–7.0
<b>Design VMA, % Minimum</b>				
–	13.0	14.0	15.0	16.0
<b>Production (Plant-Produced) VMA, % Minimum</b>				
–	12.5	13.5	14.5	15.5

1. Defined as maximum sieve size. No tolerance allowed.

**Table 9**  
**Laboratory Mixture Design Properties**

Mixture Property	Test Method	Requirement
Target laboratory-molded density, % (SGC)	<a href="#">Tex-207-F</a>	96.0
Design gyrations (N <sub>design</sub> for SGC)	<a href="#">Tex-241-F</a>	50 <sup>1</sup>
Indirect tensile strength (dry), psi	<a href="#">Tex-226-F</a>	85–200 <sup>2</sup>
Boil test <sup>3</sup>	<a href="#">Tex-530-C</a>	–

1. Adjust within a range of 35–100 gyrations when shown on the plans or specification or when mutually agreed between the Engineer and Contractor.
2. The Engineer may allow the IDT strength to exceed 200 psi if the corresponding Hamburg Wheel rut depth is greater than 3.0 mm and less than 12.5 mm.
3. Used to establish baseline for comparison to production results. May be waived when approved.

**Table 10**  
**Hamburg Wheel Test Requirements**

High-Temperature Binder Grade	Test Method	Minimum # of Passes @ 12.5 mm <sup>1</sup> Rut Depth, Tested @ 50°C
PG 64 or lower	<a href="#">Tex-242-F</a>	10,000 <sup>2</sup>
PG 70		15,000 <sup>3</sup>
PG 76 or higher		20,000

1. When the rut depth at the required minimum number of passes is less than 3 mm, the Engineer may require the Contractor to increase the target laboratory-molded density (TGC) by 0.5% to no more than 97.5% or lower the N<sub>design</sub> level (SGC) to at least 35 gyrations.
2. May be decreased to at least 5,000 passes when shown on the plans.
3. May be decreased to at least 10,000 passes when shown on the plans.

- 4.4.1.2. **Target Laboratory-Molded Density When The TGC Is Used.** Design the mixture at a 96.5% target laboratory-molded density. Increase the target laboratory-molded density to 97.0% or 97.5% at the Contractor's discretion or when shown on the plans or specification.
- 4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, target laboratory-molded density (or N<sub>design</sub> level), and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When WMA is used, JMF1 may be designed and submitted to the Engineer without including the WMA additive. When WMA is used, document the additive or process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than 2 trial batches per design are required.
- 4.4.2.1. **Contractor's Responsibilities.**
- 4.4.2.1.1. **Providing Gyrotory Compactor.** Use a SGC calibrated in accordance with [Tex-241-F](#) to design the mixture in accordance with [Tex-204-F](#), Part IV, for molding production samples. Locate the SGC, if used, at the Engineer's field laboratory and make the SGC available to the Engineer for use in molding production samples. Furnish a TGC calibrated in accordance with [Tex-914-K](#) when shown on the plans to design the mixture in accordance with [Tex-204-F](#), Part I, for molding production samples.
- 4.4.2.1.2. **Gyrotory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyrotory compactor correlation when the Engineer uses a different gyrotory compactor. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide approximately 10,000 g of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture, and request that the Department perform the test.

- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 months old. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for QA testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** Perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. The Engineer may waive the requirement for the boil test.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the WMA additive or process if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in Table 4, Table 5, and Table 11. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into 3 equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in Table 11. Ensure the trial batch mixture is also in compliance with the Hamburg Wheel requirement in Table 10. Use a Department-approved laboratory to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results after the Engineer grants full approval of JMF1 based on results from the trial batch, determine the optimum mixture proportions, and submit as JMF2. Adjust the asphalt binder content or gradation to achieve the specified target laboratory-molded density. The asphalt binder content established for JMF2 is not required to be within any tolerance of the optimum asphalt binder content established for JMF1; however, mixture produced using JMF2 must meet the voids in mineral aggregates (VMA) requirements for production shown in Table 8. If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 production to confirm the indirect tensile strength does not exceed 200 psi. Verify that JMF2 meets the mixture requirements in Table 5.
- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 as described in Section 3076.4.9.3.1.1., "Lot 1 Placement," after receiving approval for JMF2 and a passing result from the Department's or a Department-approved

laboratory's Hamburg Wheel test on the trial batch. If desired, proceed to Lot 1 production, once JMF2 is approved, at the Contractor's risk without receiving the results from the Department's Hamburg Wheel test on the trial batch.

Notify the Engineer if electing to proceed without Hamburg Wheel test results from the trial batch. Note that the Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test to be removed and replaced at the Contractor's expense.

4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.

4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:

- be provided to the Engineer in writing before the start of a new lot;
- be numbered in sequence to the previous JMF;
- meet the mixture requirements in Table 4 and Table 5;
- meet the master gradation limits shown in Table 8; and
- be within the operational tolerances of JMF2 listed in Table 11.

4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3076.4.9.1., "Referee Testing," to resolve testing differences with the Engineer.

**Table 11**  
**Operational Tolerances**

Description	Test Method	Allowable Difference Between Trial Batch and JMF1 Target	Allowable Difference from Current JMF Target	Allowable Difference between Contractor and Engineer <sup>1</sup>
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	Must be Within Master Grading Limits in Table 8	±5.0 <sup>2,3</sup>	±5.0
Individual % retained for sieves smaller than #8 and larger than #200			±3.0 <sup>2,3</sup>	±3.0
% passing the #200 sieve			±2.0 <sup>2,3</sup>	±1.6
Asphalt binder content, %	<a href="#">Tex-236-F</a>	±0.5	±0.3 <sup>3</sup>	±0.3
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	±1.0	±1.0	±1.0
In-place air voids, %		N/A	N/A	±1.0
Laboratory-molded bulk specific gravity		N/A	N/A	±0.020
VMA, %, min	<a href="#">Tex-204-F</a>	Note <sup>4</sup>	Note <sup>4</sup>	N/A
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	N/A	±0.020

1. Contractor may request referee testing only when values exceed these tolerances.
2. When within these tolerances, mixture production gradations may fall outside the master grading limits; however, the % passing the #200 will be considered out of tolerance when outside the master grading limits.
3. Only applies to mixture produced for Lot 1 and higher.
4. Test and verify that Table 8 requirements are met.

4.4.2.2. **Engineer's Responsibilities.**

4.4.2.2.1. **Gyratory Compactor.** For SGC mixtures designed in accordance with [Tex-204-F](#), Part IV, the Engineer will use a Department SGC, calibrated in accordance with [Tex-241-F](#), to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location. The Engineer will make the Contractor-provided SGC in the Department field laboratory available to the Contractor for molding verification samples.

For TGC mixtures designed in accordance with [Tex-204-F](#), Part I, the Engineer will use a Department TGC, calibrated in accordance with [Tex-914-K](#), to mold samples for trial batch and production testing. The Engineer will make the Department TGC and the Department field laboratory available to the Contractor for molding verification samples, if requested by the Contractor.



4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within 2 working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, additives, and recycled materials; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test results with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with Section 3076.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

After conditionally approving JMF1, including either Contractor- or Department-supplied Hamburg Wheel test results, the Contractor is authorized to produce a trial batch.

4.4.2.2.3. **Hamburg Wheel Testing of JMF1.** If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the laboratory mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 10.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 months old.

4.4.2.2.5. **Testing the Trial Batch.** Within 1 full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in Table 11. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 10.

The Engineer will have the option to perform the following tests on the trial batch:

- [Tex-226-F](#), to verify that the indirect tensile strength meets the requirement shown in Table 9; and
- [Tex-530-C](#), to retain and use for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in Table 11. The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in Table 5 and the gradation meets the master grading limits shown in Table 8. The asphalt binder content established for JMF2 is not required to be within any tolerance of the optimum asphalt binder content established for JMF1; however, mixture produced using JMF2 must meet the VMA requirements shown in Table 8. If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 production to confirm the indirect tensile strength does not exceed 200 psi.



4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2) as soon as a passing result is achieved from the Department's or a Department-approved laboratory's Hamburg Wheel test on the trial batch. The Contractor may proceed at its own risk with Lot 1 production without the results from the Hamburg Wheel test on the trial batch.

If the Department's or Department-approved laboratory's sample from the trial batch fails the Hamburg Wheel test, the Engineer will suspend production until further Hamburg Wheel tests meet the specified values. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test be removed and replaced at the Contractor's expense.

4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the mixture requirements shown in Table 4, Table 5, and the master grading limits shown in Table 8, and are within the operational tolerances of JMF2 shown in Table 11.

4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification. Submit a new mix design and perform a new trial batch when the asphalt binder content of:

- any RAP stockpile used in the mix is more than 0.5% higher than the value shown on the mixture design report; or
- RAS stockpile used in the mix is more than 2.0% higher than the value shown on the mixture design report.

4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.

4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures listed in Table 12 (or 275°F for WMA). The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures listed in Table 12.

**Table 12**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Maximum Production Temperature
PG 64	325°F
PG 70	335°F
PG 76	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Produce WMA within the target discharge temperature range of 215°F and 275°F when WMA is required. Take corrective action any time the discharge temperature of the WMA exceeds the target discharge range. The Engineer may suspend production operations if the Contractor's corrective action is not successful at controlling the production temperature within the target discharge range. Note that when WMA is produced, it may be necessary to adjust burners to ensure complete combustion such that no burner fuel residue remains in the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with

[Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck, and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary.

Use equipment for hauling as defined in Section 3076.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour, or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide with lane lines and are not placed in the wheel path, or as directed. Ensure that all finished surfaces will drain properly. Place the mixture at the rate or thickness shown on the plans. The Engineer will use the guidelines in Table 13 to determine the compacted lift thickness of each layer when multiple lifts are required. The thickness determined is based on the rate of 110 lb./sq. yd. for each inch of pavement unless otherwise shown on the plans.

**Table 13**  
**Compacted Lift Thickness and Required Core Height**

Mixture Type	Compacted Lift Thickness Guidelines		Minimum Untrimmed Core Height (in.) Eligible for Testing
	Minimum (in.)	Maximum (in.)	
B	2.50	5.00	1.75
C	2.00	4.00	1.50
D	1.50	3.00	1.25
F	1.25	2.50	1.25

- 4.7.1. **Weather Conditions.**

- 4.7.1.1. **When Using a Thermal Imaging System.** Place mixture when the roadway surface is dry and the roadway surface temperature is at or above the temperatures listed in Table 14A. The Engineer may restrict the Contractor from paving surface mixtures if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3076.4.7.3.1.2., "Thermal Imaging System."

**Table 14A**  
**Minimum Pavement Surface Temperatures**

High-Temperature Binder Grade <sup>1</sup>	Minimum Pavement Surface Temperatures (°F)	
	Subsurface Layers or Night Paving Operations	Surface Layers Placed in Daylight Operations
PG 64	35	40
PG 70	45 <sup>2</sup>	50 <sup>2</sup>
PG 76	45 <sup>2</sup>	50 <sup>2</sup>

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Contractors may pave at temperatures 10°F lower than these values when a chemical WMA additive is used as a compaction aid in the mixture or when using WMA.

4.7.1.2.

**When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above the temperatures listed in Table 14B unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. The Engineer may allow mixture placement to begin before the roadway surface reaches the required temperature if conditions are such that the roadway surface will reach the required temperature within 2 hr. of beginning placement operations. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving.

**Table 14B**  
**Minimum Pavement Surface Temperatures**

High-Temperature Binder Grade <sup>1</sup>	Minimum Pavement Surface Temperatures (°F)	
	Subsurface Layers or Night Paving Operations	Surface Layers Placed in Daylight Operations
PG 64	45	50
PG 70	55 <sup>2</sup>	60 <sup>2</sup>
PG 76	60 <sup>2</sup>	60 <sup>2</sup>

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Contractors may pave at temperatures 10°F lower than these values when a chemical WMA additive is used as a compaction aid in the mixture, when using WMA, or utilizing a paving process with equipment that eliminates thermal segregation. In such cases, for each sublot and in the presence of the Engineer, use a hand-held thermal camera operated in accordance with [Tex-244-F](#) to demonstrate to the satisfaction of the Engineer that the uncompacted mat has no more than 10°F of thermal segregation.

4.7.2.

**Tack Coat.**

4.7.2.1.

**Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply the tack coat to all surfaces that will come in contact with the subsequent HMA placement, unless otherwise directed. Allow adequate time for emulsion to break completely before placing any material. Prevent splattering of tack coat when placed adjacent to curb, gutter, and structures. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

4.7.2.2.

**Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use.

For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

- 4.7.3. **Lay-Down Operations.** Use the placement temperatures in Table 15 to establish the minimum placement temperature of the mixture delivered to the paver.

**Table 15**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Minimum Placement Temperature (Before Entering Paver) <sup>2,3</sup>
PG 64	260°F
PG 70	270°F
PG 76	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Minimum placement temperatures may be reduced 10°F if using a chemical WMA additive as a compaction aid.
3. When using WMA, the minimum placement temperature is 215°F.

- 4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3076.4.9.3.1.4., “Miscellaneous Areas.”
- 4.7.3.1.1. **Thermal Segregation.**
- 4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F, are deemed as moderate thermal segregation.
- 4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F are deemed as severe thermal segregation.
- 4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the automated report described in [Tex-244-F](#) to the Engineer daily unless otherwise directed. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system. The Engineer may suspend paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe thermal segregation. Density profiles are not required and not applicable when using a thermal imaging system. Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or upon completion of the project or as requested by the Engineer.
- 4.7.3.1.3. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Evaluate areas with moderate thermal segregation by performing density profiles in accordance with Section 3076.4.9.3.3.2., “Segregation (Density Profile).” Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the thermal images generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3076.4.2., “Reporting and Responsibilities.” The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that contains severe thermal segregation. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section. Evaluate areas with severe thermal segregation by performing density profiles in accordance with Section 3076.4.9.3.3.2., “Segregation (Density Profile).” Remove and replace the material in any areas that have both severe thermal segregation and a failing result for Segregation (Density Profile) unless otherwise directed. The subplot in question may receive a production and placement payment adjustment greater than 1.000, if applicable, when the defective material is successfully removed and replaced.
- 4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.

4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.

4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3076.4.9.3.3.4., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.

4.8. **Compaction.** Compact the pavement uniformly to contain between 3.8% and 8.5% in-place air voids. Take immediate corrective action to bring the operation within 3.8% and 8.5% when the in-place air voids exceed the range of these tolerances. The Engineer will allow paving to resume when the proposed corrective action is likely to yield between 3.8% and 8.5% in-place air voids.

Obtain cores in areas placed under Exempt Production, as directed, at locations determined by the Engineer. The Engineer may test these cores and suspend operations or require removal and replacement if the in-place air voids are less than 2.7% or more than 9.9%. Areas defined in Section 3076.4.9.3.1.4., "Miscellaneous Areas," are not subject to in-place air void determination.

Furnish the type, size, and number of rollers required for compaction as approved. Use additional rollers as required to remove any roller marks. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

Use the control strip method shown in [Tex-207-F](#), Part IV, on the first day of production to establish the rolling pattern that will produce the desired in-place air voids unless otherwise directed.

Use tamps to thoroughly compact the edges of the pavement along curbs, headers, and similar structures and in locations that will not allow thorough compaction with rollers. The Engineer may require rolling with a trench roller on widened areas, in trenches, and in other limited areas.

Complete all compaction operations before the pavement temperature drops below 160°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 160°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

4.9. **Acceptance Plan.** Payment adjustments for the material will be in accordance with Article 3076.6., "Payment."

Sample and test the hot-mix on a lot and subplot basis. Suspend production until test results or other information indicates to the satisfaction of the Engineer that the next material produced or placed will result in payment factors of at least 1.000, if the production payment factor given in Section 3076.6.1., "Production Payment Adjustment Factors," for two consecutive lots or the placement pay factor given in Section 3076.6.2., "Placement Payment Adjustment Factors," for two consecutive lots is below 1.000.

4.9.1. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if a "remove and replace" condition is determined based on the Engineer's test results, or if the differences between Contractor and Engineer test results exceed the maximum allowable difference shown in Table 11 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within five working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to

be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.

The Materials and Tests Division will determine the laboratory-molded density based on the molded specific gravity and the maximum theoretical specific gravity of the referee sample. The in-place air voids will be determined based on the bulk specific gravity of the cores, as determined by the referee laboratory and the Engineer's average maximum theoretical specific gravity for the lot. With the exception of "remove and replace" conditions, referee test results are final and will establish payment adjustment factors for the subplot in question. The Contractor may decline referee testing and accept the Engineer's test results when the placement payment adjustment factor for any subplot results in a "remove and replace" condition. Placement sublots subject to be removed and replaced will be further evaluated in accordance with Section 3076.6.2.2., "Placement Sublots Subject to Removal and Replacement."

#### 4.9.2. **Production Acceptance.**

4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 tons; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 4,000 tons. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 1,000 tons and 4,000 tons. The Engineer may change the lot size before the Contractor begins any lot.

If the optimum asphalt binder content for JMF2 is more than 0.5% lower than the optimum asphalt binder content for JMF1, the Engineer may perform or require the Contractor to perform [Tex-226-F](#) on Lot 1 to confirm the indirect tensile strength does not exceed 200 psi. Take corrective action to bring the mixture within specification compliance if the indirect tensile strength exceeds 200 psi unless otherwise directed.

4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Adjust the payment for the incomplete lot in accordance with Section 3076.6.1., "Production Payment Adjustment Factors." Close all lots within five working days unless otherwise allowed.

#### 4.9.2.2. **Production Sampling.**

4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as "Contractor," "Engineer," and "Referee." The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled "Engineer" and "Referee." The Engineer will maintain the custody of the samples labeled "Engineer" and "Referee" until the Department's testing is completed.

4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.

4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a "blind" sample instead of the random sample collected by the Contractor. Test either the "blind" or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the "blind" sample. The location of the Engineer's "blind" sample will not be disclosed to the Contractor. The Engineer's "blind" sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor's split sample for sublots not sampled by the Engineer.

4.9.2.2.2. **Informational Shear Bond Strength Testing.** Select one random subplot from Lot 2 or higher for shear bond strength testing. Obtain full depth cores in accordance with [Tex-249-F](#). Label the cores with the Control Section Job (CSJ), producer of the tack coat, mix type, shot rate, lot, and subplot number and provide to the

Engineer. The Engineer will ship the cores to the Materials and Tests Division or district laboratory for shear bond strength testing. Results from these tests will not be used for specification compliance.

- 4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. sample of the asphalt binder witnessed by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility location, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to MTD to verify compliance with Item 300, "Asphalts, Oils, and Emulsions" and will retain the other split sample for one year.

- 4.9.2.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 16. The Contractor has the option to verify the Engineer's test results on split samples provided by the Engineer. Determine compliance with operational tolerances listed in Table 11 for all sublots.

Take immediate corrective action if the Engineer's laboratory-molded density on any subplot is less than 95.0% or greater than 97.0% to bring the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

The Engineer may allow alternate methods for determining the asphalt binder content and aggregate gradation if the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results. Provide evidence that results from [Tex-236-F](#), Part I are not reliable before requesting permission to use an alternate method unless otherwise directed. Use the applicable test procedure as directed if an alternate test method is allowed.



**Table 16**  
**Production and Placement Testing Frequency**

Description	Test Method	Minimum Contractor Testing Frequency	Minimum Engineer Testing Frequency
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	1 per subplot	1 per 12 sublots <sup>1</sup>
Individual % retained for sieves smaller than #8 and larger than #200			
% passing the #200 sieve			
Laboratory-molded density	<a href="#">Tex-207-F</a>	N/A	1 per subplot <sup>1</sup>
Laboratory-molded bulk specific gravity			
In-place air voids			
VMA	<a href="#">Tex-204-F</a>	1 per subplot	1 per project
Segregation (density profile) <sup>2</sup>	<a href="#">Tex-207-F</a> , Part V		
Longitudinal joint density	<a href="#">Tex-207-F</a> , Part VII	When directed	1 per subplot <sup>1</sup>
Moisture content	<a href="#">Tex-212-F</a> , Part II	N/A	
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a>	1 per subplot	1 per lot <sup>1</sup>
Asphalt binder content	<a href="#">Tex-236-F</a>	N/A	1 per project
Hamburg Wheel test	<a href="#">Tex-242-F</a>	N/A	
Recycled Asphalt Shingles (RAS) <sup>3</sup>	<a href="#">Tex-217-F</a> , Part III	1 per subplot	
Thermal profile <sup>2</sup>	<a href="#">Tex-244-F</a>	1 per lot (sample only) <sup>4</sup>	
Asphalt binder sampling and testing	<a href="#">Tex-500-C</a> , Part II	N/A	
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	1 per lot	
Boil test <sup>5</sup>	<a href="#">Tex-530-C</a>	1 per project (sample only)	
Shear Bond Strength Test <sup>6</sup>	<a href="#">Tex-249-F</a>		

1. For production defined in Section 3076.4.9.4., "Exempt Production," the Engineer will test one per day if 100 tons or more are produced. For Exempt Production, no testing is required when less than 100 tons are produced.
2. Not required when a thermal imaging system is used.
3. Testing performed by the Materials and Tests Division or designated laboratory.
4. Obtain witnessed by the Engineer. The Engineer will retain these samples for one year.
5. The Engineer may reduce or waive the sampling and testing requirements based on a satisfactory test history.
6. Testing performed by the Materials and Tests Division or District for informational purposes only.

4.9.2.4. **Operational Tolerances.** Control the production process within the operational tolerances listed in Table 11. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.2.4.1. **Gradation.** Suspend operation and take corrective action if any aggregate is retained on the maximum sieve size shown in Table 8. A subplot is defined as out of tolerance if either the Engineer's or the Contractor's test results are out of operational tolerance. Suspend production when test results for gradation exceed the operational tolerances in Table 11 for three consecutive sublots on the same sieve or four consecutive sublots on any sieve unless otherwise directed. The consecutive sublots may be from more than one lot.

4.9.2.4.2. **Asphalt Binder Content.** A subplot is defined as out of operational tolerance if either the Engineer's or the Contractor's test results exceed the values listed in Table 11. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that is out of operational tolerance for asphalt binder content. Suspend production and shipment of the mixture if the Engineer's or the Contractor's asphalt binder content deviates from the current JMF by more than 0.5% for any subplot.

4.9.2.4.3. **Voids in Mineral Aggregates (VMA).** The Engineer will determine the VMA for every subplot. For sublots when the Engineer does not determine asphalt binder content, the Engineer will use the asphalt binder content results from QC testing performed by the Contractor to determine VMA.

Take immediate corrective action if the VMA value for any subplot is less than the minimum VMA requirement for production listed in Table 8. Suspend production and shipment of the mixture if the Engineer's VMA results on two consecutive sublots are below the minimum VMA requirement for production listed in Table 8. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that does not



meet the minimum VMA requirement for production listed in Table 8 based on the Engineer's VMA determination.

Suspend production and shipment of the mixture if the Engineer's VMA result is more than 0.5% below the minimum VMA requirement for production listed in Table 8. In addition to suspending production, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment.

- 4.9.2.4.4. **Hamburg Wheel Test.** The Engineer may perform a Hamburg Wheel test at any time during production, including when the boil test indicates a change in quality from the materials submitted for JMF1. In addition to testing production samples, the Engineer may obtain cores and perform Hamburg Wheel tests on any areas of the roadway where rutting is observed. Suspend production until further Hamburg Wheel tests meet the specified values when the production or core samples fail the Hamburg Wheel test criteria in Table 10. Core samples, if taken, will be obtained from the center of the finished mat or other areas excluding the vehicle wheel paths. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test to be removed and replaced at the Contractor's expense.

If the Department's or Department approved laboratory's Hamburg Wheel test results in a "remove and replace" condition, the Contractor may request that the Department confirm the results by re-testing the failing material. The Materials and Tests Division will perform the Hamburg Wheel tests and determine the final disposition of the material in question based on the Department's test results.

- 4.9.2.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances shown in Table 11, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

4.9.3. **Placement Acceptance.**

- 4.9.3.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

- 4.9.3.1.1. **Lot 1 Placement.** Placement payment adjustments greater than 1.000 for Lot 1 will be in accordance with Section 3076.6.2., "Placement Payment Adjustment Factors"; however, no placement adjustment less than 1.000 will be assessed for any subplot placed in Lot 1 when the in-place air voids are greater than or equal to 2.7% and less than or equal to 9.9%. Remove and replace any subplot with in-place air voids less than 2.7% or greater than 9.9%.

- 4.9.3.1.2. **Incomplete Placement Lots.** An incomplete placement lot consists of the area placed as described in Section 3076.4.9.2.1.1., "Incomplete Production Lots," excluding areas defined in Section 3076.4.9.3.1.4., "Miscellaneous Areas." Placement sampling is required if the random sample plan for production resulted in a sample being obtained from an incomplete production subplot.

- 4.9.3.1.3. **Shoulders, Ramps, Etc.** Shoulders, ramps, intersections, acceleration lanes, deceleration lanes, and turn lanes are subject to in-place air void determination and payment adjustments unless designated on the plans as not eligible for in-place air void determination. Intersections may be considered miscellaneous areas when determined by the Engineer.

- 4.9.3.1.4. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations, such as temporary detours, driveways, mailbox turnouts, crossovers, gores, spot level-up areas, and other similar areas. Temporary detours are subject to in-place air void determination when shown on the plans. Miscellaneous areas also include level-ups and thin overlays when the layer thickness specified on the plans is less than the minimum untrimmed core height eligible for testing shown in Table 13. The specified layer thickness is based on the rate of 110 lb./sq. yd. for each inch of

pavement unless another rate is shown on the plans. When “level up” is listed as part of the item bid description code, a payment adjustment factor of 1.000 will be assigned for all placement sublots as described in Article 3076.6, “Payment.” Miscellaneous areas are not eligible for random placement sampling locations. Compact miscellaneous areas in accordance with Section 3076.4.8., “Compaction.” Miscellaneous areas are not subject to in-place air void determination, thermal profiles testing, segregation (density profiles), or longitudinal joint density evaluations.

#### 4.9.3.2.

**Placement Sampling.** The Engineer will select random numbers for all placement sublots at the beginning of the project. The Engineer will provide the Contractor with the placement random numbers immediately after the subplot is completed. Mark the roadway location at the completion of each subplot and record the station number. Determine one random sample location for each placement subplot in accordance with [Tex-225-F](#). Adjust the random sample location by no more than necessary to achieve a 2-ft. clearance if the location is within 2 ft. of a joint or pavement edge.

Shoulders, ramps, intersections, acceleration lanes, deceleration lanes, and turn lanes are always eligible for selection as a random sample location; however, if a random sample location falls on one of these areas and the area is designated on the plans as not subject to in-place air void determination, cores will not be taken for the subplot and a 1.000 pay factor will be assigned to that subplot.

Provide the equipment and means to obtain and trim roadway cores on site. On-site is defined as in close proximity to where the cores are taken. Obtain the cores within one working day of the time the placement subplot is completed unless otherwise approved. Obtain two 6-in. diameter cores side-by-side from within 1 ft. of the random location provided for the placement subplot. For Type D and Type F mixtures, 4-in. diameter cores are allowed. Mark the cores for identification, measure and record the untrimmed core height, and provide the information to the Engineer. The Engineer will witness the coring operation and measurement of the core thickness. Visually inspect each core and verify that the current paving layer is bonded to the underlying layer. Take corrective action if an adequate bond does not exist between the current and underlying layer to ensure that an adequate bond will be achieved during subsequent placement operations.

Trim the cores immediately after obtaining the cores from the roadway in accordance with [Tex-251-F](#) if the core heights meet the minimum untrimmed value listed in Table 13. Trim the cores on site in the presence of the Engineer. Use a permanent marker or paint pen to record the lot and subplot numbers on each core as well as the designation as Core A or B. The Engineer may require additional information to be marked on the core and may choose to sign or initial the core. The Engineer will take custody of the cores immediately after witnessing the trimming of the cores and will retain custody of the cores until the Department’s testing is completed. Before turning the trimmed cores over to the Engineer, the Contractor may wrap the trimmed cores or secure them in a manner that will reduce the risk of possible damage occurring during transport by the Engineer. After testing, the Engineer will return the cores to the Contractor.

The Engineer may have the cores transported back to the Department’s laboratory at the HMA plant via the Contractor’s haul truck or other designated vehicle. In such cases where the cores will be out of the Engineer’s possession during transport, the Engineer will use Department-provided security bags and the Roadway Core Custody protocol located at <http://www.txdot.gov/business/specifications.htm> to provide a secure means and process that protects the integrity of the cores during transport.

Decide whether to include the pair of cores in the air void determination for that subplot if the core height before trimming is less than the minimum untrimmed value shown in Table 13. Trim the cores as described above before delivering to the Engineer if electing to have the cores included in the air void determination. Deliver untrimmed cores to the Engineer and inform the Engineer of the decision to not have the cores included in air void determination if electing to not have the cores included in air void determination. The placement pay factor for the subplot will be 1.000 if cores will not be included in air void determination.

Instead of the Contractor trimming the cores on site immediately after coring, the Engineer and the Contractor may mutually agree to have the trimming operations performed at an alternate location such as a field laboratory or other similar location. In such cases, the Engineer will take possession of the cores

immediately after they are obtained from the roadway and will retain custody of the cores until testing is completed. Either the Department or Contractor representative may perform trimming of the cores. The Engineer will witness all trimming operations in cases where the Contractor representative performs the trimming operation.

Dry the core holes and tack the sides and bottom immediately after obtaining the cores. Fill the hole with the same type of mixture and properly compact the mixture. Repair core holes with other methods when approved.

4.9.3.3. **Placement Testing.** Perform placement tests in accordance with Table 16. After the Engineer returns the cores, the Contractor may test the cores to verify the Engineer's test results for in-place air voids. The allowable differences between the Contractor's and Engineer's test results are listed in Table 11.

4.9.3.3.1. **In-Place Air Voids.** The Engineer will measure in-place air voids in accordance with [Tex-207-F](#) and [Tex-227-F](#). Before drying to a constant weight, cores may be pre-dried using a CoreDry or similar vacuum device to remove excess moisture. The Engineer will average the values obtained for all sublots in the production lot to determine the theoretical maximum specific gravity. The Engineer will use the average air void content for in-place air voids.

The Engineer will use the vacuum method to seal the core if required by [Tex-207-F](#). The Engineer will use the test results from the unsealed core to determine the placement payment adjustment factor if the sealed core yields a higher specific gravity than the unsealed core. After determining the in-place air void content, the Engineer will return the cores and provide test results to the Contractor.

4.9.3.3.2. **Segregation (Density Profile).** Test for segregation using density profiles in accordance with [Tex-207-F](#), Part V when using a thermal camera instead of the thermal imaging system. Density profiles are not required and are not applicable when using a thermal imaging system. Density profiles are not applicable in areas described in Section 3076.4.9.3.1.4., "Miscellaneous Areas."

Perform a minimum of one density profile per subplot. Perform additional density profiles when any of the following conditions occur, unless otherwise approved:

- the paver stops due to lack of material being delivered to the paving operations and the temperature of the uncompacted mat before the initial break down rolling is less than the temperatures shown in Table 17;
- areas that are identified by either the Contractor or the Engineer with thermal segregation,;
- any visibly segregated areas that exist.

**Table 17**  
**Minimum Uncompacted Mat Temperature Requiring a Segregation Profile**

High-Temperature Binder Grade <sup>1</sup>	Minimum Temperature of the Uncompacted Mat Allowed Before Initial Break Down Rolling <sup>2,3,4</sup>
PG 64	<250°F
PG 70	<260°F
PG 76	<270°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. Segregation profiles are required in areas with moderate and severe thermal segregation as described in Section 3076.4.7.3.1.3.
3. Minimum uncompacted mat temperature requiring a segregation profile may be reduced 10°F if using a chemical WMA additive as a compaction aid.
4. When using WMA, the minimum uncompacted mat temperature requiring a segregation profile is 215°F.

Provide the Engineer with the density profile of every subplot in the lot within one working day of the completion of each lot. Report the results of each density profile in accordance with Section 3076.4.2., "Reporting and Responsibilities."

The density profile is considered failing if it exceeds the tolerances in Table 18. No production or placement payment adjustments greater than 1.000 will be paid for any subplot that contains a failing density profile. When a hand-held thermal camera is used instead of a thermal imaging system, the Engineer will measure the density profile at least once per project. The Engineer's density profile results will be used when available. The Engineer may require the Contractor to remove and replace the area in question if the area fails the density profile and has surface irregularities as defined in Section 3076.4.9.3.3.5., "Irregularities." The subplot in question may receive a production and placement payment adjustment greater than 1.000, if applicable, when the defective material is successfully removed and replaced.

Investigate density profile failures and take corrective actions during production and placement to eliminate the segregation. Suspend production if 2 consecutive density profiles fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

**Table 18**  
**Segregation (Density Profile) Acceptance Criteria**

Mixture Type	Maximum Allowable Density Range (Highest to Lowest)	Maximum Allowable Density Range (Average to Lowest)
Type B	8.0 pcf	5.0 pcf
Type C, Type D & Type F	6.0 pcf	3.0 pcf

#### 4.9.3.3.3. Longitudinal Joint Density.

4.9.3.3.3.1. **Informational Tests.** Perform joint density evaluations while establishing the rolling pattern and verify that the joint density is no more than 3.0 pcf below the density taken at or near the center of the mat. Adjust the rolling pattern, if needed, to achieve the desired joint density. Perform additional joint density evaluations, at least once per subplot, unless otherwise directed.

4.9.3.3.3.2. **Record Tests.** Perform a joint density evaluation for each subplot at each pavement edge that is or will become a longitudinal joint. Joint density evaluations are not applicable in areas described in Section 3076.4.9.3.1.4., "Miscellaneous Areas." Determine the joint density in accordance with [Tex-207-F](#), Part VII. Record the joint density information and submit results on Department forms to the Engineer. The evaluation is considered failing if the joint density is more than 3.0 pcf below the density taken at the core random sample location and the correlated joint density is less than 90.0%. The Engineer will make independent joint density verification at least once per project and may make independent joint density verifications at the random sample locations. The Engineer's joint density test results will be used when available.

Provide the Engineer with the joint density of every subplot in the lot within one working day of the completion of each lot. Report the results of each joint density in accordance with Section 3076.4.2., "Reporting and Responsibilities."

Investigate joint density failures and take corrective actions during production and placement to improve the joint density. Suspend production if the evaluations on two consecutive sublots fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

4.9.3.3.4. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

4.9.3.3.5. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.4. **Exempt Production.** The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is less than 500 tons;
- total production for the project is less than 5,000 tons;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements, except for coring operations when required by the Engineer. The production and placement pay factors are 1.000 if the specification requirements listed below are met, all other specification requirements are met, and the Engineer performs acceptance tests for production and placement listed in Table 16 when 100 tons or more per day are produced.

- produce, haul, place, and compact the mixture in compliance with the specification and as directed;
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target laboratory-molded density as tested by the Engineer;
- compact the mixture in accordance with Section 3076.4.8., "Compaction;" and
- when a thermal imaging system is not used, the Engineer may perform segregation (density profiles) and thermal profiles in accordance with the specification.

4.9.5. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

- 5.1. **Dense Graded Hot-Mix Asphalt.** Hot mix will be measured by the ton of composite hot-mix, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measured by the gallon applied.

The Engineer may allow the use of a metering device to determine asphalt volume used and application rate if the device is accurate within 1.5% of the strapped volume.

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## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3076.5.1, "Measurement," will be paid for at the unit bid price for "Dense Graded Hot-Mix Asphalt" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Article 3076.5.2, "Measurement," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals. Payment adjustments will be applied as determined in this Item; however, a payment adjustment factor of 1.000 will be assigned for all placement sublots for "level ups" only when "level up" is listed as part of the item bid description code. A payment adjustment factor of 1.000 will be assigned to all production and placement sublots when "exempt" is listed as part of the item bid description code, and all testing requirements are met.

Payment for each subplot, including applicable payment adjustments greater than 1.000, will only be paid for sublots when the Contractor supplies the Engineer with the required documentation for production and placement QC/QA, thermal profiles, segregation density profiles, and longitudinal joint densities in accordance with Section 3076.4.2., "Reporting and Responsibilities." When a thermal imaging system is used, documentation is not required for thermal profiles or segregation density profiles on individual sublots; however, the thermal imaging system automated reports described in [Tex-244-F](#) are required.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

- 6.1. **Production Payment Adjustment Factors.** The production payment adjustment factor is based on the laboratory-molded density using the Engineer's test results. The bulk specific gravities of the samples from each subplot will be divided by the Engineer's maximum theoretical specific gravity for the subplot. The individual sample densities for the subplot will be averaged to determine the production payment adjustment factor in accordance with Table 19 for each subplot, using the deviation from the target laboratory-molded density defined in Table 9. The production payment adjustment factor for completed lots will be the average of the payment adjustment factors for the four sublots sampled within that lot.

**Table 19**  
**Production Payment Adjustment Factors for Laboratory-Molded Density<sup>1</sup>**

<b>Absolute Deviation from Target Laboratory-Molded Density</b>	<b>Production Payment Adjustment Factor (Target Laboratory-Molded Density)</b>
0.0	1.050
0.1	1.050
0.2	1.050
0.3	1.044
0.4	1.038
0.5	1.031
0.6	1.025
0.7	1.019
0.8	1.013
0.9	1.006
1.0	1.000
1.1	0.965
1.2	0.930
1.3	0.895
1.4	0.860
1.5	0.825
1.6	0.790
1.7	0.755
1.8	0.720
> 1.8	Remove and replace

1. If the Engineer's laboratory-molded density on any subplot is less than 95.0% or greater than 98.0%, take immediate corrective action to bring the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

6.1.1. **Payment for Incomplete Production Lots.** Production payment adjustments for incomplete lots, described under Section 3076.4.9.2.1.1., "Incomplete Production Lots," will be calculated using the average production payment factors from all sublots sampled.

A production payment factor of 1.000 will be assigned to any lot when the random sampling plan did not result in collection of any samples within the first subplot.

6.1.2. **Production Sublots Subject to Removal and Replacement.** If after referee testing, the laboratory-molded density for any subplot results in a "remove and replace" condition as listed in Table 19, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment. The Engineer may also accept the subplot in accordance with Section 3076.5.3.1., "Acceptance of Defective or Unauthorized Work." Replacement material meeting the requirements of this Item will be paid for in accordance with this Section.

6.2. **Placement Payment Adjustment Factors.** The placement payment adjustment factor is based on in-place air voids using the Engineer's test results. The bulk specific gravities of the cores from each subplot will be divided by the Engineer's average maximum theoretical specific gravity for the lot. The individual core densities for the subplot will be averaged to determine the placement payment adjustment factor in accordance with Table 20 for each subplot that requires in-place air void measurement. A placement payment adjustment factor of 1.000 will be assigned to the entire subplot when the random sample location falls in an area designated on the plans as not subject to in-place air void determination. A placement payment adjustment factor of 1.000 will be assigned to quantities placed in areas described in Section 3076.4.9.3.1.4., "Miscellaneous Areas." The placement payment adjustment factor for completed lots will be the average of the placement payment adjustment factors for up to four sublots within that lot.

**Table 20**  
**Placement Payment Adjustment Factors for In-Place Air Voids**

<b>In-Place Air Voids</b>	<b>Placement Pay Adjustment Factor</b>	<b>In-Place Air Voids</b>	<b>Placement Pay Adjustment Factor</b>
< 2.7	Remove and Replace	6.4	1.042
2.7	0.710	6.5	1.040
2.8	0.740	6.6	1.038
2.9	0.770	6.7	1.036
3.0	0.800	6.8	1.034
3.1	0.830	6.9	1.032
3.2	0.860	7.0	1.030
3.3	0.890	7.1	1.028
3.4	0.920	7.2	1.026
3.5	0.950	7.3	1.024
3.6	0.980	7.4	1.022
3.7	0.998	7.5	1.020
3.8	1.002	7.6	1.018
3.9	1.006	7.7	1.016
4.0	1.010	7.8	1.014
4.1	1.014	7.9	1.012
4.2	1.018	8.0	1.010
4.3	1.022	8.1	1.008
4.4	1.026	8.2	1.006
4.5	1.030	8.3	1.004
4.6	1.034	8.4	1.002
4.7	1.038	8.5	1.000
4.8	1.042	8.6	0.998
4.9	1.046	8.7	0.996
5.0	1.050	8.8	0.994
5.1	1.050	8.9	0.992
5.2	1.050	9.0	0.990
5.3	1.050	9.1	0.960
5.4	1.050	9.2	0.930
5.5	1.050	9.3	0.900
5.6	1.050	9.4	0.870
5.7	1.050	9.5	0.840
5.8	1.050	9.6	0.810
5.9	1.050	9.7	0.780
6.0	1.050	9.8	0.750
6.1	1.048	9.9	0.720
6.2	1.046	> 9.9	Remove and Replace
6.3	1.044		

## 6.2.1.

**Payment for Incomplete Placement Lots.** Payment adjustments for incomplete placement lots described under Section 3076.4.9.3.1.2., "Incomplete Placement Lots," will be calculated using the average of the placement payment factors from all sublots sampled and sublots where the random location falls in an area designated on the plans as not eligible for in-place air void determination.

If the random sampling plan results in production samples, but not in placement samples, the random core location and placement adjustment factor for the subplot will be determined by applying the placement random number to the length of the subplot placed.

If the random sampling plan results in placement samples, but not in production samples, no placement adjustment factor will apply for that subplot placed.

A placement payment adjustment factor of 1.000 will be assigned to any lot when the random sampling plan did not result in collection of any production samples.



- 6.2.2. **Placement Sublots Subject to Removal and Replacement.** If after referee testing, the placement payment adjustment factor for any subplot results in a “remove and replace” condition as listed in Table 20, the Engineer will choose the location of two cores to be taken within 3 ft. of the original failing core location. The Contractor will obtain the cores in the presence of the Engineer. The Engineer will take immediate possession of the untrimmed cores and submit the untrimmed cores to the Materials and Tests Division, where they will be trimmed if necessary and tested for bulk specific gravity within 10 working days of receipt.

The bulk specific gravity of the cores from each subplot will be divided by the Engineer’s average maximum theoretical specific gravity for the lot. The individual core densities for the subplot will be averaged to determine the new payment adjustment factor of the subplot in question. If the new payment adjustment factor is 0.700 or greater, the new payment adjustment factor will apply to that subplot. If the new payment adjustment factor is less than 0.700, no payment will be made for the subplot. Remove and replace the failing subplot, or the Engineer may allow the subplot to be left in place without payment. The Engineer may also accept the subplot in accordance with Section 3076.5.3.1., “Acceptance of Defective or Unauthorized Work.” Replacement material meeting the requirements of this Item will be paid for in accordance with this Section.

- 6.3. **Total Adjusted Pay Calculation.** Total adjusted pay (TAP) will be based on the applicable payment adjustment factors for production and placement for each lot.

$$TAP = (A+B)/2$$

where:

*A* = Bid price × production lot quantity × average payment adjustment factor for the production lot

*B* = Bid price × placement lot quantity × average payment adjustment factor for the placement lot + (bid price × quantity placed in miscellaneous areas × 1.000)

*Production lot quantity* = Quantity actually placed - quantity left in place without payment

*Placement lot quantity* = Quantity actually placed - quantity left in place without payment - quantity placed in miscellaneous areas

# Special Specification 3079

## Permeable Friction Course



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) surface course composed of a compacted permeable mixture of aggregate, asphalt binder, and additives mixed hot in a mixing plant.

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements in accordance with Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse aggregate. Do not use intermediate or fine aggregate in permeable friction course (PFC) mixtures. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests in accordance with Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance;
- approved only when tested by the Engineer;
- once approved, do not add material to the stockpile unless otherwise approved; and
- allow 30 calendar days for the Engineer to sample, test, and report results.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes, unless otherwise shown on the plans. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program* (AQMP) ([Tex-499-A](#)) is listed in the BRSQC.

2.1.1.1. **Blending Class A and Class B Aggregates.** To prevent crushing of the Class B aggregate when blending, Class B aggregate may be blended with a Class A aggregate to meet requirements for Class A materials if the Department's BRSQC rated source soundness magnesium (RSSM) rating for the Class B aggregate is less than the Class A aggregate or if the RSSM rating for the Class B aggregate is less than or equal to 10%. Use the rated values for hot mix asphaltic concrete (HMAC) published in the BRSQC. When blending Class A and B aggregates to meet a Class A requirement, ensure that at least 50% by weight, or volume if required, of all the aggregates used in the mixture design retained on the No. 4 sieve comes from the Class A aggregate source, unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Class B aggregate may be disallowed when shown on the plans.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 10 as listed in the BRSQC, unless otherwise directed. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

RSSM = Rated Source Soundness Magnesium

$MD_{act.}$  = actual Micro-Deval percent loss

RSMD = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

**Table 1**  
**Coarse Aggregate Quality Requirements**

Property	Test Method	Requirement
SAC	<a href="#">Tex-499-A</a> (AQMP)	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.0
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note <sup>1</sup>
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	30
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	20
Crushed face count, <sup>2</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	95
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10

1. Used to estimate the magnesium sulfate soundness loss in accordance with Section 3079.2.1.1.2., "Micro-Deval Abrasion."

2. Only applies to crushed gravel.

- 2.2. **Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.
- 2.3. **Asphalt Binder.** Furnish the type and grade of binder specified on the plans that meets the requirements of Item 300, "Asphalts, Oils, and Emulsions."
- 2.3.1. **Performance-Graded (PG) Binder.** Provide an asphalt binder with a high-temperature grade of PG 76 and low-temperature grade as shown on the plans in accordance with Section 300.2.10., "Performance-Graded Binders," when PG binder is specified.
- 2.3.2. **Asphalt-Rubber (A-R) Binder.** Provide A-R binder that meets the Type I or Type II requirements of Section 300.2.9., "Asphalt-Rubber Binders," when A-R is specified unless otherwise shown on the plans. Use at least 15.0% by weight of Crumb Rubber Modifier (CRM) that meets the Grade B or Grade C requirements of Section 300.2.7., "Crumb Rubber Modifier," unless otherwise shown on the plans. Provide the Engineer the A-R binder blend design with the mix design (JMF1) submittal. Provide the Engineer with documentation such as the bill of lading showing the quantity of CRM used in the project unless otherwise directed.
- 2.4. **Tack Coat.** Furnish CSS-1H, SS-1H, EBL, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's Tracking Resistant Asphalt Interlayer (TRAIL) MPL may be allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.
- 2.5. **Additives.** Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.5.1. **Fibers.** Provide cellulose or mineral fibers when PG binder is specified. Do not use fibers when A-R binder is specified. Submit written certification to the Engineer that the fibers proposed for use meet the requirements of DMS-9204, "Fiber Additives for Bituminous Mixtures." Fibers may be pre-blended into the binder at the asphalt supply terminal unless otherwise shown on the plans.
- 2.5.2. **Lime Mineral Filler.** Add lime as mineral filler at a rate of 1.0% by weight of the total dry aggregate in accordance with Item 301, "Asphalt Antistripping Agents," unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel test results. Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.5.3. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum. When the plans require lime to be added as an antistripping agent, lime added as mineral filler will count towards the total quantity of lime specified.
- 2.5.4. **Compaction Aid.** Compaction aid is defined as a Department-approved chemical warm mix additive denoted as "chemical additive" on the Department's materials producer list (MPL) that is used to facilitate mixing and compaction of HMA.
- Compaction aid is allowed for use on all projects. Compaction aid is required when shown on the plans or as required in Section 3079.4.7.1., "Weather Conditions."
- Warm mix foaming processes, denoted as "foaming process" on the Department-approved MPL, may be used to facilitate mixing and compaction of HMA; however warm mix foaming processes are not defined as a Compaction aid.
- 2.6. **Recycled Materials.** Recycled materials are not allowed for use.

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**3. EQUIPMENT**

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement." When A-R binder is specified, equip the hot-mix plant with an in-line viscosity-measuring device located between the blending unit and the mixing drum. Provide a means to calibrate the asphalt mass flow meter on-site when a meter is used.

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**4. CONSTRUCTION**

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

- 4.1. **Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 2. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide Level AGG101 certified specialists for aggregate testing.

**Table 2**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F, Part I</a>	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F, Part II</a>	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F, Parts I &amp; III</a>	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F, Part II</a>	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	Department
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C, Part II</a>	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C, Part III</a>	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F, Parts I, VI, &amp; VIII</a>	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F, Part II</a>	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F, Part II</a>	✓	✓	2
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Cantabro loss	<a href="#">Tex-245-F</a>	✓	✓	1A
<b>4. Production Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F, Part I</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F, Part II</a>	✓	✓	1A/AGG101
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	Department
<b>5. Placement Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Water flow test	<a href="#">Tex-246-F</a>	✓	✓	1B
Shear bond strength test	<a href="#">Tex-249-F</a>		✓	Department

- Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
- Refer to Section 3079.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.
- Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.
- When shown on the plans.

- 4.2. **Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement tests, control charts, and thermal profiles. Obtain the current version of the templates at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is given in Table 3. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Article 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 3**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>1</sup>			
Moisture content <sup>2</sup>			
Drain-down <sup>1</sup>			
Boil test <sup>4</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>2</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>2</sup>			
Laboratory-molded density <sup>2</sup>			
Hamburg Wheel test <sup>3</sup>			
Boil test <sup>4</sup>			
Drain-down <sup>2</sup>			
Binder tests <sup>3</sup>			
<b>Placement Quality Control</b>			
Thermal profile <sup>1</sup>	Contractor	Engineer	1 working day of completion of the lot
Water flow <sup>1</sup>			
<b>Placement Quality Assurance</b>			
Thermal profile <sup>2</sup>	Engineer	Contractor	1 working day of completion of the lot
Aging ratio <sup>3</sup>			
Water flow <sup>2</sup>			

1. These tests are required on every subplot.
2. To be performed at the frequency in accordance with Table 9 or as shown on the plans.
3. To be reported as soon as the results become available.
4. When shown on the plans

Use the procedures described in [Tex-233-F](#) to plot the results of all production and placement testing, when directed. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting when directed. Receive approval of the QCP before pre-paving meeting. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and

- current copies of certification documents for individuals performing specified QC functions.

4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:

- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
- aggregate stockpiling procedures to avoid contamination and segregation;
- frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
- procedure for monitoring the quality and variability of asphalt binder.

4.3.3. **Production.** For production, include:

- loader operation procedures to avoid contamination in cold bins;
- procedures for calibrating and controlling cold feeds;
- procedures to eliminate debris or oversized material;
- procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, lime, liquid antistriper, compaction aid, foaming process, fibers);
- procedures for reporting job control test results; and
- procedures to avoid segregation and drain-down in the silo.

4.3.4. **Loading and Transporting.** For loading and transporting, include:

- type and application method for release agents; and
- truck loading procedures to avoid segregation.

4.3.5. **Placement and Compaction.** For placement and compaction, include:

- proposed agenda for mandatory pre-paving meeting, including date and location;
- proposed paving plan (e.g., production rate, paving widths, joint offsets, and lift thicknesses);
- type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
- procedures for the transfer of mixture into the paver, while avoiding physical and thermal segregation and preventing material spillage;
- process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
- paver operations (e.g., speed, operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
- procedures to construct quality longitudinal and transverse joints.

4.4. **Mixture Design.**

4.4.1. **Design Requirements.** Use the PFC design procedure provided in [Tex-204-E](#), unless otherwise shown on the plans. Design the mixture to meet the requirements in accordance with Tables 1, 4, 5, and 6. Use a Superpave Gyrotory Compactor (SGC) at 50 gyrations as the design number of gyrations (Ndesign).

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;



- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 4**  
**Master Gradation Limits (% Passing by Weight or Volume)**

Sieve Size	PG 76 Mixtures		A-R Mixtures		Test Procedure
	Fine (PFC-F)	Coarse (PFC-C)	Fine (PFCR-F)	Coarse (PFCR-C)	
3/4"	–	100.0 <sup>1</sup>	100.0 <sup>1</sup>	100.0 <sup>1</sup>	<a href="#">Tex-200-F</a>
1/2"	100.0 <sup>1</sup>	80.0–100.0	95.0–100.0	80.0–100.0	
3/8"	95.0–100.0	35.0–60.0	50.0–80.0	35.0–60.0	
#4	20.0–55.0	1.0–20.0	0.0–8.0	0.0–20.0	
#8	1.0–10.0	1.0–10.0	0.0–4.0	0.0–10.0	
#200	1.0–4.0	1.0–4.0	0.0–4.0	0.0–4.0	

1. Defined as maximum sieve size. No tolerance allowed.

**Table 5**  
**Mixture Design Properties**

Mix Property	PG 76 Mixtures		A-R Mixtures		Test Procedure
	Fine (PFC-F) Requirements	Coarse (PFC-C) Requirements	Fine (PFCR-F) Requirements	Coarse (PFCR-C) Requirements	
Design gyrations (N <sub>design</sub> )	50	50	50	50	<a href="#">Tex-241-F</a>
Lab-molded density, %	78.0 Max	82.0 Max	82.0 Max	82.0 Max	<a href="#">Tex-207-F</a>
Asphalt Binder Content, %	6.0–7.0	6.0–7.0	8.0–10.0	7.0–9.0	--
Hamburg Wheel test, <sup>1</sup> passes at 12.5 mm rut depth	10,000 Min <sup>2</sup>	Note 3	Note 3	Note 3	<a href="#">Tex-242-F</a>
Drain-down, %	0.10 Max	0.10 Max	0.10 Max	0.10 Max	<a href="#">Tex-235-F</a>
Fiber content, % by wt. of total PG 76 mixture	0.20–0.50	0.20–0.50	–	–	Calculated
Lime content, % by wt. of total aggregate	1.0 <sup>4</sup>	1.0 <sup>4</sup>	–	–	Calculated
CRM content, % by wt. of A-R binder	–	–	15.0 Min	15.0 Min	Calculated
Boil test <sup>5</sup>	–	–	–	–	<a href="#">Tex-530-C</a>
Cantabro loss, %	20.0 Max	20.0 Max	20.0 Max	20.0 Max	<a href="#">Tex-245-F</a>

1. Mold test specimens to N<sub>design</sub> at the optimum asphalt binder content.
2. May be decreased when shown on the plans.
3. No specification value is required unless otherwise shown on the plans.
4. Unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel results.
5. When shown on the plans. Used to establish baseline for comparison to production results.

4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, N<sub>design</sub> level, and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When a compaction aid or foaming process is used, JMF1 may be designed and submitted to the Engineer without including the compaction aid or foaming process. When a compaction aid or foaming process is used, document the compaction aid or foaming process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.

4.4.2.1. **Contractor's Responsibilities.**

- 4.4.2.1.1. **Providing Gyrotory Compactor.** Furnish an SGC calibrated in accordance with [Tex-241-F](#) for molding production samples. Locate the SGC at the Engineer's field laboratory or make the SGC available to the Engineer for use in molding production samples.
- 4.4.2.1.2. **Gyrotory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyrotory compactor correlation when the Engineer uses a different SGC. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide an additional 25 lb. of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture when required in accordance with Table 5, and request that the Department perform the test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. Note that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for quality assurance (QA) testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used and the correction factors are not more than 12 mo. old, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** When shown on the plans, perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. Add lime or liquid antistripping agent, as directed, if signs of stripping exist.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch including the compaction aid or foaming process, if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in accordance with Table 6. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch. Provide documentation to verify the calibration or accuracy of the asphalt mass flow meter to measure the binder content. Verify that asphalt mass flow meter meets the requirements of 0.4% accuracy, when required, in accordance with Item 520, "Weighing and Measuring Equipment." The Engineer may require that the accuracy of the mass flow meter be verified based on quantities used.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into three equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in accordance with Table 6. Ensure the trial batch mixture is also in compliance with the requirements in accordance with Table 5. Use a Department-approved laboratory listed on the MPL to perform

the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. Provide an additional 25 lb. of the trial batch mixture if opting to have the Department perform the Hamburg Wheel test, if applicable, and request that the Department perform the test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.

- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results, determine the target mixture proportions, and submit as JMF2 after the Engineer grants full approval of JMF1 based on results from the trial batch. The mixture produced using JMF2 must meet the requirements in accordance with Tables 4 and 5. Verify that JMF2 meets the operational tolerances in accordance with Table 6.
- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 after receiving approval for JMF2.
- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.
- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:
- be provided to the Engineer in writing before the start of a new lot;
  - be numbered in sequence to the previous JMF;
  - meet the master gradation limits in accordance with Table 4; and
  - be within the operational tolerances of JMF2 in accordance with Table 6.
- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3079.4.9.1., "Referee Testing," to resolve testing differences with the Engineer.

**Table 6**  
**Operational Tolerances**

Test Description	Test Method	Allowable Difference between JMF2 and JMF1 Target <sup>1</sup>	Allowable Difference from Current JMF and JMF2 <sup>2</sup>	Allowable Difference between Contractor and Engineer <sup>3</sup>
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	Must be Within Master Grading Limits in accordance with Table 4	$\pm 3.0^4$	$\pm 5.0^4$
% passing the #200 sieve				$\pm 2.0^4$
Laboratory-molded density, %	<a href="#">Tex-207-F</a> , Part VIII	$\pm 1.0$	$\pm 1.0$	$\pm 1.0$
Asphalt binder content, %	<a href="#">Tex-236-F</a> , Part I <sup>5</sup>	$\pm 0.3^{6,7}$	$\pm 0.3^{4,6,7}$	$\pm 0.3^{6,7}$
Drain-down, %	<a href="#">Tex-235-F</a>	Note 8	Note 8	N/A
Boil test	<a href="#">Tex-530-C</a>	Note 9	Note 9	N/A

1. JMF1 is the approved laboratory mixture design used for producing the trial batch. JMF2 is the approved mixture design developed from the trial batch used to produce Lot 1.
2. Current JMF is JMF3 or higher. JMF3 is the approved mixture design used to produce Lot 2.
3. Contractor may request referee testing only when values exceed these tolerances.
4. Only applies to mixture produced for Lot 1 and higher. Aggregate gradation is not allowed to be outside the limits shown in Table 4.
5. Ensure the binder content determination excludes fibers.
6. May be obtained from asphalt mass flow meter readouts as determined by the Engineer.
7. Binder content is not allowed to be outside the limits in accordance with Table 5.
8. Verify that Table 5 requirements are met.
9. When shown on the plans.

4.4.2.2. **Engineer's Responsibilities.**

- 4.4.2.2.1. **Superpave Gyrotory Compactor.** The Engineer will use a Department SGC calibrated in accordance with [Tex-241-F](#) to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the

field laboratory or provide and use a Department SGC at an alternate location.

4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, and additives; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with Section 3079.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

The Contractor is authorized to produce a trial batch after the Engineer grants conditional approval of JMF1.

4.4.2.2.3. **Hamburg Wheel Testing.** At the Contractor's request, the Department will perform the Hamburg Wheel test on the laboratory mixture in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 5. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the laboratory mixture design.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. The Engineer will verify that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination.

4.4.2.2.5. **Testing the Trial Batch.** Within one full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in accordance with Table 6. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 5.

The Engineer will have the option to perform [Tex-530-C](#) on the trial batch when shown on the plans. These results may be retained and used for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in accordance with Table 5.

The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in accordance with Tables 4, 5, and 6.

- 4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2).
- 4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the master grading limits in accordance with Table 4, the asphalt binder content in accordance with Table 5, and are within the operational tolerances of JMF2 in accordance with Table 6.
- 4.4.2.2.10. **Binder Content Adjustments.** For JMF2 and above, the Engineer may require the Contractor to adjust the target binder content by no more than 0.3% from the current JMF.
- 4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification.
- 4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.
- 4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures in accordance with Table 7. The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures in accordance with Table 7.

**Table 7**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Maximum Production Temperature
PG 76	345°F
A-R Binder	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent, when necessary, shown on the Department's MPL to coat the inside bed of the truck. Do not use diesel or any release agent not shown on the Department's MPL.

Use equipment for hauling as defined in Section 3079.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from

pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide within 6-in. of lane lines and are not placed in the wheel path, or as directed. Ensure that all finished surfaces will drain properly.

#### 4.7.1. **Weather Conditions.**

- 4.7.1.1. **When Using a Thermal Imaging System.** The Contractor may pave any time the roadway is dry and the roadway surface temperature is at least 60°F unless otherwise approved or as shown on the plans; however, the Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3079.4.7.3.1.2., "Thermal Imaging System."

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

- 4.7.1.1.1. **When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above 70°F unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the air temperature is 60°F and falling.

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

#### 4.7.2. **Tack Coat.**

- 4.7.2.1. **Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply adequate overlap of the tack coat in the longitudinal direction during the placement of the mat to ensure bond of adjacent PFC mats, unless otherwise directed. Unless otherwise directed, avoid tacking the vertical faces of adjacent PFC mats in the longitudinal direction to avoid restricting lateral drainage. Apply tack coat to all transverse joints. Allow adequate time for emulsion to break completely before placing any material. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

- 4.7.2.2. **Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

- 4.7.3. **Lay-Down Operations.** Use the placement temperature in accordance with Table 8 to establish the minimum placement temperature of the mixture delivered to the paving operation.



**Table 8**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Minimum Placement Temperature (Before Entering Paving Operation) <sup>2,3</sup>
PG 76	280°F
A-R Binder	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The mixture temperature must be measured using a hand-held thermal camera or infrared thermometer nearest to the point of entry of the paving operation.
3. Minimum placement temperatures may be reduced 10°F if using a compaction aid.

4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3079.4.9.3.2., "Miscellaneous Areas."

4.7.3.1.1. **Thermal Segregation.**

4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F.

4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F.

4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the report described in [Tex-244-F](#) to the Engineer daily. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system.-

The Engineer may suspend subsequent paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe or moderate thermal segregation.

Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or as requested by the Engineer.

4.7.3.1.2.1. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the electronic files generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3079.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section.

4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.

4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.

4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3079.4.9.3.3., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.

4.8. **Compaction.** Roll the freshly placed PFC with as many steel-wheeled rollers as necessary, operated in static mode, to seat the mixture without excessive breakage of the aggregate and to provide a smooth surface and uniform texture. Do not use pneumatic rollers. Moisten the roller drums thoroughly with a soap and water solution to prevent adhesion. Use only water or an approved release agent on rollers, tamps, and

other compaction equipment unless otherwise directed.

Use [Tex-246-F](#) to test and verify that the compacted mixture has adequate permeability. Measure the water flow once per subplot at locations directed by the Engineer. The water flow rate must be less than 20 sec. Investigate the cause of the water flow rate test failures and take corrective actions during production and placement to ensure the water flow rate is less than 20 sec. Suspend production if two consecutive water flow rate tests fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

Complete all compaction operations before the pavement temperature drops below 180°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 180°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

- 4.9. **Acceptance Plan.** Sample and test the hot-mix on a lot and subplot basis.
- 4.9.3. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if the differences between Contractor and Engineer test results exceed the operational tolerances in accordance with Table 6 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within five working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.
- 4.9.4. **Production Acceptance.**
- 4.9.4.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 ton; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 2,000 ton. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 1,000 ton and 4,000 ton. The Engineer may change the lot size before the Contractor begins any lot.
- 4.9.4.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Close all lots within five working days unless otherwise allowed.
- 4.9.4.2. **Production Sampling.**
- 4.9.4.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as "Contractor," "Engineer," and "Referee." The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled "Engineer" and "Referee." The Engineer will maintain the custody of the samples labeled "Engineer" and "Referee" until the Department's testing is completed.
- 4.9.4.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.
- 4.9.4.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a "blind" sample instead of the random sample collected by the Contractor. Test either the "blind" or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the "blind" sample. The location of the Engineer's "blind" sample will not be disclosed to the Contractor. The Engineer's "blind" sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The



Engineer will use the Contractor's split sample for sublots not sampled by the Engineer.

4.9.4.2.2. **Informational Shear Bond Strength Testing.** Select one random subplot from Lot 2 or higher for shear bond strength testing. Obtain full depth cores in accordance with [Tex-249-F](#). Label the cores with the Control Section Job (CSJ), producer of the tack coat, mix type, shot rate, lot, and subplot number and provide to the Engineer. The Engineer will ship the cores to the Materials and Tests Division or district laboratory for shear bond strength testing. Results from these tests will not be used for specification compliance.

4.9.4.2.3. **Informational Hamburg and Overlay Testing.** Select one random subplot from Lot 2 or higher for Hamburg and Overlay testing during the first week of production. Obtain and provide the Engineer with approximately 90 lb. of mixture, sampled in accordance with [Tex-222-F](#), in sealed containers, boxes, or bags labeled with the Control-Section-Job (CSJ), mixture type, lot, and subplot number. The Engineer will ship the mixture to the Materials and Tests Division for Hamburg and Overlay testing. Results from these tests will not be used for specification compliance.

4.9.4.2.4. **Asphalt Binder Sampling.** Obtain a 1 qt. (1 gal. for A-R binder) sample of the asphalt binder witness by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, "Asphalts, Oils, and Emulsions" and will retain the other split sample for one year.

4.9.4.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 9. The Contractor has the option to verify the Engineer's test results on split samples provided by the Engineer. Determine compliance with operational tolerances in accordance with Table 6 for all sublots.

At any time during production, the Engineer may require the Contractor to verify the following based on quantities used:

- lime content (within  $\pm 0.1\%$  of JMF), when PG binder is specified;
- fiber content (within  $\pm 0.03\%$  of JMF), when PG binder is specified; and
- CRM content (within  $\pm 1.5\%$  of JMF), when A-R binder is specified.

Maintain the in-line measuring device when A-R binder is specified to verify the A-R binder viscosity between 2,500 and 4,000 centipoise at 350°F unless otherwise approved. Record A-R binder viscosity at least once per hour and provide the Engineer with a daily summary unless otherwise directed.

If the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results, the Engineer may allow alternate methods for determining the asphalt content and aggregate gradation. The Engineer will require the Contractor to provide evidence that results from [Tex-236-F](#), Part I are not reliable before permitting an alternate method unless otherwise allowed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 9  
Production and Placement Testing Frequency**

Description	Test Method	Minimum Contractor Testing Frequency	Minimum Engineer Testing Frequency
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	1 per subplot	1 per 12 sublots
% passing the #200 sieve			
Laboratory-molded density, %	<a href="#">Tex-207-F</a> , Part VIII	1 per subplot	1 per lot
Asphalt binder content <sup>1</sup> , %	<a href="#">Tex-236-F</a> , Part I <sup>2</sup>	1 per subplot	1 per lot
Drain-down, %	<a href="#">Tex-235-F</a>	1 per subplot	1 per 12 sublots
Boil test <sup>3</sup>	<a href="#">Tex-530-C</a>	1 per project	1 per project
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	1 per project
Cantabro loss, %	<a href="#">Tex-245-F</a>	1 per project (sample only)	1 per project
Overlay test	<a href="#">Tex-248-F</a>	1 per project (sample only)	1 per project <sup>4,9</sup>
Hamburg Wheel test	<a href="#">Tex-242-F</a>	1 per project (sample only)	1 per project <sup>4,9</sup>
Water flow test	<a href="#">Tex-246-F</a>	1 per subplot	1 per project
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>5</sup>	1 per project
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	1 per project
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot, <sup>6,7,8</sup>	1 per project <sup>7</sup>

1. May be obtained from t mass flow meter readouts as determined by the Engineer.
2. Ensure the binder content determination excludes fibers.
3. When shown on the plans.
4. Testing performed by the Materials and Tests Division on sample obtained from Lot 2 or higher.
5. Obtain samples witness by the Engineer. The Engineer will retain these samples for one year.
6. To be performed in the presence of the Engineer when using the thermal camera, unless otherwise approved.
7. Not required when a thermal imaging system is used.
8. When using the thermal imaging system, the test report must include the temperature measurements taken in accordance with Tex-244-F.
9. Testing performed by the Materials and Tests Division for informational purposes only.

4.9.4.4. **Operational Tolerances.** Control the production process within the operational tolerances in accordance with Table 6. Suspend production and placement operations when production or placement test results exceed the tolerances in accordance with Table 6 unless otherwise allowed. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.4.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances in accordance with Table 6, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

4.9.5. **Placement Acceptance.**

4.9.5.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

4.9.5.2. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations such as driveways, mailbox turnouts, crossovers, gores, spot level-up

areas, and other similar areas. The specified layer thickness is based on the rate of 90 lb. per square yard for each inch of pavement unless another rate is shown on the plans. Miscellaneous areas are not subject to thermal profiles testing.

4.9.5.3. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

4.9.5.4. **Irregularities.** Identify and correct irregularities, including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.6. **Exempt Production.** When the anticipated daily production is less than 100 ton, all QC and QA sampling and testing are waived. The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is more than 100 ton but less than 250 ton;
- total production for the project is less than 2,500 ton;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements. All other specification requirements apply, and the Engineer will perform acceptance tests for production and placement in accordance with Table 9.

For exempt production:

- produce, haul, place, and compact the mixture as directed by the Engineer; and
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target density as tested by the Engineer.

4.9.7. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

5.1. **PFC Hot-Mix Asphalt.** Permeable friction course (PFC) hot-mix will be measured by the ton of composite mixture which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."

5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measured by the gallon applied.

The Engineer may allow the use of a metering device to determine asphalt volume used and application rate if the device is accurate to within 1.5% of the strapped volume.

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**6. PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3079.5.1., "PFC Hot-Mix Asphalt," will be paid for at the unit bid price for "Permeable friction course Hot Mix Asphalt" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3079.5.2., "Tack Coat," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

# Special Specification 3081

## Thin Overlay Mixtures



### 1. DESCRIPTION

Construct a thin surface course composed of a compacted mixture of aggregate and asphalt binder mixed hot in a mixing plant. Produce a thin overlay mixture (TOM) with a minimum lift thickness of 1/2 in. for a Type F mixture and 3/4 in. for a Type C mixture.

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements in accordance with Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse, intermediate, or fine aggregate. Do not use reclaimed asphalt pavement (RAP) or recycled asphalt shingles (RAS). Supply aggregates that meet the definitions in accordance with [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests in accordance with Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis in accordance with [Tex-200-F](#), Part II.

2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance;
- approved only when tested by the Engineer;
- once approved, do not add material to the stockpile unless otherwise approved; and
- allow 30 calendar days for the Engineer to sample, test, and report results.

2.1.1.1. **Blending Class A and Class B Aggregates.** Class B aggregate meeting all other requirements in blending Class A and B aggregates to meet a Class A requirement, ensure that at least 50% by weight, or volume if required, of all aggregates used in the mixture design retained on the No. 8 sieve comes from the Class A

aggregate source, unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Class B aggregate may be disallowed when shown on the plans.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 8 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 8 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC, unless otherwise directed. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est}$  = magnesium sulfate soundness loss

RSSM = Rated Source Soundness Magnesium

$MD_{act}$  = actual Micro-Deval percent loss

RSMD = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Intermediate Aggregate.** Aggregates not meeting the definition of coarse or fine aggregate will be defined as intermediate aggregate. Supply intermediate aggregates, when used that are free from organic impurities. The Engineer may test the intermediate aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Supply intermediate aggregate from coarse aggregate sources, when used that meet the requirements in accordance with Table 1 unless otherwise approved.

If 10% or more of the stockpile is retained on the No. 4 sieve, verify that it meets the requirements in accordance with Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

- 2.1.3. **Fine Aggregate.** Fine aggregates consist of manufactured sands and screenings. Natural sands are not allowed in any mixture. Fine aggregate stockpiles must meet the fine aggregate properties in accordance with Table 1 and the gradation requirements in accordance with Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Use fine aggregate from coarse aggregate sources that meet the requirements in accordance with Table 1 unless otherwise approved.

If 10% or more of the stockpile is retained on the No. 4 sieve, verify that it meets the requirements in accordance with Table 1 for crushed face count ([Tex-460-A](#)) and flat and elongated particles ([Tex-280-F](#)).

**Table 1**  
**Aggregate Quality Requirements**

Property	Test Method	Requirement
<b>Coarse Aggregate</b>		
SAC	<a href="#">Tex-499-A</a>	A <sup>1</sup>
Deleterious material, %, Max	<a href="#">Tex-217-F</a> , Part I	1.5
Decantation, %, Max	<a href="#">Tex-217-F</a> , Part II	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note <sup>f</sup>
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	30
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	20
Crushed face count, <sup>3</sup> %, Min	<a href="#">Tex-460-A</a> , Part I	95
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
<b>Fine Aggregate</b>		
Linear shrinkage, %, Max	<a href="#">Tex-107-E</a>	3
Sand equivalent, %, Min	<a href="#">Tex-203-F</a>	45

1. Surface Aggregate Classification of "A" is required unless otherwise shown on the plans.
2. Used to estimate the magnesium sulfate soundness loss in accordance with Section 3081.2.1.1.2., "Micro-Deval Abrasion."
3. Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70-100
#200	0-30

- 2.2. **Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, or hydrated lime. Mineral filler is allowed unless otherwise shown on the plans. Fly ash is not permitted unless otherwise shown on the plans. Use no more than 2% hydrated lime unless otherwise shown on the plans. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-107-E](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:
- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
  - does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
  - meets the gradation requirements in Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55-100

- 2.3. **Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.
- 2.4. **Asphalt Binder.** Furnish performance-graded (PG) asphalt binder with a high temperature grade of PG 76 unless otherwise shown in the plans and a low temperature grade as shown on the plans, in accordance with Section 300.2.10., "Performance-Graded Binders."
- 2.5. **Tack Coat.** Furnish CSS-1H, SS-1H, EBL, or a PG binder with a minimum high-temperature grade of PG 58 for tack coat binder in accordance with Item 300, "Asphalts, Oils, and Emulsions." Specialized tack coat materials listed on the Department's Tracking Resistant Asphalt Interlayer (TRAIL) MPL may be allowed or required when shown on the plans. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.



- 2.6. **Additives.** Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.
- 2.6.1. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Use no more than 1% hydrated lime when using crushed gravel. Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.
- 2.6.2. **Compaction Aid.** Compaction Aid is defined as a department-approved chemical warm mix additive denoted as "chemical additive" on the Department's materials producer list (MPL) that is used to facilitate mixing and compaction of HMA.
- Compaction Aid is allowed for use on all projects. Compaction aid is required when shown on the plans or as required in Section 3081.4.7.1., "Weather Conditions."
- Warm mix foaming processes, denoted as "foaming process" on the Department-approved MPL, may be used to facilitate mixing and compaction of HMA; however warm mix foaming processes are not defined as a Compaction Aid.
- 2.7. **Recycled Materials.** Recycled materials are not allowed for use.

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### 3. EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement."

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### 4. CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

- 4.1. **Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 4. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.



**Table 4**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F</a> , Part I	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F</a> , Part II	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F</a> , Part I	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F</a> , Part II	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	Department
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Sand equivalent	<a href="#">Tex-203-F</a>	✓	✓	AGG101
Organic impurities	<a href="#">Tex-408-A</a>	✓	✓	AGG101
Methylene blue test	<a href="#">Tex-252-F</a>		✓	Department
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	✓	✓	1A/1B
Tack coat sampling	<a href="#">Tex-500-C</a> , Part III	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F</a> , Part II	✓	✓	2
Indirect tensile strength	<a href="#">Tex-226-F</a>	✓	✓	1A
Overlay test	<a href="#">Tex-248-F</a>		✓	Department
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
<b>4. Production Testing</b>				
Selecting production random numbers	<a href="#">Tex-225-F</a> , Part I		✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Molding (TGC)	<a href="#">Tex-206-F</a>	✓	✓	1A
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I & VI	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	✓	✓	1A
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Moisture content	<a href="#">Tex-212-F</a> , Part II	✓	✓	1A/AGG101
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Overlay test	<a href="#">Tex-248-F</a>	✓	✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	Department
<b>5. Placement Testing</b>				
Establish rolling pattern	<a href="#">Tex-207-F</a> , Part IV	✓		1B
In-place density (nuclear method)	<a href="#">Tex-207-F</a> , Part III	✓		1B
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Water flow test	<a href="#">Tex-246-F</a>	✓	✓	1B

- Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
- Refer to Section 3081.4.9.2.3., "Production Testing," for exceptions to using an ignition oven.
- Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.
- When shown on the plans.

4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement QC/QA, control charts, and thermal profiles. Obtain the current version of the templates at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The maximum allowable time for the Contractor and Engineer to exchange test data is as given in Table 5 unless otherwise approved. The Engineer and the Contractor will immediately report to the other party any test result that requires suspension of production or placement or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Section 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 5**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>2</sup>			
Moisture content <sup>3</sup>			
Boil test <sup>5</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>3</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>3</sup>			
Laboratory-molded density <sup>1</sup>			
Hamburg Wheel test <sup>4</sup>			
Overlay test <sup>4</sup>			
Boil test <sup>5</sup>			
Binder tests <sup>4</sup>			
<b>Placement Quality Control</b>			
Thermal profile <sup>1</sup>	Contractor	Engineer	1 working day of completion of the lot
Water flow <sup>1</sup>			
<b>Placement Quality Assurance</b>			
Thermal profile <sup>3</sup>	Engineer	Contractor	1 working day of completion of the lot
Aging ratio <sup>4</sup>			
Water flow			

1. These tests are required on every subplot.
2. Optional test. When performed on split samples, report the results as soon as they become available.
3. To be performed at the frequency specified and in accordance with Table 13 or as shown on the plans.
4. To be reported as soon as the results become available.
5. When shown on the plans.

Use the procedures described in [Tex-233-E](#) to plot the results of all quality control (QC) and quality assurance (QA) testing. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

4.3.

**Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting. Receive approval of the QCP before pre-paving meeting. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and
  - current copies of certification documents for individuals performing specified QC functions.
- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:
- the sequence of material processing, delivery, and minimum quantities to assure continuous plant operations;
  - aggregate stockpiling procedures to avoid contamination and segregation;
  - frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
  - procedure for monitoring the quality and variability of asphalt binder.
- 4.3.3. **Production.** For production, include:
- loader operation procedures to avoid contamination in cold bins;
  - procedures for calibrating and controlling cold feeds;
  - procedures to eliminate debris or oversized material;
  - procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, lime, liquid antistripping, compaction aid, foaming process);
  - procedures for reporting job control test results; and
  - procedures to avoid segregation and drain-down in the silo.
- 4.3.4. **Loading and Transporting.** For loading and transporting, include:
- type and application method for release agents; and
  - truck loading procedures to avoid segregation.
- 4.3.5. **Placement and Compaction.** For placement and compaction, include:
- proposed agenda for mandatory pre-paving meeting, including date and location;
  - proposed paving plan (e.g., production rate, paving widths, joint offsets, and lift thicknesses);
  - type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
  - procedures for the transfer of mixture into the paver, while avoiding physical and thermal segregation and preventing material spillage;
  - process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
  - paver operations (e.g., speed, operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
  - procedures to construct quality longitudinal and transverse joints.
- 4.4. **Mixture Design.**
- 4.4.1. **Design Requirements.** The Contractor may design the mixture using a Texas Gyrotory Compactor (TGC) or a Superpave Gyrotory Compactor (SGC) unless otherwise shown on the plans. Use the typical weight design example given in [Tex-204-F](#), Part I, when using a TGC. Use the Superpave mixture design procedure provided in [Tex-204-F](#), Part IV, when using a SGC. Design the mixture to meet the requirements in accordance with Tables 1, 2, 3, 6, and 7.
- 4.4.1.1. **Target Laboratory-Molded Density When the TGC Is Used.** Design the mixture at a 97.5% target laboratory-molded density or in accordance with Table 7.

## 4.4.1.2.

**Design Number of Gyration (Ndesign) When the SGC Is Used.** Design the mixture at 50 gyrations (Ndesign). Use a target laboratory-molded density of 96.0% to design the mixture; however, adjustments can be made to the Ndesign value as noted in Table 7. The Ndesign level may be reduced to no less than 35 gyrations at the Contractor's discretion.

Use an approved laboratory from the Department's MPL to perform the Hamburg Wheel test, and the Department will perform the Overlay test and provide results with the mixture design, or provide the laboratory mixture and request that the Department perform the Hamburg Wheel test and Overlay test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test and Overlay test results on the laboratory mixture design.

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF 1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- the target laboratory-molded density (or Ndesign level when using the SGC);
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 6**  
**Master Gradation Limits (% Passing by Weight or Volume) and Volumetric Requirements**

Sieve Size	Coarse (TOM-C)	Fine (TOM-F)
1/2"	100.0 <sup>1</sup>	100.0 <sup>1</sup>
3/8"	95.0–100.0	98.0–100.0
#4	40.0–60.0	70.0–95.0
#8	17.0–27.0	40.0–65.0
#16	5.0–27.0	20.0–45.0
#30	5.0–27.0	10.0–35.0
#50	5.0–27.0	10.0–20.0
#200	5.0–9.0	2.0–12.0
<b>Asphalt Binder Content,<sup>2</sup> % Min</b>		
-	6.0	6.5
<b>Design VMA,<sup>3</sup> % Min</b>		
-	16.0	16.5
<b>Production (Plant-Produced) VMA,<sup>3</sup> % Min</b>		
-	15.5	16.0

1. Defined as maximum sieve size. No tolerance allowed.
2. Unless otherwise shown on the plans or approved by the Engineer.
3. Voids in Mineral Aggregates (VMA).

**Table 7**  
**Mixture Design Properties**

Mixture Property	Test Method	Requirement
Target laboratory-molded density, % (TGC)	<a href="#">Tex-207-F</a>	97.5 <sup>1</sup>
Design gyrations (Ndesign for SGC)	<a href="#">Tex-241-F</a>	50 <sup>2</sup>
Hamburg Wheel test, passes at 12.5 mm rut depth for PG 76 mixtures	<a href="#">Tex-242-F</a>	20,000 Min
Overlay test, Critical Fracture Energy, lb.-in/sq. in	<a href="#">Tex-248-F</a>	1.5 Min
Overlay test, Crack Progression Rate	<a href="#">Tex-248-F</a>	0.40 Max
Drain-down, %	<a href="#">Tex-235-F</a>	0.20 Max

1. Unless otherwise shown on the plans or approved by the Engineer. Laboratory-molded density requirement using the TGC may be waived when approved by the Engineer.

2. May be adjusted within the range of 35–100 gyrations when shown on the plans or specification or when mutually agreed between the Engineer and Contractor. Laboratory-molded density requirement using the SGC may be waived when approved by the Engineer.

- 4.4.1 **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, target laboratory-molded density (or Ndesign level), and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When a compaction aid or foaming process is used, JMF1 may be designed and submitted to the Engineer without including the compaction aid or foaming process. When a compaction aid or foaming process is used, document the compaction aid or foaming process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.
- 4.4.2.1. **Contractor's Responsibilities.**
- 4.4.2.1.1. **Providing Gyrotory Compactor.** Use a TGC calibrated in accordance with [Tex-914-K](#) when electing or required to design the mixture in accordance with [Tex-204-E](#), Part I, for molding production samples. Furnish an SGC calibrated in accordance with [Tex-241-F](#) when electing or required to design the mixture in accordance with [Tex-204-F](#), Part IV, for molding production samples. Locate the SGC if used, at the Engineer's field laboratory or make the SGC available to the Engineer for use in molding production samples.
- 4.4.2.1.2. **Gyrotory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyrotory compactor correlation when the Engineer uses a different gyrotory compactor. Apply the correlation factor to all subsequent production test results.
- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide approximately 25 lb. of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture, and request that the Department perform the test. Provide approximately 60 lb. of the design mixture to perform the Overlay test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for QA testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used and the correction factors are not more than 12 mo. old, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** When shown on the plans, perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the compaction aid or foaming process, if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in accordance with Table 8. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.

- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into three equal portions in accordance with [Tex-222-F](#). Label these portions as “Contractor,” “Engineer,” and “Referee.” Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in accordance with Table 8. Ensure the trial batch mixture is also in compliance with the requirements in accordance with Tables 6 and 7. Use a Department-approved laboratory listed on the MPL to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. Provide approximately 25 lb. of the trial batch mixture if opting to have the Department perform the Hamburg Wheel test, and request that the Department perform the test. Obtain and provide approximately 60 lb. of trial batch mixture in sealed containers, boxes, or bags labeled with the CSJ, mixture type, lot, and subplot number in accordance with [Tex-222-F](#) for the Overlay test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test and Overlay test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results after the Engineer grants full approval of JMF 1 based on results from the trial batch, determine the optimum mixture proportions, and submit as JMF 2. Adjust the asphalt binder content or gradation to achieve the specified target laboratory-molded density. The mixture produced using JMF2 must meet the requirements in accordance with Tables 6 and 7. Verify that JMF2 meets the operation tolerances of JMF 1 in accordance with Table 8.
- 4.4.2.1.15. **Mixture Production.** Use JMF2 to produce Lot 1 after receiving approval for JMF2 and a passing result from the Department’s or a Department-approved laboratory’s Hamburg Wheel test and the Department’s Overlay test on the trial batch. If desired, proceed to Lot 1 production, once JMF2 is approved, at the Contractor’s risk without receiving the results from either the Department’s Hamburg Wheel test or Overlay test on the trial batch.
- Notify the Engineer if electing to proceed without Hamburg Wheel test and Overlay test results from the trial batch. Note that the Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test or Overlay test to be removed and replaced at the Contractor’s expense.
- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.
- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:
- be provided to the Engineer in writing before the start of a new lot;
  - be numbered in sequence to the previous JMF;
  - meet the master gradation limits in accordance with Table 6; and
  - be within the operational tolerances of JMF2 in accordance with Table 8.
- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3081.4.9.1., “Referee Testing,” to resolve testing differences with the Engineer.



**Table 8**  
**Operational Tolerances**

Description	Test Method	Allowable Difference between JMF2 and JMF1 Target <sup>1</sup>	Allowable Difference from Current JMF and JMF2 <sup>2</sup>	Allowable Difference between Contractor and Engineer <sup>3</sup>
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	Must be Within Master Grading Limits in accordance with Table 6	±3.0 <sup>4,5</sup>	±5.0
Individual % retained for sieves smaller than #8 and larger than #200			±3.0 <sup>4,5</sup>	±3.0
% passing the #200 sieve			±2.0 <sup>4,5</sup>	±1.6
Asphalt binder content, % <sup>6</sup>	<a href="#">Tex-236-F</a>	±0.3	±0.3 <sup>5</sup>	±0.3
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	±1.0	±1.0	±1.0
Laboratory-molded bulk specific gravity		N/A	N/A	±0.020
VMA, % Min	<a href="#">Tex-204-F</a>	Note 7	Note 7	N/A
Theoretical Max specific (Rice) gravity	<a href="#">Tex-227-F</a>	N/A	N/A	±0.020
Drain-down, %	<a href="#">Tex-235-F</a>	Note 8	Note 8	N/A

1. JMF1 is the approved laboratory mixture design used for producing the trial batch. JMF2 is the approved mixture design developed from the trial batch used to produce Lot 1.
2. Current JMF is JMF3 or higher. JMF3 is the approved mix design used to produce Lot 2.
3. Contractor may request referee testing only when values exceed these tolerances.
4. When within these tolerances, mixture production gradations may fall outside the master grading limits; however, the % passing the #200 will be considered out of tolerance when outside the master grading limits.
5. Only applies to mixture produced for Lot 1 and higher.
6. Binder content is not allowed to be outside the limits in accordance with Table 6. May be obtained from asphalt meter readouts as determined by the Engineer.
7. Verify that Table 6 requirements are met.
8. Verify that Table 7 requirements are met.

#### 4.4.2.2. **Engineer's Responsibilities.**

4.4.2.2.1. **Gyrotory Compactor.** For mixtures designed in accordance with [Tex-204-F](#), Part I, the Engineer will use a Department TGC, calibrated in accordance with [Tex-914-K](#), to mold samples for trial batch and production testing.

For mixtures designed in accordance with [Tex-204-F](#), Part IV, the Engineer will use a Department SGC, calibrated in accordance with [Tex-241-F](#), to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location.

4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt

- the Contractor's mix design report (JMF1);
- the Department-provided Overlay test results;
- the Contractor-provided Hamburg Wheel test results;
- all required materials including aggregates, asphalt, and additives; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test and department provided Overlay test results with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with

Section 3081.2.1.1., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

The Contractor is authorized to produce a trial batch after the Engineer grants conditional approval of JMF1.

4.4.2.2.3. **Hamburg Wheel and Overlay Testing of JMF1.** If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the laboratory mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in Table 7. The Engineer will perform the Overlay test and mold samples in accordance with [Tex-248-F](#) to verify compliance with the Overlay test requirements in Table 7. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel and Overlay test results on the laboratory mixture design.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for QA testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old.

4.4.2.2.5. **Testing the Trial Batch.** Within one full working day, the Engineer will sample and test the trial batch to ensure that the mixture meets the requirements in accordance with Table 8. The Engineer will mold samples in accordance with [Tex-242-F](#) if the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture to verify compliance with Hamburg Wheel test requirements in Table 7. The Engineer will mold samples for the Overlay test in accordance with [Tex-248-F](#) to verify compliance with the Overlay test requirement in Table 7.

The Engineer will have the option to perform [Tex-530-C](#) on the trial batch when shown on the plans. These results may be retained and used for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in accordance with Tables 6 and 7. The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in accordance with Table 6, 7, and 8.

4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2) as soon as a passing result is achieved from the Department's or a Department-approved laboratory's Hamburg Wheel test and the Department's Overlay test on the trial batch. The Contractor may proceed at its own risk with Lot 1 production without the results from the Hamburg Wheel test or Overlay test on the trial batch.

If the Department's or Department-approved laboratory's sample from the trial batch fails the Hamburg Wheel test or Overlay test, the Engineer will suspend production until further Hamburg Wheel tests or Overlay tests meet the specified values. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel test or Overlay test to be removed and replaced at the Contractor's expense.

4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the master grading limits and asphalt binder content shown in Table 6 and are within the operational tolerances of JMF2 shown in accordance with Table 8.

4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification.



4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.

4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures in accordance with Table 9. The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures listed in Table 9.

**Table 9  
Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Max Production Temperature
PG 76	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck and perform the test promptly.

4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary. Do not use diesel or any release agent not shown on the Department's MPL.

Use equipment for hauling as defined in Section 3081.4.7.3.3., "Hauling Equipment." Use other hauling equipment only when allowed.

4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour, or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Place mixture so that longitudinal joints on the surface course coincide within 6-in. of lane lines and are not placed in the wheel path, or as directed, and offset longitudinal joints of successive courses of hot-mix by at least 6-in. Ensure that all finished surfaces will drain properly. Place the mixture at the rate or thickness shown on the plans. The Engineer will use the guidelines in Table 10 to determine the compacted lift thickness. The thickness determined is based on the rate of 110–115 lb. per square inch. for each inch of pavement unless otherwise shown on the plans.

**Table 10**  
**Compacted Lift Thickness**

Mixture Type	Compacted Lift Thickness <sup>1</sup>	
	Min (in.)	Max (in.)
TOM-C	0.75	1.25
TOM-F	0.5	1.00

1. Compacted target lift thickness will be specified on the plans.

4.7.1. **Weather Conditions.**

4.7.1.1. **When Using a Thermal Imaging System.** The Contractor may pave any time the roadway is dry and the roadway surface temperature is at least 60°F unless otherwise approved or as shown on the plans; however, the Engineer may restrict the Contractor from paving surface mixtures if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3081.4.7.3.1.2., "Thermal Imaging System."

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling

4.7.1.2. **When Not Using a Thermal Imaging System.** When using a thermal camera instead the thermal imaging system, place mixture when the roadway surface temperature is at or above 70°F unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the air temperature is 70°F and falling.

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

4.7.2. **Tack Coat.**

4.7.2.1. **Application.** Clean the surface before placing the tack coat. The Engineer will set the rate between 0.04 and 0.10 gal. of residual asphalt per square yard of surface area, unless otherwise specified on the plans. Apply a uniform tack coat at the specified rate unless otherwise directed. Apply the tack coat in a uniform manner to avoid streaks and other irregular patterns. Apply the tack coat to all surfaces that will come in contact with the subsequent HMA placement unless otherwise directed. Apply adequate overlap of the tack coat in the longitudinal direction during placement of the mat to ensure bond of adjacent mats, unless otherwise directed. Allow adequate time for emulsion to break completely before placing any material. Prevent splattering of tack coat when placed adjacent to curb, gutter, and structures. The Engineer may suspend paving operations until there is adequate coverage. Do not dilute emulsified asphalts at the terminal, in the field, or at any other location before use.

4.7.2.2. **Sampling.** The Engineer will obtain at least one sample of the tack coat binder per project in accordance with [Tex-500-C](#), Part III, and test it to verify compliance with Item 300, "Asphalts, Oils, and Emulsions." The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample from the asphalt distributor immediately before use. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. For emulsions, the Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, "Asphalts, Oils, and Emulsions."

4.7.3. **Lay-Down Operations.** Use the placement temperatures in accordance with Table 11 to establish the minimum placement temperature of mixture delivered to the paving operation.

**Table 11**  
**Minimum Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Min Placement Temperature (Before Entering Paving Operation) <sup>2,3</sup>
PG 76	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The mixture temperature must be measured using a hand-held thermal camera or infrared thermometer nearest to the point of entry of the paving operation.
3. Minimum placement temperatures may be reduced 10°F if using a compaction aid.

4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#).

4.7.3.1.1. **Thermal Segregation.**

4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F.

4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F.

4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the report described in accordance with [Tex-244-F](#) to the Engineer daily. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system.

The Engineer may suspend subsequent paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe or moderate thermal segregation.

Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or as requested by the Engineer.

4.7.3.1.3. **Thermal Camera.** When using a thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Evaluate areas with moderate thermal segregation by performing water flow testing in accordance with [Tex-246-F](#) and verify the water flow is greater than 120 sec. Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the electronic files generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3081.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project, unless the thermal imaging system is used. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section. Evaluate areas with severe thermal segregation by performing water flow testing in accordance with [Tex-246-F](#) and verify the water flow is greater than 120 sec. Remove and replace the material in any areas that have both severe thermal segregation and a failing result for water flow test unless otherwise directed.

4.7.3.2. **Windrow Operations.** Operate windrow pickup equipment so that when hot-mix is placed in windrows, substantially all the mixture deposited on the roadbed is picked up and loaded into the paver.

4.7.3.3. **Hauling Equipment.** Use belly dumps, live bottom, or end dump trucks to haul and transfer mixture. End dump trucks are only allowed when used in conjunction with an MTD with remixing capability unless otherwise allowed.

4.7.3.4. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3081.4.9.3.1.1., "Recovered Asphalt Dynamic Shear Rheometer (DSR)," if the screed heater remains on for more than 5 min. while the paver is stopped.

4.8.

**Compaction.** Roll the freshly placed mixture with as many steel-wheeled rollers as necessary to ensure adequate compaction without excessive breakage of the aggregate and to provide a smooth surface and uniform texture. Operate each roller in static mode for TOM-F mixtures only. Do not use pneumatic-tire rollers. Use the control strip method given in accordance with [Tex-207-F](#), Part IV, to establish the rolling pattern. Thoroughly moisten the roller drums with a soap and water solution to prevent adhesion. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

Use tamps to thoroughly compact the edges of the pavement along curbs, headers, and similar structures and in locations that will not allow thorough compaction with rollers. The Engineer may require rolling with a trench roller on widened areas, in trenches, and in other limited areas.

Use [Tex-246-F](#) to measure water flow to verify the mixture is adequately compacted. Measure the water flow once per subplot at locations directed by the Engineer. Take additional water flow measurements when the minimum temperature of the uncompacted mat is below the temperature requirements in accordance with Table 12.

**Table 12**  
**Minimum Uncompacted Mat Temperature Requiring Additional Water Flow Measurements**

High-Temperature Binder Grade <sup>1</sup>	Min Temperature of the Uncompacted Mat Allowed Before Initial Break Down Rolling <sup>2,3</sup>
PG 76	<270°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The surface of the uncompacted mat must be measured using a hand-held thermometer or infrared thermometer.
3. Minimum uncompacted mat temperature requiring a water flow measurement may be reduced 10°F if using a compaction aid.

Use [Tex-246-F](#) to measure water flow to verify the mixture is adequately compacted at confined longitudinal joints as directed by the Engineer.

The water flow rate should be greater than 120 sec. Investigate the cause of the water flow rate test failures and take corrective actions during production and placement to ensure the water flow rate is greater than 120 sec. Suspend production if two consecutive water flow rate tests fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

Complete all compaction operations before the pavement temperature drops below 180°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 180°F when approved.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

4.9.

**Acceptance Plan.** Sample and test the hot-mix asphalt on a lot and subplot basis.

4.9.1.

**Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if the differences between Contractor and Engineer test results exceed the maximum allowable difference in accordance with Table 8 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer's test results require suspension of production and the Contractor's test results are within specification limits. Make the request within five working days after receiving test results from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer's test results are closer to the referee test results than the Contractor's test results.

The Materials and Tests Division will determine the laboratory-molded density based on the molded specific gravity and the maximum theoretical specific gravity of the referee sample.

#### 4.9.2. **Production Acceptance.**

4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 500 ton; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 2,000 ton. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 500 ton and 2,000 ton. The Engineer may change the lot size before the Contractor begins any lot.

4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Close all lots within five working days unless otherwise allowed.

#### 4.9.2.2. **Production Sampling.**

4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as “Contractor,” “Engineer,” and “Referee.” The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled “Engineer” and “Referee.” The Engineer will maintain the custody of the samples labeled “Engineer” and “Referee” until the Department’s testing is completed.

4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.

4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a “blind” sample instead of the random sample collected by the Contractor. Test either the “blind” or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the “blind” sample. The location of the Engineer’s “blind” sample will not be disclosed to the Contractor. The Engineer’s “blind” sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor’s split sample for sublots not sampled by the Engineer.

4.9.2.2.2. **Informational Methylene Blue Testing.** During the project and at random, obtain and provide the Engineer with approximately 50 lb. of each fine aggregate and approximately 20 lb. of all mineral fillers used to produce the mixture. Label the samples with the Control Section Job (CSJ), mixture type, and approximate lot and subplot number corresponding to when the sample was taken. The Engineer will ship the samples to the Materials and Tests Division for Methylene Blue testing in accordance with [Tex-252-F](#). Results from these tests will not be used for specification compliance.

4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. sample of the asphalt binder witnessed by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility location, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, “Asphalts, Oils, and Emulsions,” and will retain the other split sample for 1 yr.

4.9.2.3. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 13. The Contractor has the option to verify the Engineer’s test results on split samples provided by the Engineer. Determine compliance with operational tolerances listed in accordance with Table 8 for all sublots. Take immediate corrective action if the Engineer’s laboratory-molded density on any subplot is less than 95.0% or greater than 98.0% when using the SGC or less than 96.5% or greater than 98.5% when using the TGC, to bring

the mixture within these tolerances. The Engineer may suspend operations if the Contractor's corrective actions do not produce acceptable results. The Engineer will allow production to resume when the proposed corrective action is likely to yield acceptable results.

The Engineer may allow alternate methods for determining the asphalt binder content and aggregate gradation if the aggregate mineralogy is such that [Tex-236-F](#), Part I does not yield reliable results. Provide evidence that results from [Tex-236-F](#), Part I are not reliable before requesting permission to use an alternate method unless otherwise directed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 13**  
**Production and Placement Testing Frequency**

Description	Test Method	Min Contractor Testing	Min Engineer Testing
Individual % retained for #8 sieve and larger	<a href="#">Tex-200-F</a> or <a href="#">Tex-236-F</a>	1 per subplot	1 per 12 sublots <sup>1</sup>
Individual % retained for sieves smaller than #8 and larger than #200			
% passing the #200 sieve			
Laboratory-molded density	<a href="#">Tex-207-F</a>	N/A	1 per subplot <sup>1</sup>
Laboratory-molded bulk specific gravity			
VMA			
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	
Theoretical maximum specific (Rice) gravity	<a href="#">Tex-227-F</a> , Part II	N/A	1 per subplot <sup>1</sup>
Asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	1 per subplot	1 per lot <sup>1</sup>
Overlay test <sup>3</sup>	<a href="#">Tex-248-F</a>	N/A	1 per project
Hamburg Wheel test	<a href="#">Tex-242-F</a>	N/A	1 per project
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot <sup>4,5,6</sup>	1 per project <sup>5</sup>
Asphalt binder sampling and testing	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>7</sup>	1 per project
Tack coat sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	1 per project
Boil test <sup>8</sup>	<a href="#">Tex-530-C</a>	1 per subplot <sup>9</sup>	1 per project
Water flow	<a href="#">Tex-246-F</a>		
Methylene blue test <sup>10</sup>	<a href="#">Tex-252-F</a>	1 per project (sample only)	1 per project

1. For production defined in Section 3081.4.9.4., "Exempt Production," the Engineer will test one per day if 100 ton or more are produced. For Exempt Production, no testing is required with less than 100 ton are produced.
2. May be obtained from asphalt flow meter readout as determined by the Engineer.
3. Testing performed by the Materials and Tests Division on sample obtained from Lot 2 or higher.
4. To be performed in the presence of the Engineer when a thermal camera is used, unless otherwise approved.
5. Not required when a thermal imaging system is used.
6. When using the thermal imaging system, the test report must include the temperature measurements taken in accordance with [Tex-244-F](#).
7. Obtain samples witnessed by the Engineer. The Engineer will retain these samples for 1 yr.
8. When shown on the plans.
9. To be performed in the presence of the Engineer, unless otherwise directed.
10. Testing performed by the Materials and Tests Division for informational purposes only.

4.9.2.4. **Operational Tolerances.** Control the production process within the operational tolerances in accordance with Table 8. When production is suspended, the Engineer will allow production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

4.9.2.4.1. **Gradation.** Suspend operation and take corrective action if any aggregate is retained on the maximum sieve size in accordance with Table 6. A subplot is defined as out of tolerance if either the Engineer's or the Contractor's test results are out of operational tolerance. Suspend production when test results for gradation exceed the operational tolerances in accordance with Table 8 for three consecutive sublots on the same sieve or four consecutive sublots on any sieve unless otherwise directed. The consecutive sublots may be from more than one lot.

4.9.2.4.2. **Asphalt Binder Content.** A subplot is defined as out of operational tolerance if either the Engineer's or the Contractor's test results exceed the values in accordance with Table 8. Suspend production when two or



more sublots within a lot are out of operational tolerance or below the minimum asphalt binder content specified in accordance with Table 6 unless otherwise directed. Suspend production and shipment of mixture if the Engineer's or Contractor's asphalt binder content deviates from the current JMF by more than 0.5% for any subplot or is less than the minimum asphalt content allowed in accordance with Table 6.

- 4.9.2.4.3. **Voids in Mineral Aggregates (VMA).** The Engineer will determine the VMA for every subplot. For sublots when the Engineer does not determine asphalt binder content, the Engineer will use the asphalt binder content results from QC testing performed by the Contractor to determine VMA.

Take immediate corrective action if the VMA value for any subplot is less than the minimum VMA requirement for production in accordance with Table 6. Suspend production and shipment of the mixture if the Engineer's VMA results on two consecutive sublots are below the minimum VMA requirement for production in accordance with Table 6.

Suspend production and shipment of the mixture if the Engineer's VMA result is more than 0.5% below the minimum VMA requirement for production in accordance with Table 6. In addition to suspending production, the Engineer may require removal and replacement or may allow the subplot to be left in place without payment.

- 4.9.2.4.4. **Hamburg Wheel.** The Engineer may perform a Hamburg Wheel on plant produced mixture at any time during production. In addition to testing production samples, the Engineer may obtain cores and perform the Hamburg Wheel test on any area of the roadway where rutting is observed. Suspend production until further Hamburg Wheel meet the specified values when the production or core samples fail to meet the Hamburg Wheel criteria in accordance with Table 7. Core samples, if taken, will be obtained from the center of the finished mat or other areas excluding the vehicle wheel paths. The Engineer may require up to the entire subplot of any mixture failing the Hamburg Wheel to be removed and replaced at the Contractor's expense.

If the Department's or Department-approved laboratory's Hamburg Wheel test results in a "remove and replace" condition, the Contractor may request that the Department confirm the results by re-testing the failing material. The Materials and Tests Division will perform the Hamburg Wheel and determine the final disposition of the material in question based on the Department's test results.

- 4.9.2.5. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances in accordance with Table 8, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.

- 4.9.3. **Placement Acceptance.**

- 4.9.3.1. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.

- 4.9.3.1.1. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).

- 4.9.3.1.2. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. The Engineer may require the Contractor to remove and replace (at the

Contractor's expense) areas of the pavement that contain irregularities if the Engineer determines that the irregularity will adversely affect pavement performance. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.

The Engineer may require the Contractor to immediately suspend operations if irregularities are detected or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.

4.9.4. **Exempt Production.** When the anticipated daily production is less than 100 ton, all QC and QA sampling and testing are waived. The Engineer may deem the mixture as exempt production for the following conditions:

- anticipated daily production is more than 100 ton but less than 250 ton;
- total production for the project is less than 2,500 ton;
- when mutually agreed between the Engineer and the Contractor; or
- when shown on the plans.

For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements. All other specification requirements apply, and the Engineer will perform acceptance tests for production and placement in accordance with Table 13.

For exempt production:

- produce, haul, place, and compact the mixture as directed by the Engineer; and
- control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target density as tested by the Engineer.

4.9.5. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

## 5. MEASUREMENT

5.1. **TOM Hot-Mix Asphalt.** TOM hot-mix will be measured by the ton of composite mixture, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."

5.2. **Tack Coat.** Tack coat will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the calibrated distributor. The Engineer will witness all strapping operations for volume determination. All tack, including emulsions, will be measured by the gallon applied.

The Engineer may allow the use of a metering device to determine asphalt volume used and application rate if the device is accurate within 1.5% of the strapped volume.

## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3081.5.1., "TOM Hot-Mix Asphalt," will be paid for at the unit bid price for "Thin Overlay Mixture" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, removing pavement marking and markers, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3081.5.2., "Tack Coat," will be paid for at the unit bid price for "Tack Coat" of the tack coat provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.



Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

# Special Specification 3082

## Thin Bonded Friction Courses



### 1. DESCRIPTION

Construct a hot-mix asphalt (HMA) surface course composed of a warm spray-applied polymer modified emulsion membrane followed immediately with a compacted permeable mixture of aggregate, asphalt binder, and additives mixed hot in a mixing plant.

### 2. MATERIALS

Furnish uncontaminated materials of uniform quality that meet the requirements of the plans and specifications.

Notify the Engineer of all material sources and before changing any material source or formulation. The Engineer will verify that the specification requirements are met when the Contractor makes a source or formulation change, and may require a new laboratory mixture design, trial batch, or both. The Engineer may sample and test project materials at any time during the project to verify specification compliance in accordance with Item 6, "Control of Materials."

- 2.1. **Aggregate.** Furnish aggregates from sources that conform to the requirements shown in Table 1 and as specified in this Section. Aggregate requirements in this Section, including those shown in Table 1, may be modified or eliminated when shown on the plans. Additional aggregate requirements may be specified when shown on the plans. Provide aggregate stockpiles that meet the definitions in this Section for coarse or fine aggregate. Do not use intermediate or fine aggregate in PFC mixtures. Supply aggregates that meet the definitions in [Tex-100-E](#) for crushed gravel or crushed stone. The Engineer will designate the plant or the quarry as the sampling location. Provide samples from materials produced for the project. The Engineer will establish the Surface Aggregate Classification (SAC) and perform Los Angeles abrasion, magnesium sulfate soundness, and Micro-Deval tests. Perform all other aggregate quality tests listed in accordance with Table 1. Document all test results on the mixture design report. The Engineer may perform tests on independent or split samples to verify Contractor test results. Stockpile aggregates for each source and type separately. Determine aggregate gradations for mixture design and production testing based on the washed sieve analysis given in [Tex-200-F](#), Part II.

- 2.1.1. **Coarse Aggregate.** Coarse aggregate stockpiles must have no more than 20% material passing the No. 8 sieve. Aggregates from sources listed in the Department's *Bituminous Rated Source Quality Catalog* (BRSQC) are preapproved for use. Use only the rated values for hot-mix listed in the BRSQC. Rated values for surface treatment (ST) do not apply to coarse aggregate sources used in hot-mix asphalt.

For sources not listed on the Department's BRSQC:

- build an individual stockpile for each material;
- request the Department test the stockpile for specification compliance;
- approved only when tested by the Engineer;
- once approved, do not add material to the stockpile unless otherwise approved; and
- allow 30 calendar days for the Engineer to sample, test, and report results.

Provide coarse aggregate with at least the minimum SAC shown on the plans. SAC requirements only apply to aggregates used on the surface of travel lanes, unless otherwise shown on the plans. SAC requirements apply to aggregates used on surfaces other than travel lanes when shown on the plans. The SAC for sources on the Department's *Aggregate Quality Monitoring Program* (AQMP) ([Tex-499-A](#)) is listed in the BRSQC.

- 2.1.1.1. **Blending Class A and Class B Aggregates.** To prevent crushing of the Class B aggregate when blending, Class B aggregate may be blended with a Class A aggregate to meet requirements for Class A materials if the Department's BRSQC rated source soundness magnesium (RSSM) rating for the Class B aggregate is less than the Class A aggregate or if the RSSM rating for the Class B aggregate is less than or equal to 10%. Use the rated values for hot mix asphaltic concrete (HMAC) published in the BRSQC. When blending Class A and B aggregates to meet a Class A requirement, ensure that at least 50% by weight, or volume if required, of all the aggregates used in the mixture design retained on the No. 4 sieve comes from the Class A aggregate source, unless otherwise shown on the plans. Blend by volume if the bulk specific gravities of the Class A and B aggregates differ by more than 0.300. Class B aggregate may be disallowed when shown on the plans.

The Engineer may perform tests at any time during production, when the Contractor blends Class A and B aggregates to meet a Class A requirement, to ensure that at least 50% by weight, or volume if required, of the material retained on the No. 4 sieve comes from the Class A aggregate source. The Engineer will use the Department's mix design template, when electing to verify conformance, to calculate the percent of Class A aggregate retained on the No. 4 sieve by inputting the bin percentages shown from readouts in the control room at the time of production and stockpile gradations measured at the time of production. The Engineer may determine the gradations based on either washed or dry sieve analysis from samples obtained from individual aggregate cold feed bins or aggregate stockpiles. The Engineer may perform spot checks using the gradations supplied by the Contractor on the mixture design report as an input for the template; however, a failing spot check will require confirmation with a stockpile gradation determined by the Engineer.

- 2.1.1.2. **Micro-Deval Abrasion.** The Engineer will perform a minimum of one Micro-Deval abrasion test in accordance with [Tex-461-A](#) for each coarse aggregate source used in the mixture design that has a Rated Source Soundness Magnesium (RSSM) loss value greater than 15 as listed in the BRSQC, unless otherwise directed. The Engineer will perform testing before the start of production and may perform additional testing at any time during production. The Engineer may obtain the coarse aggregate samples from each coarse aggregate source or may require the Contractor to obtain the samples. The Engineer may waive all Micro-Deval testing based on a satisfactory test history of the same aggregate source.

The Engineer will estimate the magnesium sulfate soundness loss for each coarse aggregate source, when tested, using the following formula:

$$Mg_{est.} = (RSSM)(MD_{act.}/RSMD)$$

where:

$Mg_{est.}$  = magnesium sulfate soundness loss

$RSSM$  = Rated Source Soundness Magnesium

$MD_{act.}$  = actual Micro-Deval percent loss

$RSMD$  = Rated Source Micro-Deval

When the estimated magnesium sulfate soundness loss is greater than the maximum magnesium sulfate soundness loss specified, the coarse aggregate source will not be allowed for use unless otherwise approved. The Engineer will consult the Soils and Aggregates Section of the Materials and Tests Division, and additional testing may be required before granting approval.

- 2.1.2. **Fine Aggregate.** Fine aggregates consist of manufactured sands and screenings. Fine aggregate stockpiles must meet the fine aggregate properties in accordance with Table 1 and the gradation requirements in accordance with Table 2. Supply fine aggregates that are free from organic impurities. The Engineer may test the fine aggregate in accordance with [Tex-408-A](#) to verify the material is free from organic impurities. Do not use field sand or other uncrushed fine aggregate. Use fine aggregate from coarse aggregate sources that meet the requirements shown in accordance with Table 1 unless otherwise approved.

**Table 1**  
**Coarse Aggregate Quality Requirements**

Property	Test Method	Requirement
SAC	<a href="#">Tex-499-A (AQMP)</a>	As shown on the plans
Deleterious material, %, Max	<a href="#">Tex-217-E, Part I</a>	1.0
Decantation, %, Max	<a href="#">Tex-217-E, Part II</a>	1.5
Micro-Deval abrasion, %	<a href="#">Tex-461-A</a>	Note <sup>1</sup>
Los Angeles abrasion, %, Max	<a href="#">Tex-410-A</a>	30
Magnesium sulfate soundness, 5 cycles, %, Max	<a href="#">Tex-411-A</a>	20
Crushed face count <sup>2</sup> , %, Min	<a href="#">Tex-460-A, Part I</a>	95
Flat and elongated particles @ 5:1, %, Max	<a href="#">Tex-280-F</a>	10
Fine Aggregate Properties		
Sand Equivalent, %, Min	<a href="#">Tex-203-F</a>	45
Methylene Blue, mg/g, Max	<a href="#">Tex-252-F</a>	10.0

- Used to estimate the magnesium sulfate soundness loss in accordance with section 3082.2.1.1.2., "Micro-Deval Abrasion."
- Only applies to crushed gravel.

**Table 2**  
**Gradation Requirements for Fine Aggregate**

Sieve Size	% Passing by Weight or Volume
3/8"	100
#8	70–100
#200	0–30

- 2.2. **Mineral Filler.** Mineral filler consists of finely divided mineral matter such as agricultural lime, crusher fines, or hydrated lime. Fly ash is not allowed unless otherwise shown on the plans. Mineral filler is allowed unless otherwise shown on the plans. Use no more than 2% hydrated lime, unless otherwise shown on the plans. Test all mineral fillers except hydrated lime and fly ash in accordance with [Tex-252-F](#) to ensure specification compliance. The plans may require or disallow specific mineral fillers. Provide mineral filler, when used, that:
- is sufficiently dry, free-flowing, and free from clumps and foreign matter as determined by the Engineer;
  - does not exceed 3% linear shrinkage when tested in accordance with [Tex-107-E](#); and
  - meets the gradation requirements in accordance with Table 3, unless otherwise shown on the plans.

**Table 3**  
**Gradation Requirements for Mineral Filler**

Sieve Size	% Passing by Weight or Volume
#8	100
#200	55–100

- 2.3. **Baghouse Fines.** Fines collected by the baghouse or other dust-collecting equipment may be reintroduced into the mixing drum.
- 2.4. **Asphalt Binder.** Furnish the type and grade of binder specified on the plans that meets the requirements of Item 300, "Asphalts, Oils, and Emulsions."
- 2.4.1. **Performance-Graded (PG) Binder.** Provide an asphalt binder with a high-temperature grade of PG 76 and low-temperature grade as shown on the plans in accordance with Section 300.2.10., "Performance-Graded Binders," when PG binder is specified.
- 2.4.2. **Asphalt-Rubber (A-R) Binder.** Provide A-R binder that meets the Type I or Type II requirements of Section 300.2.9., "Asphalt-Rubber Binders," when A-R is specified unless otherwise shown on the plans. Use at least 15.0% by weight of Crumb Rubber Modifier (CRM) that meets the Grade B or Grade C requirements of Section 300.2.7., "Crumb Rubber Modifier," unless otherwise shown on the plans. Provide the Engineer the A-R binder blend design with the mix design (JMF1) submittal. Provide the Engineer with documentation such as the bill of lading showing the quantity of CRM used in the project unless otherwise directed.
- 2.5. **Membrane.** Provide a smooth and homogeneous polymer modified emulsion meeting the requirements in accordance with Table 4.

**Table 4**  
**Polymer Modified Emulsion Requirements**

Test on Emulsion	Test Method	Min	Max
Viscosity @ 77°F, SSF	T 72	20	100
Storage Stability, <sup>1</sup> %	T 59		1
Demulsibility (for anionic emulsions), 35 mL of 0.02 N CaCl <sub>2</sub> , %	T 59	55	
Demulsibility (for cationic emulsions), 35 mL 0.8% Sodium dioctyl sulfosuccinate, %	T 59	55	
Sieve Test, <sup>2</sup> %	T 59		0.05
Distillation Test: <sup>3</sup>			
Residue by distillation, % by wt.	T 59	63	0.5
Oil portion of distillate, % by vol.			
Test on Residue from Distillation	Test Method	Min	Max
Elastic Recovery @ 50°F, 50 mm/min., %	<a href="#">Tex-539-C</a>	60	
Penetration @ 77°F, 100 g, 5 sec, 0.1 mm	T 49	100	150

1. After standing undisturbed for 24 hr., the surface must be smooth, must not exhibit a white or milky colored substance, and must be a homogeneous color throughout.
2. May be required by the Engineer only when the emulsion cannot be easily applied in the field.
3. The temperature on the lower thermometer should be brought slowly to 350°F ±10°F and maintained at this temperature for 20 min. The total distillation should be complete in 60 ±5 min. from the first application of heat.

2.6. **Additives.** Provide the Engineer with documentation such as the bill of lading showing the quantity of additives used in the project unless otherwise directed.

2.6.1. **Fibers.** Provide cellulose or mineral fibers when PG binder is specified. Do not use fibers when A-R binder is specified. Submit written certification to the Engineer that the fibers proposed for use meet the requirements of DMS-9204, "Fiber Additives for Bituminous Mixtures." Fibers may be pre-blended into the binder at the asphalt supply terminal unless otherwise shown on the plans.

2.6.2. **Lime Mineral Filler.** Add lime as mineral filler at a rate of 1.0% by weight of the total dry aggregate in accordance with Item 301, "Asphalt Antistripping Agents," unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel test results. Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum.

2.6.3. **Lime and Liquid Antistripping Agent.** When lime or a liquid antistripping agent is used, add in accordance with Item 301, "Asphalt Antistripping Agents." Do not add lime directly into the mixing drum of any plant where lime is removed through the exhaust stream unless the plant has a baghouse or dust collection system that reintroduces the lime into the drum. Lime added as mineral filler will count towards the total quantity of lime specified when the plans require lime to be added as an antistripping agent.

2.6.4. **Compaction Aid.** Compaction Aid is defined as a Department-approved chemical warm mix additive denoted as "chemical additive" on the Department's material producer list (MPL) that is used to facilitate mixing and compaction of HMA.

Compaction aid is allowed for use on all projects. Compaction aid is required when shown on the plans or as required in Section 3082.4.7.1., "Weather Conditions."

Warm mix foaming processes, denoted as "foaming process" on the Department-approved MPL, may be used to facilitate mixing and compaction of HMA; however warm mix processes are not defined as a Compaction Aid.

2.7. **Recycled Materials.** Recycled materials are not allowed for use.

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### 3. EQUIPMENT

Provide required or necessary equipment in accordance with Item 320, "Equipment for Asphalt Concrete Pavement." When A-R binder is specified, equip the hot-mix plant with an in-line viscosity-measuring device located between the blending unit and the mixing drum. Provide a means to calibrate the asphalt mass flow meter on-site when a meter is used.

3.1. **Placement Equipment.** Provide a paver that meets all the requirements listed below.

3.1.1. **Paver.** Furnish a paver that will spray the membrane, apply the PFC mixture, and level the surface of the mat in a single pass. Configure the paver so that the mixture is placed no more than 5 sec. after the membrane is applied. Ensure the paver does not support the weight of any portion of hauling equipment other than the connection. Provide loading equipment that does not transmit vibrations or other motions to the paver that adversely affects the finished pavement quality. Equip the paver with an automatic dual longitudinal-grade control system and an automatic transverse-grade control system.

3.1.1.1. **Tractor Unit.** Supply a tractor unit that can push or propel vehicles, dumping directly into the finishing machine to obtain the desired lines and grades to eliminate any hand finishing. Equip the unit with a hitch to maintain contact between the hauling equipment's rear wheels and the finishing machine's pusher rollers while mixture is unloaded.

3.1.1.2. **Membrane Storage Tank and Distribution System.** Equip the paver with an insulated storage tank with a minimum capacity of 900 gal. Provide a metered mechanical pressure sprayer on the paver to apply a uniform membrane at the specified rate. Locate the spray bar on the paver so that the membrane is applied immediately in front of the screed unit. Provide a read-out device on the paver to monitor the membrane application rate.

Furnish a volumetric calibration and strap stick for the tank in accordance with [Tex-922-K](#), Part I, unless otherwise directed. Calibrate the tank within the previous 5 yr. of the date first used on the project. The Engineer may verify calibration accuracy in accordance with [Tex-922-K](#), Part II.

3.1.1.3. **Screed.** Provide a variable width vibratory screed that meets Item 320, "Equipment for Asphalt Concrete Pavement."

3.1.2. **Material Transfer Device (MTD).** Provide the specified type of MTD when shown on the plans. Ensure MTDs provide a continuous, uniform mixture flow to the asphalt paver.

3.1.3. **Rollers.** Provide steel-wheel rollers meeting the requirements of Item 210, "Rolling," except provide rollers weighing a minimum of 10 ton for each roller required. Operate rollers in static (non-vibrating) mode unless otherwise allowed.

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### 4. CONSTRUCTION

Produce, haul, place, and compact the specified paving mixture. In addition to tests required by the specification, Contractors may perform other QC tests as deemed necessary. At any time during the project, the Engineer may perform production and placement tests as deemed necessary in accordance with Item 5, "Control of the Work." Schedule and participate in a mandatory pre-paving meeting with the Engineer on or before the first day of paving unless otherwise shown on the plans.

4.1. **Certification.** Personnel certified by the Department-approved hot-mix asphalt certification program must conduct all mixture designs, sampling, and testing in accordance with Table 5. Supply the Engineer with a list of certified personnel and copies of their current certificates before beginning production and when personnel changes are made. Provide a mixture design developed and signed by a Level 2 certified specialist. Provide Level 1A certified specialists at the plant during production operations. Provide Level 1B certified specialists to conduct placement tests. Provide AGG101 certified specialists for aggregate testing.

**Table 5**  
**Test Methods, Test Responsibility, and Minimum Certification Levels**

Test Description	Test Method	Contractor	Engineer	Level <sup>1</sup>
<b>1. Aggregate Testing</b>				
Sampling	<a href="#">Tex-221-F</a>	✓	✓	1A/AGG101
Dry sieve	<a href="#">Tex-200-F</a> , Part I	✓	✓	1A/AGG101
Washed sieve	<a href="#">Tex-200-F</a> , Part II	✓	✓	1A/AGG101
Deleterious material	<a href="#">Tex-217-F</a> , Parts I & III	✓	✓	AGG101
Decantation	<a href="#">Tex-217-F</a> , Part II	✓	✓	AGG101
Los Angeles abrasion	<a href="#">Tex-410-A</a>		✓	Department
Magnesium sulfate soundness	<a href="#">Tex-411-A</a>		✓	Department
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Crushed face count	<a href="#">Tex-460-A</a>	✓	✓	AGG101
Flat and elongated particles	<a href="#">Tex-280-F</a>	✓	✓	AGG101
Methylene blue test	<a href="#">Tex-252-F</a>		✓	Department
<b>2. Asphalt Binder &amp; Tack Coat Sampling</b>				
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	✓	✓	1A/1B
Membrane sampling	<a href="#">Tex-500-C</a> , Part III	✓	✓	1A/1B
<b>3. Mix Design &amp; Verification</b>				
Design and JMF changes	<a href="#">Tex-204-F</a>	✓	✓	2
Mixing	<a href="#">Tex-205-F</a>	✓	✓	2
Molding (SGC)	<a href="#">Tex-241-F</a>	✓	✓	1A
Laboratory-molded density	<a href="#">Tex-207-F</a> , Parts I, VI, & VIII	✓	✓	1A
Rice gravity	<a href="#">Tex-227-F</a> , Part II	✓	✓	1A
Ignition oven correction factors <sup>2</sup>	<a href="#">Tex-236-F</a> , Part II	✓	✓	2
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Hamburg Wheel test	<a href="#">Tex-242-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Cantabro loss	<a href="#">Tex-245-F</a>	✓	✓	1A
<b>4. Production Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Mixture sampling	<a href="#">Tex-222-F</a>	✓	✓	1A/1B
Gradation & asphalt binder content <sup>2</sup>	<a href="#">Tex-236-F</a> , Part I	✓	✓	1A
Moisture content	<a href="#">Tex-212-F</a> , Part II	✓	✓	1A/AGG101
Micro-Deval abrasion	<a href="#">Tex-461-A</a>		✓	AGG101
Drain-down	<a href="#">Tex-235-F</a>	✓	✓	1A
Boil test <sup>4</sup>	<a href="#">Tex-530-C</a>	✓	✓	1A
Abson recovery	<a href="#">Tex-211-F</a>		✓	Department
<b>5. Placement Testing</b>				
Control charts	<a href="#">Tex-233-F</a>	✓	✓	1A
Ride quality measurement	<a href="#">Tex-1001-S</a>	✓	✓	Note 3
Thermal profile	<a href="#">Tex-244-F</a>	✓	✓	1B
Water flow test	<a href="#">Tex-246-F</a>	✓	✓	1B

1. Level 1A, 1B, AGG101, and 2 are certification levels provided by the Hot Mix Asphalt Center certification program.
2. Refer to Section 3082.4.5., "Production Operations," for exceptions to using an ignition oven.
3. Profiler and operator are required to be certified at the Texas A&M Transportation Institute facility when Surface Test Type B is specified.
4. When shown on the plans.

4.2.

**Reporting and Responsibilities.** Use Department-provided templates to record and calculate all test data, including mixture design, production and placement tests, control charts, and thermal profiles. Obtain the current version of the templates at <https://www.txdot.gov/inside-txdot/forms-publications/consultants-contractors/forms/site-manager.html> or from the Engineer. The Engineer and the Contractor will provide any available test results to the other party when requested. The Contractor and Engineer must exchange test data within the maximum allowable time in accordance with Table 6 unless otherwise approved. The Engineer and the



Contractor will immediately report to the other party any test result that requires suspension of production or placement or that fails to meet the specification requirements. Record and electronically submit all test results and pertinent information on Department-provided templates.

Subsequent sublots placed after test results are available to the Contractor, which require suspension of operations, may be considered unauthorized work. Unauthorized work will be accepted or rejected at the discretion of the Engineer in accordance with Section 5.3., "Conformity with Plans, Specifications, and Special Provisions."

**Table 6**  
**Reporting Schedule**

Description	Reported By	Reported To	To Be Reported Within
<b>Production Quality Control</b>			
Gradation <sup>1</sup>	Contractor	Engineer	1 working day of completion of the subplot
Asphalt binder content <sup>1</sup>			
Laboratory-molded density <sup>1</sup>			
Moisture content <sup>2</sup>			
Drain-down <sup>1</sup>			
Boil test <sup>4</sup>			
<b>Production Quality Assurance</b>			
Gradation <sup>2</sup>	Engineer	Contractor	1 working day of completion of the subplot
Asphalt binder content <sup>2</sup>			
Laboratory-molded density <sup>2</sup>			
Hamburg Wheel test <sup>3</sup>			
Boil test <sup>4</sup>			
Drain-down <sup>2</sup>			
Binder tests <sup>3</sup>			
<b>Placement Quality Control</b>			
Thermal profile <sup>1</sup>	Contractor	Engineer	1 working day of completion of the lot
Water flow <sup>1</sup>			
Membrane application rate <sup>2</sup>			
<b>Placement Quality Assurance</b>			
Thermal profile <sup>2</sup>	Engineer	Contractor	1 working day of completion of the lot
Aging ratio <sup>3</sup>			
Water flow <sup>2</sup>			
Membrane application rate <sup>2</sup>			

1. These tests are required on every subplot.
2. To be performed at the frequency in accordance with Table 14 or as shown on the plans.
3. To be reported as soon as the results become available.
4. When shown on the plans

Use the procedures described in [Tex-233-F](#), when directed, to plot the results of all production and placement testing. Update the control charts as soon as test results for each subplot become available. Make the control charts readily accessible at the field laboratory. The Engineer may suspend production for failure to update control charts.

- 4.3. **Quality Control Plan (QCP).** Develop and follow the QCP in detail. Obtain approval for changes to the QCP made during the project. The Engineer may suspend operations if the Contractor fails to comply with the QCP.

Submit a written QCP before the mandatory pre-paving meeting, when directed. Receive approval of the QCP before pre-paving meeting. Include the following items in the QCP:

- 4.3.1. **Project Personnel.** For project personnel, include:
- a list of individuals responsible for QC with authority to take corrective action;
  - current contact information for each individual listed; and
  - current copies of certification documents for individuals performing specified QC functions.
- 4.3.2. **Material Delivery and Storage.** For material delivery and storage, include:
- the sequence of material processing, delivery, and minimum quantities to assure continuous plant



operations;

- aggregate stockpiling procedures to avoid contamination and segregation;
- frequency, type, and timing of aggregate stockpile testing to assure conformance of material requirements before mixture production; and
- procedure for monitoring the quality and variability of asphalt binder.

4.3.3. **Production.** For production, include:

- loader operation procedures to avoid contamination in cold bins;
- procedures for calibrating and controlling cold feeds;
- procedures to eliminate debris or oversized material;
- procedures for adding and verifying rates of each applicable mixture component (e.g., aggregate, asphalt binder, lime, liquid antistripping, compaction aid, foaming process, fibers);
- procedures for reporting job control test results; and
- procedures to avoid segregation and drain-down in the silo.

4.3.4. **Loading and Transporting.** For loading and transporting, include:

- type and application method for release agents; and
- truck loading procedures to avoid segregation.

4.3.5. **Placement and Compaction.** For placement and compaction, include:

- proposed agenda for mandatory pre-paving meeting, including date and location;
- proposed paving plan (e.g., production rate, paving widths, joint offsets, and lift thicknesses);
- type and application method for release agents in the paver and on rollers, shovels, lutes, and other utensils;
- procedures for the transfer of mixture into the paver while avoiding physical and thermal segregation and preventing material spillage;
- process to balance production, delivery, paving, and compaction to achieve continuous placement operations and good ride quality;
- paver operations (e.g., speed, operation of wings, height of mixture in auger chamber) to avoid physical and thermal segregation and other surface irregularities; and
- procedures to construct quality longitudinal and transverse joints.

4.4. **Mixture Design.**

4.4.1. **Design Requirements.** Use the design procedure provided in [Tex-204-F](#), unless otherwise shown on the plans. Design the mixture to meet the requirements in accordance with Tables 1, 2, 3, 7, 8, and 9. Use a Superpave Gyration Compactor (SGC) at 50 gyrations as the design number of gyrations (Ndesign).

The Engineer will provide the mixture design when shown on the plans. The Contractor may submit a new mixture design at any time during the project. The Engineer will verify and approve all mixture designs (JMF1) before the Contractor can begin production.

Provide the Engineer with a mixture design report using the Department-provided template. Include the following items in the report:

- the combined aggregate gradation, source, specific gravity, and percent of each material used;
- the membrane application rate based on design volumetrics;
- results of all applicable tests;
- the mixing and molding temperatures;
- the signature of the Level 2 person or persons that performed the design;
- the date the mixture design was performed; and
- a unique identification number for the mixture design.

**Table 7**  
**Master Gradation Limits (% Passing by Weight or Volume)**  
**and Laboratory Mixture Design Properties**

Sieve Size	Permeable Friction Course		Thin Bonded Friction Course		
	Fine (PFC-F)	Coarse (PFC-C and PFCR-C)	Type A	Type B	Type C
3/4"	–	100.0 <sup>1</sup>	–	–	100 <sup>1</sup>
1/2"	100.0 <sup>1</sup>	80.0–100.0	–	100 <sup>1</sup>	75–100
3/8"	95.0–100.0	35.0–60.0	100 <sup>1</sup>	75–100	55–80
#4	20.0–55.0	1.0–20.0	35–55	22–36	22–36
#8	1.0–10.0	1.0–10.0	19–30	19–30	19–30
#16	–	–	14–25	14–24	14–24
#50	–	–	7–14	7–14	7–14
#200	1.0–4.0	1.0–4.0	4–6	4–6	4–6

1. Defined as maximum sieve size. No tolerance allowed.

**Table 8**  
**Mixture Design Properties**

Mixture Property	Test Method	PG 76 Mixtures		A-R Mixtures	Thin Bonded Friction Course		
		Fine (PFC-F)	Coarse (PFC-C)	Coarse (PFCR-C)	Type A	Type B	Type C
Asphalt binder content, %	–	6.0–7.0	6.0–7.0	7.0–9.0	5.0–5.8	4.8–5.6	4.8–5.6
Film thickness, microns	–	–	–	–	9.0 Min	9.0 Min	9.0 Min
Design gyrations (Ndesign)	<a href="#">Tex-241-F</a>	50	50	50	50	50	50
Laboratory-molded density, %	<a href="#">Tex-207-F</a>	78.0 Max	82.0 Max	82.0 Max	92.0 Max	92.0 Max	92.0 Max
Hamburg Wheel test, <sup>1</sup> passes at 12.5 mm rut depth	<a href="#">Tex-242-F</a>	10,000 Min	Note 2	Note 2	Note 2	Note 2	Note 2
Drain-down, %	<a href="#">Tex-235-F</a>	0.10 Max	0.10 Max	0.10 Max	0.10 Max	0.10 Max	0.10 Max
Fiber content, % by wt. of total PG 76 mixture	Calculated	0.20–0.50	0.20–0.50	–	–	–	–
Lime content, % by wt. of total aggregate	Calculated	1.0 <sup>3</sup>	1.0 <sup>3</sup>	–	Note 4	Note 4	Note 4
CRM content, % by wt. of A-R binder	Calculated	–	–	15.0 Min	–	–	–
Boil test <sup>5</sup>	<a href="#">Tex-530-C</a>	–	–	–	–	–	–
Cantabro loss, %	<a href="#">Tex-245-F</a>	20.0 Max	20.0 Max	20.0 Max	20.0 Max	20.0 Max	20.0 Max

1. Mold test specimens to Ndesign at the optimum asphalt binder content.
2. No specification value is required unless otherwise shown on the plans.
3. Unless otherwise shown on the plans or waived by the Engineer based on Hamburg Wheel results.
4. Lime may be required when shown on the plans.
5. When shown on the plans. Used to establish baseline for comparison to production results.

4.4.2. **Job-Mix Formula Approval.** The job-mix formula (JMF) is the combined aggregate gradation, Ndesign level, and target asphalt percentage used to establish target values for hot-mix production. JMF1 is the original laboratory mixture design used to produce the trial batch. When a compaction aid or foaming process is used, JMF1 may be designed and submitted to the Engineer without including the compaction aid or foaming process. When a compaction aid or foaming process is used, document the compaction aid or foaming process used and recommended rate on the JMF1 submittal. The Engineer and the Contractor will verify JMF1 based on plant-produced mixture from the trial batch unless otherwise approved. The Engineer may accept an existing mixture design previously used on a Department project and may waive the trial batch to verify JMF1. The Department may require the Contractor to reimburse the Department for verification tests if more than two trial batches per design are required.

4.4.2.1. **Contractor's Responsibilities.**

4.4.2.1.1. **Providing Superpave Gyratory Compactor.** Furnish an SGC calibrated in accordance with [Tex-241-F](#) for molding production samples. Locate the SGC at the Engineer's field laboratory or make the SGC available to the Engineer for use in molding production samples.

4.4.2.1.2. **Gyratory Compactor Correlation Factors.** Use [Tex-206-F](#), Part II, to perform a gyratory compactor

correlation when the Engineer uses a different SGC. Apply the correlation factor to all subsequent production test results.

- 4.4.2.1.3. **Submitting JMF1.** Furnish a mix design report (JMF1) with representative samples of all component materials and request approval to produce the trial batch. Provide an additional 25 lb. of the design mixture if opting to have the Department perform the Hamburg Wheel test on the laboratory mixture when required in accordance with Table 8, and request that the Department perform the test.
- 4.4.2.1.4. **Supplying Aggregates.** Provide approximately 40 lb. of each aggregate stockpile unless otherwise directed.
- 4.4.2.1.5. **Supplying Asphalt.** Provide at least 1 gal. of the asphalt material and enough quantities of any additives proposed for use.
- 4.4.2.1.6. **Ignition Oven Correction Factors.** Determine the aggregate and asphalt correction factors from the ignition oven in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. Note that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination. Provide the Engineer with split samples of the mixtures before the trial batch production, including all additives (except water), and blank samples used to determine the correction factors for the ignition oven used for quality assurance testing during production. Correction factors established from a previously approved mixture design may be used for the current mixture design if the mixture design and ignition oven are the same as previously used and the correction factors are not more than 12 mo. old, unless otherwise directed.
- 4.4.2.1.7. **Boil Test.** When shown on the plans, perform the test and retain the tested sample from [Tex-530-C](#) until completion of the project or as directed. Use this sample for comparison purposes during production. Add lime or liquid antistripping agent as directed if signs of stripping exist.
- 4.4.2.1.8. **Trial Batch Production.** Provide a plant-produced trial batch upon receiving conditional approval of JMF1 and authorization to produce a trial batch, including the compaction aid or foaming process, if applicable, for verification testing of JMF1 and development of JMF2. Produce a trial batch mixture that meets the requirements in accordance with Table 9. The Engineer may accept test results from recent production of the same mixture instead of a new trial batch.
- 4.4.2.1.9. **Trial Batch Production Equipment.** Use only equipment and materials proposed for use on the project to produce the trial batch. Provide documentation to verify the calibration or accuracy of the asphalt mass flow meter to measure the binder content. Verify that asphalt mass flow meter meets the requirements of 0.4 % accuracy, when required, in accordance with Item 520, "Weighing and Measuring Equipment." The Engineer may require that the accuracy of the mass flow meter be verified based on quantities used.
- 4.4.2.1.10. **Trial Batch Quantity.** Produce enough quantity of the trial batch to ensure that the mixture meets the specification requirements.
- 4.4.2.1.11. **Number of Trial Batches.** Produce trial batches as necessary to obtain a mixture that meets the specification requirements.
- 4.4.2.1.12. **Trial Batch Sampling.** Obtain a representative sample of the trial batch and split it into three equal portions in accordance with [Tex-222-F](#). Label these portions as "Contractor," "Engineer," and "Referee." Deliver samples to the appropriate laboratory as directed.
- 4.4.2.1.13. **Trial Batch Testing.** Test the trial batch to ensure the mixture produced using the proposed JMF1 meets the mixture requirements in accordance with Table 9. Ensure the trial batch mixture is also in compliance with the requirements in accordance with Tables 7 and 8. Use a Department-approved laboratory listed on the MPL to perform the Hamburg Wheel test on the trial batch mixture or request that the Department perform the Hamburg Wheel test. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel test results on the trial batch. Provide the Engineer with a copy of the trial batch test results.
- 4.4.2.1.14. **Development of JMF2.** Evaluate the trial batch test results, determine the target mixture proportions, and

submit as JMF2 after the Engineer grants full approval of JMF1 based on results from the trial batch. Verify that JMF2 meets the mixture requirements in accordance with Table 9.

- 4.4.2.1.15. **Mixture Production.** After receiving approval for JMF2, use JMF2 to produce Lot 1.
- 4.4.2.1.16. **Development of JMF3.** Evaluate the test results from Lot 1, determine the optimum mixture proportions, and submit as JMF3 for use in Lot 2.
- 4.4.2.1.17. **JMF Adjustments.** If JMF adjustments are necessary to achieve the specified requirements, make the adjustments before beginning a new lot. The adjusted JMF must:
- be provided to the Engineer in writing before the start of a new lot;
  - be numbered in sequence to the previous JMF;
  - meet the master gradation limits in accordance with Table 7
  - meet the binder content limits in accordance with Table 8; and
  - be within the operational tolerances of JMF2 in accordance with Table 9.
- 4.4.2.1.18. **Requesting Referee Testing.** Use referee testing, if needed, in accordance with Section 3082.4.9.1., "Referee Testing," to resolve testing differences with the Engineer.

**Table 9**  
**Operational Tolerances**

Test Description	Test Method	Allowable Difference between JMF2 and JMF1 Target <sup>1</sup>	Allowable Difference from Current JMF and JMF2 <sup>2</sup>	Allowable Difference between Contractor and Engineer <sup>3</sup>
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	Must be Within Master Grading Limits in accordance with Table 7	±3.0 <sup>4</sup>	±5.0 <sup>4</sup>
% passing the #200 sieve			±2.0 <sup>4</sup>	±3.0 <sup>4</sup>
Laboratory-molded density, %	<a href="#">Tex-207-F</a> , Part VIII	±1.0	±1.0	±1.0
Asphalt binder content, %	<a href="#">Tex-236-F</a> , Part I <sup>5</sup>	±0.3 <sup>6,7</sup>	±0.3 <sup>4,6,7</sup>	±0.3 <sup>6,7</sup>
Drain-down, %	<a href="#">Tex-235-F</a>	Note 8	Note 8	N/A
Boil test	<a href="#">Tex-530-C</a>	Note 9	Note 9	N/A
Membrane application rate	<a href="#">Tex-247-F</a>	±0.02	±0.02	N/A

1. JMF1 is the approved laboratory mixture design used for producing the trial batch. JMF2 is the approved mixture design developed from the trial batch used to produce Lot 1.
2. Current JMF is JMF3 or higher. JMF3 is the approved mixture design used to produce Lot 2.
3. Contractor may request referee testing only when values exceed these tolerances.
4. Only applies to mixture produced for Lot 1 and higher. Aggregate gradation is not allowed to be outside the limits in accordance with Table 7.
5. Ensure the binder content determination excludes fibers.
6. May be obtained from asphalt mass flow meter readouts as determined by the Engineer.
7. Binder content is not allowed to be outside the limits shown in Table 8.
8. Verify that Table 8 requirements are met.
9. When shown on the plans.

- 4.4.2.2. **Engineer's Responsibilities.**
- 4.4.2.2.1. **Superpave Gyratory Compactor.** The Engineer will use a Department SGC calibrated in accordance with [Tex-241-F](#) to mold samples for laboratory mixture design verification. For molding trial batch and production specimens, the Engineer will use the Contractor-provided SGC at the field laboratory or provide and use a Department SGC at an alternate location.
- 4.4.2.2.2. **Conditional Approval of JMF1 and Authorizing Trial Batch.** The Engineer will review and verify conformance of the following information within two working days of receipt:

- the Contractor's mix design report (JMF1);
- the Contractor-provided Hamburg Wheel test results, if applicable;
- all required materials including aggregates, asphalt, and additives; and
- the mixture specifications.

The Engineer will grant the Contractor conditional approval of JMF1 if the information provided on the paper copy of JMF1 indicates that the Contractor's mixture design meets the specifications. When the Contractor does not provide Hamburg Wheel test with laboratory mixture design, 10 working days are allowed for conditional approval of JMF1. The Engineer will base full approval of JMF1 on the test results on mixture from the trial batch.

Unless waived, the Engineer will determine the Micro-Deval abrasion loss in accordance with

Section 3082.2.1.1.2., "Micro-Deval Abrasion." If the Engineer's test results are pending after two working days, conditional approval of JMF1 will still be granted within two working days of receiving JMF1. When the Engineer's test results become available, they will be used for specification compliance.

The Contractor is authorized to produce a trial batch after the Engineer grants conditional approval of JMF1.

4.4.2.2.3. **Hamburg Wheel Testing.** At the Contractor's request, the Department will perform the Hamburg Wheel test on the laboratory mixture in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 8. The Engineer will be allowed 10 working days to provide the Contractor with Hamburg Wheel results on the laboratory mixture design.

4.4.2.2.4. **Ignition Oven Correction Factors.** The Engineer will use the split samples provided by the Contractor to determine the aggregate and asphalt correction factors for the ignition oven used for quality assurance testing during production in accordance with [Tex-236-F](#), Part II. Provide correction factors that are not more than 12 mo. old. The Engineer will verify that the asphalt content correction factor takes into account the percent fibers in the mixture so that the fibers are excluded from the binder content determination.

4.4.2.2.5. **Testing the Trial Batch.** The Engineer will sample and test the trial batch within one full working day to ensure that the mixture meets the requirements in accordance with Table 9. If the Contractor requests the option to have the Department perform the Hamburg Wheel test on the trial batch mixture, the Engineer will mold samples in accordance with [Tex-242-F](#) to verify compliance with the Hamburg Wheel test requirement in accordance with Table 8.

The Engineer will have the option to perform [Tex-530-C](#) on the trial batch when shown on the plans. These results may be retained and used for comparison purposes during production.

4.4.2.2.6. **Full Approval of JMF1.** The Engineer will grant full approval of JMF1 and authorize the Contractor to proceed with developing JMF2 if the Engineer's results for the trial batch meet the requirements in accordance with Tables 7 and 8.

The Engineer will notify the Contractor that an additional trial batch is required if the trial batch does not meet these requirements.

4.4.2.2.7. **Approval of JMF2.** The Engineer will approve JMF2 within one working day if the mixture meets the requirements in accordance with Tables 7, 8, and 9.

4.4.2.2.8. **Approval of Lot 1 Production.** The Engineer will authorize the Contractor to proceed with Lot 1 production (using JMF2).

4.4.2.2.9. **Approval of JMF3 and Subsequent JMF Changes.** JMF3 and subsequent JMF changes are approved if they meet the master grading and asphalt binder content shown in accordance with Tables 7 and 8 and are within the operational tolerances of JMF2 in accordance with Table 9.

- 4.4.2.2.10. **Binder Content Adjustments.** For JMF2 and above, the Engineer may require the Contractor to adjust the target binder content by no more than 0.3% from the current JMF.
- 4.5. **Production Operations.** Perform a new trial batch when the plant or plant location is changed. Take corrective action and receive approval to proceed after any production suspension for noncompliance to the specification.
- 4.5.1. **Storage and Heating of Materials.** Do not heat the asphalt binder above the temperatures specified in Item 300, "Asphalts, Oils, and Emulsions," or outside the manufacturer's recommended values. Provide the Engineer with daily records of asphalt binder and hot-mix asphalt discharge temperatures (in legible and discernible increments) in accordance with Item 320, "Equipment for Asphalt Concrete Pavement," unless otherwise directed. Do not store mixture for a period long enough to affect the quality of the mixture, nor in any case longer than 12 hr. unless otherwise approved.
- 4.5.2. **Mixing and Discharge of Materials.** Notify the Engineer of the target discharge temperature and produce the mixture within 25°F of the target. Monitor the temperature of the material in the truck before shipping to ensure that it does not exceed the maximum production temperatures in accordance with Table 10. The Department will not pay for or allow placement of any mixture produced above the maximum production temperatures in accordance with Table 10.

**Table 10**  
**Maximum Production Temperature**

High-Temperature Binder Grade <sup>1</sup>	Max Production Temperature
PG 76	345°F
A-R Binder	345°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.

Control the mixing time and temperature so that substantially all moisture is removed from the mixture before discharging from the plant. Determine the moisture content, if requested, by oven-drying in accordance with [Tex-212-F](#), Part II, and verify that the mixture contains no more than 0.2% of moisture by weight. Obtain the sample immediately after discharging the mixture into the truck and perform the test promptly.

- 4.6. **Hauling Operations.** Clean all truck beds before use to ensure that mixture is not contaminated. Use a release agent shown on the Department's MPL to coat the inside bed of the truck when necessary. Do not use diesel or any release agent not shown on the Department's MPL.
- Use equipment for hauling as defined in Section 3082.4.7.3.2., "Hauling Equipment." Use other hauling equipment only when allowed.
- 4.7. **Placement Operations.** Collect haul tickets from each load of mixture delivered to the project and provide the Department's copy to the Engineer approximately every hour, or as directed. Use a hand-held thermal camera or infrared thermometer, when a thermal imaging system is not used, to measure and record the internal temperature of the mixture as discharged from the truck or Material Transfer Device (MTD) before or as the mix enters the paver and an approximate station number or GPS coordinates on each ticket. Calculate the daily yield and cumulative yield for the specified lift and provide to the Engineer at the end of paving operations for each day unless otherwise directed. The Engineer may suspend production if the Contractor fails to produce and provide haul tickets and yield calculations by the end of paving operations for each day.

Prepare the surface by removing raised pavement markers and objectionable material such as moisture, dirt, sand, leaves, and other loose impediments from the surface before placing mixture. Remove vegetation from pavement edges. Do not allow any loose mixture onto the prepared surface before application of the membrane. Place the mixture to meet the typical section requirements and produce a smooth, finished surface with a uniform appearance and texture. Offset longitudinal joints of successive courses of hot-mix by at least 6 in. Place mixture so that longitudinal joints on the surface course coincide within 6-in. of lane lines and are not placed in the wheel path, or as directed, and offset longitudinal joints of successive courses of hot-mix by at least 6-in. Ensure that all finished surfaces will drain properly.



4.7.1. **Weather Conditions.**

4.7.1.1. **When Using a Thermal Imaging System.** The Contractor may pave any time the roadway is dry and the roadway surface temperature is at least 60°F unless otherwise approved or as shown on the plans; however, the Engineer may restrict the Contractor from paving if the ambient temperature is likely to drop below 32°F within 12 hr. of paving. Place mixtures when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. Provide output data from the thermal imaging system to demonstrate to the Engineer that no recurring severe thermal segregation exists in accordance with Section 3082.4.7.3.1.2., "Thermal Imaging System."

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

4.7.1.2. **When Not Using a Thermal Imaging System.** When using a thermal camera instead of the thermal imaging system, place mixture when the roadway surface temperature is at or above 70°F unless otherwise approved or as shown on the plans. Measure the roadway surface temperature with a hand-held thermal camera or infrared thermometer. Place mixtures only when weather conditions and moisture conditions of the roadway surface are suitable as determined by the Engineer. The Engineer may restrict the Contractor from paving if the air temperature is 60°F and falling.

Produce mixture with a target discharge temperature higher than 300°F and with a compaction aid to facilitate compaction when the air temperature is 70°F and falling.

4.7.2. **Application of Membrane.** Apply the membrane at the rates in accordance with Table 11 unless otherwise directed. Spray the membrane using a metered mechanical pressure spray bar at a temperature of 140°F to 180°F. Monitor the membrane application rate and make adjustments to the rate when directed. Verify that the spray bar is capable of applying the membrane at a uniform rate across the entire paving width. Apply adequate overlap of the tack coat in the longitudinal direction during placement of the mat to ensure bond of adjacent mats, unless otherwise directed. Unless otherwise directed, avoid tacking the vertical faces of adjacent PFC mats in the longitudinal direction to avoid restricting lateral drainage. Apply tack coat to all transverse joints. Do not let the wheels or other parts of the paving machine contact the freshly applied membrane. Do not dilute the membrane at the terminal, in the field, or at any other location before use. Do not allow any loose mixture onto the prepared surface before application of the membrane.

**Table 11**  
**Membrane Application Rate Limits, (Gal. per square yard)**

Mix Type	Lift Thickness	Membrane Rate
Permeable Friction Course	1-1/2 in.	0.30–0.33
	1-1/4 in.	0.27–0.30
	1 in.	0.25–0.28
	3/4 in.	0.22–0.25
Thin Bonded Friction Course	3/4 in.	0.17–0.27
	5/8 in.	0.16–0.24
	1/2 in.	0.14–0.20

4.7.2.1. **Non-uniform Application of Membrane.** Stop application if it is not uniform due to streaking, ridging, pooling, or flowing off the roadway surface. Verify equipment condition including plugged nozzles on the spray bar, operating procedures, application temperature, and material properties. Determine and correct the cause of non-uniform application.

4.7.2.2. **Test Strips.** The Engineer may perform independent tests to confirm Contractor compliance and may require testing differences or failing results to be resolved before resuming production.

The Engineer may cease operations and require construction of test strips at the Contractor's expense if any of the following occurs:

- non-uniformity of application continues after corrective action;
- in three consecutive shots, application rate differs by more than 0.03 gal. per square yard from the rate

- directed; or
- any shot differs by more than 0.05 gal. per square yard from the rate directed.

The Engineer will approve the test strip location. The Engineer may require additional test strips until the membrane application meets specification requirements.

- 4.7.3. **Lay-Down Operations.** Use the placement temperature in accordance with Table 12 to establish the minimum placement temperature of the mixture delivered to the paving operation.

**Table 12**  
**Min Mixture Placement Temperature**

High-Temperature Binder Grade <sup>1</sup>	Min Placement Temperature (Before Entering Paving Operation) <sup>2,3</sup>
PG 76	280°F
A-R Binder	280°F

1. The high-temperature binder grade refers to the high-temperature grade of the virgin asphalt binder used to produce the mixture.
2. The mixture temperature must be measured using a hand-held thermal camera or infrared thermometer nearest to the point of entry of the paving operation.
3. Minimum placement temperatures may be reduced 10°F if using a compaction aid.

- 4.7.3.1. **Thermal Profile.** Use a hand-held thermal camera or a thermal imaging system to obtain a continuous thermal profile in accordance with [Tex-244-F](#). Thermal profiles are not applicable in areas described in Section 3082.4.9.8., "Miscellaneous Areas."

- 4.7.3.1.1. **Thermal Segregation.**

- 4.7.3.1.1.1. **Moderate.** Any areas that have a temperature differential greater than 25°F, but not exceeding 50°F.

- 4.7.3.1.1.2. **Severe.** Any areas that have a temperature differential greater than 50°F.

- 4.7.3.1.2. **Thermal Imaging System.** Review the output results when a thermal imaging system is used, and provide the report described in [Tex-244-F](#) to the Engineer daily unless otherwise directed. Modify the paving process as necessary to eliminate any recurring (moderate or severe) thermal segregation identified by the thermal imaging system.

The Engineer may suspend subsequent paving operations if the Contractor cannot successfully modify the paving process to eliminate recurring severe or moderate thermal segregation.

Provide the Engineer with electronic copies of all daily data files that can be used with the thermal imaging system software to generate temperature profile plots daily or as requested by the Engineer.

- 4.7.3.1.3. **Thermal Camera.** When using the thermal camera instead of the thermal imaging system, take immediate corrective action to eliminate recurring moderate thermal segregation when a hand-held thermal camera is used. Provide the Engineer with the thermal profile of every subplot within one working day of the completion of each lot. When requested by the Engineer, provide the electronic files generated using the thermal camera. Report the results of each thermal profile in accordance with Section 3082.4.2., "Reporting and Responsibilities." The Engineer will use a hand-held thermal camera to obtain a thermal profile at least once per project unless the thermal imaging system is used. Suspend operations and take immediate corrective action to eliminate severe thermal segregation unless otherwise directed. Resume operations when the Engineer determines that subsequent production will meet the requirements of this Section.

- 4.7.3.2. **Hauling Equipment.** Use live bottom or end dump trucks to haul and transfer mixture; however, with exception of paving miscellaneous areas, end dump trucks are only allowed when used in conjunction with an MTD with remixing capability or when a thermal imaging system is used unless otherwise allowed.

- 4.7.3.3. **Screed Heaters.** Turn off screed heaters to prevent overheating of the mat if the paver stops for more than 5 min. The Engineer may evaluate the suspect area in accordance with Section 3082.4.9.9., "Recovered



Asphalt Dynamic Shear Rheometer (DSR),” if the screed heater remains on for more than 5 min. while the paver is stopped.

- 4.8. **Compaction.** Roll the freshly placed mixture with as many steel-wheeled rollers as necessary, operated in static mode, to seat the mixture without excessive breakage of the aggregate and to provide a smooth surface and uniform texture. Do not use pneumatic rollers. Use the control strip method given in [Tex-207-F](#), Part IV, to establish the rolling pattern. Moisten the roller drums thoroughly with a soap and water solution to prevent adhesion. Use only water or an approved release agent on rollers, tamps, and other compaction equipment unless otherwise directed.

For PFC mixtures, use [Tex-246-F](#) to test and verify that the compacted mixture has adequate permeability. Measure the water flow once per subplot at locations directed by the Engineer. The water flow rate should be less than 20 sec. Investigate the cause of the water flow rate test failures and take corrective actions during production and placement to ensure the water flow rate is less than 20 sec. Suspend production if two consecutive water flow rate tests fail unless otherwise approved. Resume production after the Engineer approves changes to production or placement methods.

Complete all compaction operations before the pavement temperature drops below 180°F unless otherwise allowed. The Engineer may allow compaction with a light finish roller operated in static mode for pavement temperatures below 180°F.

Allow the compacted pavement to cool to 160°F or lower before opening to traffic unless otherwise directed. Sprinkle the finished mat with water or limewater, when directed, to expedite opening the roadway to traffic.

- 4.9. **Acceptance Plan.** Sample and test the hot-mix on a lot and subplot basis.
- 4.9.1. **Referee Testing.** The Materials and Tests Division is the referee laboratory. The Contractor may request referee testing if the differences between Contractor and Engineer test results exceed the operational tolerances in accordance with Table 9 and the differences cannot be resolved. The Contractor may also request referee testing if the Engineer’s test results require suspension of production and the Contractor’s test results are within specification limits. Make the request within five working days after receiving test results and cores from the Engineer. Referee tests will be performed only on the subplot in question and only for the particular tests in question. Allow 10 working days from the time the referee laboratory receives the samples for test results to be reported. The Department may require the Contractor to reimburse the Department for referee tests if more than three referee tests per project are required and the Engineer’s test results are closer to the referee test results than the Contractor’s test results.
- 4.9.2. **Production Acceptance.**
- 4.9.2.1. **Production Lot.** A production lot consists of four equal sublots. The default quantity for Lot 1 is 1,000 ton; however, when requested by the Contractor, the Engineer may increase the quantity for Lot 1 to no more than 2,000 ton. The Engineer will select subsequent lot sizes based on the anticipated daily production such that approximately three to four sublots are produced each day. The lot size will be between 1,000 ton and 4,000 ton. The Engineer may change the lot size before the Contractor begins any lot.
- 4.9.2.1.1. **Incomplete Production Lots.** If a lot is begun but cannot be completed, such as on the last day of production or in other circumstances deemed appropriate, the Engineer may close the lot. Close all lots within five working days unless otherwise allowed.
- 4.9.2.2. **Production Sampling.**
- 4.9.2.2.1. **Mixture Sampling.** Obtain hot-mix samples from trucks at the plant in accordance with [Tex-222-F](#). The sampler will split each sample into three equal portions in accordance with [Tex-200-F](#) and label these portions as “Contractor,” “Engineer,” and “Referee.” The Engineer will perform or witness the sample splitting and take immediate possession of the samples labeled “Engineer” and “Referee.” The Engineer will maintain the custody of the samples labeled “Engineer” and “Referee” until the Department’s testing is completed.

- 4.9.2.2.1.1. **Random Sample.** At the beginning of the project, the Engineer will select random numbers for all production sublots. Determine sample locations in accordance with [Tex-225-F](#). Take one sample for each subplot at the randomly selected location. The Engineer will perform or witness the sampling of production sublots.
- 4.9.2.2.1.2. **Blind Sample.** For one subplot per lot, the Engineer will obtain and test a “blind” sample instead of the random sample collected by the Contractor. Test either the “blind” or the random sample; however, referee testing (if applicable) will be based on a comparison of results from the “blind” sample. The location of the Engineer’s “blind” sample will not be disclosed to the Contractor. The Engineer’s “blind” sample may be randomly selected in accordance with [Tex-225-F](#) for any subplot or selected at the discretion of the Engineer. The Engineer will use the Contractor’s split sample for sublots not sampled by the Engineer.
- 4.9.2.2.2. **Informational Hamburg and Overlay Testing.** Select one random subplot from Lot 2 or higher for Hamburg and Overlay testing during the first week of production. Obtain and provide the Engineer with approximately 90 lb. of mixture, sampled in accordance with [Tex-222-F](#), in sealed containers, boxes, or bags labeled with the Control-Section-Job (CSJ), mixture type, lot, and subplot number. The Engineer will ship the mixture to the Materials and Tests Division for Hamburg and Overlay testing. Results from these tests will not be used for specification compliance.
- 4.9.2.2.3. **Asphalt Binder Sampling.** Obtain a 1-qt. (1 gal. for A-R binder) sample of the asphalt binder witness by the Engineer for each lot of mixture produced. The Contractor will notify the Engineer when the sampling will occur. Obtain the sample at approximately the same time the mixture random sample is obtained. Sample from a port located immediately upstream from the mixing drum or pug mill and upstream from the introduction of any additives in accordance with [Tex-500-C](#), Part II. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for one year. The Engineer may also obtain independent samples. If obtaining an independent asphalt binder sample and upon request of the Contractor, the Engineer will split a sample of the asphalt binder with the Contractor.

At least once per project, the Engineer will collect split samples of each binder grade and source used. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, “Asphalts, Oils, and Emulsions” and will retain the other split sample for 1 yr.

- 4.9.2.3. **Membrane Sampling.** The Engineer will obtain a 1-qt. sample of the polymer modified emulsion for each lot of mixture produced in accordance with [Tex-500-C](#), Part III. The Engineer will notify the Contractor when the sampling will occur and will witness the collection of the sample. Obtain the sample at approximately the same time the mixture random sample is obtained. Label the can with the corresponding lot and subplot numbers, producer, producer facility, grade, district, date sampled, and project information including highway and CSJ. The Engineer will retain these samples for two months.

At least once per project, the Engineer will collect split samples of the polymer modified emulsion. The Engineer will submit one split sample to the Materials and Tests Division to verify compliance with Item 300, “Asphalts, Oils, and Emulsions” and will retain the other split sample for two months. The Engineer may test as often as necessary to ensure the residual of the emulsion is greater than or equal to the specification requirement in Item 300, “Asphalts, Oils, and Emulsions.”

- 4.9.2. **Production Testing.** The Contractor and Engineer must perform production tests in accordance with Table 13. The Contractor has the option to verify the Engineer’s test results on split samples provided by the Engineer. Determine compliance with operational tolerances in accordance with Table 9 for all sublots.

At any time during production, the Engineer may require the Contractor to verify the following based on quantities used:

- lime content (within  $\pm 0.1\%$  of JMF), when PG binder is specified;
- fiber content (within  $\pm 0.03\%$  of JMF), when PG binder is specified; and
- CRM content (within  $\pm 1.5\%$  of JMF), when A-R binder is specified.

Maintain the in-line measuring device when A-R binder is specified to verify the A-R binder viscosity between

2,500 and 4,000 centipoise at 350°F unless otherwise approved. Record A-R binder viscosity at least once per hour and provide the Engineer with a daily summary unless otherwise directed.

If the aggregate mineralogy is such that [Tex-236-F](#) Part I does not yield reliable results, the Engineer may allow alternate methods for determining the asphalt content and aggregate gradation. The Engineer will require the Contractor to provide evidence that results from [Tex-236-F](#), Part I are not reliable before permitting an alternate method unless otherwise allowed. Use the applicable test procedure as directed if an alternate test method is allowed.

**Table 13**  
**Production and Placement Testing Frequency**

Description	Test Method	Min Contractor Testing Frequency	Min Engineer Testing Frequency
Individual % retained for sieve sized larger than #200	<a href="#">Tex-200-F</a>	1 per subplot	1 per 12 sublots
% passing the #200 sieve			
Laboratory-molded density, %	<a href="#">Tex-207-E</a> , Part VIII	1 per subplot	1 per lot
Asphalt binder content <sup>1</sup> , %	<a href="#">Tex-236-F</a> , Part I <sup>2</sup>	1 per subplot	1 per lot
Drain-down, %	<a href="#">Tex-235-F</a>	1 per subplot	1 per 12 sublots
Boil test <sup>3</sup>	<a href="#">Tex-530-C</a>	1 per project	1 per project
Membrane application rate	<a href="#">Tex-247-F</a>	1 per lot	1 per 12 sublots
Moisture content	<a href="#">Tex-212-F</a> , Part II	When directed	1 per project
Cantabro loss, %	<a href="#">Tex-245-F</a>	1 per project (sample only)	1 per project
Overlay test	<a href="#">Tex-248-F</a>	1 per project (sample only) <sup>10</sup>	1 per project <sup>4</sup>
Hamburg Wheel test	<a href="#">Tex-242-F</a>	1 per project (sample only) <sup>10</sup>	1 per project <sup>4</sup>
Water flow test <sup>5</sup>	<a href="#">Tex-246-F</a>	1 per subplot	1 per project
Asphalt binder sampling	<a href="#">Tex-500-C</a> , Part II	1 per lot (sample only) <sup>6</sup>	1 per project
Membrane sampling and testing	<a href="#">Tex-500-C</a> , Part III	N/A	1 per project
Thermal profile	<a href="#">Tex-244-F</a>	1 per subplot <sup>7,8,9</sup>	1 per project <sup>8</sup>

1. May be obtained from asphalt mass flow meter readouts as determined by the Engineer.
2. Ensure the binder content determination excludes fibers.
3. When shown on the plans.
4. When required according to mixture type and requirements in accordance with Table 8.
5. Only required for PFC mixtures.
6. Obtain samples witness by the Engineer. The Engineer will retain these samples for 1 yr.
7. To be performed in the presence of the Engineer when using the thermal camera, unless otherwise approved.
8. Not required when a thermal imaging system is used.
9. When using the thermal imaging system, the test report must include the temperature measurements taken in accordance with [Tex-244-F](#).
10. Testing performed by the Materials and Tests Division for informational purposes only.

#### 4.9.3.

**Operational Tolerances.** Control the production process within the operational tolerances in accordance with Table 9. Suspend production and placement operations when production or placement test results exceed the tolerances in accordance with Table 9 unless otherwise allowed. The Engineer will allow suspended production to resume when test results or other information indicates the next mixture produced will be within the operational tolerances.

- 4.9.4. **Individual Loads of Hot-Mix.** The Engineer can reject individual truckloads of hot-mix. When a load of hot-mix is rejected for reasons other than temperature, contamination, or excessive uncoated particles, the Contractor may request that the rejected load be tested. Make this request within 4 hr. of rejection. The Engineer will sample and test the mixture. If test results are within the operational tolerances in accordance with Table 9, payment will be made for the load. If test results are not within operational tolerances, no payment will be made for the load.
- 4.9.5. **Placement Acceptance.**
- 4.9.6. **Placement Lot.** A placement lot consists of four placement sublots. A placement subplot consists of the area placed during a production subplot.
- 4.9.7. **Miscellaneous Areas.** Miscellaneous areas include areas that typically involve significant handwork or discontinuous paving operations such as driveways, mailbox turnouts, crossovers, gores, spot level-up areas, and other similar areas. The specified layer thickness is based on the rate of 90 lb. per square yard for each inch of pavement unless another rate is shown on the plans. Miscellaneous areas are not subject to thermal profiles testing.
- 4.9.8. **Recovered Asphalt Dynamic Shear Rheometer (DSR).** The Engineer may take production samples or cores from suspect areas of the project to determine recovered asphalt properties. Asphalt binders with an aging ratio greater than 3.5 do not meet the requirements for recovered asphalt properties and may be deemed defective when tested and evaluated by the Materials and Tests Division. The aging ratio is the DSR value of the extracted binder divided by the DSR value of the original unaged binder. Obtain DSR values in accordance with AASHTO T 315 at the specified high temperature performance grade of the asphalt. The Engineer may require removal and replacement of the defective material at the Contractor's expense. The asphalt binder will be recovered for testing from production samples or cores in accordance with [Tex-211-F](#).
- 4.9.9. **Irregularities.** Identify and correct irregularities including segregation, rutting, raveling, flushing, fat spots, mat slippage, irregular color, irregular texture, roller marks, tears, gouges, streaks, uncoated aggregate particles, or broken aggregate particles. The Engineer may also identify irregularities, and in such cases, the Engineer will promptly notify the Contractor. If the Engineer determines that the irregularity will adversely affect pavement performance, the Engineer may require the Contractor to remove and replace (at the Contractor's expense) areas of the pavement that contain irregularities. The Engineer may also require the Contractor to remove and replace (at the Contractor's expense) areas where the mixture does not bond to the existing pavement.
- If irregularities are detected, the Engineer may require the Contractor to immediately suspend operations or may allow the Contractor to continue operations for no more than one day while the Contractor is taking appropriate corrective action.
- 4.9.10. **Exempt Production.** When the anticipated daily production is less than 100 ton, all QC and QA sampling and testing are waived. The Engineer may deem the mixture as exempt production for the following conditions:
- anticipated daily production is more than 100 ton but less than 250 ton;
  - total production for the project is less than 2,500 ton;
  - when mutually agreed between the Engineer and the Contractor; or
  - when shown on the plans.
- For exempt production, the Contractor is relieved of all production and placement sampling and testing requirements. All other specification requirements apply, and the Engineer will perform acceptance tests for production and placement in accordance with Table 13.
- For exempt production:
- produce, haul, place, and compact the mixture as directed by the Engineer; and
  - control mixture production to yield a laboratory-molded density that is within  $\pm 1.0\%$  of the target density as tested by the Engineer.

- 4.9.11. **Ride Quality.** Measure ride quality in accordance with Item 585, "Ride Quality for Pavement Surfaces," unless otherwise shown on the plans.

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## 5. MEASUREMENT

- 5.1. **PFC Hot-Mix Asphalt.** Permeable friction course (PFC) hot-mix will be measured by the ton of composite mixture, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.2. **TBFC Hot-Mix Asphalt.** Thin bonded friction course (TBFC) hot-mix will be measured by the ton of composite mixture, which includes asphalt, aggregate, and additives. Measure the weight on scales in accordance with Item 520, "Weighing and Measuring Equipment."
- 5.3. **Membrane.** Membrane material will be measured by volume. Membrane material will be measured at the applied temperature by strapping the tank before and after road application and determining the net volume in gallons from the distributor's calibrated strap stick. The Engineer will witness all operations for volume determination. All membrane will be measured by the gallon applied, in the accepted membrane.

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## 6. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3082.5.1., "PFC Hot-Mix Asphalt," will be paid for at the unit bid price for "Permeable friction course" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, removing pavement marking and markers, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3082.5.2., "TBFC Hot-Mix Asphalt," will be paid for at the unit bid price for "Thin bonded friction course" of the mixture type, SAC, and binder specified. These prices are full compensation for surface preparation, removing pavement marking and markers, materials, placement, equipment, labor, tools, and incidentals.

The work performed and materials furnished in accordance with this Item and measured as provided under Section 3082.5.3., "Membrane," will be paid for at the unit bid price for "Membrane" of the membrane material provided. These prices are full compensation for materials, placement, equipment, labor, tools, and incidentals.

Trial batches will not be paid for unless they are included in pavement work approved by the Department.

Payment adjustment for ride quality will be determined in accordance with Item 585, "Ride Quality for Pavement Surfaces."

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# Special Specification 3096

## Asphalts, Oils, and Emulsions

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### 1. DESCRIPTION

Provide asphalt cements, cutback and emulsified asphalts, performance-graded asphalt binders, and other miscellaneous asphalt materials as specified on the plans.

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### 2. MATERIALS

Provide asphalt materials that meet the stated requirements when tested in conformance with the referenced Department, AASHTO, and ASTM test methods. Use asphalt containing recycled materials only if the recycled components meet the requirements of Article 6.9., "Recycled Materials." Provide asphalt materials that the Department has preapproved for use in accordance with [Tex-545-C](#), "Asphalt Binder Quality Program."

Inform the Department of all additives or modifiers included in the asphalt binder as part of the facility quality plan, as required by [Tex-545-C](#), "Asphalt Binder Quality Program," and provide that information to Department personnel. The Department reserves the right to prohibit the use of any asphalt additive or modifier.

Limit the use of polyphosphoric acid to no more than 0.5% by weight of the asphalt binder.

The use of re-refined engine oil bottoms is prohibited.

Acronyms used in this Item are defined in Table 1.

**Table 1**  
**Acronyms**

Acronym	Definition
Test Procedure Designations	
Tex T or R D	Department AASHTO ASTM
Polymer Modifier Designations	
P SBR or L SBS TR	polymer-modified styrene-butadiene rubber (latex) styrene-butadiene-styrene block co-polymer tire rubber (from ambient temperature grinding of truck and passenger tires)
AC	asphalt cement
AE	asphalt emulsion
AE-P	asphalt emulsion prime
A-R	asphalt-rubber
C	cationic
EAP&T	emulsified asphalt prime and tack
EBL	emulsified bonding layer
FDR	full depth reclamation
H-suffix	harder residue (lower penetration)
HF	high float
HY	high yield
MC	medium-curing
MS	medium-setting
PCE	prime, cure, and erosion control
PG	performance grade
RC	rapid-curing
RS	rapid-setting
S-suffix	stockpile usage
SCM	special cutback material
SS	slow-setting
SY	standard yield
TRAIL	tracking resistant asphalt interlayer

2.1.

**Asphalt Cement.** Provide asphalt cement that is homogeneous, water-free, and nonfoaming when heated to 347°F, and meets the requirements in Table 2.

**Table 2**  
**Asphalt Cement**

Property	Test Procedure	Viscosity Grade									
		AC-0.6		AC-1.5		AC-3		AC-5		AC-10	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity 140°F, poise 275°F, poise	T 202	40	80	100	200	250	350	400	600	800	1,200
		0.4	–	0.7	–	1.1	–	1.4	–	1.9	–
Penetration, 77°F, 100g, 5 sec.	T 49	350	–	250	–	210	–	135	–	85	–
Flash point, C.O.C., °F	T 48	425	–	425	–	425	–	425	–	450	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–	99.0	–	99.0	–
Spot test	<a href="#">Tex-509-C</a>	Neg.		Neg.		Neg.		Neg.		Neg.	
Tests on residue from RTFOT: Viscosity, 140°F, poise Ductility, <sup>1</sup> 77°F 5 cm/min., cm	T 240										
	T 202	–	180	–	450	–	900	–	1,500	–	3,000
	T 51	100	–	100	–	100	–	100	–	100	–

1. If AC-0.6 or AC-1.5 ductility at 77°F is less than 100 cm, material is acceptable if ductility at 60°F is more than 100 cm.

- 2.2. **Polymer-Modified Asphalt Cement.** Provide polymer-modified asphalt cement that is smooth, homogeneous, and meets the requirements Table 3. Supply samples of the base asphalt cement and polymer additives if requested.

Table 3  
Polymer-Modified Asphalt Cement

Property	Test Procedure	Polymer-Modified Viscosity Grade											
		AC-12-5TR		NT-HA <sup>1</sup>		AC-15P		AC-20XP		AC-10-2TR		AC-20-5TR	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Polymer		TR		-		SBS		SBS		TR		TR	
Polymer content, % (solids basis)	<a href="#">Tex-533-C</a> or <a href="#">Tex-553-C</a>	5.0	-	-	-	3.0	-	-	-	2.0	-	5.0	-
Dynamic shear, G*/sinδ, 82°C, 10 rad/s, kPa	T 315			1.0	-								
Dynamic shear, G*/sinδ, 64°C, 10 rad/s, kPa	T 315	-	-	-	-	-	-	1.0	-	-	-	1.0	-
Dynamic shear, G*/sinδ, 58°C, 10 rad/s, kPa	T 315	1.0	-	-	-	-	-	-	-	1.0	-	-	-
Viscosity 140°F, poise	T 202	1,200	-			1,500	-	2,000	-	1,000	-	2,000	-
275°F, poise	T 202			-	4,000	-	8.0	-	-	-	8.0	-	10.0
Penetration, 77°F, 100 g, 5 sec.	T 49	110	150	-	25	100	150	75	115	95	130	75	115
Ductility, 5cm/min., 39.2°F, cm	T 51					-	-	-	-	-	-	-	-
Elastic recovery, 50°F, %	<a href="#">Tex-539-C</a>	55	-			55	-	55	-	30	-	55	-
Softening point, °F	T 53	113	-	170	-	-	-	120	-	110	-	120	-
Polymer separation, 5 hr.	<a href="#">Tex-540-C</a>	None				None		None		None		None	
Flash point, C.O.C., °F	T 48	425	-	425	-	425	-	425	-	425	-	425	-
Tests on residue from RTFOT aging and pressure aging:	T 240 and R 28												
Creep stiffness S, -18°C, MPa	T 313	-	300	-	-	-	300	-	300	-	300	-	300
m-value, -18°C		0.300	-	-	-	0.300	-	0.300	-	0.300	-	0.300	-

1. Non-Tracking Hot Applied Tack Coat - TRAIL product

- 2.3. **Cutback Asphalt.** Provide cutback asphalt that meets the requirements of Tables 4, 5, and 6, for the specified type and grade. Supply samples of the base asphalt cement and polymer additives if requested.



**Table 4**  
**Rapid-Curing Cutback Asphalt**

Property	Test Procedure	Type-Grade					
		RC-250		RC-800		RC-3000	
		Min	Max	Min	Max	Min	Max
Kinematic viscosity, 140°F, cSt	T 201	250	400	800	1,600	3,000	6,000
Water, %	D95	–	0.2	–	0.2	–	0.2
Flash point, T.O.C., °F	T 79	80	–	80	–	80	–
Distillation test:	T 78						
Distillate, percentage by volume of total distillate to 680°F							
to 437°F		40	75	35	70	20	55
to 500°F		65	90	55	85	45	75
to 600°F		85	–	80	–	70	–
Residue from distillation, volume %		70	–	75	–	82	–
Tests on distillation residue:							
Viscosity, 140°F, poise	T 202	600	2,400	600	2,400	600	2,400
Ductility, 5 cm/min., 77°F, cm	T 51	100	–	100	–	100	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–
Spot test	<a href="#">Tex-509-C</a>	Neg.		Neg.		Neg.	

**Table 5**  
**Medium-Curing Cutback Asphalt**

Property	Test Procedure	Type-Grade							
		MC-30		MC-250		MC-800		MC-3000	
		Min	Max	Min	Max	Min	Max	Min	Max
Kinematic viscosity, 140°F, cSt	T 201	30	60	250	500	800	1,600	3,000	6,000
Water, %	D95	–	0.2	–	0.2	–	0.2	–	0.2
Flash point, T.O.C., °F	T 79	95	–	122	–	140	–	149	–
Distillation test:	T 78								
Distillate, percentage by volume of total distillate to 680°F									
to 437°F		–	35	–	20	–	–	–	–
to 500°F		30	75	5	55	–	40	–	15
to 600°F		75	95	60	90	45	85	15	75
Residue from distillation, volume %		50	–	67	–	75	–	80	–
Tests on distillation residue:									
Viscosity, 140°F, poise	T 202	300	1,200	300	1,200	300	1,200	300	1,200
Ductility, 5 cm/min., 77°F, cm	T 51	100	–	100	–	100	–	100	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–	99.0	–
Spot test	<a href="#">Tex-509-C</a>	Neg.		Neg.		Neg.		Neg.	

**Table 6**  
**Special-Use Cutback Asphalt**

Property	Test Procedure	Type-Grade					
		MC-2400L		SCM I		SCM II	
		Min	Max	Min	Max	Min	Max
Kinematic viscosity, 140°F, cSt	T 201	2,400	4,800	500	1,000	1,000	2,000
Water, %	D95	–	0.2	–	0.2	–	0.2
Flash point, T.O.C., °F	T 79	150	–	175	–	175	–
Distillation test:	T 78						
Distillate, percentage by volume of total distillate to 680°F							
to 437°F		–	–	–	–	–	–
to 500°F		–	35	–	0.5	–	0.5
to 600°F		35	80	20	60	15	50
Residue from distillation, volume %		78	–	76	–	82	–
Tests on distillation residue:							
Polymer		SBR		–		–	
Polymer content, % (solids basis)	<a href="#">Tex-533-C</a>	2.0	–	–	–	–	–
Penetration, 100 g, 5 sec., 77°F	T 49	150	300	180	–	180	–
Ductility, 5 cm/min., 39.2°F, cm	T 51	50	–	–	–	–	–
Solubility in trichloroethylene, %	T 44	99.0	–	99.0	–	99.0	–

- 2.4. **Emulsified Asphalt.** Provide emulsified asphalt that is homogeneous, does not separate after thorough mixing, and meets the requirements for the specified type and grade in Tables 7, 8, 9, 10, and 10A-C.

**Table 7**  
**Emulsified Asphalt**

Property	Test Procedure	Type-Grade									
		Rapid-Setting		Medium-Setting				Slow-Setting			
		HFRS-2		MS-2		AES-300		SS-1		SS-1H	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	-	-	75	400	20	100	20	100
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Miscibility	T 59	-		-		-		Pass		Pass	
Cement mixing, %	T 59	-	-	-	-	-	-	-	2.0	-	2.0
Coating ability and water resistance: Dry aggregate/after spray Wet aggregate/after spray	T 59	-		-		Good/Fair Fair/Fair		-		-	
Demulsibility, 35 mL of 0.02 N CaCl <sub>2</sub> , %	T 59	50	-	-	30	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1
Freezing test, 3 cycles <sup>1</sup>	T 59	-		Pass		-		Pass		Pass	
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	65	-	65	-	65	-	60	-	60	-
		-	0.5	-	0.5	-	5	-	0.5	-	0.5
Tests on residue from distillation: Penetration, 77°F, 100 g, 5 sec. Solubility in trichloroethylene, % Ductility, 77°F, 5 cm/min., cm Float test, 140°F, sec.	T 49 T 44 T 51 T 50	100 97.5 100 1,200	140 - - -	120 97.5 100 -	160 - - -	300 97.5 - 1,200	- - - -	120 97.5 100 -	160 - - -	70 97.5 80 -	100 - - -

1. Applies only when the Engineer designates material for winter use.

**Table 8**  
**Cationic Emulsified Asphalt**

Property	Test Procedure	Type-Grade											
		Rapid-Setting		Medium-Setting				Slow-Setting					
		CRS-2		CRS-2H		CMS-2		CMS-2S		CSS-1		CSS-1H	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	-	-	-	-	-	-	20	100	20	100
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Cement mixing, %	T 59	-	-	-	-	-	-	-	-	-	2.0	-	2.0
Coating ability and water resistance: Dry aggregate/after spray Wet aggregate/after spray	T 59	-		-		Good/Fair Fair/Fair		Good/Fair Fair/Fair		-		-	
Demulsibility, 35 mL of 0.8% Sodium dioctyl sulfosuccinate, %	T 59	70	-	70	-	-	-	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1	-	1
Particle charge	T 59	Positive		Positive		Positive		Positive		Positive		Positive	
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	65	-	65	-	65	-	65	-	60	-	60	-
		-	0.5	-	0.5	-	7	-	5	-	0.5	-	0.5
Tests on residue from distillation: Penetration, 77°F, 100 g, 5 sec. Solubility in trichloroethylene, % Ductility, 77°F, 5 cm/min., cm	T 49 T 44 T 51	120 97.5 100	160 - -	70 97.5 80	110 - -	120 97.5 100	200 - -	300 97.5 -	- - -	120 97.5 100	160 - -	70 97.5 80	110 - -

**Table 9**  
**Polymer-Modified Emulsified Asphalt**

Property	Test Procedure	Type-Grade									
		Rapid-Setting		Medium-Setting				Slow-Setting			
		HFRS-2P		AES-150P		AES-300P		AES-300S		SS-1P	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	75	400	75	400	75	400	30	100
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Miscibility	T 59	-		-		-		-		Pass	
Coating ability and water resistance: Dry aggregate/after spray Wet aggregate/after spray	T 59	-		Good/Fair Fair/Fair		Good/Fair Fair/Fair		Good/Fair Fair/Fair		-	
Demulsibility, 35 mL of 0.02 N CaCl <sub>2</sub> , %	T 59	50	-	-	-	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1
Breaking index, g	<a href="#">Tex-542-C</a>	-	-								
Distillation test: <sup>1</sup> Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59	65	-	65	-	65	-	65	-	60	-
		-	0.5	-	3	-	5	-	7	-	0.5
Tests on residue from distillation: Polymer content, wt. % (solids basis)	<a href="#">Tex-533-C</a>	3.0	-	-	-	-	-	-	-	3.0	-
Penetration, 77°F, 100 g, 5 sec.	T 49	90	140	150	300	300	-	300	-	100	140
Solubility in trichloroethylene, %	T 44	97.0	-	97.0	-	97.0	-	97.0	-	97.0	-
Viscosity, 140°F, poise	T 202	1,500	-	-	-	-	-	-	-	1,300	-
Float test, 140°F, sec	T 50	1,200	-	1,200	-	1,200	-	1,200	-	-	-
Ductility, <sup>2</sup> 39.2°F, 5 cm/min., cm	T 51	50	-	-	-	-	-	-	-	50	-
Elastic recovery, 2 50°F, %	<a href="#">Tex-539-C</a>	55	-	-	-	-	-	-	-	-	-
Tests on RTFO curing of distillation residue Elastic recovery, 50°F, %	T 240 <a href="#">Tex-536-C</a>	-	-	50	-	50	-	30	-	-	-

1. Exception to T 59: Bring the temperature on the lower thermometer slowly to 350°F ±10°F. Maintain at this temperature for 20 min. Complete total distillation in 60 min. (±5 min.) from the first application of heat.

2. HFRS-2P must meet one of either the ductility or elastic recovery requirements.

**Table 10**  
**Polymer-Modified Cationic Emulsified Asphalt**

Property	Test Procedure	Type-Grade											
		Rapid-Setting						Medium-Setting				Slow-Setting	
		CRS-2P		CHFRS-2P		CRS-2TR		CMS-1P <sup>3</sup>		CMS-2P <sup>3</sup>		CSS 1P	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	-	-	-	-	-	-	10	100	-	-	20	100
		150	400	100	400	150	500	-	-	50	400	-	-
Sieve test, %	T 59	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1	-	0.1
Demulsibility, 35 ml of 0.8% sodium dioctyl sulfosuccinate, %	T 59	70	-	60	-	40	-	-	-	-	-	-	-
Storage stability, 1 day, %	T 59	-	1	-	1	-	1	-	1	-	1	-	1
Breaking index, g	Tex-542-C	-	-	-	-	-	-	-	-	-	-	-	-
Particle charge	T 59	Positive		Positive		Positive		Positive		Positive		Positive	
Distillation test <sup>1</sup> :	T 59												
Residue by distillation, % by weight		65	-	65	-	65	-	30	-	60	-	62	-
Oil distillate, % by volume of emulsion		-	0.5	-	0.5	-	3	-	0.5	-	0.5	-	0.5
Tests on residue from distillation:													
Polymer content, wt. % (solids basis)	Tex-533-C	3.0	-	3.0	-	5.0 <sup>7</sup>	-	-	-	-	-	3.0	-
Penetration, 77°F, 100 g, 5 sec.	T 49	90	150	80	130	90	150	30	-	30	-	55	90
Viscosity, 140°F, poise	T 202	1,300	-	1,300	-	1,000	-	-	-	-	-	-	-
Solubility in trichloroethylene, %	T 44	97.0	-	95.0	-	98	-	-	-	-	-	97.0	-
Softening point, °F	T 53	-	-	-	-	-	-	-	-	-	-	135	-
Ductility, 77°F, 5 cm/min., cm	T 51	-	-	-	-	40	-	-	-	-	-	70	-
Float test, 140°F, sec.	T 50	-	-	1,800	-	-	-	-	-	-	-	-	-
Ductility, <sup>2</sup> 39.2°F, 5 cm/min., cm	T 51	50	-	-	-	-	-	-	-	-	-	-	-
Elastic recovery, <sup>2</sup> 50°F, %	Tex-539-C	55	-	55	-	-	-	-	-	-	-	-	-
Tests on residue from evaporative recovery:	R 78, Procedure B												
Nonrecoverable creep compliance of residue, 3.2 kPa, 52°C, kPa <sup>-1</sup>	T 350	-	-	-	-	-	-	-	2.0	-	4.0	-	-
Tests on rejuvenating agent:													
Viscosity, 140°F, cSt	T 201	-	-	-	-	-	-	50	175	50	175	-	-
Flash point, C.O.C., °F	T 48	-	-	-	-	-	-	380	-	380	-	-	-
Saturates, % by weight	D 2007	-	-	-	-	-	-	-	30	-	30	-	-
Solubility in n-pentane, % by weight	D 2007	-	-	-	-	-	-	99	-	99	-	-	-
Tests on rejuvenating agent after RTFO	T 240												
Weight Change, %		-	-	-	-	-	-	-	6.5	-	6.5	-	-
Viscosity Ratio		-	-	-	-	-	-	-	3.0	-	3.0	-	-
Tests on latex <sup>4</sup> :													
Tensile strength, die C dumbbell, psi	D 412 <sup>5</sup>	-	-	-	-	-	-	800	-	800	-	-	-
Change in mass after immersion in rejuvenating agent, %	D 471	-	-	-	-	-	-	-	40 <sup>6</sup>	-	40 <sup>6</sup>	-	-

- Exception to T 59: Bring the temperature on the lower thermometer slowly to 350°F (±0°F). Maintain at this temperature for 20 min. Complete total distillation in 60 min. (±5 min.) from the first application of heat.
- CRS-2P must meet one of either the ductility or elastic recovery requirements.
- With all precertification samples of CMS-1P or CMS-2P, submit certified test reports showing that the rejuvenating agent and latex meet the stated requirements. Submit samples of these raw materials if requested by the Engineer.
- Preparation of latex specimens: use any substrate and recovery method which produces specimens of uniform dimensions and which delivers enough material to achieve desired residual thickness.
- Cut samples for tensile strength determination using a crosshead speed of 20 in. per minute.
- Specimen must remain intact after exposure and removal of excess rejuvenating agent.
- Modifier type is tire rubber.

**Table 10A**  
**Non-Tracking Tack Coat Emulsion<sup>1</sup>**

Property	Test Procedure	NT-HRE		NT-RRE		NT-SRE	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77° F, sec.	T 72	15	–	15	–	10	100
Storage stability, 1 Day, %	T 59	–	1	–	1	–	1
Settlement, 5-day, %	T 59	–	5	–	5	–	5
Sieve test, %	T 59	–	0.30	–	0.30	–	0.1
Distillation test: <sup>2</sup> Residue by distillation, % by wt. Oil distillate, by volume of emulsion	T 59	50	–	58	–	50	–
		–	1.0	–	1.0	–	1.0
Test on residue from distillation: Penetration, 77°F, 100 g, 5 sec.	T 49	–	20	15	45	40	90
Solubility in trichloroethylene, %	T 44	97.5	–	97.5	–	97.5	–
Softening point, °F	T 53	150	–	–	–	–	–
Dynamic shear, G*/sin(δ), 82°C, 10 rad/s, kPa	T 315	1.0	–	–	–	–	–

1. Due to the hardness of the residue, these emulsions should be heated to 120-140°F before thoroughly mixing as the emulsion is being prepared for testing.
2. Exception to T 59: Bring the temperature on the lower thermometer slowly to 350°F ± 10°F. Maintain at this temperature for 20 min. Complete total distillation in 60 ± 5 min. from first application of heat.

**Table 10B**  
**Spray Applied Underseal Membrane Polymer-Modified Emulsions (EBL)**

Property	Test Procedure	Min	Max
Viscosity @ 77°F, SSF	T 72	20	100
Storage Stability <sup>1</sup> , %	T 59	–	1
Demulsibility <sup>2</sup> Anionic emulsions – 35 mL of 0.02 N CaCl <sub>2</sub> , % Cationic emulsions – 35 mL of 0.8% sodium dioctyl sulfosuccinate, %	T 59	55	–
Sieve Test <sup>3</sup> , %	T 59	–	0.05
Distillation Test <sup>4</sup> Residue by distillation, % by wt. Oil portion of distillate, % by vol.	T 59	63	0.5
Test on Residue from Distillation			
Elastic Recovery @ 50°F, 50 mm/min., %	<a href="#">Tex-539-C</a>	60	–
Penetration @ 77°F, 100 g, 5 sec., 0.1 mm	T 49	80	130

1. After standing undisturbed for 24 hr., the surface must be smooth, must not exhibit a white or milky colored substance, and must be a homogeneous color throughout.
2. Material must meet demulsibility test for emulsions.
3. May be required by the Engineer only when the emulsion cannot be easily applied in the field.
4. The temperature on the lower thermometer should be brought slowly to 350°F ± 10°F and maintained at this temperature for 20 min. The total distillation should be completed in 60 ± 5 min. from the first application of heat.

**Table 10C**  
**Full-Depth Reclamation Emulsion (FDR EM)**

Property	Test Procedure	Standard Yield (SY)		High Yield (HY)	
		Min	Max	Min	Max
Sieve test, %	T 59	–	0.1	–	0.1
Viscosity Saybolt Furol @ 77°F, sec.	T 59	20	100	20	100
Distillation test <sup>1</sup> : Residue by distillation, % by wt. Oil portion of distillate, % by vol.	T 59	60	–	63	–
		–	0.5	–	0.5
Test on residue from distillation: Penetration @ 77°F, dmm	T 49	55	95	120	–
Test on rejuvenating agent:					
BWOA, % <sup>2</sup>	***	–	–	2	–
Viscosity @ 140°F, cSt	T 201	–	–	50	175
Flash Point, COC, °F	T 48	–	–	380	–
Solubility in n-pentane, % by wt.	D2007	–	–	99	–

1. The temperature on the lower thermometer should be brought slowly to 350°F ± 10°F and maintained at this temperature for 20 min. The total distillation should be completed in 60 ± 5 min. from the first application of heat.
2. BWOA = By weight of asphalt. Provide a manufacturer's certificate of analysis (COA) with the percent of rejuvenator added.

2.5.

**Specialty Emulsions.** Provide specialty emulsion that is either asphalt-based or resin-based and meets the requirements of Table 11 or Table 11A.

**Table 11**  
**Specialty Emulsions**

Property	Test Procedure	Type-Grade					
		Medium-Setting				Slow-Setting	
		AE-P		EAP&T		PCE <sup>1</sup>	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol 77°F, sec. 122°F, sec.	T 72	–	–	–	–	10	100
Sieve test, %	T 59	–	0.1	–	0.1	–	0.1
Miscibility <sup>2</sup>	T 59	–	–	Pass	–	Pass	–
Demulsibility, 35 mL of 0.10 N CaCl <sub>2</sub> , %	T 59	–	70	–	–	–	–
Storage stability, 1 day, %	T 59	–	1	–	1	–	–
Particle size, <sup>5</sup> % by volume < 2.5 μm	<a href="#">Tex-238-F<sup>3</sup></a>	–	–	90	–	90	–
Asphalt emulsion distillation to 500°F followed by Cutback asphalt distillation of residue to 680°F: Residue after both distillations, % by wt. Total oil distillate from both distillations, % by volume of emulsion	T 59 & T 78	40	–	–	–	–	–
		25	40	–	–	–	–
Residue by distillation, % by wt.	T 59	–	–	60	–	–	–
Residue by evaporation, <sup>4</sup> % by wt.	T 59	–	–	–	–	60	–
Tests on residue after all distillations:							
Viscosity, 140°F, poise	T 202	–	–	800	–	–	–
Kinematic viscosity, <sup>5</sup> 140°F, cSt	T 201	–	–	–	–	100	350
Flash point C.O.C., °F	T 48	–	–	–	–	400	–
Solubility in trichloroethylene, %	T 44	97.5	–	–	–	–	–
Float test, 122°F, sec.	T 50	50	200	–	–	–	–

1. Supply with each shipment of PCE:

- a copy of a lab report from an approved analytical lab, signed by a lab official, indicating the PCE formulation does not meet any characteristics of a Resource Conservation Recovery Act (RCRA) hazardous waste;
  - a certification from the producer that the formulation supplied does not differ from the one tested and that no listed RCRA hazardous wastes or Polychlorinated Biphenyls (PCBs) have been mixed with the product; and
  - a Safety Data Sheet.
2. Exception to T 59: In dilution, use 350 mL of distilled or deionized water and a 1,000-mL beaker.
  3. Use [Tex-238-F](#), beginning at "Particle Size Analysis by Laser Diffraction," with distilled or deionized water as a medium and no dispersant, or use another approved method.
  4. Exception to T 59: Leave sample in the oven until foaming ceases, then cool and weigh.
  5. PCE must meet either the kinematic viscosity requirement or the particle size requirement.

**Table 11A**  
**Hard Residue Surface Sealant**

Property	Test Procedure	Min	Max
Viscosity, Krebs unit, 77°F, Krebs units	D 562	45	75
Softening point, °F	<a href="#">Tex-505-C</a> <sup>1</sup>	250	–
Uniformity	D 2939	Pass <sup>2</sup>	
Resistance to heat	D 2939	Pass <sup>3</sup>	
Resistance to water	D 2939	Pass <sup>4</sup>	
Wet flow, mm	D 2939	–	0
Resistance to Kerosene (optional) <sup>5</sup>	D 2939	Pass <sup>6</sup>	
Ultraviolet exposure, UVA-340, 0.77 W/m <sup>2</sup> , 50°C chamber, 8 hr. UV lamp, 5 min. spray, 3 hr. 55 min. condensation, 1,000 hr. total exposure <sup>7</sup>	G 154	Pass <sup>8</sup>	
Abrasion loss, 1.6 mm thickness, liquid only, %	ISSA TB-100	–	1.0
Residue by evaporation, % by weight	D 2939	33	–
Tests on residue from evaporation: Penetration, 77°F, 100 g, 5 sec. Flash point, Cleveland open cup, °F	T 49 T 48	15 500	30
Tests on base asphalt before emulsification Solubility in trichloroethylene, %	T 44	98	–

1. Cure the emulsion in the softening point ring in a 200°F ± 5°F oven for 2 hr.
2. Product must be homogenous and show no separation or coagulation that cannot be overcome by moderate stirring.
3. No sagging or slippage of film beyond the initial reference line.
4. No blistering or re-emulsification.
5. Recommended for airport applications or where fuel resistance is desired.
6. No absorption of Kerosene into the clay tile past the sealer film. Note sealer surface condition and loss of adhesion.
7. Other exposure cycles with similar levels of irradiation and conditions may be used with Department approval.
8. No cracking, chipping, surface distortion, or loss of adhesion. No color fading or lightening.

2.6.

**Recycling Agent.** Recycling agent and emulsified recycling agent must meet the requirements in Table 12. Additionally, recycling agent and residue from emulsified recycling agent, when added in the specified proportions to the recycled asphalt, must meet the properties specified on the plans.



**Table 12**  
**Recycling Agent and Emulsified Recycling Agent**

Property	Test Procedure	Recycling Agent		Emulsified Recycling Agent (ARA-1)		Polymer Modified Emulsified Recycling Agent (ARA-1P)	
		Min	Max	Min	Max	Min	Max
Viscosity, Saybolt Furol, 77°F, sec.	T 72	–	–	15	100	15	110
Sieve test, %	T 59	–	–	–	0.1	–	0.1
Miscibility <sup>1</sup>	T 59	–		No coagulation			
Residue by evaporation, <sup>2</sup> % by wt.	T 59	–	–	60	–	–	–
Distillation test: Residue by distillation, % by wt. Oil distillate, % by volume of emulsion	T 59					60 –	65 2
Penetration of Distillation Residue at 39.2°F, 100 g, 5 sec.	T 49					110	190
Tests on recycling agent or residue from evaporation: Flash point, C.O.C., °F Kinematic viscosity, 140°F, cSt 275°F, cSt	T 48 T 201	400 75 –	– 200 10.0	400 75 –	– 200 10.0	400	–

- Exception to T 59: Use 0.02 N CaCl<sub>2</sub> solution in place of water.
- Exception to T 59: Maintain sample at 300°F until foaming ceases, then cool and weigh.

2.7. **Crumb Rubber Modifier.** Crumb rubber modifier (CRM) consists of automobile and truck tires processed by ambient temperature grinding.

CRM must be:

- free from contaminants including fabric, metal, and mineral and other nonrubber substances;
- free-flowing; and
- nonfoaming when added to hot asphalt binder.

Ensure rubber gradation meets the requirements of the grades in Table 13 when tested in accordance with [Tex-200-F](#), Part I, using a 50-g sample.

**Table 13**  
**CRM Gradations**

Sieve Size (% Passing)	Grade A		Grade B		Grade C		Grade D	Grade E
	Min	Max	Min	Max	Min	Max		
#8	100	–	–	–	–	–	As shown on the plans	As approved
#10	95	100	100	–	–	–		
#16	–	–	70	100	100	–		
#30	–	–	25	60	90	100		
#40	–	–	–	–	45	100		
#50	0	10	–	–	–	–		
#200	–	–	0	5	–	–		

2.8. **Crack Sealer.** Provide polymer-modified asphalt-emulsion crack sealer meeting the requirements of Table 14. Provide rubber-asphalt crack sealer meeting the requirements of Table 15.

**Table 14**  
**Polymer-Modified Asphalt-Emulsion Crack Sealer**

Property	Test Procedure	Min	Max
Rotational viscosity, 77°F, cP	D 2196, Method A	10,000	25,000
Sieve test, %	T 59	–	0.1
Storage stability, 1 day, %	T 59	–	1
Evaporation	<a href="#">Tex-543-C</a>		
Residue by evaporation, % by wt.		65	–
Tests on residue from evaporation:			
Penetration, 77°F, 100 g, 5 sec.	T 49	35	75
Softening point, °F	T 53	140	–
Ductility, 39.2°F, 5 cm/min., cm	T 51	100	–

**Table 15**  
**Rubber-Asphalt Crack Sealer**

Property	Test Procedure	Class A		Class B	
		Min	Max	Min	Max
CRM content, Grade A or B, % by wt.	<a href="#">Tex-544-C</a>	22	26	–	–
CRM content, Grade B, % by wt.	<a href="#">Tex-544-C</a>	–	–	13	17
Virgin rubber content, <sup>1</sup> % by wt.		–	–	2	–
Flash point, <sup>2</sup> C.O.C., °F	T 48	400	–	400	–
Penetration, <sup>3</sup> 77°F, 150 g, 5 sec.	T 49	30	50	30	50
Penetration, <sup>3</sup> 32°F, 200 g, 60 sec.	T 49	12	–	12	–
Softening point, °F	T 53	–	–	170	–
Bond Test, non-immersed, 0.5 in specimen, 50% extension, 20°F <sup>4</sup>	D5329	–	–	–	Pass

1. Provide certification that the Min % virgin rubber was added.
2. Agitate the sealing compound with a 3/8- to 1/2 in. (9.5- to 12.7 mm) wide, square-end metal spatula to bring the material on the bottom of the cup to the surface (i.e., turn the material over) before passing the test flame over the cup. Start at one side of the thermometer, move around to the other, and then return to the starting point using 8 to 10 rapid circular strokes. Accomplish agitation in 3 to 4 sec. Pass the test flame over the cup immediately after stirring is completed.
3. Exception to T 49: Substitute the cone specified in D 217 for the penetration needle.
4. Allow no crack in the crack sealing materials or break in the bond between the sealer and the mortar blocks over 1/4 in. deep for any specimen after completion of the test.

- 2.9. **Asphalt-Rubber Binders.** Provide asphalt-rubber (A-R) binders that are mixtures of asphalt binder and CRM, which have been reacted at elevated temperatures. Provide A-R binders meeting D6114 and containing a minimum of 15% CRM by weight. Provide Types I or II, containing CRM Grade C, for use in hot-mixed aggregate mixtures. Provide Types II or III, containing CRM Grade B, for use in surface treatment binder. Ensure binder properties meet the requirements of Table 16.

**Table 16  
A-R Binders**

Property	Test Procedure	Binder Type					
		Type I		Type II		Type III	
		Min	Max	Min	Max	Min	Max
Apparent viscosity, 347°F, cP	D2196, Method A	1,500	5,000	1,500	5,000	1,500	5,000
Penetration, 77°F, 100 g, 5 sec.	T 49	25	75	25	75	50	100
Penetration, 39.2°F, 200 g, 60 sec.	T 49	10	–	15	–	25	–
Softening point, °F	T 53	135	–	130	–	125	–
Resilience, 77°F, %	D5329	25	–	20	–	10	–
Flash point, C.O.C., °F	T 48	450	–	450	–	450	–
Tests on residue from Thin-Film Oven Test:	T 179						
Retained penetration ratio, 39.2°F, 200 g, 60 sec., % of original	T 49	75	–	75	–	75	–

- 2.10. **Performance-Graded Binders.** Provide PG binders that are smooth and homogeneous, show no separation when tested in accordance with [Tex-540-C](#), and meet the requirements of Table 17.

Separation testing is not required if:

- a modifier is introduced separately at the mix plant either by injection in the asphalt line or mixer,
- the binder is blended on site in continuously agitated tanks, or
- binder acceptance is based on field samples taken from an in-line sampling port at the hot-mix plant after the addition of modifiers.

**Table 17**  
**Performance-Graded Binders**

Property and Test Method	Performance Grade																	
	PG 58			PG 64				PG 70				PG 76				PG 82		
	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28
Average 7-day max pavement design temperature, °C <sup>1</sup>	58			64				70				76				82		
Min pavement design temperature, °C <sup>1</sup>	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28	-34	-16	-22	-28
<b>Original Binder</b>																		
Flash point, T 48, Min, °C	230																	
Viscosity, T 316 <sup>2,3</sup> : Max, 3.0 Pa s, test temperature, °C	135																	
Dynamic shear, T 315 <sup>4</sup> : G*/sin(δ), Min, 1.00 kPa, Max, 2.00 kPa <sup>7</sup> , Test temperature @ 10 rad/sec., °C	58			64				70				76				82		
Elastic recovery, D6084, 50°F, % Min <sup>8</sup>	-	-	30	-	-	30	50	-	30	50	60	30	50	60	70	50	60	70
<b>Rolling Thin-Film Oven (Tex-506-C)</b>																		
Mass change, T 240, Max, %	1.0																	
Dynamic shear, T 315: G*/sin(δ), Min, 2.20 kPa, Max, 5.00 kPa <sup>7</sup> , Test temperature @ 10 rad/sec., °C	58			64				70				76				82		
MSCR, T350, Recovery, 0.1 kPa, High Temperature, % Min <sup>8</sup>	-	-	20	-	-	20	30	-	20	30	40	20	30	40	50	30	40	50
<b>Pressure Aging Vessel (PAV) Residue (R 28)</b>																		
PAV aging temperature, °C	100																	
Dynamic shear, T 315: G*/sin(δ), Max, 5,000 kPa Test temperature @ 10 rad/sec., °C	25	22	19	28	25	22	19	28	25	22	19	28	25	22	19	28	25	22
Creep stiffness, T 313 <sup>5,6</sup> : S, max, 300 MPa, m-value, Min, 0.300 Test temperature @ 60 sec., °C	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18
Direct tension, T 314 <sup>6</sup> : Failure strain, min, 1.0% Test temperature @ 1.0 mm/min., °C	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18	-24	-6	-12	-18

- Pavement temperatures are estimated from air temperatures and using an algorithm contained in a Department-supplied computer program, may be provided by the Department, or by following the procedures outlined in AASHTO MP 2 and PP 28.
- This requirement may be waived at the Department's discretion if the supplier warrants that the asphalt binder can be adequately pumped, mixed, and compacted at temperatures that meet all applicable safety, environmental, and constructability requirements. At test temperatures where the binder is a Newtonian fluid, any suitable standard means of viscosity measurement may be used, including capillary (T 201 or T 202) or rotational viscometry (T 316).
- Viscosity at 135°C is an indicator of mixing and compaction temperatures that can be expected in the lab and field. High values may indicate high mixing and compaction temperatures. Additionally, significant variation can occur from batch to batch. Contractors should be aware that variation could significantly impact their mixing and compaction operations. Contractors are therefore responsible for addressing any constructability issues that may arise.
- For quality control of unmodified asphalt binder production, measurement of the viscosity of the original asphalt binder may be substituted for dynamic shear measurements of G\*/sin(δ) at test temperatures where the asphalt is a Newtonian fluid. Any suitable standard means of viscosity measurement may be used, including capillary (T 201 or T 202) or rotational viscometry (T 316).
- Silicone beam molds, as described in AASHTO TP 1-93, are acceptable for use.
- If creep stiffness is below 300 MPa, direct tension test is not required. If creep stiffness is between 300 and 600 MPa, the direct tension failure strain requirement can be used instead of the creep stiffness requirement. The m value requirement must be satisfied in both cases.
- Maximum values for unaged and RTFO aged dynamic shear apply only to materials used as substitute binders, as described in Item 340, "Dense-Graded Hot-Mix Asphalt (Small Quantity)", Item 341, "Dense-Graded Hot-Mix Asphalt, and Item 344, "Superpave Mixtures."
- Elastic Recovery (ASTM D6084) is not required unless MSCR (AASHTO T 350) is less than the minimum % recovery. Elastic Recovery must be used for the acceptance criteria in this instance.

### 3. EQUIPMENT

Provide all equipment necessary to transport, store, sample, heat, apply, and incorporate asphalts, oils, and emulsions.

#### 4. CONSTRUCTION

**Typical Material Use.** Use materials shown in Table 18, unless otherwise determined by the Engineer.

**Table 18**  
**Typical Material Use**

Material Application	Typically Used Materials
Hot-mixed, hot-laid asphalt mixtures	PG binders, A-R binders Types I and II
Surface treatment	AC-5, AC-10, AC-15P, AC-20XP, AC-10-2TR, AC-20-5TR, HFRS-2, MS-2, CRS-2, CRS-2H, CRS-2TR, CMS-2P HFRS-2P, CRS-2P, CHFRS-2P, A-R binders Types II and III
Surface treatment (cool weather)	AC12-5TR, RC-250, RC-800, RC-3000, MC-250, MC-800, MC-3000, MC-2400L, CMS-2P
Precoating	AC-5, AC-10, PG 64-22, SS-1, SS-1H, CSS-1, CSS-1H
Tack coat	PG Binders, SS-1H, CSS-1H, EAP&T, TRAIL, EBL
Fog seal	SS-1, SS-1H, CSS-1, CSS-1H, CMS-1P
Hot-mixed, cold-laid asphalt mixtures	AC-0.6, AC-1.5, AC-3, AES-300, AES-300P, CMS-2, CMS-2S
Patching mix	MC-800, SCM I, SCM II, AES-300S
Recycling	AC-0.6, AC-1.5, AC-3, AES-150P, AES-300P, recycling agent, emulsified recycling agent
Crack sealing	SS-1P, polymer mod AE crack sealant, rubber asphalt crack sealers (Class A, Class B)
Microsurfacing	CSS-1P
Prime	MC-30, AE-P, EAP&T, PCE
Curing membrane	SS-1, SS-1H, CSS-1, CSS-1H, PCE
Erosion control	SS-1, SS-1H, CSS-1, CSS-1H, PCE
FDR -Foaming	PG 64-22, FDR EM-SY, FDR EM-HY

- 4.1. **Storage and Application Temperatures.** Use storage and application temperatures in accordance with Table 19. Store and apply materials at the lowest temperature yielding satisfactory results. Follow the manufacturer's instructions for any agitation requirements in storage. Manufacturer's instructions regarding recommended application and storage temperatures supersede those of Table 19.

**Table19**  
**Storage and Application Temperatures**

Type-Grade	Application		Storage Max (°F)
	Recommended Range (°F)	Max Allowable (°F)	
AC-0.6, AC-1.5, AC-3	200–300	350	350
AC-5, AC-10	275–350	350	350
AC-15P, AC-20-5TR, AC12-5TR and AC10-2TR	300–375	375	360
RC-250	125–180	200	200
RC-800	170–230	260	260
RC-3000	215–275	285	285
MC-30, AE-P	70–150	175	175
MC-250	125–210	240	240
MC-800, SCM I, SCM II	175–260	275	275
MC-3000, MC-2400L	225–275	290	290
HFRS-2, MS-2, CRS-2, CRS-2H, HFRS-2P, CRS-2P, CMS-2, CMS-2S, AES-300, AES-300S, AES-150P, AES-300P, CRS-2TR	120–160	180	180
SS-1, SS-1H, CSS-1, CSS-1H, PCE, EAP&T, SS-1P, RS-1P, CRS-1P, CSS-1P, recycling agent, emulsified recycling agent, polymer mod AE crack sealant	50–130	140	140
PG binders	275–350	350	350
Rubber asphalt crack sealers (Class A, Class B)	350–375	400	–
A-R binders Types I, II, and III	325–425	425	425

## 5. MEASUREMENT AND PAYMENT

The work performed, materials furnished, equipment, labor, tools, and incidentals will not be measured or paid for directly but is subsidiary or is included in payment for other pertinent items.

# Special Specification 6001

## Portable Changeable Message Sign



### 1. DESCRIPTION

Furnish, operate, and maintain portable trailer mounted changeable message sign (PCMS) units.

### 2. MATERIALS

Furnish new or used material in accordance with the requirements of this Item and the details shown on the plans. Provide a self-contained PCMS unit with the following:

- Sign controller
- Changeable Message Sign
- Trailer
- Power source

Paint the exterior surfaces of the power supply housing, supports, trailer, and sign with Federal Orange No. 22246 or Federal Yellow No. 13538 of Federal Standard 595C, except paint the sign face assembly flat black.

2.1. **Sign Controller.** Provide a controller with permanent storage of a minimum of 75 pre-programmed messages. Provide an external input device for random programming and storage of a minimum of 75 additional messages. Provide a controller capable of displaying up to 3 messages sequentially. Provide a controller with adjustable display rates. Enclose sign controller equipment in a lockable enclosure.

2.2. **Changeable Message Sign.** Provide a sign capable of being elevated to at least 7 ft. above the roadway surface from the bottom of the sign. Provide a sign capable of being rotated 360° and secured against movement in any position.

Provide a sign with 3 separate lines of text and 8 characters per line minimum. Provide a minimum 18 in. character height. Provide a 5 × 7 character pixel matrix. Provide a message legibility distance of 600 ft. for nighttime conditions and 800 ft. for normal daylight conditions. Provide for manual and automatic dimming light sources.

The following are descriptions for 3 screen types of PCMS:

- **Character Modular Matrix.** This screen type comprises of character blocks.
- **Continuous Line Matrix.** This screen type uses proportionally spaced fonts for each line of text.
- **Full Matrix.** This screen type uses proportionally spaced fonts, varies the height of characters, and displays simple graphics on the entire sign.

2.3. **Trailer.** Provide a 2 wheel trailer with square top fenders, 4 leveling jacks, and trailer lights. Do not exceed an overall trailer width of 96 in. Shock mount the electronics and sign assembly.

2.4. **Power Source.** Provide a diesel generator, solar powered power source, or both. Provide a backup power source as necessary.

2.5. **Cellular Telephone.** When shown on the plans, provide a cellular telephone connection to communicate with the PCMS unit remotely.

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**3. CONSTRUCTION**

Place or relocate PCMS units as shown on the plans or as directed. The plans will show the number of PCMS units needed, for how many days, and for which construction phases.

Maintain the PCMS units in good working condition. Repair damaged or malfunctioning PCMS units as soon as possible. PCMS units will remain the property of the Contractor.

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**4. MEASUREMENT**

This Item will be measured by each PCMS or by the day used. All PCMS units must be set up on a work area and operational before a calendar day can be considered measurable. When measurement by the day is specified, a day will be measured for each PCMS set up and operational on the worksite.

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**5. PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Portable Changeable Message Sign." This price is full compensation for PCMS units; set up; relocating; removing; replacement parts; batteries (when required); fuel, oil, and oil filters (when required); cellular telephone charges (when required); software; and equipment, materials, tools, labor, and incidentals.



# Special Specification 6185

## Truck Mounted Attenuator (TMA) and Trailer Attenuator (TA)




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### 1. DESCRIPTION

Furnish, operate, maintain and remove upon completion of work, Truck Mounted Attenuator (TMA) or Trailer Attenuator (TA).

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### 2. MATERIALS

Furnish, operate and maintain new or used TMAs or TAs. Assure used attenuators are in good working condition and are approved for use. A list of approved TMA/TA units can be found in the Department's Compliant Work Zone Traffic Control Devices List. The host vehicle for the TMA and TA must weigh a minimum of 19,000 lbs. Host vehicles may be ballasted to achieve the required weight. Any weight added to the host vehicle must be properly attached or contained within it so that it does not present a hazard and that proper energy dissipation occurs if the attenuator is impacted from behind by a large truck. The weight of a TA will not be considered in the weight of the host vehicle but the weight of a TMA may be included in the weight of the host vehicle. Upon request, provide either a manufacturer's curb weight or a certified scales weight ticket to the Engineer.

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### 3. CONSTRUCTION

Place or relocate TMA/TAs as shown on the plans or as directed. The plans will show the number of TMA/TAs needed, for how many days or hours, and for which construction phases.

Maintain the TMA/TAs in good working condition. Replace damaged TMA/TAs as soon as possible.

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### 4. MEASUREMENT

4.1. **Truck Mounted Attenuator/Trailer Attenuator (Stationary).** This Item will be measured by the each or by the day. TMA/TAs must be set up in a work area and operational before a calendar day can be considered measurable. When measurement by the day is specified, a day will be measured for each TMA/TA set up and operational on the worksite.

4.2. **Truck Mounted Attenuator/Trailer Attenuator (Mobile Operation).** This Item will be measured by the hour. The time begins once the TMA/TA is ready for operation at the predetermined site and stops when notified by the Engineer. A minimum of 4 hr. will be paid each day for each operating TMA/TA used in a mobile operation.

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### 5. PAYMENT

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Truck Mounted Attenuators/Trailer Attenuators (Stationary)," or "Truck Mounted Attenuators/Trailer Attenuators (Mobile Operation)." This price is full compensation for furnishing TMA/TA: set up; relocating; removing; operating; fuel; and equipment, materials, tools, labor, and incidentals.

# Special Specification 6438

## Mobile Retroreflectivity Data Collection for Pavement Markings



### 1. DESCRIPTION

Furnish mobile retroreflectivity data collection (MRDC) for pavement markings on roadways as shown on the plans or as designated by the Engineer. Conduct MRDC on dry pavement only. Provider is defined as the Contractor or Subcontractor who collects the MRDC data.

### 2. EQUIPMENT AND PERSONNEL

- 2.1. **Mobile Retroreflectometer.** Provide a self-propelled, mobile retroreflectometer certified by the Texas A&M Transportation Institute (TTI) Mobile Retroreflectometer Certification Program.
- 2.2. **Portable Retroreflectometer.** Provide a portable retroreflectometer that uses 30-meter geometry meeting the requirements described in ASTM E 1710. Maintain, service, and calibrate all portable retroreflectometers according to the manufacturer's instructions.
- 2.3. **Operating Personnel for Mobile Retroreflectometer.** Provide all personnel required to operate the mobile retroreflectometer and portable retroreflectometer. Ensure MRDC system operator has a current certification from the TTI Mobile Retroreflectometer Certification Program to conduct MRDC with the certified mobile retroreflectometer provided.
- 2.4. **Additional Personnel.** Provide any other personnel necessary to compile, evaluate, and submit MRDC.
- 2.5. **Safety Equipment.** Supply and operate all required safety equipment to perform this service.

### 3. MRDC DOCUMENTATION AND TESTING

Document all MRDC by county and roadway or as directed by the Engineer. Submit all data to the Department and to the TTI Mobile Retroreflectometer Certification Program no later than three working days after the day the data is collected. Submit all raw data collected in addition to all other data submitted. Provide data files in Microsoft Excel format or a format approved by the Engineer. Provide measurement notification and field tests as specified. Verification and referee testing may be conducted at the Department's discretion.

- 3.1. **Preliminary Documentation Sample.** Submit a sample data file, video, and map of MRDC data in the required format 10 working days before beginning any work. The format must meet specification and be approved by the Engineer before any work may begin.
- 3.2. **Initial Documentation Review and Approval.** The Department will review documentation submitted for the first day of MRDC, and if it does not meet specification requirements, will not allow further MRDC until deficiencies are corrected. The Department will inform the Provider no later than three working days after submittal if the first day of MRDC does not meet specification requirements. Time charges will continue unless otherwise directed by the Engineer.
- 3.3. **Data File.** Provide data files with the following:
  - date;
  - district number;

- county;
- Project CSJ number;
- name of mobile retroreflector operator;
- route number with reference markers or other reference information provided by the Engineer to indicate the location of beginning and end data collection points on that roadway;
- cardinal direction;
- line type (single solid, single broken, double solid, etc.);
- line color;
- file name corresponding to video;
- data for each centerline listed separately;
- average reading taken for each 0.1-mi. interval (or interval designated by the Engineer);
- accurate GPS coordinates (within 20 ft.) for each interval;
- color-coding for each interval indicating passing or failing, unless otherwise directed by the Engineer (passing and failing thresholds provided by the Engineer);
- graphical representation of the MRDC (y-axis showing retroreflectivity and x-axis showing intervals) corresponding with each data file;
- distance in miles driven while measuring the pavement markings;
- event codes (pre-approved by the Engineer) indicating problems with measurement;
- portable retroreflector field check average reading and corresponding mobile average reading for that interval when applicable; and
- upper validation threshold (may be included separately with the raw data but must be clearly identified with the data collected using that threshold).

3.4. **Map.** Provide a map in an electronic format approved by the Engineer with each MRDC submission that includes the following information:

- date;
- district number;
- county;
- color-coded 1-mi. intervals (or interval length designated by the Engineer) for passing and failing retroreflectivity values or retroreflectivity threshold values provided by the Engineer; and
- percentage of passing and failing intervals, if required by the Engineer.

3.5. **Video.** Provide a high-quality DVD or electronic video file with the following information:

- date and corresponding data file name on label;
- district number;
- county;
- route number with reference markers or other designated reference information to indicate the location of beginning and end collection points on that roadway; and
- retroreflectivity values presented on the same screen with the following information:
  - date;
  - location;
  - starting and ending mileage;
  - total miles;
  - retroreflectivity readings; and
  - upper validation thresholds (may be included separately with the raw data but must be clearly identified with the data collected using that threshold).

3.6. **Field Comparison Checks with a Portable Retroreflector.** Take a set of field comparison readings with the portable retroreflector at least once every 4 hr. while conducting MRDC or at the frequency designated by the Engineer. Take a minimum of 20 readings, spread out over the interval measured. List the average portable retroreflector reading next to the mobile average reading for that interval with the

reported MRDC data. Request approval from the Engineer to take field comparison readings on a separate roadway, when measuring a roadway where portable retroreflector readings are difficult to take. Take the off-location field comparison readings at no additional cost. Submit the portable retroreflector printout of all the readings taken for the field comparison check with the corresponding MRDC data submitted. The mobile average reading must be within  $\pm 15\%$  of the portable average reading. The Engineer may require new MRDC for some or all of the pavement markings measured in a 4-hr. interval before a field comparison check not meeting the  $\pm 15\%$  range. Provide the new MRDC at no extra cost to the Department. The Engineer may take readings with a Department portable retroreflector to ensure accuracy at any time. The Department's Materials and Tests Division (MTD) will take comparison readings and serve as the referee if there is a significant difference between the Engineer's portable readings and the Provider's mobile and handheld readings. For best results, take field comparison readings on a fairly flat and straight roadway when possible.

- 3.7. **Periodic Field Checks at Pre-Measured Locations.** When requested by the Engineer, measure with the mobile unit and report to the Engineer immediately after measurement the average retroreflectivity values for a designated pre-measured test location. The Engineer will have taken measurements at the test location within 10 days of the test. The test location will not include pavement markings less than 30 days old. If the measured averages do not fall within  $\pm 15\%$  of the pre-measured averages, further calibration and comparison measurements may be required before any further MRDC. Submit the results of the field check with the MRDC report for that day.
- 3.8. **Measurement Notification.** Provide notification via email to [Mobileretro@tamu.edu](mailto:Mobileretro@tamu.edu) with a carbon copy to the Engineer a minimum of 24 hr. before mobile retroreflectivity data collection to allow for scheduling verification testing when needed.
- 3.9. **Verification Testing.** The Engineer or a third party may perform retroreflectivity verification testing within seven days of the Provider's retroreflectivity readings. The Provider-submitted retroreflectivity data will be compared to the verification test data to determine acceptability of the Provider's mobile retroreflector data. Comparison of the data will result in one of the two scenarios below:
- Provider's Data is Validated – if the difference between Provider's and Engineer-third party data is 20% or less, then the Provider's data is validated. The Provider's data will be used for acceptance.
  - Provider's Data is not Validated – if the difference between Provider's and Engineer-third party data is more than 20%, then the Provider's data is not validated. The Engineer-third party data will be used for acceptance and the Provider will be required to take corrective action before additional Provider data collection and may require re-certification of the mobile retroreflector. If the Engineer determines that the Provider's data might be correct then, referee testing may be requested by the Engineer.
- 3.10. **Referee Testing.** MTD will perform referee testing using portable retroreflectometers to determine if the markings need to be restriped to meet the required retroreflectivity level. The referee test results will be final. Referee testing will be conducted on the verification test sections using the method for portable retroreflectometers specified in Item 666, "Reflectorized Pavement Markings."

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#### 4. FINAL REPORT

Submit a final report in the format specified by the Engineer to the Department's Traffic Engineering representative within one calendar week after the service is complete. The final report must contain a list of all problems encountered (pre-approved event codes) and the locations where problems occurred during MRDC.

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#### 5. MEASUREMENT

When mobile retroreflectivity data collection for pavement markings is specified on the plans to be a pay item, measurement will be by the mile driven while measuring pavement markings.

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**6. PAYMENT**

Unless otherwise specified on the plans, the work performed, materials furnished, equipment, labor, tools, and incidentals will not be paid for directly, but will be considered subsidiary to bid items of the Contract. When mobile retroreflectivity data collection for pavement markings is specified on the plans to be a pay item, the work performed in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for "Mobile Retroreflectivity Data Collection." This price is full compensation for providing summaries of readings to the Engineer, equipment calibration and prequalification, equipment, labor, tools, and incidentals.

# Special Specification 7032

## Water Mains and Service Lines



### 1. DESCRIPTION

Provide and install a complete water main system in accordance with the plans and specifications and in compliance with the local utility owner's policies, if any, and the Department's Utility Accommodation Policy (UAP)(Title 43, T.A.C., Sections 21.31-21.55). The water mains shall be of the sizes, materials and dimensions shown on the plans and shall include all pipe, all joints and connections to new and existing pipes, all valves, fittings, fire hydrants, pipe joint restraint systems, blocking, and incidentals, as may be required to complete the work.

The abbreviations AWWA, ASA, ASTM, and ANSI, as used in this specification, refer to the following organizations or technical societies:

- AWWA - American Water Works Association
- ASA - American Standards Association
- ASTM - American Society for Testing and Materials
- ANSI - American National Standards Institute
- NSF - National Science Foundation

Where reference is made to specifications of the above organizations, it is to be construed to mean the latest standard in effect on the date of the proposal.

### 2. MATERIALS

Where specific products or manufacturers are mentioned in this specification, approved equals may be used.

#### 2.1. Ductile-Iron Pipe and Fittings.

##### 2.1.1. Ductile-Iron Pipe: 3-Inch through 64-Inch.

All ductile-iron pipe is to be manufactured by process of centrifugal casting and is to conform to AWWA Standard C-151, "American Standard for Ductile-Iron Pipe Centrifugally Cast with push-on or mechanical joints for Water or Other Liquids", unless otherwise modified or supplemented herein.

Pipe is to conform to the following pressure classes, based on Type 3 bedding conditions, a depth of bury of 6 feet and a working pressure of 150 psi:

3" through 12"	350 psi
16" through 20"	250 psi
24"	200 psi
30" through 64"	150 psi

Dimensions and tolerances for each nominal pipe size shall be in accordance with table 51.5 (push-on) or table 51.5 (mechanical joint) of AWWA Standard C-151 for pipe with a nominal laying length of 20 feet.

All pipe is to have a standard water works cement mortar lining in accordance with AWWA Standard C-104 with outside coating per Section 51.8.1 of AWWA Standard C-151.

Exterior coating is to consist of a nominal one mil thick asphaltic material applied to the outside of the pipe as described in Section 51.8 of AWWA Standard C-151.

Rubber joint gaskets utilized on ductile-iron pipe are to conform with AWWA Standard C-111.

Each length of pipe shall bear identification markings in conformance with Section 51.10 of AWWA Standard C-151.

The Contractor is to use a manufacturer that takes adequate measure during pipe production to assure compliance with AWWA Standard C-151 by performing quality-control tests and maintain results of those test as outlined in Section 51.14 of that standard.

The Engineer may at no cost to the Contractor, subject random lengths of pipe for testing by an independent laboratory for compliance with this specification. Any visible defects or failure to meet quality standards here in will be grounds for rejecting the pipe.

#### 2.1.2. Fittings for Ductile-Iron Pipe.

Unless otherwise modified or supplemented herein, WWA Standard C-110 for Ductile-Iron Fittings, 3-inch through 48-inch for Water and Other Liquids" and AWWA Standard C-153 for Ductile-Iron Compact Fittings, is to govern the design, manufacture, and testing of all fittings under this specification.

For 3 through 24-inch size range, the pressure rating of all fittings is to be a minimum of 250 psi. The working pressure for all fittings of size greater than 24-inch is to be a minimum of 150 psi, unless a change in pressure rating is shown on the plans.

Fittings are to be furnished with the type of end combination specified.

Mechanical joint fittings and anchor type fittings are to be furnished complete, with glands, gaskets, and bolts. Bolts for mechanical joints are to be ASTM A-536 specially alloyed and heat treated ductile iron conforming to ANSI/AWWA Standard CIII/A21.IL.

Flanged fittings are to be faced and drilled in accordance with ASA Specifications B 16.1, Class 125.

Anchor fittings are to be furnished in size and type or length as specified.

The exterior of all fittings is to be provided with a petroleum asphaltic coating in accordance with AWWA Standard C-110. The interior of flanged fittings supplied under this Item is to be either cement-mortar lined in accordance with AWWA Standard C-104 or lined with a petroleum asphaltic material in accordance with AWWA Standard C-110 as specified. The interior of all other fittings supplied under this Item is to be cement-mortar lined in accordance with AWWA Standard C-104.

Fittings for 2-inch size are to be manufacturer's standard design, designed in accordance with applicable design standard of AWWA Standard C-110.

#### 2.2. **Concrete Steel Cylinder Pipe and Fittings: 20-inch and larger.**

The design, component materials, manufacture and testing of all concrete-steel cylinder pipe and fittings is to conform to AWWA Standard C-301 for "Pre-Stressed Concrete Pressure Pipe, Steel Cylinder Type, for Water and Other Liquids". Except where otherwise indicated in the contract documents, all pipe is to be

AWWA Class 150 and is to be designed for an internal working pressure of 150 psi and a minimum external load equivalent to 6-feet of earth cover. Where the depth of bury of the pipe is indicated to be greater than 6-feet in the contract specifications or on the drawings the design of the pipe is to be suitable for the earth loads indicated.

All data submitted by the Contractor is to include a tabulated layout schedule with reference to the stationing and grade lines shown on the plans. The Contractor is to provide a design summary for each size of pipe furnished for each pressure and depth of bury.

Each special length of straight pipe is to have plainly marked on the inside of the bell end the class of pipe and identification marks sufficient to show the proper location of the pipe by reference to layout drawings.

Pipe 20 through 42-inch in size is to be furnished in nominal lengths of 20 to 32 feet; pipe 48 through 72-inch in size is to be furnished in nominal lengths of 16-feet except as this requirement is to be modified by design requirements of the particular job.

Each joint of pipe is to be furnished with a rubber gasket and a 12-inch diaper.

### 2.3. **Steel Pipe, Fittings and Flanges.**

#### 2.3.1. Steel Pipe. Steel pipe with nominal diameters from 6 through 20-in. shall conform to ASTM A 106, A 53 Grade B or A 139 Grade B standard weight class as the minimum

Steel Pipe greater than 20-inches shall conform to AWWA C-200 and AWWA M-11 except as modified herein or as required by the Engineer for special circumstances.

Pipe shall be designed for a minimum of 150 psi working pressure with an additional 50% of the working pressure allowance for surge pressure unless otherwise specified. Pipe design shall be in accordance with AWWA M-11.

Pipe shall be designed to cover conditions as shown on the plans. The design for deflection shall be in accordance with AWWA M-11.

Pipe for use with sleeve-type couplings shall have plain ends at right angles to the axis.

Pipe ends are to be beveled and suitable for field butt welding except as otherwise specified.

Protective coatings and linings are to conform to AWWA Standard C-203, "Coal-Tar Protective Coatings and Linings for Steel Pipelines - Enamel and Tape Hot Applied".

Pipe length is to be nominal 50-foot lengths except for specials or as otherwise specified on the plans. Contractor is to prepare a lay schedule showing the location of each piece by a mark number with station and invert elevation at each bell end.

#### 2.3.2. Fittings for Steel Pipe.

Unless otherwise shown on the Plans, all specials and fittings shall conform to the dimensions of AWWA Standard C-208. Pipe material used in fittings shall be of the same material and thickness as the pipe. The minimum radius of elbows shall be 2.5 times the pipe diameter and the maximum miter angle on each section of the elbow shall not exceed 11 1/4 degrees (One cut elbow up to 22 1/2 deg.). If elbow radius is less than 2.5 x pipe diameter, stresses shall be checked per AWWA M-11 and wall thickness or yield strength increased if necessary. Fittings shall be equal in pressure design strength. Specials and fittings, unless otherwise shown on the Plans, shall be made of segmentally welded sections from hydrostatically tested pipe, with ends compatible with the type of joint or coupling specified for the pipe. All welds made after hydrostatic testing of the straight sections of pipe shall be checked per the requirements of AWWA C-200 Section 5.2.2.1.



- 2.3.2.1. Rolled-Groove Rubber Gasket Joint: the standard joint shall be rolled-groove rubber gasket joint unless otherwise noted on the plans. Rolled-grooved rubber gasket joints shall conform to AWWA C-200 Standard and as shown in Chapter 8 of AWWA M-11.
- The o-ring rubber gasket shall have sufficient volume to approximately fill the area of the groove and shall conform to AWWA C-200.
- The joint shall be suitable for a safe working pressure equal to the class of pipe furnished and shall operate satisfactorily with a deflection angle, the tangent of which is not to exceed  $1.00/D$  where D is the outside diameter of the pipe in inches with a pull-out of 1 inch.
- Rolled-Groove Rubber Gasket Joints may be furnished only by a manufacturer who has furnished pipe with joints of similar design for comparable working pressure, pipe diameter, pipe length, and wall thickness that has been in successful service for a period of at least 5 years. If requested provide documentation of compliance with this requirement.
- 2.3.2.2. Lap weld: Lap field welded joints shall be used where tied joints are indicated on the plans. The standard bell shall provide for a 2 1/2-inch lap. The minimum lap shall be 1 inch. The design maximum joint deflection or offset shall be a 1" joint pull.
- 2.3.2.3. Mechanical Couplings: Mechanical couplings where indicated on the plans shall be Smith Blair Style 411, Baker Style 200, Brico Depend-O-Loc or equal. Insulating mechanical couplings where indicated on the plans shall be double insulated Smith Blair Style 416, Baker Style 216, or equal. Mechanical couplings shall be rated to meet or exceed the working pressures and surge pressure of the pipe.
- Couplings for buried service shall have all metal parts painted with epoxy paint and conform to AWWA C-219.
- Pipe ends for mechanical couplings shall conform to AWWA C-200 and M-11. The shop applied outside coating shall be held back as required for field assembly of the mechanical coupling or to the harness lugs or rings.
- Harness lugs or rings and pipe ends shall be painted with one shop coat of epoxy conforming to AWWA C-210. The inside lining shall be continuous to the end of the pipe.
- 2.3.3. Steel Flanges - Steel pipe flanges, where called for on the plans, are to conform to AWWA Standard C-207, "Steel Pipe Flanges" for Class D for operating pressures to 175 psi on 4 inch through 12 inch diameter, and operating pressures to 150 psi on diameters over 12 inches; or flanges shall be AWWA C-207 Class E for operating pressures up to 275 psi; or flanges shall be AWWA C-207 Class F for pressures to 300 psi. (drilling matches ANSI B 16.5 Class 250).
- Shop lining and coating shall be continuous to the end of the pipe or back of the flange. Flange faces shall be shop coated with a soluble rust preventive compound.
- Gaskets: Full face, 1/8-inch thick, cloth-inserted rubber, Garlock 3000, John Crane Co. Style 777 or equal.
- Bolts and Nuts for Flanges
- 2.3.3.1. Bolts for flanges located indoors and in enclosed vaults and structures shall be carbon steel, ASTM A-307, Grade B for class B and D flanges and nuts shall be ASTM A-563, Grade A heavy hex. Bolts for class E and F flanges shall be ASTM A-193 grade B7 and nuts shall be ASTM A-194, grade 2 H, heavy hex.
- 2.3.3.2. Bolts for buried and submerged flanges and flanges located outdoors above ground or in open vaults in structures shall be Type 316 stainless steel conforming to ASTM A-193, Grade B8M, Class 1 for class B and D Flanges with ASTM A-194, Grade 8M nuts. For Class E and F flanges the bolts shall be ASTM A-194 grade 2H nuts with bolt and nuts to be zinc plated in accordance with ASTM B-633.

- 2.3.4. Linings and Coatings.
  - 2.3.4.1. Polyethylene Tape Coating:
    - 2.3.4.1.1. Prefabricated Multi-layer Cold Applied Tape Coating - the coating system for straight-line pipe shall be in accordance with AWWA Standard C-214. The system shall consist of three layers of polyethylene material with a nominal thickness of 80 mills when complete
    - 2.3.4.1.2. Coating Repair: Coating repair shall be made using tape and primer conforming to AWWA Standard C-209, Type II. The tape and primer shall be compatible with the tape system used for straight-line pipe.
    - 2.3.4.1.3. Coating of Fittings, Specials and Joints:
      - 2.3.4.1.3.1. General – Fittings, specials and joints which cannot be machine coated in accordance with above, shall be coated in accordance with AWWA Standard C-209. Prefabricated tape shall be Type II and shall be compatible with the tape system used for straight-line pipe. The system shall consist of 3 layers consisting of the following: Alternate coating methods for fittings specials and field joints would be Shrink sleeves per C-216, or paint per C-210, C-218, or C-222. The field coating shall completely encapsulate the joint bonds on o-ring joints.
      - 2.3.4.1.3.2. Coating Repair – Coating repair for fittings and specials shall be in accordance with the procedure described above for straight-line pipe and as recommended by the manufacturer.
  - 2.3.4.2. Other Coating Systems if specified shall be governed by the appropriate American Water Works Association standard.
  - 2.3.4.3. Cement Mortar per AWWA C-205
    - 2.3.4.3.1. Cement Mortar Lining of Steel Pipe
      - 2.3.4.3.1.1. Except as otherwise provided in AWWA Standard C-205, interior surface of all steel pipe, fittings, and specials shall be cleaned and lined in the shop with cement-mortar lining applied centrifugally in conformity with AWWA Standard C-205.
      - 2.3.4.3.1.2. The pipe ends shall be left bare where field joints occur as shown on the plans. Ends of the linings shall be left square and uniform. Feathered or uneven edges will not be permitted.
      - 2.3.4.3.1.3. Defective linings as identified in AWWA C-205 shall be removed from the pipe wall and shall be replaced to the full thickness required. Defective linings shall be cut back to a square shoulder in order to avoid feather edged joints.
      - 2.3.4.3.1.4. Cement mortar lining shall be kept moist during storage and shipping.
    - 2.3.4.3.2. Fittings
      - 2.3.4.3.2.1. Fittings shall be lined and coated per AWWA C-205.
- 2.3.5. Steel Casing Pipe.
 

The component materials, manufacture and testing of all steel pipe will conform to AWWA Standard C-200 for "Steel Water Pipe 6-in. and Larger". The specified pipe size will be the actual inside diameter of the pipe, special or fitting in inches. The diameter and wall thickness of all steel pipe will conform to those shown on the plans.

Pipe will be either Grade A or Grade B, conforming to ASTM Designation A-53.

Pipe ends will be beveled and suitable for field butt welding except as otherwise specified.

Pipe will receive a protective coating conforming to AWWA Standard C-203, "Coal-Tar Protective Coatings and Linings for Steel Pipelines – Enamel and Tape Hot Applied".

Pipe length will be nominal 40 ft. lengths except for specials or as otherwise specified on the plans. Standard and specials will be within 1/16-in. (plus or minus) of the specified or theoretical lengths.

### 2.3.6. Stainless Steel Casing Spacer.

The casing spacers are to be constructed of T-304 stainless steel segments which bolt together forming a shell around the carrier pipe. The spacers are to be designed with risers (when needed) and runners to support the carrier within the casing and maintain a minimum clearance of 0.50-inch between the casing ID and the spacer OD. On carrier pipes with an OD less than 16-inches., each spacer is to have four (4) riser and runner combinations - two (2) on each segment. On carrier pipes with an OD of 16-in. and larger, each spacer is to contain six (6) riser and runner combinations - four (4) on the bottom segment and two (2) on the top segment. T-304 stainless steel bolts and nuts are to be supplied with the spacers.

The band is to be manufactured of 8-inch wide, 14 gauge, T-304 stainless steel material. The risers are to be constructed of 10 gauge, T-304 stainless steel having a minimum length of 6-inches.

Abrasion resistant runners, having a minimum length of 7-inches and a minimum width of 1-inch are to be attached to each riser to minimize friction between the casing pipe and the carrier pipe as it's installed. Runner material is to be of glass reinforced plastic with compression strength of 25,000 psi, flexural strength of 32,000 psi and tensile strength of 22,000 psi. The ends of all runners are to be beveled to facilitate installation over rough weld beads or the welded ends of misaligned or deformed casing pipe.

Interior surfaces of the circular steel shell are to be lined with PVC or EPDM having a minimum thickness of 0.090-inch with a hardness of durometer "A" 85-90.

Spacers will be placed a maximum of 1-foot on each side of the bell joint and every 8 to 12-feet apart thereafter.

#### Physical Properties

- 2.3.6.1. Band and Risers
  - 2.3.6.1.1. Band - 14 Gauge, T-304 Stainless Steel
  - 2.3.6.1.2. Riser - Minimum 14 Gauge, T-304 Stainless Steel
- 2.3.6.2. Liner - EDPM or Polyvinyl Chloride
  - 2.3.6.2.1. Thickness - 0.090-in. minimum
  - 2.3.6.2.2. Hardness - Durometer "A" 85-90
  - 2.3.6.2.3. Dielectric Strength - 1/8-in. thick
  - 2.3.6.2.4. 60,000 VPM
  - 2.3.6.2.5. Water Absorption - one (1) percent maximum
  - 2.3.6.2.6. Overlap edges
- 2.3.6.3. Studs, Nuts and Washers

- 2.3.6.3.1. T-304 Stainless Steel - 5/16: - 18-in. x 2-in. studs
- 2.3.6.3.2. 5/16-in. hex nuts
- 2.3.6.3.3. 5/16-in. washers SAE 2330
- 2.3.6.4. Runners
- 1-in. wide or 2-in. wide glass filled polymer runners
- Sizes Available:
- Length - 7-in.
- Effective heights (all lengths) - 1-in. and 1 1/2-in.
- Materials Specifications:
- Tensile Strength (ASTM D-638) - 22,000 psi
- Flexural Strength (ASTM D-790) - 32,000 psi
- Compression Strength (ASTM D-695) - 25,000
- Deflection Temperature @ 264 psi (ASTM D-648) - 435 F (224 C)
- Deformation Under Load, @ 122 F (50C) - 4000 pound Load, - (ASTM D-648) 1.2 percent.
- 2.3.6.5. Welding. All risers are to be welded by MIG welding. Welds are to be fully passivated.
- 2.3.7. Quality Assurance Commercial Standards. (All manufacturing tolerances referenced in the below standards apply unless specifically excluded).
- ANSI/AWWA C-200 Standard for Steel Water Pipe 6 Inches and Larger.
- ANSI/AWWA C-205 Standard for Cement-Mortar Protective Lining and Coating for Steel Water Pipe - 4 inch and Larger-Shop Applied
- ANSI/AWWA C-206 Standard for Field Welding of Steel Water Pipe.
- ANSI/AWWA C-207 Standard for Steel Pipe Flanges for Water Works Service, 4" - 144".
- ANSI/AWWA C-208 Standard for Dimensions for Fabricated Steel Water Pipe Fittings.
- ANSI/AWWA C-209 Standard for Cold-Applied Tape Coatings for the Exterior of Special Sections, Connections, and Fittings for Steel Water Pipelines.
- ANSI/AWWA C-210 Standard for Liquid-Epoxy Coating Systems for the Interior and Exterior of Steel Water Pipelines.
- ANSI/AWWA C-214 Standard for Tape Coating Systems for the Exterior of Steel Water Pipelines.
- ANSI/AWWA C-216 Standard for Heat-Shrinkable Cross-Linked Polyolefin Coatings for the Exterior of Special Sections, Connections, and Fittings for Steel Water Pipelines.

ANSI/AWWA C-218 Standard for Liquid Coating the Exterior of Aboveground Steel Water Pipelines and Fittings.

ANSI/AWWA C-219 Standard for Bolted Sleeve-Type Couplings for Plain-End Pipe.

ANSI/AWWA C-222 Standard for Polyurethane Coatings for the Interior and Exterior of Steel Water Pipelines and Fittings.

AWWA M-11 Steel Pipe - A guide for Design and Installation

ASTM A-106 Standard Specification for Seamless Carbon Steel Pipe for High-Temperature Service.

ASTM A-53 Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc Coated Welded and Seamless.

ASTM E-165 Method for Liquid Penetrant Examination.

ASTM E-709 Guide for Magnetic Particle Examination.

ASME Section V Nondestructive Testing Examination.

ASME Section IX Welding and Brazing Qualification.

AWS B2.1 Standard for Welding Procedure and Welding Qualifications.

#### 2.3.8. Qualifications

2.3.8.1. The pipe and fittings shall be designed, constructed and installed in accordance with the best practices and methods and shall comply with these specifications as applicable.

2.3.8.2. Pipe shall be the product of one manufacturer. All pipe manufacturing including cylinder production, lining, coating and fittings shall be produced by one manufacture. The pipe manufacturer must have a certified quality assurance program. This certified program shall be ISO 9001: 2000 or other equivalent nationally recognized program.

#### 2.4. **Polyvinyl Chloride Pipe and Fittings.**

2.4.1. Polyvinyl Chloride Pipe, 2-inch through 12-inch (ASTM 2241).

2-inch through 12-inch polyvinyl chloride (PVC) pressure pipe is to be made from class 12454A or 12454B compounds as determined by ASTM Standard D-1784 and providing for a hydrostatic test basis (HBD) of 4000 psi.

All PVC pipe shall conform to applicable AWWA standards. The Contractor shall supply the Engineer a manufacturer's affidavit that the materials supplied comply with all applicable requirements of AWWA.

All ASTM 2241 PVC pipe shall have a standard dimension ratio (SDR) of 26 (160 psi pressure class) or an SDR of 21 (200 psi pressure class). All PVC pipe 4" and smaller shall have an SDR of 21. It shall be furnished in nominal 20-foot lengths, and shall be self extinguishing.

Dimensions and tolerances for each nominal pipe size are to be in accordance with PVC Pipe Dimensions as published in the Handbook of PVC Pipe, as published by the Uni-Bell Plastic Pipe Association.

Each pipe shall have an integral bell formed on the pipe end, and be designed to be at least as strong as the pipe wall.

An elastomeric gasket shall be designed with a retainer ring that locks the gasket into integral bell groove and shall be installed at the point of manufacture. The dimensions and design of the gasket joint provided for the PVC pipe shall meet requirements provided in ASTM D-3139 and ASTM D-2122. The gasket shall be reinforced with a steel band and shall conform to ASTM F-477.

Each length of pipe furnished is to bear identification markings in conformance with Section 2.6 of AWWA C-900.

2.4.2. Polyvinyl Chloride Pipe, 4-inch through 12-inch (C-900).

4-inch through 12-inch polyvinyl chloride (PVC) pressure pipe is to be made from class 1245A or 1245B compounds as determined by ASTM Standard D-1784 and providing for a hydrostatic test basis (HBD) of 4000 psi.

All PVC pipe shall conform to AWWA Standard C-900. The Contractor shall supply the Engineer a manufacturer's affidavit that the materials supplied comply with all applicable requirements of AWWA.

All AWWA C-900 PVC pipe shall have a pressure rating of 150 psi and a dimension ratio of 18 with cast iron equivalent outside diameters unless otherwise specified. It shall have a sustained pressure requirement of 500 psi and a minimum burst pressure of 755 psi. AWWA C-900 PVC pipe installed in High Pressure Zones to have a pressure rating of 200 psi and a dimension ratio of 14, a sustained pressure requirement of 650 psi and a minimum burst pressure of 985 psi. It shall be furnished in nominal 20-foot lengths, and shall be self extinguishing.

Dimensions and tolerances for each nominal pipe size are to be in accordance with Section 2.2, Table 1 of AWWA C-900.

Each pipe shall have an integral bell formed on the pipe end, and be designed to be at least as strong as the pipe wall.

An elastomeric gasket shall be designed with a retainer ring that locks the gasket into integral bell groove and shall be installed at the point of manufacture. The dimensions and design of the gasket joint provided for the PVC pipe shall meet requirements provided in ASTM D-3139 and ASTM D-2122. The gasket shall be reinforced with a steel band and shall conform to ASTM F-477.

Each length of pipe furnished is to bear identification markings in conformance with Section 2.6 of AWWA C-900.

2.4.3. Polyvinyl Chloride (PVC), 14-inch through 36-inch

2.4.3.1. Scope. This product specification covers 14-inch nominal diameter through 36-inch nominal diameter polyvinyl chloride (PVC) potable water transmission pipe with integral bell and spigot joints. The pipe shall be extruded from Class 12454-A or 12454-B PVC compound as defined in ASTM D-1784 and provide for a hydrostatic design basis (HDB) of 4,000 psi. The pipe outside diameters shall conform to dimensions of cast iron pipe (CI). All pipe furnished shall be in conformance with AWWA C-905-97, or latest revision thereof.

Pipe shall be homogenous throughout. It shall be free from voids, cracks, inclusions, and other defects. It shall be as uniform as commercially practical in color, density, and other physical properties. Pipe surfaces shall be free from nicks and scratches. Joining surfaces of spigots and joints shall be free from gouges and imperfections that could cause leakage.

2.4.3.2. Definitions. All definitions are defined according to AWWA C-905-97 Section 1.2 Definitions.

2.4.3.2.1. Dimension Ratio (DR) – The ratio of the pipe outside diameter to the minimum wall thickness. The quotient is rounded to the nearest 0.5 when necessary.

- 2.4.3.2.2. Pressure Rating (PR) – The nominal pressure rating of transmission pipe is determined from formulas in Section 5: Transmission-Pipe Ratings AWWA C905-97 using a safety factor of 2.0. There is no allowance for surge pressure in the pressure rating.
- 2.4.3.3. General
- 2.4.3.3.1. Except as noted on the plans or specifications for specific jobs, all C-905 PVC pipe shall have a pressure rating of 235 PSI and a dimension ratio of 18 or have the highest pressure rating available for each size of pipe.
- 2.4.3.3.2. Dimensions and tolerances for each nominal pipe size shall be in accordance with Table 2 Dimensions for PVC Transmission Pipe with CI Outside Diameter of Section 3 Pipe Requirements in AWWA C-905-97. All pipe shall be suitable for use as a pressure conduit.
- 2.4.3.3.3. Pipe shall be gauged full length and furnished in standard laying lengths of 20 feet ± 1 in. unless otherwise noted. Each pipe shall have an integral bell formed on the pipe end, and be designed to be at least as strong as the pipe wall.
- 2.4.3.3.4. An elastomeric gasket shall be designed with a retainer ring, which locks the gasket into integral bell groove and shall be installed at the point of manufacture. The dimensions and design of the gasket joint provided for the PVC transmission pipe shall meet requirements provided in ASTM D-3139 and ASTM D-2122. The gasket shall be reinforced with a steel band and shall conform to ASTM F-477.
- 2.4.3.3.5. Each length of pipe furnished shall bear identification markings that will remain legible after normal handling, storage, and installation. Markings shall be applied in a manner that will not weaken or damage the pipe. Markings shall be applied at intervals of not more than 5 ft. on the pipe. The minimum required markings are given in the list below. Marking requirements shall be in conformance with Section 4.7 Marking Requirements of AWWA C-905-97.
- 2.4.3.3.5.1. Nominal size and OD base (for example, 24 CI).
- 2.4.3.3.5.2. PVC.
- 2.4.3.3.5.3. Dimension Ratio (for example, DR 25).
- 2.4.3.3.5.4. AWWA pressure rating (for example, PR 165).
- 2.4.3.3.5.5. AWWA designation number for this standard (AWWA C-905).
- 2.4.3.3.5.6. Manufacturer's name or trademark.
- 2.4.3.3.5.7. Manufacturer's production code, including day, month, year, shift, plant, and extruder of manufacture.
- 2.4.4. AWWA C-900 and C-905 Requirements
- 2.4.4.1. Bundle pipe in pallets for ease of handling and storage. Package pipe bundles to provide structural supports to insure that weight of upper units do not cause deformation to pipe in lower units.
- Pipe bundles showing evidence of ultra violet radiation "sunburn" on exposed pipe as may be caused from extended unprotected storage conditions will not be accepted.
- 2.4.4.2. The pipe must be in compliance with AWWA Standards C-900 or C-905-97 as applicable by performing quality control-control test and maintaining results of those test as outlined in Section 3 of that standard. Submission of product constitutes certification of compliance with standard.

- 2.4.4.3. Pipe is intended for use as an underground, direct bury pressure pipe for transport of potable water. The expected life of pipe system after installation is 25 to 50 years.
- 2.4.4.4. Provide a one year warranty for all material sold and delivered for use and incorporation into water system. Warranty takes effect on the date that pipe is accepted by the Department.
- 2.4.4.5. Test.
- 2.4.4.5.1. For both C-900 and C-905, water system may at no cost to the Contractor, subject random lengths of pipe for testing by an independent laboratory for compliance with this specification. Any visible defects of failure to meet quality standards here in will be grounds for rejecting entire order.
- 2.4.4.5.2. For C-905: The Contractor shall ensure that the manufacturer pressure tested all pipe, including the joint, which is marked with the designation number of AWWA C-905-97 at 73.4° F. +/- 3.6°F (23°C +/- 2°C). Each length of pipe shall be proof tested at twice the pressure rating listed in Table 3 Transmission-Pipe Pressure Rating of AWWA C-905-97 Sec. 4.6 Pressure Strength and Hydrostatic Proof
- 2.4.4.6. References. The documents listed below are referenced in this specification.
- 2.4.4.6.1. AWWA C-905-97; Polyvinyl Chloride (PVC) Water Transmission Pipe Nominal Diameters 14 inch through 36 inch.
- 2.4.4.6.2. ASTM D-1784; Standard Specification for Rigid Polyvinyl Chloride (PVC) Compounds and Chlorinated Polyvinyl Chloride (CPVC) Compounds.
- 2.4.4.6.3. ASTM D-2122; Standard Method of Determining Dimensions of Thermoplastic Pipe and Fittings.
- 2.4.4.6.4. ASTM D-3139; Standard Specification for Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals.
- 2.4.4.6.5. ASTM F-477; Standard Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe.
- 2.4.5. Bends and Fittings for PVC Pipe 4-inch through 36-inch. All bends and fittings shall conform to the same requirements subparagraphs 2.A.2 Fittings for Ductile-Iron Pipe.
- 2.4.6. Joint Restraint System for PVC C-900/C-905.
- 2.4.6.1. Scope. This specification covers pipe joint restraint systems to be used on domestic water mains for PVC C-900 pipe sizes 4-inch through 12-inch diameter and PVC C-905 pipe sizes 16-inch through 24-inch diameter, and for Ductile Iron pipe sizes from 4-inch through 24-inch diameter.
- Joint restraint systems are classified as "compression, "mechanical joint" or "non-metallic restrained joint" for the specific type of pipe joint to be restrained.
- 2.4.6.2. General Requirements
- 2.4.6.2.1. Underwriter Laboratories (U.L) and Factory Mutual (FM) certifications are required on all restraint systems.
- 2.4.6.2.2. Unless otherwise noted, restraint systems to be used on PVC C-900 and C-905 pipe shall meet or exceed A.S.T.M. Standard F1674-96, "Standard Test Methods for Joint Restraint Products for Use with PVC Pipe," or the latest revision thereof. Restraint systems used on ductile pipe shall meet or exceed U.L. Standard 194
- 2.4.6.2.3. Non-metallic restrained joint pipe and couplings shall be utilized specifically for C-900 PVC pipe and fittings in sizes 4-inch-12-inch.
- 2.4.6.2.4. Each restraint system shall be packaged individually and include installation instructions.



- 2.4.6.3. Specific Requirements.
- 2.4.6.3.1. Restrainer for PVC C-900/C-905 & Ductile Iron Push-on Type Connections:
- 2.4.6.3.1.1. Pipe restraints shall be utilized to prevent movement for push-on D.I. or PVC (C-900&C-905) (compression type) bell and spigot pipe connections or where a transition or flexible coupling has been used to join 2 sections of plain-end pipe D.I. or PVC (C-900&C-905). The restrainer may be adapted to connect a plain end D.I. or PVC pipe to a ductile iron mechanical joint (MJ) bell fitting. The restrainer must not be directionally sensitive.
- 2.4.6.3.1.2. The pipe shall be restrained by a split retainer band. The band shall be cast ductile iron, meeting or exceeding ASTM A-536-80, Grade 65-45-12. The inside face or contact surface of the band shall be of sufficient width to incorporate cast or machined non-directionally sensitive serration to grip the outside circumference of the pipe. The serration shall provide full (360 °) contact and maintain pipe roundness and avoid any localized points of stress. The split band casting shall be designed to “bottom-out” before clamping bolt forces (110ft-lb minimum torque) can over-stress the pipe, but will provide full non-directionally sensitive restraint at the rated pressures.
- 2.4.6.3.1.3. Bolts and nuts used to attach the split retainer ring shall comply with ANSI B-18.2/18.2.2, SAE Grade 5. Tee-bolts, nuts and restraining rods shall be fabricated from high-strength, low-alloy steel per AWWA C-111-90, ANSI/AWWA C-111/A-21.11.
- 2.4.6.3.1.4. The split ring type non-directionally sensitive restrainer system shall be capable of a test pressure twice the maximum sustained working pressure listed in section D and be for both D.I. and/or PVC C-900.
- 2.4.6.3.1.5. Restraint systems sizes 6 through 12-inch shall be capable of use for both ductile iron and/or PVC C-900.
- 2.4.6.3.1.6. The restraint system may consist of 2 types: the two split retainer rings and for new construction use only the 1 split and 1 solid cast backup ring.
- 2.4.6.3.2. Compression Ring Fitting Restrainer for Ductile Iron Pipe & PVC C-900.
- 2.4.6.3.2.1. Compression ring with follower gland type of restrainer may be utilized in conjunction with Mechanical Joint (MJ) bell end ductile iron pipe fittings for restraining PVC C-900 and ductile iron pipe.
- 2.4.6.3.2.2. The system shall utilize a standard MJ gasket with a color-coded compression ring and replacement gland conforming to ASTM A-536-80, Grade 65-45-12.
- 2.4.6.3.2.3. Standard MJ fitting Tee-bolts and nuts shall be fabricated from high strength steel conforming to ANSI AWWA C-111/A-21.11 and AWWA C-153/A-21.53-88.
- 2.4.6.3.2.4. Standard MJ gasket shall be virgin SBR meeting ASTM D-2000 3 BA 715 or 3 BA 515.
- 2.4.6.3.2.5. The restraint system shall be capable of a test pressure twice the maximum sustained working pressure shown in Table 2 below.
- 2.4.6.3.3. Non-metallic restrained joint pipe and couplings for PVC C-900 Type Connections:
- 2.4.6.3.3.1. Gasketed restrained coupling connections shall join two sections of factory grooved PVC (C-900) pipe. The restrainer coupling must not be directionally sensitive.
- 2.4.6.3.3.2. The coupling shall incorporate twin elastomeric sealing gaskets meeting the requirements of ASTM F-477 and shall be DR-14 Class 200 C-900 PVC in all applications, meeting or exceeding the performance requirements of AWWA C-900, latest revision. The inside face or contact surface of the coupling connection shall be of sufficient width to incorporate a factory machined non-directionally sensitive groove in both pipe and coupling to grip the outside circumference of the pipe. The couplings shall provide full (360 °) contact

and maintain pipe roundness and avoid and localized points of stress. The coupling shall be designed with an internal stop to align the precision-machined grooves in the coupling and pipe prior to installation of a non-metallic thermoplastic restraint spleen, and will provide full non-directionally sensitive restraint at the rated pressures.

- 2.4.6.3.3.3. High-strength flexible thermoplastic spleens shall be inserted into mating precision-machined grooves in the pipe and coupling to provide full non-directional restraint with evenly distributed loading.
- 2.4.6.3.3.4. The non-metallic restrained joint pipe and couplings for PVC C-900 type non-directionally sensitive restrainer system shall be capable of a test pressure twice the maximum sustained working pressure listed in Section D and be for PVC (C-900) pipe sizes 4 through 12-inch.
- 2.4.6.3.3.5. Non-metallic restrained joint pipe and couplings for PVC C-900 restrained systems sizes 4 through 12-inch shall be capable of use for both Class 150 (DR 18) and 4 through 8-inch for Class 200 (DR 14) PVC C-900 pipe.
- 2.4.6.3.3.6. The non-metallic restrained joint pipe and couplings for PVC C-900 restraint system shall consist of a pipe and couplings system produced by the same manufacturer meeting the performance qualifications of Factory Mutual (FM) and Underwriters Lab (UL).
- 2.4.6.3.4. Retainer Gland for Ductile Iron Pipe (only):
- 2.4.6.3.4.1. Radial bolt type restrainer systems shall be limited to ductile iron pipe in conjunction with Mechanical Joint (MJ) bell end pipe or fittings. The system shall utilize a standard MJ gasket with a ductile iron replacement gland conforming to ASTM A-536-80. The gland dimensions shall conform to Standard MJ bolt circle criteria.
- 2.4.6.3.4.2. Individual wedge restrainers shall be ductile iron heat treated to a minimum hardness of 370 BHN. The wedge screws shall be compressed to the outside wall of the pipe using a shoulder bolt and twist-off nuts to insure proper actuating of the restraining system.
- 2.4.6.3.4.3. Standard MJ fitting Tee-bolts and nuts shall be high strength steel conforming to AWWA C111/A21.11 and C153/A21.53-88.
- 2.4.6.3.4.4. Standard MJ gasket shall be virgin SBR meeting ASTM D-2000 3 BA 715 or 3 BA 515.
- 2.4.6.3.5. Maximum Sustained Working Pressure Requirement

<b>Nominal Diameter</b>	<b>PVC C-900 / C-905</b>	<b>Ductile Iron</b>
4 & 6 in.	150 p.s.i. (DR18) / 200 p.s.i. (DR14)	350 p.s.i.
8 in.	150 p.s.i. (DR18) / 200 p.s.i. (DR14)	250 p.s.i.
10 & 12 in.	150 p.s.i. (DR18) / 200 p.s.i. (DR 14)	200 p.s.i.
14 & 16 in.	150 p.s.i. (DR 18) / 200 p.s.i. (DR 21) / 235 p.s.i. (DR 18)	200 p.s.i.
20 & 24 in.	150 p.s.i. (DR18) / 200 p.s.i. (DR 21) / 235 p.s.i. (DR18)	200 p.s.i.

- 2.4.6.3.6. Tests. The Engineer may, at no cost to the Contractor, subject random joint restraint system products to testing by an independent laboratory for compliance with these standards. Any visible defect of failure to meet the quality standards herein will be ground for rejecting the entire order.
- 2.4.6.3.7. Product List. Other approved equal products from other manufacturers meeting these specifications may be submitted for review.

## 2.4.6.3.7.1. Slip on Joint Restraint Systems:

<b>Table 3</b>			
<b>Manufacturer</b>	<b>PVC C-900/C-905</b>	<b>Ductile Iron (D.I.)</b>	<b>D.I., 16" Above</b>
Ford / Uni-Flange Corporation	Series 1390C	Series 1390C	1390C
EBBA Iron Sales, Inc.	1500	1700	1700
Romac Industries, Inc. 4-8 inch	Model 611	Model,611	47 OSJ
Star Pipe Products	1100	1100	1100

## 2.4.6.3.7.2. Compression Ring Systems:

<b>Table 4</b>		
<b>Manufacturer</b>	<b>PVC C-900</b>	<b>Ductile Iron</b>
Romac Industries, Inc.	Grip Ring-DI	Grip Ring-DI
Tyler Corporation	MJR Gland	MJR Gland
Star Pipe Products	Ring Lock 3500 Series	

## 2.4.6.3.7.3. Non-metallic restrained joint pipe and couplings for PVC C-900 RJ Type Connections:

<b>Table 5</b>		
<b>Manufacturer</b>	<b>PVC C-900</b>	<b>Ductile Iron</b>
CertainTeed Corporation, Certa-Lok C-900/RJ	4" – 12"	Class 150 (DR-18)
	4" – 8"	Class 200 (DR-14)

## 2.4.6.3.7.4. Retainer Gland (MJ):

<b>Table 6</b>		
<b>Manufacturer</b>	<b>PVC C-900</b>	<b>Ductile Iron</b>
EBBA Iron Sales, Inc.	2000 PV	MEGALUG 1100
Romac Industries, Inc.	Not Approved	Not Approved
Ford/UniFlange	UFR-1500-C 4" – 24"	Series 1400
StarPipe Products	Stargrip 4000	Stargrip 4000
Sigma Corporation	One Lok SLC	One Lok SLD

## 2.4.6.3.7.5. Restrained Flange Adapters:

<b>Table 7</b>		
<b>Manufacturer</b>	<b>PVC C-900</b>	<b>Ductile Iron</b>
EBBA Iron Sales, Inc.	2100 Megaflange	2100 Megaflange
Ford/UniFlange	900	200, 400, 420

2.5. **Copper Tubing and Brass Fittings for Copper Service Lines.**

2.5.1. Copper Tubing. All 3/4 in., 1 in., 1-1/2 in. and 2-in. copper tubing for underground service is to be of the type commercially known as Type "K" soft and conform to ASTM Designation B-88 and NSF Standard 61.

3/4 in. and 1-in. copper tubing is to be furnished in 60-foot coils or 100-foot coils as specified, 1-1/2-in. is to be furnished in 20-foot lengths, 40-foot coils or 60-foot coils as specified, and 2-in. is to be furnished in 20-foot lengths or 40-foot coils as specified.

2.5.2. Brass Fittings.

2.5.2.1. General requirements

Unless otherwise modified herein, water works brass goods consisting of corporation stops, curb stops, couplings, connectors, nipples, etc., will be required in underground installations of service lines in the water distribution system.

The brass composition is to conform to ASTM Designation B-62 and the threads are to conform to AWWA Standard C-800-01 for "Threads for Underground Service Line Fittings".

All casting is to have a natural, clean uniform and smooth surface, and be free from internal porosity.

All machining is to be done in a workmanlike manner and within the acceptable tolerances.

Unless otherwise specified each fitting is to be furnished with a 1/16-in. thick fiber gasket.

#### 2.5.2.2. Design Criteria for Curb Stop/Angle Valves Ball Type

All Curb Stop, Corporation and Angle valves shall be ball valves. "Inverted/Ground Key," type angle valves will not be accepted.

Ball angle valves will not have a stop.

Laying dimensions the same as present inverted key style or equal to Mueller H-14258.

Reduced port design will be acceptable provided there is no compromise on flow capacity compared to the "Inverted/ Ground Key" type angle valve.

Pack joints will not be acceptable.

#### APPROVED MANUFACTURER LIST

##### In Line FIP X FIP

<b>Table 8</b>	
<b>Approved Manufacturers and Models: 2-INCH FIP X FIP</b>	
<b>Manufacturer</b>	<b>Model</b>
Ford Meter Box	B11777WR
A.Y. McDonald	6111W
Mueller	B-20200-3
James Jones	J1900

<b>Table 9</b>				
<b>Angle Curb Stop Meter Coupling x Compression Approved Manufacturers and Models</b>				
<b>Manufacturer</b>	<b>Size</b>			
	<b>3/4"</b>	<b>1"</b>	<b>1.5"</b>	<b>2"</b>
A.Y. McDonald	4652BQ	4652BQ		4612BQ
Ford Meter Box	BA43-232WRQ	BA43-344WRQ	BFA43-666WRQ	BFA43777WRQ
Mueller	B24258-R3	B24258-3	B24276-3	B24276-3
James Jones	J1963WSG	J1963WSG	J1975WSGLS	J1975WSGLS
Hays	2520CGJ-R			

Table 10	
F.I.P. X METER SWIVEL NUT/COUPLING	
Approved Manufacturers and Models:	
Size	
Manufacturer	$\frac{3}{4}$ "
A.Y. McDonald	4654B
Ford Meter Box	BA13232WR
Mueller	B24265-R3
James Jones	J-1966WLS
Hays	2521-R

Table 11		
Ball Corporation Valve		
Approved Manufacturers and Models:		
Manufacturer	CC X CMP	IP X CMP
A.Y. McDonald	4701BQ	4704 BQ
Mueller	B-25008	B-25028
James Jones	1937 SG	1935 SG
Ford Meter Box	FB-1000Q	FB-1100Q

## 2.6. Gate Valves, Tapping Valves and Tapping Sleeves.

### 2.6.1. Gate Valves.

#### 2.6.1.1. General Requirements

2.6.1.1.1. Except as otherwise modified or supplemented herein, AWWA Standard C-509-01 or the latest revision thereof, shall govern the design, component materials, construction; manufacture and testing of all resilient seated gate valves. Valves shall be suitable for frequent operation as well as service involving long periods of inactivity. Valves shall be NSF-61 certified.

2.6.1.1.2. Approved manufacturers are shown in Table 12, provided such resilient seat gate valves conform to the provisions contained herein.

Table 12	
APPROVED MANUFACTURER	PRODUCTS LIST
Sizes Three through Twelve Inch	
Manufacturer	Model
American Flow Control	Series 500
Clow Valve Company	2640
Kennedy Valve	Ken-Seal II
M&H Valve Company	4067
Mueller Company	2360 Series Gate Valve
United States Pipe & Foundry Company	Metroseal 250
Sizes Sixteen through Twenty-Four Inch	
Manufacturer	Model
United States Pipe & Foundry Company	Metroseal 250
Mueller Company	2361 Series Gate Valve
Clow Valve Company	2640
American Cast Iron Pipe Co.	2500

- 2.6.1.1.3. The minimum design working water pressure for gate valves with nominal diameters of 3 in., 4 in., 6 in., 8 in., 10 in., and 12 in. shall be 200 psig unless otherwise specified.
- 2.6.1.1.4. The minimum design working water pressure for gate valves with nominal diameters of 16 in., and 20 in. shall be 150 psig unless otherwise specified.
- 2.6.1.1.5. Valves shall be resilient-seated types, bronze mounted with non-rising stems. The closure member shall be fully encapsulated by an elastomer without thin spots or voids. When open the valve shall have a clear, full-port, unobstructed waterway.
- 2.6.1.1.6. Gray iron, ductile iron, steel, brass and bronze materials shall meet or exceed the material requirements of Section 2: Materials of AWWA C-509-01.
- 2.6.1.1.7. Gaskets, O-rings, Coatings, and elastomers shall meet or exceed the material requirements of Section 2: Materials of AWWA C-509-01.
- 2.6.1.1.8. The gate valves shall be designed and constructed for installation in either a horizontal or vertical position. Valves shall be designed for buried installation with stem in the vertical position and shall be furnished for mounting in a horizontal pipeline, unless otherwise specified.
- 2.6.1.1.9. Valve components of brass or bronze shall be manufactured to ASTM recognized alloy specifications of low zinc content bronze, as shown in Table 1 of Section 2.2.4. of ANSI/AWWA Standard C-509-01 or the latest revision thereof. Materials for the stem have minimum yield strength of 40,000 psi. A minimum elongation in 2 inches of 12% and shall be made of bronze per ASTM B763, alloy number UNS C99500. A maximum zinc content of 2% as shown in Table 2 Chemical Requirements of ASTM B763-96 or the latest revision thereof. Stem nut material shall be ASTM B-62 UNS C83600 or ASTM B-584 UNS C84400. The stem shall have a visible external marking at the top to indicate low-zinc, high strength material. The marking shall include a red plastic or neoprene washer placed around the top of the stem under the operating nut.
- 2.6.1.1.10. Valve ends shall be either flanged, tapping valve, mechanical joint, push-on joint or any combination thereof, as specified. All mechanical joint valves shall be supplied with glands, bolts, and gaskets. Valve body bolts and nuts shall meet the strength requirements of ASTM A-307 with dimensions conforming to ANSI B18.2.1. The size of the bolt head shall be equal to the size of the nut and shall be stainless steel in accordance with ASTM 276.
- 2.6.1.1.11. All gate valves shall open left (counter-clockwise), unless otherwise specified.
- 2.6.1.1.12. The following parts of the valve shall be made of either gray or ductile iron: bonnet, body, yoke, wrench nut, O-ring packing plate or seal plate, and gland follower. The gate may be made of gray or ductile iron.
- 2.6.1.1.13. If glands and bushings are used for NRS valves they shall be made of ASTM B-763 bronze UNS C99500. The stem shall be made of cast, forged, or rolled ASTM B-763 bronze UNS C99500. The stem nut material shall be ASTM B-62 bronze UNS C83600 or ASTM B-584 bronze UNS C84400. The gate may be made of bronze ASTM B-763 bronze UNS C99500. Stem seals shall be "O" ring type. The seals shall be designed for dynamic applications.
- The design shall be such that the seal above the stem collar can be replaced with the valve under full pressure in the fully open position.
- Materials for the "O" ring packing plate shall be in accordance with Section 4.8.3 of the ANSI/AWWA C509-01 Standard or the latest revision thereof.
- 2.6.1.1.14. Enclosed and buried valves shall be coated inside and outside with a fusion bonded epoxy having a nominal 8 mils dry film thickness, which meets or exceeds AWWA C-550-01 and to the maximum extent possible shall be free of holidays. All coatings in contact with the potable water shall be approved for potable water immersion service per ANSI/NSF Standard 61.

- 2.6.1.1.15. The Contractor shall submit three sets of certified drawings showing the principal dimensions, general construction and material specification of the valve proposed. The number of turns to open (close) shall be clearly noted in the valve information. The number of turns to open or close the valve shall be consistent for each valve size for each approved manufacturer.
- 2.6.1.1.16. Valves furnished under this specification shall be supplied from the approved manufacturer list. To be included on the qualified product list, the manufacturer shall provide an Affidavit of Compliance in accordance with the Section 1.5 of the ANSI/AWWA C-509-01 Standard or latest revision thereof. Records of all tests performed in accordance with Section 6.1 and Section 6.2 of the ANSI/AWWA C-509-01 Standard or latest revision thereof will be made available or provided. These records will be representative test results for Section 6.1 and certificate of testing for Section 6.2. An affidavit of testing for the valve assembly as outlined in Section 6.2.2 of the ANSI/AWWA C-509-01 Standard, (350 ft.-lbs) will also be provided. A copy of the manufacturer's Quality Assurance Program will be submitted. Blueprints and parts list for the valve shall also be provided.
- 2.6.1.1.17. All gate valve parts shall be designed to withstand the following two pressure requirements, without being structurally damaged. (1) An internal test pressure of twice the rated design working pressure of the valve. (2) The full rated internal working pressure when the closure member is cycled once from a fully open to a fully closed position against the full rated unbalanced working water pressure. In addition to these pressure requirements, the valve assembly and mechanism shall be capable of withstanding an input torque as follows: 200 ft.-lbs. for a 3-in. nominal diameter. 200 ft.-lbs. for a 4-in. nominal diameter. 300 ft.-lbs. for a 6-in. nominal diameter. 300 ft.-lbs. for a 8-in. nominal diameter. 300 ft.-lbs. for a 10- in. nominal diameter. And 300 ft.-lbs. for a 12-in. nominal diameter. For sizes larger than a 12 in. nominal diameter, refer to the manufacturer's specifications.
- 2.6.1.1.18. Resilient seats shall be applied to the gate and shall seat against a corrosion resistant surface. The non-metallic seating surface shall be applied in a manner to withstand the action of line fluids and the operation of the sealing gate under long-term service. A metallic surface shall have a corrosion resistance equivalent to or better than bronze. A non-metallic surface shall be in compliance with ANSI/AWWA C-550. The gate must be fully encapsulated by an elastomer without thin spots or voids. Resilient seats shall be bonded. ASTM D-429 either method A or method B shall prove the method used for bonding or vulcanizing. For method A, the minimum strength shall not be less than 250 psi. For method B, the peel strength shall be 75 lb./in.
- 2.6.1.1.19. Flanged Ends: The end flanges of flanged valves shall conform to dimensions and drillings of ANSI/AWWA C-110/A21.10 or ANSI B-16.1, Class 125.
- 2.6.1.1.20. Mechanical Joint Ends: Mechanical joint bell dimensions shall conform to ANSI/AWWA C-111/A21.11.
- 2.6.1.1.21. Push-on Joints: Push-on joints shall conform to the requirements of ANSI/AWWA C-111/A21.11.
- 2.6.1.1.22. The tapping valves shall be mechanical joints with tapping flange on the other end. The tapping valves shall be furnished complete with glands, bolts, and gaskets. The tapping valve shall have a clear unobstructed waterway.
- 2.6.1.1.23. The seat rings shall be of a large diameter to the permit entry of the full diameter tapping machine cutters. The valve end which mates with the tapping sleeve shall have an alignment lip to fit the recess in the tapping sleeve flange for proper alignment. The lip will be dimensioned in accordance with MSS SP-60 for valves 20-inch nominal pipe size and smaller.
- 2.6.1.1.24. All interchangeable parts shall conform to their required dimensions and shall be free from defects that could prevent proper functioning of the valve. When assembled, valves manufactured in accordance with this standard shall be well fitted and operate smoothly. All like parts of valves of the same model and size produced by the same manufacturer shall be interchangeable.
- 2.6.1.1.25. All castings shall be clean and sound, without defects that will weaken their structure or impair their service. Plugging, welding, or repairing of cosmetic defects is allowed. Repairing of structural defects is not allowed.

Repaired valves shall comply with the testing requirements of this specification after repairs have been made. Repairs within the bolt circle of any flange face are not allowed.

- 2.6.1.1.26. All gate valves shall be hydrostatically tested with twice the specified rated pressure applied to one side of the gate and zero pressure applied to the other side. The test is to be made in each direction across the gate. All tests are to be performed at the manufacturer's plant.
- 2.6.1.1.27. All gate valves shall be operated through a complete cycle in the position for which it was designed to ensure free and proper functioning of all parts in the intended manner. Any defects in workmanship shall be corrected and the test repeated until satisfactory performance is demonstrated. All tests are to be performed at the manufacturer's plant.
- 2.6.1.1.28. A hydrostatic test pressure equal to twice the rated working pressure of the valve shall be applied to all assembled valves with the gates in the open position. The test shall show no leakage through the metal, pressure containing joints, or stem seals. All tests are to be performed at the manufacturer's plant.
- 2.6.1.1.29. A test shall be made from each direction at rated working pressure to prove the sealing ability of each valve from both directions of flow. The test shall show no leakage through the metal, pressure containing joints, or past the seat. All tests are to be performed at the manufacturer's plant.
- 2.6.1.1.30. Markings shall be cast on the bonnet or body of each valve and shall show the manufacturer's name or mark, the year the valve casting was made, the size of the valve, and the designation of working water pressure, for example "200 W".
- 2.6.1.1.31. The Engineer may, at no cost to the Contractor, subject random valves to testing by an independent laboratory for compliance with these standards. Any visible defect or failure to meet the quality standards herein will be grounds for rejecting the entire order.
- 2.6.1.1.32. Table 12 identifies specified manufacturers that are approved.
- 2.6.1.2. Workmanship
- 2.6.1.2.1. All parts of the resilient seat gate valve shall be designed and manufactured to the tolerances specified in ANSI/AWWA C-509-01 or latest revision thereof and this specification.
- 2.6.1.2.2. All parts of the resilient seat gate valve manufactured by a given manufacturer shall be interchangeable with like parts from another resilient seat gate valve of the same model and size and by the same manufacturer.
- 2.6.1.2.3. All interchangeable parts shall conform to their required dimensions and shall be free from defects that could prevent proper functioning of the valve.
- 2.6.1.2.4. All castings shall be clean and sound, without defects that will weaken their structure or impair their service. Plugging, welding, or repairing of cosmetic defects is allowed. Repairing of structural defects is not allowed. Repaired valves shall comply with the testing requirements of this specification after repairs have been made. Repairs within the bolt circle of any flange face are not allowed.
- 2.6.1.2.5. The resilient seat gate valves shall be well fitted.
- 2.6.1.2.6. Operation of the resilient seat gate valve shall be smooth.
- 2.6.1.2.7. All parts shall be free of structural defects.
- 2.6.1.2.8. The resilient seat gate valve shall be watertight.
- 2.6.1.3. Painting



- 2.6.1.3.1. All exterior and interior surfaces of the valve shall be coated with epoxy, N.S.F. 61 certified. The epoxy shall have a nominal dry film thickness of 8 mils, and shall be in accordance with AWWA C-550, latest revision.
- 2.6.1.3.2. Coating shall be as close to holiday free as is technologically possible.
- 2.6.1.4. Testing
  - 2.6.1.4.1. Hydrostatic Test: Hydrostatic Test shall be performed on the valve in accordance with Section 6.1 Proof of Design Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.2. Torque Test: Torque Test for prototype valves shall be performed on the valve in accordance with Section 6.1 Proof of Design Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.3. Leakage Test: Leakage Test shall be performed on the valve in accordance with Section 6.1 Proof of Design Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.4. Pressure Test: Pressure Test shall be performed on the valve in accordance with Section 6.1 Proof of Design Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.5. Operation Test: Operation Test shall be performed on the valve in accordance with Section 6.2 Production Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.6. Shell Test: Shell Test shall be performed on the valve in accordance with Section 6.2 Production Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.7. Seat Test: Seat Test shall be performed on the valve in accordance with Section 6.2 Production Testing of ANSI/AWWA C-509-01 or latest revision thereof.
  - 2.6.1.4.8. An Affidavit of Compliance certifying that all required tests have been performed shall be provided in accordance with Section 6.3 Affidavit of Compliance of ANSI/AWWA C-509-01.
  - 2.6.1.4.9. The Affidavit of Compliance, the results of ASTM testing procedures and requirements for materials, Manufacturer's Quality Assurance Program, and the records of all tests performed on the valve shall be kept and provided by the supplier/manufacturer in a single hard cover bound notebook with the bid or with the shipping documents and shall be approved by the Engineer.
- 2.6.1.5. Quality Assurance
  - 2.6.1.6. Manufacturers shall have an ASME or I.S.O. 9001 registered commercial quality system. Noncompliance to this registered commercial quality system requirement will result in removal of the manufacturer's product from the approved manufacturer's list shown in Table 12 of this specification. If on receipt of resilient seat gate valves they are found to be non-compliant the Contractor shall replace the defective resilient seat gate valves according to resilient seat gate valve size with a resilient seat gate valve that meets these specifications at no cost to the Department.
- 2.6.1.7. References
  - 2.6.1.7.1. American National Standards Institute and American Water Works Association Standard C-509-01 (ANSI/AWWA C-509-01).
  - 2.6.1.7.2. Manufacturers Standardization Society MSS SP-60.
- 2.6.2. Tapping Valves and Tapping Sleeves.
  - 2.6.2.1. Tapping Sleeves

Band shall conform to the minimum OD size ranges and lengths specified in this specification. The flange shall be manufactured in compliance with AWWA C-223.07, Class D ANSI B.16.1 drilling, recessed for tapping valves MSS\_SP60. Mechanical Joint tapping sleeve outlet shall meet or exceed all material specifications as listed below and be suitable for use with standard mechanical joint resilient wedge gate valves per AWWA C-509-94.

2.6.2.1.1. General Requirements

2.6.2.1.1.1. Tapping sleeves 4 inch – 12 inch:

Entire fitting to be stainless steel type 304 (18-8). The body, lug and gasket armor plate to be in compliance with ASTM A-240. The flange shall be cast stainless steel in compliance with ASTM A-743. The MJ outlet shall be one-piece casting made of stainless steel.

The test plug shall be  $\frac{3}{4}$ " NTP in compliance with ANSI B2.1 and shall be lubricated or coated to prevent galling. All metal surfaces shall be passivated after fabrication in compliance with ASTM A-380.

The gasket is to provide a 360-sealing surface of such size and shape to provide an adequate compressive force against the pipe after assembly, to affect a positive seal under combinations of joint and gasket tolerances. The materials used shall be vulcanized natural or synthetic rubber with antioxidant ingredients to resist set after installation. No reclaimed rubber shall be used. A heavy-gauge-type 304-stainless armor plate shall be vulcanized into the gasket to span the lug area.

Lugs are to be heliarc welded (GMAW) to the shell. Lug shall have a pass-through-bolt design to avoid alignment problems and allow tightening from either side of the main. Bolts shall not be integrally welded to the sleeve. Finger Lug designs are not approved; it is the intent of these specifications to allow tapping sleeve that has a lug design similar to the approved models.

Bolts and nuts shall be type 304 (18-8) stainless steel and lubricated or Teflon coated to prevent galling or seizing. Bent or damaged unit will be rejected.

Quality control procedures shall be employed to insure that the shell, Lug, (4" and larger nominal pipe diameter) armor plate, gasket and related hardware are manufactured to be free of any visible defects. Each unit, after proper installation, shall have a working pressure rating up to 200 psi, and a test pressure of 250 psi.

The sleeve construction shall provide a positive means of preventing gasket cold flow and/or extrusion.

Each sleeve shall be stenciled, coded or marked in a satisfactory manner to identify the size range. The markings shall be permanent type, water resistant that will not smear or become illegible.

2.6.2.1.1.2. Tapping Sleeves 16 inch and large nominal pipe diameter:

The body shall be in compliance with ASTM A-285 Grade C or ASTM A-36. Test plug shall be  $\frac{3}{4}$ " NPT conforming to ANSI B2.1.

The gasket is to provide a watertight sealing surface of such size and shape to provide an adequate compressive force against the pipe. After assembly, the gasket will insure a positive seal under all combinations of joint and gasket tolerances. Gasket shall be formed from vulcanized natural or synthetic rubber with antioxidants ingredients to resist set after installation. No reclaimed rubber shall be used. Bolts and nuts shall be type high strength, corrosion resistant, low alloy per AWWA C-111, ANSA A21.11

Quality control procedures shall be employed to insure that the shell, gasket and related hardware are manufactured to be free of any visible defects. Each unit, after proper installation, shall have a working pressure rating up to 150 psi, and a test pressure of 200 psi.

Unless otherwise noted, unit shall be protected by fusion Epoxy 8-10 mil line and coat per AWWA C-213.

Units for concrete steel cylinder pipe shall be furnished with load bearing set screws on the gland flange to transfer loads on the outlet away from the steel cylinder and onto the sleeve. Epoxy-coated tapping sleeves do not require grout seal cavity. (AWWA Manual of Practice M-9)

Each sleeve shall be stenciled, coded or marked in a satisfactory manner to identify the size range. The markings shall be permanent type, water resistant that will not smear or become illegible.

2.6.2.1.2. Standard Ranges

Table 13			
Nominal Dia (in) x Min Length (in)	Flange Outlet (in)	Range	Min OD Range (in)**
4 x 16	4	A	4.75 – 4.95
		B	4.90 – 5.10
6 x 16	4	A	6.70 – 7.10
		B	7.00 – 7.40
		C	7.35 – 7.75
6 x 16	6	A	6.80 – 7.15
		B	7.05 – 7.40
		C	7.40 – 7.75
8 x 16	4 & 6	A	9.00 – 9.45
		B	9.35 – 9.70
		C	9.70 – 10.00
8 x 20	8	A	9.00 – 9.35
		B	9.35 – 9.70
		C	9.70 – 10.00
10 x 16	4 & 6	A	11.03 – 11.47
10 x 20	8	B	11.60 – 12.00
10 x 24	10		
12 x 16	4 & 6	A	13.00 – 13.40
12 x 20	8	B	13.40 – 13.80
12 x 24	10	C	14.10 – 14.50
12 x 32	12		
16 x 12	4 & 6		17.33 – 17.87
16 x 16	8		18.62 – 19.19
16 x 20	10		
16 x 24	12		
16 x 36	16*		
20 x 12	4 & 6	A	21.51 – 22.15
20 x 16	8	B	23.46 – 24.16
20 x 20	10		
20 x 24	12		
20 x 36	16*		
20 x 40	20*		
24 x 12	4 & 6	A	25.71 – 26.41
24 x 16	8	B	28.14 – 28.84
24 x 20	10		
24 x 24	12		
24 x 36	16*		
24 x 40	20*		
24 x 48	24*		
30 x 12	4 & 6	A	29.78 – 30.48
30 x 16	8	B	31.52 – 32.22
30 x 20	10		
30 x 24	12		
30 x 36	16*		
30 x 40	20*		
30 x 48	24 x 30*		

\*Range to be specified when ordered

\*\*Ranges may be broadened by not narrowed. For concrete steel cylinder pipe the OD of the pipe and cylinder shall be supplied with the order.

For pipe larger than 30 inches nominal diameter, tapping sleeves shall be custom fabricated to fit non standard ranges, in conformance with the intent of these specifications.

The Engineer may, at no cost to the Contractor, subject random units to testing by an independent laboratory for compliance with these standards. Any visible defect of failure to meet the quality standards herein will be ground for rejecting the entire order.

Table 14 lists identified specific manufactured items by catalog number that are approved:

<b>Table 14</b>		
<b>Manufacturer</b>	<b>Model</b>	<b>Size Range (in)</b>
JCM Industries	#432	4 - 12
	#412	16 and larger
Power Seal	#3490AS or 3490MJSS	4 - 12
	3490MJSS	16 and larger
Romac Industries	SST III	4 - 12
	SST III	16 and larger
Ford Meter Box	FTSS	4 - 12
	FTS	16 and larger
Dresser	Style 610/630	4 - 12
	Style 610/630	16 and larger
Cascade	CST-1	4 - 12
Smith Blair	#622	16 and larger

## 2.7. **Butterfly Valves (150 or 250 PSI Class).**

This covers Class 150 PSI, 3 inches through 72 inches, and Class 250 PSI, 4 inches through 54 inches, rubber-seated butterfly valves. All products furnished shall be in conformance with the American National Standards Institute and American Water Works Association C504 (ANSI/AWWA C504) or latest revision thereof; however, the body construction of the valve shall exceed the ANSI/AWWA C504 by the values specified herein. All coatings in contact with potable water shall be certified to N.S.F. 61. A proof of design certification shall be provided upon request.

### 2.7.1. Definitions

All definitions are defined according to ANSI/AWWA C504.

- 2.7.1.1. Actuator: A device attached to the valve for the purpose of rotating the valve disc to an open, closed, or intermediate position; preventing disc over-travel; and maintaining the disc in any position.
- 2.7.1.2. Butterfly Valve: A valve that uses a disc rotatable through an angle of approximately 90 degrees as a closure member. The valve is closed when the disc is perpendicular to the flow way, open when parallel to the flow way, or used for throttling when positioned between open and closed.
- 2.7.1.3. Disc: The closure member that is positioned in the flow stream to permit flow or to obstruct flow (depending on closure position) and that rotates through an angle of 90 degrees from full open to full shutoff.
- 2.7.1.4. Rubber Seat: A rubber ring around the inside of the valve body to affect a seal against the metal seating surface when the disc is closed. Or resilient seats shall be located on the valve disc and shall provide a 360 degrees continuous, uninterrupted seating surface. Seats shall be mechanically retained with a stainless steel retaining ring and stainless steel Nylok cap screws, or an approved equal, which shall pass through both the resilient seat and the retaining ring.

The resilient seat's mating surface shall be to a 360 degrees continuous, uninterrupted stainless steel body seat ring. The retaining ring shall be continuous or investment cast with overlapping sections serrated grooves, and shoulders. Resilient seats shall be field adjustable and replaceable and shall not require hypodermic needles or pressure vessels to replace or adjust.

2.7.2. General Requirements

2.7.2.1. Except as otherwise modified or supplemented herein, AWWA Standard C504 or the latest revision thereof, shall govern the design, component material construction, manufacture and testing of all butterfly valves.

2.7.2.2. Valves shall be Class 150 PSI or Class 250 PSI of the short-body type with a bi-directional shut-off rating, a hydrostatic body shell test and a maximum upstream line velocity rating according to the table listed below unless specified otherwise.

Valve Class	Bi-directional Shut-off Rating	Hydrostatic Body Shell Test	Diameter	Velocity
150 PSI	150 psig	300 psig	3 inch through 20 inch	16 feet per second
150 PSI	150 psig	300 psig	24 inch through 72 inch	8 feet per second
250 PSI	250 psig	500 psig	4 inch through 20 inch	16 feet per second
250 PSI	250 psig	500 psig	24 inch through 54 inch	8 feet per second

2.7.2.3. Valve shall be in the same alignment as a horizontal pipe and shall be for buried service, unless otherwise specified. Valve shall be configured with a horizontal valve shaft and a vertical actuator shaft with standard 2" AWWA operating nut. The actuator shall be side mounted.

2.7.2.4. Valve body shall be of cast iron conforming to ASTM Specification A-26, Class B. Class 250 will also allow the use of Ductile Iron conforming to ASTM A536, grade 65-45-12.

2.7.2.5. Class 150 valve body ends shall be flat-faced flanged in accordance with ANSI B16.1, Class 125. All valves shall conform to AWWA C504, Table 2 of Section 3.1 Valve Bodies, laying lengths for flanged valves and minimum body shell thickness for all body types.

2.7.2.6. Class 250 valve body ends shall be flat-faced flanged in accordance with ANSI B16.1, Class 250. All cast iron valves shall exceed minimum body shell thickness AWWA C504 Class 150B, Table 2 of Section 3.1 Valve Bodies,

2.7.2.6.1. Laying lengths for flanged and wafer valves and minimum body shell thickness for all body types by the following: Sizes 4" through 10" - 15% or greater, Sizes 12" through 24" - 20% or greater, and Sizes 30" through 54" - 50% or greater. Ductile iron valve body thicknesses shall conform to the table below. Ductile iron and cast iron laying lengths shall be as specified in the table below unless otherwise specified.

Ductile Iron Valve Diameter Inch	Ductile Iron Thickness Inch Laying	Ductile Iron Lengths Inch
3	.27	5
4	.40	5
6	.43	5
8	.46	6
10	.54	8
12	.58	8
14	.63	8
16	.68	8
18	.79	8
20	.83	8
24	.93	8
30	1.10	12
36	1.22	12
42	1.35	12
48	1.48	15
54	1.63	15
60	1.89	15
66	2.00	18
72	2.375	18

Valve Diameter Cast Iron	Thickness Cast Iron	Laying Length Cast Iron Inch
6 Inch	Per specification	6
8 Inch through 12 inch	Per specification	8
14 Inch through 30 inch	Per specification	12
36 Inch through 54 Inch	Per specification	15

- 2.7.2.7. Valve shall be of such design that the disc will seat at 90 degrees with the pipe axis.
- 2.7.2.8. Valve shall be of such design that the disc will not flutter or vibrate when operated in a throttled position.
- 2.7.2.9. Valves disc shall be of Cast Iron A-48, class 40 Cast Iron A-126, class B or Ductile Iron ASTM A-536, grade 65-45-12 and shall be of disc design to provide 360 degree uninterrupted seating.
- 2.7.2.10. The valve seat shall be natural or synthetic rubber applied integrally to the body or disc. For valves 24 inches or larger, the rubber seat shall be capable of mechanical adjustment in the field and shall be field replaceable. Special tools required for seat adjustment shall be provided with the valve. Special tools required for seat replacement shall be furnished with the replacement seat. Mechanical adjustment or attachment of the seat and seat ring does not include welding. The mating seat surface shall be type 304 or type 316 stainless steel, ni-chrome or monel. Sprayed or plate mating seat surfaces are not acceptable.
- 2.7.2.11. Class 150 psi Valve shafts shall be type 304 stainless steel conforming to ASTM A-276, and Class 250 psi Valve shafts shall be type 630 stainless steel conforming to ASTM A-564 condition H-1100. Both shall have a diameter equal to or greater than that shown for Class 150B in Table 3 of AWWA C504. Shafts shall conform to the requirements of Section 3.3, Valves Shaft of AWWA C504 for one-piece or stub shaft types. Connection between the shaft and disc shall be dowel, taper pins, or torque plugs, which are mechanically secured.
- 2.7.2.12. The valve assembly shall be furnished with a factory-set, non-adjustable disc shaft thrust bearing that insures the valve disc is centered within the valve body seat at all times.
- 2.7.2.13. Valve shaft bearings shall be permanent, self-lubricated, bearings, which provides continuous, low-friction maintenance-free operation. Shaft bearing shall be contained in integral hubs of the valve body.

- 2.7.2.14. Valve shaft seal shall consist of O-ring, V-type, or U-cup type packing where the shaft projects through the valve body for the actuator connection.
- 2.7.2.15. The valve shall be provided with a fully enclosed, permanently lubricated actuator of the traveling nut or worm gear design. The actuator shall be connected to the valve shaft by means of a key and keyway connection.
- 2.7.2.16. All actuators shall have adjustable, mechanical stop limits in accordance with AWWA C504 Section 3.8.2. All Class 150 psi and 4" through 54" Class 250 psi valve actuators shall be capable of withstanding 450 ft-lbs of input torque against the open or closed stops without damage.
- 2.7.2.17. Valves for below ground applications shall be provided with an AWWA wrench nut. The wrench nut shall have an arrow cast thereon, indicating the direction on of opening. The wrench nut shall be suitably fastened to the actuator input shaft. If the shaft is smooth, the wrench nut shall be fastened to the input shaft by means of a minimum 5/16" diameter steel pin passing entirely through the shaft and the wrench nut. Key with keyway will be acceptable. If the shaft is splined, the wrench nut shall be formed to fit the splined shaft. The actuator shall be designed to produce the specified torque with a maximum input of 150 ft-lbs applied to the wrench nut.
- 2.7.2.18. Valves for aboveground applications shall be provided with a handwheel. The handwheel shall have an arrow thereon, indicating the direction of the opening. The handwheel shall be suitably fastened to the actuator input shaft. Actuators equipped with handwheels shall be designed to produce the specified torque with a maximum pull of 80 pounds of the handwheel rim.
- 2.7.2.19. The requirement for either wrench nut or handwheel and the direction of opening will be specified on each purchase order.
- 2.7.2.20. Valves shall open on left turn (counter-clockwise).
- 2.7.2.21. The Contractor shall supply, with his valve material submittal, three sets of certified drawings showing the principal dimensions, general construction and material specification of the valve proposed. The number of turns to open (close) shall be clearly noted in the valve information submitted with the proposal documents.
- 2.7.2.22. The supplier/manufacturer shall provide Affidavit of Compliance with applicable sections of AWWA C504 to include the following: Results of ASTM testing procedures and requirements for materials will be provided to the Engineer upon request, Manufacturer's Quality Assurance Program, leak-tightness testing and proof of design testing of representative actuators in accordance with AWWA C504 Section 3.8.5.2 as modified herein (450 ft.-lbs.). Compliance assurance will be required in accordance with AWWA C504 Section 5.1.2, Affidavits. Results of performance tests, proof of design test, AWWA C504 Section 5.2.4, hydrostatic test, leakage test, and Affidavit of Compliance shall be provided with the material submittal or with the shipping documents and shall be approved by the Engineer.
- 2.7.2.23. Valves furnished under this specification shall be supplied by our approved manufacturer list.
- 2.7.3. Workmanship
- 2.7.3.1. All parts of the butterfly valve shall be designed and manufactured to the tolerances specified in ANSI/AWWA C509 or latest revision thereof and this specification.
- 2.7.3.2. All parts of the butterfly valve manufactured by a given manufacturer shall be interchangeable with like parts from another butterfly valve of the same model and size and by the same manufacturer.
- 2.7.4. Painting



- 2.7.4.1. All interior and exterior ferrous surfaces of the valve, including the disc, shall be coated with epoxy, N.S.F. 61 certified. The epoxy shall have a nominal thickness of 8 mils, and shall be in accordance with AWWA C550, latest revision.
- 2.7.4.2. Coating shall be as close to holiday free as is technologically possible.
- 2.7.5. Testing and Inspection
- 2.7.5.1. Performance Tests: Performance tests shall be performed on each valve in accordance with Section 5.2.1 Testing of ANSI/AWWA C504 or latest revision thereof.
- 2.7.5.2. Leakage Tests: Leakage tests shall be performed on each valve in accordance with Section 5.2.2 Testing of ANSI/AWWA C504 or latest revision thereof and at an operating pressure of 250 psig.
- 2.7.5.3. Hydrostatic Tests: Hydrostatic tests shall be performed on each valve in accordance with Section 5.2.3 Testing of ANSI/AWWA C504 or latest revision thereof, and at a maximum operating pressure of 500 psig for Class 250 valves.
- 2.7.5.4. Proof-of-Design Tests: Proof-of-Design tests shall be performed on each valve in accordance with Section 5.2.4 Testing of ANSI/AWWA C504 or latest revision thereof.
- 2.7.5.5. An Affidavit of Compliance certifying that all required tests have been performed shall be provided.
- 2.7.5.6. The Affidavit of Compliance and the records of all tests performed on the valves shall be kept and provided in a single hard cover bound notebook.
- 2.7.6. Quality Assurance
- 2.7.6.1. Manufacturers shall have an ASME or I.S.O. 9001 registered commercial quality system. If on receipt of butterfly valves they are found to be non-compliant the Contractor shall replace the defective butterfly valves according to butterfly valve size with a butterfly valve that meets the Water System Utility Owner's specifications. The defective butterfly valves will be returned to the manufacturer, freight collect, and the manufacturer shall replace the butterfly valve, freight prepaid.
- If Water System Utility Owner audits, product inspection and performance data review, in accordance with these specifications, determine excessive butterfly valve non-compliance, the manufacturer will be subject to removal from the approved manufacturer's list. If the butterfly valve becomes defective during the manufacturer's specified warranty period, a Water System Utility Owner quality assurance and manufacturer review will ensue. If the review determines manufacturing non-conformance the Contractor shall replace the butterfly valve according to size with a butterfly valve that meets these specifications at no cost to the Department or Owner.
- 2.7.7. References
- 2.7.7.1. American National Standards Institute and American Water Works Association Standard C504 (ANSI/AWWA C504).

## APPROVED MANUFACTURER and PRODUCTS LIST

Manufacturer	Product	
	Class 250 psi	Class 150 psi
Mueller	Linseal XP	Linseal III
Henry Pratt (above ground)	HP-250	2F II (3" thru 20") and XR-70 (24" thru 72")
Henry Pratt (below ground)	HP-250	Ground hog (3" thru 72")
DeZurik	BAW	BAW
CMB Industries, Inc.	K-Flo Model 504 and K-Flo Model 47	K-Flo Model 504 and K-Flo Model 47
Val-Matic	Series 2000	Series 2000

2.8.

**Valve Boxes.**

All valve box assemblies are to conform to the details shown on the plans. Each valve box assembly is to be of cast-iron and is to consist of a base, top section, and lid.

Valve boxes are to be of a single size with a nominal diameter of 6-inches.

The valve box lid is to be labeled "water" and is to be so designed so that it will remain firmly seated in place when subjected to vehicular traffic.

The valve box assembly is to be of sufficient toughness and strength to withstand impact loads and shock resulting from vehicular traffic.

The valve box assembly is to be coated with a standard bituminous coating of either coal tar or asphalt base applied to all inside and outside surfaces.

2.9.

**Meter Boxes.**

For non-traffic bearing locations, the meter box assembly for 5/8-in. through 1-in. meters box and lid is to be black and constructed out of modified polyethylene material for maximum durability and corrosion resistance. The black material is for maximum UV protection and shall be uniform throughout meter box and lid for maximum longevity and not have a foaming agent that creates air pockets within the plastic wall. The body and lid shall withstand a 20,500 lb loading in a non-deliberate and incidental traffic. Plastic Lid is to have the following:

- "Water Meter" and "{Utility Owners Name}" molded into the lid,
- seat securely and evenly inside the meter box and shall not overlap the top edge of the meter box,
- "Overlap" and securely and evenly on the existing cast iron meter box with like dimensions,
- a diamond pattern for skid resistance and an AMR Slide Mount molded into the lid on the underneath side and off center for placement for an AMR transponder, if specified in the plans, to help in the protection of the radio antenna,
- a brass worm gear lock that will secure the existing cast iron meter box of like dimensions and secure the plastic meter box,
- a molded receptacle for placement of key, and
- one (1) piece of 1/2" rebar secured in lid.

Plastic body is to have the following:

- a crush resistant ribbing along the outside of box,
- a flange around the top opening to help prevent setting and aide in adjustment to grade, and
- designed to accommodate all plastic lids.

For traffic bearing locations, the meter box assembly for 5/8-in. through 2-in. meters is to consist of cast-iron rectangular boxes box and a steel checkered plate rectangular with raised lug pattern as shown on the plans.

The castings are to be dipped in coal tar at a temperature of 350°F and the metal is to be at a temperature of 300°F prior to dipping. The casting is to be dipped and cured independently and the coating is to have ceased to be “tacky” within 72 hours after dipping.

The steel checkered plate rectangular cover is to be hot dip galvanized after fabrication.

The meter box is to have an ultimate tensile strength of 25,000 psi and is not to be brittle.

The casting is to have an “as cast” clean smooth surface and be free from internal porosity; castings that are made smooth by grinding are unacceptable.

Quality Assurance: If on receipt of meter box(es) or lid(s) they are found to be non-compliant, the Contractor is to replace defective product at no cost to the Department and/or the Water System Utility Owner. Any visible defect of failure to meet specification will be grounds for rejecting entire order.

Approved Plastic Meter Box and Lid Manufacturer (or equal):

DFW Plastics Inc. Model Numbers:

- D-1218-RWSBSM-Complete box
- D-1218-RWSBSM-lid
- D-1218 – body

## 2.10. **Fire Hydrants.**

### 2.10.1. General Requirements

- 2.10.1.1. The Engineer reserves the right to limit the purchase of fire hydrants from manufacturers and to the models specified, as shown on Table 15, provided such fire hydrants conform to the provision contained herein.
- 2.10.1.2. Each hydrant shall be designed for a minimum working pressure of 200 psig.
- 2.10.1.3. All parts of the hydrant shall be designed to withstand, without being functionally impaired or structurally damaged, a hydrostatic test of not less than 400 psig or twice the rated working pressure, whichever is greater, with the hydrant completely assembled and pressurized as follows:
- 2.10.1.3.1. With the nozzle caps in place, the main valve open, the hydrant inlet capped, and the test pressure applied to the interior of the hydrant.
- 2.10.1.3.2. With the main valve closed, the hydrant inlet capped, and the test pressure applied at the hydrant inlet.
- 2.10.1.3.3. The design safety factor of the operating mechanism shall not be less than 5 and shall be based on the foot-pounds of torque required for the closing and opening of the hydrant at a working pressure of 200 psig. Hydrants shall be functional and capable of being opened or closed without difficulty following an application of an operating torque of 200 lbf-ft at the operating nut in the opening direction with the hydrant fully opened and the closing direction with the hydrant fully closed. The torque requirements apply only to hydrants of 5-foot bury or less.
- 2.10.1.4. The length of bury shall be as specified.
- 2.10.1.5. The fire hydrant shall have 2 hose nozzles and 1 pumper nozzle.
- 2.10.1.6. The nominal inside diameter of the hose nozzle shall be 2 ½ inches.
- 2.10.1.7. The nominal inside diameter for the pumper nozzle shall be 4 inches.

- 2.10.1.8. The outlet-nozzle threads are to conform to the National Fire Protection Association (NFPA) 2003, Standard for Fire Hose Connections.
- 2.10.1.9. The nominal diameter of the main valve opening shall be 5 ¼ inches.
- 2.10.1.10. The hydrant shoe shall be provided with a 6 inches mechanical joint connection to fit the connecting pipe.
- 2.10.1.11. The fire hydrant shall open on left turn (counter-clockwise).
- 2.10.1.12. The color of the finish paint above the ground line shall be red.
- 2.10.1.13. The fire hydrant shall have a non-rising stem.
- 2.10.1.14. No more than one 6" stem extension shall be provided if required to make the base of the fire hydrant grade level.
- 2.10.1.15. The bonnet section shall be designed so all bearing surfaces and stem threads are sealed in a lubricant reservoir. If oil is used as a lubricant, the reservoir shall be designed to allow for easy filling through a fitting or plug. Where grease is used as a lubricant, the reservoir will be sealed. The reservoir will be adequately sealed with "O" rings or other suitable sealing system approved by the Engineer.
- 2.10.1.16. The fire hydrant shall have a safety flange or breakaway flange at the ground line as stipulated in Section 3.1 General Design of ANSI/AWWA C-502-05 or latest revision thereof.
- 2.10.1.17. Fire hydrant nozzle cap chains shall be required and shall be attached permanently to the fire hydrant as stipulated in Section 3.2 Detailed Design of ANSI/AWWA C-502-05 or latest revision thereof.
- 2.10.1.18. Parts that require lubrication and come into contact with water shall be lubricated with a non-toxic food grade lubricant that does not pose a health hazard to the public if consumed.
- 2.10.2. Workmanship
  - 2.10.2.1. All foundry and machine work shall be performed in accordance with good standard practice for the class of work involved and in conformance with accepted drawings, if required. When assembled, hydrants manufactured in accordance with this specification shall be well fitted and shall operate smoothly. The body and shaft shall be watertight.
  - 2.10.2.2. All parts shall conform to the required dimensions and shall be free from defects that could prevent proper functioning of the hydrant.
  - 2.10.2.3. All castings shall be clean and sound without defects that will weaken their structure or impair their service.
- 2.10.3. Paint
  - 2.10.3.1. The exterior surface of the hydrant shall be coated with a coating that shall meet or exceed the requirements of Federal Specification TT-C-494b. A second coat of water based or oil based enamel paint aluminum in color will then be applied from the top of the hydrant to a point 18 to 20 inches below the center line of the pumper nozzle or down to the traffic safety flange connection at the ground line.
  - 2.10.3.2. All interior surfaces, machined surfaces, such as the threaded portion of the stem or stem nut, which must fit closely with the adjacent parts, shall be coated with a coating that shall meet or exceed Federal Specification TT-C-494b. Stem surfaces contained within a lubricant reservoir and not in contact with potable water may be free of coating.
  - 2.10.3.3. The interior and exterior of the hydrant shoe shall be coated with a fusion-bonded epoxy having a nominal dry film thickness of 8 mils, conforming to ANSI/AWWA C-550-05, and certified to NSF 61.

- 2.10.3.4. Coating shall be as close to holiday free as is technologically possible.
- 2.10.4. Testing and Inspection
- 2.10.4.1. Each assembled hydrant shall be subjected to two shop tests under a hydrostatic pressure of 400 psig or twice the rated working pressure, whichever is greater. One test shall be made with the entire interior of the hydrant under pressure and another test made with the main valve closed and the base under pressure from the inlet side. Under the test procedure, there shall be no leakage through the main valve or seals or through the castings or the joints of the assembled hydrant. Under the test conditions, the leakage through the drain valves shall not exceed 5 fl oz/min. Other leakage or other imperfections found in either test shall be corrected or the hydrant retested. The tests shall be conducted for a sufficient time to allow a check of all points of possible leakage and for a minimum of 30 seconds after all air has been exhausted.
- 2.10.4.2. Each assembled hydrant shall be operated through a full open-close cycle when not under pressure. The torque required for performing this operation shall not exceed 200 lbf-ft.
- 2.10.4.3. All fire hydrant tests and inspections shall conform to ANSI/AWWA C-502 Section 5.1 Production Testing, ANSI/AWWA C-502 Section 5.2 Prototype Testing, and ANSI/AWWA C-502-05 Section 5.3 Inspection and Rejection.
- 2.10.4.4. The manufacturer shall provide an Affidavit of Compliance conforming to Section 1.7 Affidavit of Compliance of ANSI/AWWA C-502-05 or latest revision thereof.
- 2.10.5. Quality Assurance
- 2.10.5.1. Manufacturers shall have an ASME or I.S.O. 9001 registered commercial quality system. Noncompliance to this registered commercial quality system requirement will result in removal of the manufacturer's product from the approved manufacturer's list shown in Table 15 of this specification. Fire hydrants, found to be noncompliant, shall be replaced, by the Contractor, with a fire hydrant that meets this specification, according to the hydrant size, at no cost to the Department or Owner.

#### **APPROVED FIRE HYDRANT MAINTENANCE KITS**

The Water System Utility Owner will attempt to use fire hydrant maintenance kits in the approved hydrants. Table 16 of this specification provides the product model numbers.

- 2.10.6. References
- 2.10.6.1. American National Standards Institute and American Water Works Association Standard C-502-05 (ANSI/AWWA C-502-05).
- 2.10.6.2. American National Standards Institute and American Water Works Association Standard C-550-05 (ANSI/AWWA C-550-05).

**APPROVED MANUFACTURERS**

The manufacturers listed in Table 15 are approved.

Manufacturer	Model
American Darling	B84B 5-1/4" (w / metal weather cap)
Clow Valve Company	Medallion
Kennedy Valve Company	Guardian
M & H Valve Company	Reliant Model 929
Mueller Company	Super Centurion 250
United States Pipe and Foundry, Inc.	Metropolitan
Waterous	Pacer 100
American AVK Company	Model 2780

The fire hydrant maintenance kits listed are the reference product model numbers.

Manufacturer	Model
American Darling	B84B 5-1/4" (w / metal weather cap)
Clow Valve Company	Medallion
Kennedy Valve Company	Guardian
M & H Valve Company	Reliant Model 929
Mueller Company	Super Centurion 250
United States Pipe and Foundry, Inc.	Metropolitan
Waterous	Pacer 100
American AVK Company	Model 2780 Dry

2.11. **Polyethylene Wrapping Material.**

2.11.1. Polyethylene wrapping material is to be used to encapsulate all ductile and cast-iron pipe.

Polyethylene wrapping for ductile and cast iron water mains is to consist of a 4 mil tubular section of cross-laminated high-density polyethylene, which has a high dielectric and tensile strength, for use in insulating cast-iron and ductile-iron pipe from the electrolytic action encountered in highly active soils.

Polyethylene wrapping is to consist of opaque cross-laminated high-density polyethylene sheet continuously thermally bonded to form a tubular section. The tubes may be supplied in bulk length on rolls or in individual pre-cut lengths. See Table 17 for size and length chart, in accordance with AWWA C-105 (Table 1) for minimum requirements. When supplied in specific pipe lengths, the tubes are to contain a minimum of 4-ft. over the actual pipe length to allow for overlap.

The polyvinyl sheet of film for the tubular wrapping is to be of virgin resins meeting raw and physical properties of ASTM D-1248 and AWWA C-105, latest edition. The material is to be 4 mil cross-laminated high-density polyethylene of uniform film thickness and be free of imperfections such as pin holes, etc., after being thermally seamed into tubular form. The finished product will have a nominal thickness of 4 mils, with tolerances of minus ten percent.

The material is to have no volatile constituents, the loss of which may affect ductility. The material is also to have the following properties:

**Mechanical:** The polyethylene film is to have a tensile strength per latest ASTM D-882 test, of 6300 psi min. The film is to have an elongation of not less than 100% of the test strip per latest ASTM D-882 test. The film is to have an impact resistance 800 gram min per (ASTM D-1709 Method B). The film is to have a propagation tear resistance of 250 gf minimum in machine and transverse direction (ASTM D1922).

**Dielectric:** The film is to have a dielectric strength of 800 volts per mil thickness per ASTM D-149.

### Marking Requirements

The polyethylene film supplied shall be clearly marked, at a minimum of every 2-ft along its length, containing the following information.

- Manufacturer's name or trademark
- Year of manufacture
- ANSI/AWWA C-105/A21.5
- Minimum film thickness and material type.
- Applicable range of nominal pipe diameter size(s).
- Warning-Corrosion Protection-Repair any Damage.

The Engineer may at no cost to the Contractor, subject random testing by an independent laboratory for compliance with this Specification. Any visible defect of failure to meet the quality standards herein will be grounds for rejecting the entire order.

<b>Table 17</b>	
<b>4 MIL POLYETHYLENE WRAPPING MATERIALS</b>	
<b>SIZE &amp; LENGTH (All sizes lay flat size)</b>	
<b>Pipe Size</b>	<b>Product Size Width x Length</b>
4", 6" & 8"	20" x 200/500
8", 10" & 12"	27" x 200/500
16" & 18"	37" x 200/500
20"	41" x 200/500
24"	54" x 200/500
30"	67" x 140/500
36"	81" x 120/500
48"	95" x 100/500
54"	108" x 100/500

### **APPROVED MANUFACTURER AND PRODUCTS LIST**

<b>Table 18</b>	
<b>Manufacturer</b>	<b>Product</b>
Van Leer Flexibles Inc.	Valeron
Manufactured Plastics and Distribution Inc.	Cross Tuff 450 Black

2.12.

### **Mechanical Couplings.**

Mechanical coupling of Dresser or similar type is to be used to connect plain ends of concrete steel cylinder pipe and plain ends of steel and ductile-iron pipe and to connect new and existing ductile iron water main in conjunction with casing installation in accordance with the details shown on the plans.

The mechanical coupling is to consist of a cylindrical steel middle ring, two (2) steel follower rings, two (2) rubber compound gaskets and a set of steel bolts. The middle ring is to be flared at each end to receive the wedge-shaped gasket which is compressed between the middle ring flare and the outer surface of the pipe by pressure exerted on the follower rings through the bolt circle.

The flexible and transition couplings are to be manufactured to fit the type size and class of pipe specified. Bolts are to be high strength low alloy steel meeting the requirements of AWWA Standard C-111.

2.13. **Air Release Assemblies.**

2.13.1. Valve body and cover is to be cast iron fabricated in accordance with ASTM A-48-35 or ASTM A-126 Class B. Non-metallic Valve Body shall be fabricated from fiberglass reinforced nylon. Inlet sizes through 2-in. are to be screwed (National Pipe Taper Thread, NPT). Pipe sizes above 3-in. and above are to have flanged inlets (125 pounds ANSI B16.1). A protective hood or cowl is to be installed on the outlet of flange-bodied valves.

Internal seat trim float arm and pivot pin is to be stainless steel Type 303 or 304 or 316. Floats are to be stainless steel ASTM A-240. Other internal parts are to be stainless steel ASTM A-240 or ASTM A-276.

Non-metallic floats shall be foamed polyethylene with stainless steel type 316 fasteners.

Internal seat or orifice button is to be of Buna-N rubber compounded for water service. Cover gasket is to be composition-type, equal to Armstrong CS-231, Garlock 3000, or Lexide NK-511. Cover bolts are to be alloy steel. Rolling seals shall be furnished for non-metallic valves 2" and below.

Valve body is to have a test pressure rating of 300 psi and working pressure rating of 150 psi.

The air release valve is to be designed to vent accumulated air automatically. The outlet orifice is to be properly sized to facilitate valve operation at pressures up to 150 psi. The air release valve is to be either simple lever or compound lever, depending upon venting volume requirements.

The air and vacuum valve is to be designed with the inlet and outlet of equal cross-sectional area. The valve is to be capable of automatically allowing large quantities of air to be exhausted during the filling cycle and also capable of automatically allowing air to re-enter the system to prevent a negative pressure during the draining cycle. The float is to be guided to minimize premature closure by air and to provide proper alignment for normal closure by floating on the water surface.

Combination valves are to provide for both automatic air release under system pressure and to allow air movement during filling or draining operations. The combination valve may be housed in a single casting. The housing is to be designed to incorporate conventional or kinetic flow principles to properly vent the air without premature closure. Flanged sizes (4-in. and larger) may be furnished in a dual housing. When dual castings are used, a bronze manual isolation valve is to be installed. This will allow the air release valve to be serviced when the system is under pressure.

The Engineer may at no cost to the Contractor, subject random valves to testing by an independent laboratory for compliance with these standards. Any visible defect or failures to meet the quality standard herein will be grounds for rejecting the entire order.

The following qualified products list identifies specific manufactured items by catalog number that are approved.

Approved Manufacturers and Models:

2.13.2. Air Release Valves (Inlet x Orifice)

<u>Manufacture</u>	<u>1" NPT x 3/16"</u>	<u>2" NPT x 3/16"</u>
Apco Valve Company	200A	200A
G.A. Industries, Inc. (Empire)	920	920
Multiplex Mfg. Co. (Crispin)	P1-10	PL-10A
Val-Matic Mfg. Co.	38	38
PowerSeal Corporation	5401-D	5401-E
ARI Flow Control	S-050 1T	D-040 2T



## 2.13.3. Air &amp; Vacuum Valves (Inlet x Orifice)

<u>Manufacture</u>	<u>2" NPT x 3/16"</u>	<u>4" flg. with cowl</u>
Apco Valve Company	144	152
G.A. Industries, Inc. (Empire)	930	930-C
Multiplex Mfg. Co. (Crispin)	AL20	AL41
Val-Matic Mfg. Co.	102	104
PowerSeal Corporation	5402-B	5402-D
ARI Flow Control	SD-040 2T	K060 C-HF

## 2.13.4. Combination Air Valves (Inlet x Orifice)

<u>Manufacture</u>	<u>1"NPT</u>	<u>2"NPT</u>	<u>4"flg.</u>
	<u>X 5/64"</u>	<u>x 3/32"</u>	<u>x 3/32 w/ cowl</u>
Apco Valve Company	143C	145C	149C
G.A. Industries, Inc. (Empire)	945 (1" NPT)	945	960C
Multiplex Mfg. Co. (Crispin)	U10	UL20 (1/4")	UL41 (1/4")
Val-Matic Mfg. Co.	201C	202C	204C
PowerSeal Corporation	5403-A	5403-B	5403-D
ARI Flow Control	D-040 2T	D-040	D-060 C-HF
		D-060 C-HF	

2.14. **Blow-off Assemblies and Jumper Connections.**

The materials required for both permanent and temporary 2-in. and 4-in. blow-off assemblies and 4-in. jumper connections are shown on the plans.

2.15. **Backfill.**

2.15.1. Where services ¾" – 2" copper are installed, initial backfill shall be sand conforming to the following requirements: Natural sand or sand produced from crushed gravel or crushed rock maximum ¼-inch; 95 percent shall pass No. 4 sieve, free from clay and organic material, with a maximum 8 percent passing the No. 200 sieve. Larger services utilizing ductile iron pipe or PVC (C-900) pipe shall be backfilled the same as mains.

Bedding and Initial Backfill for Water Mains.

2.15.1.1. Well graded gravels or crushed stone meeting the following requirements:

Modified Grade 5 gravel:

Retained on ½" sieve	0%
Retained on 3/8" sieve	0 – 5 %
Retained on No. 4 sieve	20 - 80%
Retained on No 10 sieve	75 - 100 %
Retained on No 20 sieve	98 - 100%

The quantity and thickness of lifts and compaction of initial backfill materials is to be in accordance with subsection 3. D. 1 of this specification.

2.15.2. Secondary Backfill for Water Mains. Secondary Backfill shall consist of approved materials that are excavated from the trench and are free of brush, debris, large rock or stones and earth clods 6" or larger. Secondary backfill material shall be primarily composed of compactable soil materials.

2.16. **Asphalt.**

All asphaltic concrete used in the replacement of pavement over the trench line is to conform to Item 341, "Dense-Graded Hot-Mix Asphalt (QC/QA), Type "C", except when the use of 6-in. of asphalt treated base is directed., unless otherwise specified on the plans.

2.17. **Concrete.**

All concrete used as the trench cap and in sidewalks and blocking mains is to conform to Item 421, "Hydraulic Cement Concrete". Class "A" concrete is to be used in sidewalks and for blocking concrete steel cylinder mains; Class "D" concrete is to be used for the trench cap and for blocking all other types, unless otherwise specified on the plans.

2.18. **Reinforcing Steel.**

All bar reinforcement is to be Grades 40 or 60, conforming to the requirements of Item 440, "Reinforcing Steel".

2.19. **Affidavit of Compliance.**

Unless otherwise directed, the Contractor is to furnish a manufacturer's affidavit of compliance for each of the materials used in this project. The affidavit is to certify that factory inspection and all specified tests have been made and that the material furnished complies with the requirements outlined herein.

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### 3. CONSTRUCTION METHODS

3.1. **Excavation.**

Excavation (trenching) as required to complete the water main installation is to be performed in accordance with Item 400, "Excavation and Backfill for Structures", as outlined herein, as shown on the plans and as directed

3.1.1. Trenches.

Trench walls shall be vertical. The practice of undercutting at the bottom or flaring at the top will not be permitted except where it is justified for safety or at the Engineer's and/or Inspector's direction. In special cases, where trench flaring is required, the trench walls shall remain vertical to a depth of at least 1foot above the top of the pipe.

The trench bottom shall be square or slightly curved to the shape of the trenching machine cutters. The trench shall be accurately graded along its entire length to provide uniform bearing and support for each section of pipe installed upon the bedding material. Bell holes and depressions for joints shall be dug after the trench bottom has been graded and bedding installed. The pipe shall rest upon the new bedding material for its full length

Where over-excavation occurs, the under-cut trench shall be restored to grade at no cost to the Department and replaced with a material conforming to the requirements of the bedding material or a material approved by the Engineer.

The depth of cut indicated on cut sheets, as furnished by the engineer, is from the off-set or cut hub elevation to the invert.

### 3.1.2. Width of Trench.

Minimum Width of Trench. The minimum width of pipe trenches, measured at the crown of the pipe, shall be not less than 12 inches greater than the exterior diameter of the pipe, exclusive of bells. The minimum base width of such trench shall be not less than 12 inches greater than the exterior diameter of the pipe, exclusive of special structures or connections. Such minimum width shall be exclusive of trench supports and not greater than the width at the top of the trench.

Maximum Width of Trench. The maximum allowable width of trench for pipelines measured at the top of the pipe shall be the outside diameter of the pipe (exclusive of bells or collars) plus 24 inches. A trench wider than the outside diameter plus 24 inches may be used without special bedding if the Contractor, at his expense, furnishes pipe of the required strength to carry additional trench load. Such modifications shall be submitted to the Engineer and approved in writing. Whenever such maximum allowable width of trench is exceeded, except as provided for on the drawings, or in the specifications, or by the written approval of the Engineer, the Contractor, at his expense, shall encase the pipe in concrete from trench wall to trench wall, or other pipe bedding material approved by the Engineer. Any excavation wider than this maximum width or subsequent Surface or Paving work, will be done at the Contractor's expense.

### 3.1.3. Classification of Excavated Materials.

No classification of excavated materials will be made. Excavation and trench work is to include the removal and subsequent handling of all materials excavated in accordance with Item 400, "Excavation and Backfill for Structures".

### 3.1.4. Grade of Trench Bottom.

The trench is to be over-excavated to a depth of 6-in. below the grade line established for the bottom of the pipe, regardless of the type of pipe. The grade line of the pipe is to then be met by the addition of a layer of approved bedding material as directed.

### 3.1.5. Excavation Below Grade.

Any part of the bottom of the trench excavated below the limits specified in Section 3.A.4., "Grade of Trench Bottom", is to be corrected with approved material and compacted as directed. Should excessive over-excavation occur, except at bell holes, the grade is to be restored in accordance with the methods described in Section 3.A.6, "Unstable Conditions at Grade", at no cost to the Department.

### 3.1.6. Unstable Conditions at Grade.

Where the bottom of the trench at grade is found to be unstable or to include ashes, cinders, any type of refuse, vegetable or other organic material, or large pieces of fragments or inorganic materials which in the judgment of the Engineer should be removed, the Contractor is to excavate and remove such unsuitable material to the a depth no less than 6-inches below pipe. Before the pipe is laid the grade is to be restored by backfilling with an approved material in layers of 3-in. prior to compaction. The layers are to be slightly moistened and thoroughly compacted so as to provide a uniform and continuous bearing and support for the pipe at every point between bell or collar holes. The finished grade is to be accurately graded to provide uniform bearing and support for each section of pipe at every point along its entire length except for the portions of the pipe sections where it is necessary to excavate for bell holes and for the proper seating of pipe joints.

3.1.7. Trench Excavation Protection.

All trench excavation required on this project is to be accomplished as required by the provisions of Item 402, "Trench Excavation Protection".

3.1.8. Caution in Excavation.

The Contractor is to proceed with caution in the excavation and preparation of the trench so that the exact location of underground structures and utilities may be determined whether shown on the plans or not. Machine excavation is not permitted closer than 12-in. on either side of other existing underground utilities. The Contractor is to be responsible for the repair of such structures and utilities when broken or damaged. He is also to be responsible for adjusting alignment and trench grades with reference to such structures in order to obtain specified clearance for the water main construction.

Whenever the Engineer determines that it is necessary to explore and excavate to determine the location of existing underground structures and utilities, the Contractor is to make explorations and excavations for such purposes at his expense.

3.1.9. Protection and Restoration of Underground Structures and Facilities.

The Contractor is to furnish temporary support, adequate protection, and maintenance of all underground and surface structures, drains, sewers, and other obstructions encountered in the progress of the work. All underground structures and utilities which are disturbed are to be restored by the Contractor at his expense. Materials and methods used for restoration are to be in accordance with the Local City Codes, of the local municipality, for Building, Electrical, and Plumbing and the requirements of the utility agency involved.

In the event that a sanitary sewer is broken by the Contractor's operations the release of sewage into the trench is to be immediately intercepted by the insertion of a section of sheet metal tubing known as a "tin-horn" between the broken ends of the sewer. All leakage at the ends of the "tin-horn" is to be effectively stopped. The "tin-horn" is to remain in place until such time as permanent repairs can be made. It is to be the responsibility of the Contractor to determine sufficiently in advance of his trenching operations the size of all sanitary sewer lines and services which will require this treatment.

All sanitary sewer lines crossing the excavation, whether bridged or replaced, are to have proper support consisting of sound timber supports having a minimum 2-in. nominal thickness and a minimum 6-in. nominal width placed with the width horizontal and extending a minimum of 12-in. into the trench wall on either side.

In all cases where a sewer pipe is replaced or bridged, the backfill material is to be thoroughly compacted to the bottom of the pipe and compacted by hand from this point to a distance of 6-in. above the top of the sewer line being replaced.

The locations of all sewer lines crossing excavations, whether replaced or bridged are to be properly marked, and care is to be taken to avoid damage to the pipe through the use of a hydratamping machine or other mechanical equipment. The Contractor is to be liable for the failure of such lines due to negligence or poor workmanship.

3.1.10. Backfill Material Derived from Excavation.

3.1.10.1. All excavated materials which the Engineer determines are suitable for reuse as trench backfill is to be separated where practicable from the general excavation material, or as directed.

3.1.11. Trench Restoration

The surface of the backfilled trench shall be restored to match the previous existing conditions. This shall include final grading, placement of topsoil and seeding, placement of sod (such as at homes or businesses that had maintained lawns), or other unprepared and prepared surfaces. Trenches in alleys actively being used by vehicles (such as trash pickup, vehicle parking, etc.) shall be restored by grading and compacting to 98% or higher with a minimum of 4 inches of flex-base materials for the entire width of the alley. Alleys not actively used by vehicles shall be graded and compacted to 98% or higher, then spread grass seed for entire width of the alley.

3.1.12. Pavement.

The Contractor is to remove pavement and surfaces as a part of the trench excavation. The removal of pavement and surfaces and their restoration is to be based on the minimum trench widths as specified, plus 6-in. either side or as otherwise provided herein. The Contractor is to use such methods as sawing, drilling, or chipping to assure the breaking of the pavement along straight lines.

If the Contractor removes or damages pavement or surfaces beyond the limits specified above, such pavement and surfaces are to be restored at the expense of the Contractor.

Where water line construction necessitates cutting through existing streets outside the limits of new street construction, said streets are to be replaced in kind as directed.

Where, in the opinion of the Engineer, it is necessary to maintain traffic across a trench, the Contractor is to install temporary metal bridges as necessary to facilitate the movement of traffic.

The street surface adjacent to the trench is to be kept free of surplus spoil. Construction materials are to be placed at locations that will minimize interference with the traveling public.

3.1.13. Fence.

Removing and replacing chain-link and/or wire fence as required. The existing fence materials may be reused unless, the existing materials were damaged during removal and should not be reused. The Contractor is to provide new material for the replacement work at his expense.

3.1.14. Concrete Sidewalks, Driveways, Etc.

All concrete sidewalks, driveways, etc., are to be cut with a concrete saw. When transverse expansion or "dummy" joints are encountered, the concrete is to be removed to the nearest transverse joint on each side of the trench and restored. The depth of cut is to be such that upon removal of the concrete, the sides of the cut are to be straight and square.

Existing reinforcing wire fabric or bars are to be cut and removed to permit completion of trench excavation, pipe laying, and backfill operations. When the backfill operations have been completed, the existing reinforcement is to be replaced in its original position and satisfactorily spliced prior to the replacement of concrete over the new trench alignment.

Transverse "dummy" joints are to be made by a jointing tool or other means acceptable, and are to match in depth and thickness in the existing transverse joints.

Expansion joint material is to be provided where new construction abuts the existing curb or driveway if the Engineer deems it necessary.

Concrete is to be spaded, tamped, and thoroughly compacted until mortar entirely covers the surface and has a monolithic finish. The top surface is to be floated, troweled, and finished to match the existing concrete surface.

Immediately after finishing, the concrete surface is to be protected by a membrane compound curing agent, or by wetted cotton or burlap mats. Either method is to be subject to approval.

### 3.1.15. Dewatering.

Prevent surface water and subsurface or ground water from flowing into excavations and from flooding project site and surrounding areas.

3.1.15.1. The contractor shall not allow water to accumulate in excavations or at subgrade level. Remove water to prevent softening of foundation bottoms and soil changes detrimental to stability of subgrades and foundations. Provide and maintain dewatering system components necessary to convey water from excavations.

3.1.15.2. Convey water removed from excavation and rainwater to collecting or runoff areas away from buildings and other structures. Establish and maintain temporary drainage ditches and other diversion outside excavation limits. Do not use trench excavations as temporary drainage ditches.

3.1.15.3. Dewatering devices shall be provided by the Contractor with filters to prevent the removal of fines from the soil.

Should the pumping system draw fines from the soil, the Engineer shall order immediate shutdown, and remedial measures will be the responsibility of the Contractor.

3.1.15.4. Upon completion of the dewatering work, the Contractor shall remove all equipment and leave the construction area in a neat, clean, condition that is acceptable to the Engineer.

3.1.15.5. The Contractor shall maintain ground water table at least 12 inches below the finished excavation subgrade.

3.1.15.6. Dewatering Performances. Performances of the dewatering system for lowering ground water shall be measured by observation wells on piezometers installed in conjunction with the dewatering system, and these shall be documented at least daily. The Contractor shall maintain a log of these readings and submit them to the Engineer.

No direct payment shall be made for costs associated with dewatering. All costs in connection therewith shall be included in the applicable contract price for the item to which the work pertains.

## 3.2. **Pipe Laying.**

### 3.2.1. General Requirements.

All water mains shall be constructed in accordance with the specifications herein outlined and in conformity with the required lines, grades, and details shown on the plans and as directed by the Engineer. Successful passage of the pressure testing and disinfection, as described under TCEQ 30 TAC 290.44 Criteria, shall be required for the acceptance of the mains.

Water Main Crossings: Where water mains are constructed in the vicinity of sanitary sewer mains, sanitary sewer force mains, sanitary sewer manholes and other sanitary sewer facilities, including non-potable waters such as wastewater effluent used in "reuse" applications, the requirements of the TCEQ 30 TAC 290.44 (e) & (f) shall be met.

The Contractor is to start his work at a tie-in point, unless otherwise indicated on the plans. Pipe is to be laid with bell ends facing the direction of lying, unless otherwise authorized or directed. Under no circumstances is pipe to be laid in water and no pipe is to be laid under unsuitable weather or trench conditions. All valves and fire hydrants must be installed as soon as pipe laying reaches their established location. Pipe is to be installed to the required lines and grades with fittings, valves, and hydrants placed at the required locations.

Spigots are to be centered in bells or collars, all valves and hydrant stems are to be set plumb, and fire hydrant nozzles are to face as shown on the plans or as directed. No valve or other control on the existing system is to be operated for any purpose by the Contractor unless approved.

The Contractor is to maintain a neat and orderly work area. Complete cleanup is to be maintained at all times as closely behind the pipe laying operations as possible, but in no case is such cleanup be permitted to lag more than 1,000-ft. behind the pipe laying, unless otherwise directed.

### 3.2.2. Crossing other Underground Lines.

New water mains crossing other utilities (not including sanitary sewer or "reuse" facilities) are to have a minimum of 30-in. of cover over the top of the pipe unless otherwise waived or modified. Excavation around other utilities is to be done by hand for at least 12-in. all around. Any damage to the protective wrap on gas lines or electrodes is to be reported immediately to owner of the gas utility, contact information as shown in the plans. Any damage to other utilities shall be immediately reported to the utility owner.

### 3.2.3. Pipe Grade.

Water mains 16" or smaller shall have a minimum of 48 inches of cover from the proposed final finish ground/street elevation and 60 inches of cover when the main is installed in a parkway or under the pavement where there are no existing/proposed curb or existing drainage facilities. Water mains 20" and above shall have a minimum of 60 inches of cover over the top of the pipe from the proposed final finish ground/street elevation unless otherwise waived or modified by the Engineer.

Pipe grades are to be as required on the plans, or as directed. Grades are to be met as specified by Section 3.A, "Excavation". Care is to be taken to insure that the pipe barrel has uniform contact with the bedding material for its full length except at couplings. The coupling is not to be in contact with the original trench bottom prior to backfill. Bedding material is to be placed under the coupling and compacted by hand prior to backfilling so as to provide an even bearing surface under the coupling and pipe. Change in grade is to be made only at joints.

### 3.2.4. Bedding and Bedding Materials.

Prior to placing pipe in a trench, the trench is to have been excavated to the proper depth as required in Section 3.A, "Excavation". Approved materials are to be smoothly worked by hand across the entire width of the trench bottom to provide supporting bedding for the pipe.

Structures to Support Pipe: Where as the bottom of a trench at subgrade consist of material that is notably unstable by the Engineer and cannot be removed and replaced with approved material may be properly compacted in place to support the pipe. The Contractor shall also construct a foundation for the pipe consisting of piling, concrete beams, or other supports in accordance with plans prepared by the Engineer. Extra compensation will be allowed for the Contractor for the additional work done. Coordinate with Engineer for approval of extra compensation prior to beginning work.

### 3.2.5. Lowering Materials into Trench.

Proper implements, tools and facilities satisfactory to the Engineer are to be approved and used by the Contractor for the safe and convenient execution of work. All pipe, fittings, valves, and hydrants are to be carefully lowered into the trench piece by piece by means of a derrick, ropes, or other suitable tools or equipment in such a manner as to prevent damage to water main materials and protective coatings and lining. Under no circumstances are water main materials to be dropped or dumped into the trench. Take

care to avoid damaging polywrap films. Use of chains or slings is not allowed unless entire sling is wrapped with a protective nylon web sock.

### 3.2.6. Installing Pipe.

Every precaution is to be taken to prevent foreign material from entering the pipe while it is being placed in the line. Under adverse trench conditions, extended period of time and/or otherwise required by the Engineer, a manufactured cap/plug is to be used to prevent any foreign type material entering. Leave the cap/plug in place until a connection is made to the adjacent pipe. Inspect the interior of each pipe for defects and reject if defects are found.

After placing a length of pipe in the trench, the jointed end is to be centered on the pipe already in place, forced into place, brought to correct line and grade, completed in accordance with the requirements specified herein. The pipe is to be secured in place with approved backfill material tamped around it. Pipe and fittings which do not allow a sufficient and uniform space for joints will be rejected and are to be replaced with pipe and fittings of proper dimensions. Precautions are to be taken to prevent dirt or other foreign matter from entering the joint space.

At times when pipe laying is not in progress the open end of pipe in the trench is to be closed by a watertight plug or other means approved. Pipe in the trench which cannot temporarily be jointed is to be capped or plugged at each end to make it watertight. This provision is to apply during all periods when pipe laying is not in progress.

Should water enter the trench, the seal is to remain in place until the trench is completely dry. The Contractor is to provide plug & caps of various sizes required.

- 3.2.6.1. Steel Pipe: Steel pipe shall be installed as specified within "Water Main." The Contractor shall furnish all steel piping including fittings, couplings, specials, pipe supports, eyebolts, nuts, and accessories which are shown on the plans and as required for proper connection to existing piping. The Contractor's attention is directed to the fact that the exact location and elevation of existing piping must be determined in the field prior to fabrication of connecting piping.

All steel pipe and specials may be either mill pipe or fabricated pipe and, in either case, shall be fabricated to the sizes, dimensions and shapes as indicated on the plans and as shown on the plans. Unless otherwise indicated on the plans, all steel pipe, bends, or specials shall have an outside diameter minimum wall thickness and unit weights as shown on plans.

- 3.2.6.1.1. Ends of Sections: Ends of pipe sections, bends, and specials shall be beveled for field welding, unless shown otherwise on the plans.

- 3.2.6.1.2. Seams: All piping shall be made from steel plate rolled into cylinders or sections thereof, with not more than two longitudinal butt welds, or shall be spirally formed and butt welded. Girth seams shall be butt welded and not be closer than 6 feet apart except in specials and bends.

- 3.2.6.1.3. Length tolerance: Standard and special section shall be within 1/16 inch (plus or minus) of the specified or theoretical lengths.

- 3.2.6.1.4. Welded Joints: Except where ends are shown on the plans to be joined by mechanical couplings, all joints for steel pipe installed on a bridge structure and in open trench shall be welded.

Welders appointed to do welding on steel pipe shall be certified with 4F and 5G certification. All welds shall be sound, free from embedded scale and slag, shall have a tensile strength across the weld not less than that of the thinner of the connective sections, and be water tight. Use butt welds for all welded joints in line-pipe assemblies and in the fabrication of bends and other specials. Welds are subject to Pre-Manufacturing inspection and available to the Engineer by request.



Welding for field joints shall conform to the applicable requirements of the AWWA "Standard Specification for Field Welding of Steel Water Pipe Joints, C-206." Parties involved in the construction of main(s) shall pay special attention to the AWWA "Standard Specification for Field Welding of Steel Water Pipe Joints, C-206, Control of Temperature Stresses." After welding, the joints shall be prepared, primed and painted, or wrapped in accordance with this specification.

Repair leaks in welds by chipping our defective material and re-welding. Hammering is not permitted.

- 3.2.6.2. PVC (C-900 and C-905): Lay PVC mains to the depths and grades shown on plans. Lay pipe by inserting spigot end into bell flush with insertion line or as recommended by manufacturer. At no time is bell end allowed to go past "insertion line". A gap between end of spigot and adjoining pipe is necessary to allow for expansion and contraction.

All mains consisting of PVC (C-905) joint restraints shall be installed as specified in accordance with manufacturer's recommendations. Joint restraints shall be non-directional and installed as shown on the Joint Restraint Standard Detail Drawing or as shown on the plan drawings. The Contractor may be required to restrain additional joints depending on the size of main and at the direction of the Inspector or Engineer.

PVC (C-905) shall be field cut using a power saw with a steel blade or abrasive disc depending on the size of pipe. If a bevel is needed after field cutting, it should be in accordance with Uni-Bell recommendations.

Tracer Wire: Tracer wire shall be utilized for location purposes and tapped to the pipe. Tracer wire shall be of solid core (14 gauge insulated), and shall be taped to the main in minimum 10 inch increments. The tracer wire shall also come up to the top of valve extensions and fire hydrant stems, as directed by the Inspector.

- 3.2.6.3. Ductile Iron Pipe: Excavations at Bells and Collars: Ductile Iron pipe shall be installed as specified within these specifications. Bell holes of sufficient size shall be provided at each joint to permit the joints to be made properly. For mechanical type joints, the minimum clearance between the bell and natural ground shall be 6 inches in all directions. Subject to the above provisions, the length of excavation for bell holes below grade of the trench bottom shall be kept to a minimum.

Except as otherwise shown on the plans or as directed by the Engineer, anti-corrosion embedment shall be provided for all ductile iron pipe, fittings, and valves and at all valves, fittings, or outlets for nonferrous or reinforced concrete steel cylinder pipe. The embedding material shall conform to the requirements as set forth in Section 2.O.1.a Backfill.

The preparation of the trench shall be as set forth elsewhere in these specifications. After the subgrade has been prepared, the pipe shall be laid to grade in accordance with these specifications. The pipe, fitting, or valve shall be firmly embedded in and surrounded by an insulating blanket of the embedding material. The minimum thickness of this blanket shall be 6 inches in every direction.

Where ductile-iron pipe is to be installed in a bore, the pipe shall be thoroughly cleaned down to the coal-tar enamel pipe coating by approved methods. Where damaged, a prime coat compatible to the polyvinyl tape to be used shall then be applied to the pipe. Following the application of the prime coat, the pipe shall be wrapped with Scotchrap, Trantex V-10 polyvinyl tape, or an approved equal. The tape shall not be applied until the prime coat is completely dry.

The tape shall be spirally and tightly wrapped on each section of the pipe with a 50% lap. The wrap shall be made to the bell on the bell end and to a point 6 inches from the spigot end. The joint shall be protected with tape 6 inches in width on pipe 12" or less in size and with tape 8 inches in width on pipe greater than 12" in size.

Ductile-iron pipe to be installed in a trench shall be protected in the following manner. Each pipe joint shall be covered with a 4 mil thick polyethylene sleeve that is 2 feet longer than the pipe joint. The sleeve shall cover the full length of the pipe joint, lap over 1 foot on each end of the adjoining pipe joints, and be secured with a minimum of two circumferential turns of pressure sensitive polyvinyl tape. Excess material should be neatly drawn up around the pipe barrel, folded into an overlap on top of the pipe, and held in place by means

of pieces of pressure sensitive tape at approximately 5 foot intervals. After assembling the joint, the polywrap tube from the previously installed pipe shall be pulled over the joint and secured by the Contractor. The polywrap tube from the new joint shall be pulled over the first tube and secured by the Contractor to provide a double seal.

Cast-iron and Ductile-iron fittings and valves shall be completely wrapped in 8 mil thick polyethylene film with a minimum of one 1 foot overlap on each end and appropriately taped. Laps shall cover joints with adjoining pipe joints or fittings when installed. Fire Hydrant barrel from the surface to the valve shall be wrapped as specified herein.

Any damaged areas in the polyethylene film shall be repaired by covering the area with a sheet of polyethylene film large enough to lap over the damaged area 1 foot minimum in any direction and appropriately taped. Extreme care shall be taken at service tap locations to insure that the tape extends beyond the corporation and onto the service line pipe 1 foot.

Prior to placing pipe in the trench, a cushion of approved materials shall be placed in the trench as required by in the trenching specifications contained herein. Backfill material shall be carefully placed on the pipe so as to avoid any damage to the polyethylene sleeve.

The Contractor shall use care to protect and reserve the polyethylene wrap around ductile iron water mains when installing service corporations. The required method is to wrap pipe tape around the pipe over the polywrap in the area to be tapped. The tap is to be made through the tape and polywrap. It is not necessary to remove and replace poly wrap. All exposed pipe, the corporation, and the first 3 feet of the service shall be wrapped and taped to achieve a complete seal. In addition, a sand envelope shall extend over and around the connection to a depth of eight inches above the main.

All bolts and nuts destined for underground service on valves, fire hydrants, cast-iron mechanical joint fittings, pipe joints, and other ferrous metal appurtenances shall be packed in an approved protective coating material after installation. After the joint has been made and bolts drawn to the proper tension, the joint including glands, flanges, bolt heads, and nuts shall be covered with an Engineer approved protective coating. Such protective coating shall be supplemental to anti-corrosive sand embedment as set forth elsewhere in these specifications. Coating and wrapping of joints will be considered incidental to the installation, and no separate payment will be made for this item. Asphaltic material such as Talcote, and other asphaltic type coatings, shall not be used.

All cuts made on ductile-iron pipe shall be done with a power saw or approved mechanical cutter. The cuts shall be made at right angles to the pipe axis and shall be smooth. The edges of the cut shall be finished smoothly with a hand or machine tool to remove all rough edges. The outside edge of pipe should be finished with a small taper at an angle of about 30 degrees.

### 3.2.7. Defective or Damaged Material.

Pipe and accessories are to be inspected for defects prior to being lowered into the trench. Any pipe section, fitting, or special which shows dents, kinks, abrupt changes of curvature other than specified, or any other damage will be rejected. Any pipe section, fittings, or special section that has been dropped (from a truck or crane, etc.) will be rejected. The Contractor shall, at his expense, replace or recondition each rejected section. Reconditioning procedures must be acceptable to the Engineer. Any defective, damaged, or unsound material is to be repaired or replaced as directed.

Should a damaged piece of pipe furnished by the Contractor be placed in the water main, the Contractor is to furnish, at his expense, all labor and materials required for removing and replacing the defective pipe and restoring the street to its condition just prior to the failure of the pipe. Should the Contractor damage the pipe after installation, the Engineer may permit the damaged section to be cut from the length unless it is the opinion of the Engineer that the entire length was damaged. The cost and replacement of broken pipe is to be at the expense of the Contractor.

### 3.2.8. Holes at Bells and Collars.

Bell holes of sufficient size are to be provided at each joint to permit the joints to be made properly. For mechanical type joints the minimum clearance between the bell and natural ground is to be 6-in. in all directions. Bell holes for concrete steel cylinder pipe are to be of sufficient size to properly joint the pipe and place the required grout. Subject to the above provisions the length of excavation for bell holes below grade of the trench bottom is to be kept to a minimum.

### 3.2.9. Deviations in Line or Grade.

Wherever obstructions, not shown on the plans, are encountered during the progress of the work and such obstructions interfere to such an extent that an alteration on the plan is required, the Engineer is to have the authority to change the plans and direct a deviation from the line and grade or to arrange with the owners of the structures for the removal, relocation, or reconstruction of the obstruction. Any deviation from the line is to be accomplished by the use of appropriate bends unless such requirements are specifically waived by the Inspector.

Whenever it is necessary to deflect pipe from a straight line the deflection is to be as directed. In no case are the amounts shown in Table 19, "Maximum Deflections of Ductile-Iron Pipe", for ductile-iron pipe, and Table 20, "Maximum Deflections of Concrete-Steel Cylinder Pipe", for concrete pipe to be exceeded.

Norm Pipe Dia	Max Joint Open	Max Defl Angle	Max Deflection in Inches with Pipe Length of:		Approx Rad of Curve in Ft Produced by Succession of Joints with Pipe Lgth of:	
			18ft	20ft	18ft	20ft
(Inch)	(Inch)	Deg/Min				
6	0.58	4/25	16.7	18.5	234	260
8	0.65	3/51	14.6	16.2	268	297
10	0.75	3/42	14.0	15.5	279	310
12	0.75	3/08	11.9	13.2	327	363
16	0.75	2/21	8.8	9.7	440	488
20	0.75	1/55	7.2	8.0	540	600
24	0.75	1/35	6.0	6.7	648	720

**Table 20**  
**Maximum Deflections of Concrete-Steel Cylinder Pipe**

Normal Pipe Diameter (Inches)	Maximum Deflection Angle Deg/Min	Maximum Deflection (Inches)		Approx Radius of Curve (Feet)	
		16' Lay Length	20' Lay Length	16' Lay Length	20' Lay Length
16	2/20	-	9.8	-	500
20	1/52	-	7.8	-	600
24	1/34	-	6.6	-	750
30	1/16	-	5.3	-	900
36	1/02	-	4.3	-	1100
42	0/54	-	3.8	-	1300
48	0/47	2.6	-	1170	-
54	0/44	2.5	-	1237	-
60	0/54	3.0	-	1024	-

### 3.2.10. Cutting Pipe.

The cutting of pipe for inserting valves, fittings or closure pieces is to be accomplished so as to produce a smooth end at right angles to the axis of the pipe. Strictly follow the recommendations of the pipe manufacturer. Under no circumstances is a workman not equipped with proper safety goggles and helmet and other required safety attire permitted to engage in this work.

Asbestos-Cement (AC): No field cutting will be allowed on asbestos-cement pipe. Repairs to AC pipe shall be accomplished by removing one full joint of AC pipe and replacing with appropriate PVC or Ductile Iron pipe and fittings.

All cuts made on ductile-iron pipe are to be done with a torch or power saw. The cuts are to be made at right angles to the pipe axis and are to be smooth. The edges of the cut are to be finished smoothly with a hand or machine tool to remove all rough edges. The outside edge of pipe should be finished with a small taper at an angle of about 30°.

Field Cut PVC (C-900 and C-905) using a power saw with a steel blade or abrasive disc depending on the size of pipe. If a bevel is needed after field cutting, it should be in accordance with Uni-Bell recommendations.

To facilitate future repair work on water mains, no sections less than 3 feet in length between fittings is allowed.

### 3.2.11. Coating and Wrapping Underground Pipe.

#### 3.2.11.1. Steel Pipe.

Steel pipe, bends and special are to be prepared, primed, painted or wrapped in the field as follows.

##### 3.2.11.1.1. Exterior Surface Above Ground: Exterior surfaces of new pipe and appurtenances installed are to be thoroughly cleaned to bare metal by high speed wire brushing, scraping or other suitable methods approved

by Engineer, given a single coat of industrial grade rust inhibitive primer and two finish coats of aluminum paint.

- 3.2.11.1.2. Exterior Surfaces Underground: Exterior surface of steel pipe, bends and specials installed in open trench are to be thoroughly cleaned to bare metal by high speed wire brushing, scraping or other suitable methods approved by Engineer, given a single coat rust inhibitive primer and wrapped with polyvinyl tape in accordance with AWWA C-203-91 "Protective Coatings for Steel Water Pipelines," (Appendix C).
- 3.2.11.1.3. The procedure for coating flanged joints and mechanical coupling joints when used with steel pipe is to be as specified."
- 3.2.11.1.4. Interior Surfaces: The interior surfaces of steel pipe, fittings and specials are to be cleaned by sandblasting and then primed and coated in the shop with coal tar enamel.

3.2.11.2. Ductile-Iron Pipe.

- 3.2.11.2.1. Open Trench: Ductile-iron pipe to be installed in a trench is to be protected in the following manner. Each pipe joint is to be covered with a 4 mil thick polyethylene sleeve that is 2-ft. longer than the pipe joint. The sleeve is to cover the full length of the pipe joint, lap over 1-ft. on each end of the adjoining pipe joints and be secured with a minimum of 2 circumferential turns of pressure sensitive polyvinyl tape. Excess material should be neatly drawn up around the pipe barrel, folded into an overlap on top of the pipe and held in place by means of pieces of pressure sensitive tape at approximately 5-ft. intervals. After assembling the joint, the polywrap tube from the previously installed pipe is to be pulled over the joint and secured by the contractor. The polywrap tube from the new joint is to be pulled over the first tube and secured to provide a double seal.

Cast iron and ductile-iron fittings are to be completely wrapped in 8 mil thick polyethylene films with a minimum of 1-ft. overlap on each end and appropriately taped. Laps are to cover joints with adjoining pipe joints or fittings when installed. Fire hydrant barrel from the surface to the valve is to be wrapped as specified herein.

Any damaged areas in the polyethylene film are to be repaired by covering the area with a sheet of polyethylene film large enough to lap over the damaged area 1-ft. minimum in any direction and appropriately taped. Take care at service to locations to insure that tape extends beyond corporation and onto service line pipe 1 foot.

Prior to placing pipe in the trench, a cushion of approved materials is to be placed in the trench as required by Section 3.D., Backfill material is to be carefully placed on the pipe so as to avoid any damage to the polyethylene sleeve.

Use care to protect and preserve polyethylene wrap around ductile iron water mains when installing service corporations. The required method is to wrap pipe tape around pipe over polywrap in the area to be tapped. The tap is to be made through the tape and polywrap. It is not necessary to remove and replace polywrap. All exposed pipe, the corporation and the first 3 feet of the service shall be wrapped and taped to achieve a complete seal. In addition, a sand envelop shall extend over and around the connection to a depth of eight inches above the main.

- 3.2.11.2.2. In Casing: Where ductile-iron pipe is installed in a bore, the pipe is to be to thoroughly clean down to the coal-tar enamel pipe coating by approved methods. Where damaged, a prime coat compatible to the polyvinyl tape to be used is to then be applied to the pipe. Following application of prime coat, wrap pipe with Scotchrap, trantex V-10 polyvinyl tape, or approved equal. Tape shall not be applied until prime coat is completely dry.

Tape is to be spirally and tightly wrapped on each section of pipe with 50% lap. Wrap shall be made to bell on bell end and to a point 6 inches from spigot end. Protect joint with tape 6 inches in width on pipe 12 inches or less in size and 8 inches on width on pipe greater than 12 inches in size.

3.2.12. Protective Coating and Wrapping on Joints.

All bolts and nuts installed for underground service on valves, fire hydrants, cast-iron mechanical joint fittings, pipe joints, and other ferrous metal appurtenances are to be packed in an approved protective coating material after installation. After the joint has been made and bolts drawn to proper tension, the joint including glands, flanges, bolt heads, and nuts are to be covered with an approved coating. Such protective coating is supplemental to anti-corrosive sand embedment. Asphaltic coatings such as Talcote, or other asphaltic type coatings, are not allowed. Coating and wrapping of joints is to be considered subsidiary to the installation and will not be paid for directly.

- 3.2.12.1. Steel Pipe Field Welded Joints: After installation of pipe, bends and specials, all end of pipe adjacent to welded field joints, including the weld proper, shall be cleaned, primed, painted or wrapped as specified for the pipe adjacent to the weld.
- 3.2.13. Joint Assembly.
- 3.2.13.1. Rubber Ring Joints: The installation of pipe and the assembly of rubber ring joints for ductile-iron pipe, concrete-steel cylinder pipe and asbestos cement pipe, is to conform to the pipe manufacturer's assembly instructions. The method of inserting spigot ends of pipe in bells or collars known as "stabbing" is not permitted with pipe larger than 6-in.in size. Spigot ends of pipe larger than 6-in. in size must be properly inserted in the joint by means of suitable pushing or pulling devices.
- 3.2.13.2. Mechanical Couplings: The installation of mechanical couplings is to be assembled and installed according to the standards recommended by the manufacturer. Prior to the installation of the mechanical coupling, the pipe ends are to be cleaned by wire brush or other acceptable method to provide a smooth bearing surface for the rubber compression gasket. The pipe is to be marked to align the end of the coupling which will center it over the joint. After positioning, the nuts are to be drawn up finger tight. Uniform pressure on the gaskets is to be applied by tightening alternate bolts on the opposite side of the circle in incremental amounts. Final tensioning is to be accomplished with a torque wrench and in a manner similar to the tightening procedure. The coupling is to then be left undisturbed for 24 hours to allow the gaskets to "pack-in". Final torque check is to then be made prior to coating and wrapping the joint. Table 21, Torque for Mechanical Couplings, sets forth the proper torque for various sized mechanical couplings and is included for the convenience of the Contractor.
- 3.2.13.3. Restrained Joints: Install restraint joints as shown on plans or as directed by the Engineer. Install in accordance with manufacturer's recommendations.

Table 21		
Torque for Mechanical Couplings		
Coupling Size	Bolt Diameter	Torque
2" to 24"	5/8"	75 ft/lb
2" to 24"	3/4"	90 ft/lb
30" and 36" (1/4"x7" Middle Rings)	5/8"	65 ft/lb
30" thru 36" (3/8" & heavier Middle Rings)	5/8"	70 ft/lb
30" to 48"	3/4"	80 ft/lb
48" to 72"	3/4"	70 ft/lb

3.2.14. Gray Iron and Ductile Iron Fittings.

3.2.14.1. Fittings: Fittings 6-in. through 12-in. in size are to be either mechanical joint, push-on joint short body, or push-on joint compact body unless otherwise stated on the plans. Fittings shall be installed with the thrust blocking or joint restraint shown in standard drawing DD-839 series. Fittings 16-in. through 24-in. in size are to be mechanical joint type unless otherwise specified on the plans. Adaptors are to be used where necessary to provide a transition between asbestos-cement pipe and the fittings. Restraint or thrust blocking is to be provided as specified on the plans or as directed. Anti-corrosion embedment incidental to all installed cast-iron fittings shall be provided as specified in and no separate payment will be made for this embedment.

3.2.14.2. Cleaning Ductile Iron: All lumps, blisters, and excess coal-tar coating is to be removed from the ends of ductile-iron pipe fittings. The outside of the spigot and the inside of the bell is to be wire-brushed and wiped clean, dry, and free from oil and grease before the pipe is laid. The interior of the pipe is to be blown clean with compressed air or swabbed out clean and dry as directed. Immediately prior to placing any pipe in the trench the interior is to be cleaned by an approved brush or swab or with compressed air to remove all dirt and foreign materials. All pipe and fittings are to be inspected by the Contractor for defects while suspended above ground.

3.2.15. Corrosion Protection for Ferrous Pipe, Fittings, and Valves

Except as otherwise shown on plans or as direct, anticorrosion embedment is to be provided for all ductile-iron pipe, fittings, and valves and at all valve fittings or outlets for nonferrous or reinforced concrete steel cylinder pipe. The embedding material is to be Modified Grade 5 gravel washed sand which conforms to the requirements set forth in Section 2.O.

Prepare the trench in accordance with applicable provisions of Section 3.A. After subgrade has been prepared, lay pipe to grade in accordance with the plans and specifications. Pipe, fitting or valve are to be firmly embedded in and surrounded by an insulating blanket of embedding material. The minimum thickness of this blanket is to be 6 inches in every direction

3.2.16. Tie-in to Existing Mains.

The Contractor is to make all ties to existing mains shown on plans or as directed. Contractor is responsible for; shutdowns and isolation of existing main, coordinating with the Water System Utility Owner on site prior to cutting pipe for connection, dewatering the excavation, customer notification of shutdown, proper material and all other requirements as directed by the Engineer to provide completion in a safe and secure manner. Tie-ins are to be done during normal work hours, (8 am – 5 pm). During construction, planned shutdown and tie-in shall be coordinated through and approved by the Engineer. Planned shutdown and tie-in is to be accomplished at a time which will be at the least inconvenience to customers. No additional compensation will be provided for tie-ins accomplished after normal working hours. Tie-in to existing mains of asbestos cement (AC) pipe, the Contractor shall observe and comply with all federal, state and local laws, ordinances and regulations regarding the management of asbestos containing materials. At the minimum, work involving AC pipe should be overseen by a person who has received asbestos training and is familiar with the National Emissions Standards for Hazardous Air Pollutants (NESHAP). If greater than 260 linear feet of pipe is to be removed, written notification to the Texas Department of Health (TDH) 10 days prior commencing with the removal of AC pipe is required. At each location shown in the plans and/or identified by the Contractor to involve AC pipe, the Contractor will be required to coordinate with the Department's Evergreen Contractor for the removal of the necessary amount of AC pipe required to make the connection without creating any friable material. The Department's Evergreen Contractor will remove whole sections of AC pipe so that the Contractor can make the tie-in at the nearest joint. The Department's Evergreen Contractor will remove the AC pipe, store it in a secure Engineer approved location, and then dispose of it. Prior to requiring the services of the Department's Evergreen Contractor, the Contractor shall notify the Engineer and the Owner of the Utility of the work schedule a minimum of two weeks in advance of requiring such services in order not to delay the overall project. Delays or claims made by the Contractor, resulting

from the failure to provide advanced notification and schedule coordination with the Department's Evergreen Contractor, will not be a basis for additional compensation.

3.2.17. Abandonment of Old Mains and Valves.

The Contractor is to accomplish all cutting, capping, plugging, and blocking necessary to isolate those existing mains retained in service from those abandoned. The open ends of abandoned mains and all other openings or holes in such mains occasioned by cutting or removal of outlets are to be blocked off by manually forcing cement grout or concrete into and around the openings in sufficient quantity to provide a permanent substantially watertight seal.

Valves abandoned in the execution of the work are to have the valve box and extension packed with sand to within 8-in. of the finished surface. The remaining 8-in. are to be filled with 2,500 psi concrete or an equivalent sand-cement mix and finished flush with the adjacent pavement or ground surface. The valve covers are to be salvaged and returned to the Water System Company. Abandoning old mains and valves is to be considered subsidiary to the installation and will not be paid for directly.

3.2.18. Jacking, Boring, or Tunneling Pipe.

3.2.18.1. Jacking: Suitable pits or trenches shall be excavated for the purpose of jacking operations for placing end joints of the pipe. When trenches are cut in the side of embankment, such work shall be securely sheeted and braced. Jacking operations shall in no way interfere with the operation of railroads, streets, highways or other facilities and shall not weaken or damage such facilities. Barricades and lights shall be furnished as directed by the Engineer to safeguard traffic and pedestrians.

The pipe to be jacked shall be set on guides to support the section of pipe being jacked and to direct it in the proper line and grade. Embankment material shall be excavated just ahead of the pipe and material removed through the pipe, and the pipe forced through the opening thus provided. The excavation for the underside of the pipe, for at least  $\frac{1}{3}$  of the circumference of the pipe, shall conform to the contour and grade of the pipe. A clearance of not more than 2 inches may be provided for the upper half of the pipe.

The distance that the excavation shall extend beyond the end of the pipe shall depend on the character of the material, but it shall not exceed 2 feet in any case.

The pipe shall be jacked from downstream end. Permissible lateral or vertical variation in the final position of the pipe from line and grade will be as shown on the plans or as determined by the Engineer.

Any pipe that cannot be repaired to its original condition or is damaged in jacking operations shall be removed and replaced at the Contractor's expense. Jacking pits shall be backfilled immediately upon completion of jacking operations.

Excavation for "Boring" pits and installation of shoring shall be as outlined under "Jacking." Boring operations may include a pilot hole which shall be bored the entire length of crossing and shall be used as a guide for the larger hole to be bored. Water or drilling fluid may be used to lubricate cuttings. Variation in line and grade shall apply as specified under "Jacking."

3.2.18.2. Tunneling: Tunneling may be used when the size of the proposed pipe would make the use of tunneling more satisfactory than "Jacking" or "Boring." The excavation for pits and the installation of shoring shall be as specified under "Jacking." The lining of the tunnel shall be of the material shown on the plans.

Access holes for grouting annular space shall be spaced a maximum of 10 feet.

3.2.18.3. Joints: Joints for pipe for "Jacking," "Boring," or "Tunneling," shall be as specified in "Water Mains", or as shown on the project plans or shop drawings as per pipe manufacturer's recommendation.



3.2.18.4. Grouting of Bores or Tunnels: Annular Space between casing pipe and limits of excavation (borehole) shall be pressure grouted, unless otherwise specified on the plans.

3.2.19. Cutting-in Valves.

The work involved in cutting a valve into an existing main is to consist of excavation and backfilling with approved selected material; hauling and disposition of surplus excavation and other materials; installation of the valve, valve box assembly, all pipe cut used to complete cut-in; reaction blocking; polyethylene wrapping where required.

3.2.20. Tapping Sleeves and Valves.

Size on size taps is not permitted. The work involved in the installation of a tapping sleeve and valve is to consist of excavation, backfilling the excavation with approved selected material, installing the tapping sleeve, reaction blocking, tapping valve, valve box assembly, concrete collar where subjected to street traffic, and a cast iron lid. New taps will not be permitted closer than 2 feet of a joint or existing tap. The use of a shell type cutter shall be required with tapping sleeves and valves. Whenever working on potable or recycled water system, disinfect the shell cutter with bleach prior to start of work. The cutting edge is to be sharp and round. Inspector will reject defective cutters.

Air test tapping sleeves to 50 psi prior to tapping main line.

Place valve box in such a manner to prevent shock or stress from being transmitted to valve. Center valve box over valves operating nut with box cover flush with finished pavement surface or located at another level as directed by Engineer. Valve boxes located in street or other areas subject to vehicular traffic shall be provided with concrete collars as shown on plans. Form collars around such valve boxes and finish off neatly and in a workmanlike manner.

3.2.21. Cutting-in Tees.

The work involved in cutting in a tee is to consist of excavation, shut-down and isolation of existing main to which the new main is to be connected, cutting pipe for connection, dewatering the excavation, customer notification of service interruption where required, installation of all pipe used to complete the connection, all necessary tie-ins (connection to existing or new main), fittings, approved reaction blocking required and backfilling the excavation with approved selected materials or flowable backfill if required. Where the installation of a valve is required, payment will be for valve accordance with this specification.

3.2.22. Pipe Joint Restraint System.

Pipe joint restraints shall be utilized to prevent movement for PVC push-on bell and spigot pipe connections. The restrainer may be adapted to connect a plain end PVC pipe to a ductile iron mechanical joint (MJ) bell fitting. Joint restraint is to be non-directional and installed to fully restrain system.

### 3.2.23. Concrete Encasement, Cradles, Saddles and Collars.

Concrete Encasement. When concrete encasement is shown on the plans or when directed, the trench is to be excavated and fine graded to a depth conforming to the details and sections shown on the plans. The pipe is to be supported by pre-cast concrete blocks of the same strength as the concrete for encasement and securely tied down to prevent floatation. Encasement concrete is to be placed to a depth and width conforming to details and sections shown on the plans.

Concrete Cradles. When concrete cradles are shown on the plans or when directed, the trench is to be prepared and the pipe supported in the same manner as described in Concrete Cradles, of this Section. The cradle shall be constructed in accordance with details and sections shown on the plans. Strap/Tie Downs shall be No. 4 rebar diameter minimum or better as determined by the Engineer.

Concrete Saddles. When shown on the plans or when directed, pipe to receive concrete saddle is to be backfilled in accordance with Section 3.D. of this specification to the spring line and concrete placed for a depth and width conforming to details and sections shown on the plans.

Concrete Collars. When shown on the plans or when directed, concrete collars are to be constructed in accordance with details and sections shown on the plans.

### 3.3. Fire Hydrants and Miscellaneous Appurtenances.

#### 3.3.1. Fire Hydrants.

Hydrants are to be connected to the main as shown on the plans or as directed by the Engineer. They are to be installed in a manner which will provide complete accessibility and in a sage location where there is a minimum possibility of damage from vehicles or injury to pedestrians.

When the hydrant is placed directly behind the curb, the hydrant barrel is to be set so that no portion of the hydrant will be less than 12 inches nor more than 7-ft. from the back of the curb.

When the hydrant is set in the lawn space between the curb and the sidewalk or between the sidewalk and the property line, no portion of the hydrant or nozzle cap is to be within 6-in. of the sidewalk. Setting final grade of fire hydrants to match proposed or existing field conditions is the responsibility of the contractor.

Hydrants are to be set in accordance with plans and details are to be set plumb and are to have their nozzles parallel with or at right angles to the curb with the pumper nozzle facing the curb. Drainage and concrete pad are to be provided at the base of the hydrant as shown on the plans. No fire hydrant drainage system or pit is to be connected to a storm sewer or to a sanitary sewer.

3.3.1.1. Restrained Joints: Restrained mechanical joints that require field welding or groove cuts into the pipe barrel for restrain will not be accepted. Restrained joints shall be furnished for pipe at all changes in direction at indicated on plans, details, or as directed. Restrained mechanical joints shall be locked mechanical joints. Joints shall be capable of test pressure twice the maximum sustained working pressure of 350 psi for ductile iron pipe and PVC.

3.3.1.2. Replacing and Relocating Existing Fire Hydrants: When existing fire hydrants are to be replaced or relocated, the work is to be accomplished by either of the following:

3.3.1.2.1. Cutting or installing a tee of the size and type indicated on plans or as directed.

3.3.1.2.2. Using a tapping sleeve and valve of the size and type indicated on plans to install a new fire hydrant to an existing or new water main. Size on size taps is not permitted.

3.3.1.2.3. Relocating the existing fire hydrant by closing the existing fire hydrant, extending the fire hydrant branch and installing the existing fire hydrant as specified herein.

- 3.3.1.3. Salvage the existing fire hydrant and other materials as designated in the field by the Inspector and deliver to Water System Utility Owner at a location determined by the Engineer. Fire hydrant branches are to be abandoned by cutting and capping fire hydrant cast iron tee at the service main and surface restored to its original condition.
- 3.3.1.4. After the fire hydrant has been set, paint hydrant with suitable primer and finish with oil-based aluminum paint from top of hydrant to a point 18-20 inches below center line of the pumper nozzle and apply to all exposed metal surfaces above the hydrant base flange. The payment for fire hydrant painting is to be included in the unit cost for installing the fire hydrant.
- 3.3.1.5. Pipe, fittings, and valves used in the placement of fire hydrants and connections to the main are to be considered subsidiary to the fire hydrant installation and not a part of the main construction and will not be paid for directly.

### 3.3.2. Valve Boxes, Adjustments.

Valves are to be provided with valve boxes, manholes, or valve pits as shown on the plans.

The valve box is to be placed in such a manner to prevent shock or stress from being transmitted to the valve. It is to be centered and set plumb over the operating nut of the valve with the box cover flush with the surface of the finished pavement or at such other level as may be directed. Valve boxes located in streets or other areas subjected to vehicular traffic are to be provided with concrete collars as shown on the plans. Collars around such valve boxes are to be formed and finished off neatly.

Valve box is to be located so that the valve operating nut is readily accessible for operation through the opening in the valve box. The valve box is to be set flush with the surface of the finished pavement or at such other elevations as may be specified. Pits are to be constructed to permit minor valve repairs and to afford protection to the valve and pipe from impact where they pass through the pit walls.

Existing valve boxes located within the limits of new street construction which are in conflict are to be adjusted to match proposed finish grades.

Valve boxes installed as part of a new valve and mainline construction project are considered "new valves." Adjustments to "new valves" are incidental to the installation of the valve. No separate pay will be given to adjust "new valves" to finished grade.

### 3.3.3. Air Release Assembly.

Air release valves and appurtenant items are to be installed at the locations shown on the plans unless otherwise directed.

Install air release assemblies in open trench in accordance with plans and details. Assemblies include the valve, valve box, tapping saddle, pipe fittings, accessories and appurtenances. It also includes service line and tap to main. Air release assemblies installed in parkways or easements and outside of street pavement shall be installed in accordance with plans.

Air release assemblies installed on steel pipe attached to bridge structure includes the outlet on the steel pipe, valve, valve box, pipe fittings, security enclosure, accessories and appurtenances.

### 3.3.4. Blow-offs.

Permanent and temporary blow-off assemblies are to be installed at the locations shown on the plans or where otherwise directed. The permanent blowoff is to consist of all galvanized pipe, valve, and fittings of the various sizes detailed on the plans, 6-in. valve box assembly including the 6-in. valve box and concrete collar around the valve box where subjected to vehicular traffic. The temporary blowoff is to consist of all

galvanized pipe, valve, and fittings of the various sizes detailed on the plans. Valve box is to be raised on installed to finished grade in accordance with details.

### 3.3.5. Buried Gate and Butterfly Valves

Valve installation shall include; valve, reaction blocking when required conforming to plans, cast iron boot, valve box extension (Ductile Iron Riser Pipe), valve box, concrete collar where subjected to vehicular traffic, and valve box lid. Valves constructed in terrace shall be constructed with No. 3 bars all around.

The valve box shall be placed in such a manner to prevent shock or stress being transmitted to the valve. All valves located 6 feet and deeper shall include valve key extensions inside the valve box. The Contractor has the option to install fully adjustable valve box and valve key extension systems, on all valves located between 6 feet and 13 feet. Adjustable valve box and valve key extension systems shall be centered over the valve's operating nut with the box cover flush with the finished pavement surface or located at another level as directed by the Engineer. Valve boxes located in streets or other area subject to vehicular traffic shall be provided with concrete collars as shown in plans. Collars around such valve boxes shall be formed and finished off neatly and in a workmanlike manner.

Valve pits shall be located so that the valve operating nut is readily accessible for operation through the opening in the valve box. The valve box shall be set flush with the finished pavement surface or at other finish elevations as may be specified. Pits shall be constructed in such a manner to permit minor valve repairs and provide protection to the valve and pipe from impact where penetrating through pit walls. In a High Pressure Distribution System as specified in this specification, all valves 6 inches and larger, shall be supported on a concrete pad in accordance with plans.

### 3.3.6. Anchorage and Blocking.

Suitable reaction blocking or anchorage is to be provided at all dead ends, plugs, caps, tees, crosses, valves and bends as shown on the plans. All mechanical restraints are to be bidirectional. Anchor blocks are to be constructed solidly behind the fitting and symmetrical with the axis of resultant thrust except where this is not possible as in the case of gravity anchorage for vertical bends. Special ties and anchor fittings may be utilized in conjunction with blocking when shown on the plans or as directed.

Thrust blocking is to be a minimum of Class "A" (3,000 psi), concrete placed between solid ground and the fitting except as otherwise shown on the plans. The area of bearing in contact with solid ground is to be that shown on the plans or as directed.

All thrust blocking placed in conjunction with mains and appurtenances constructed in accordance with standard details provided in the construction drawings. In all cases, the design of thrust blocking shall be of sufficient size to withstand a soil pressure of 3000 psf, unless specified otherwise in the job plans or specifications. The maximum soil pressure value that will be allowed for the design of thrust blocking shall be 5000 psf. When soil pressure bearing values of 4000 psf or 5000 psf are recorded for design of thrust blocks, copies of soil tests made for determining the bearing value of the soil is question shall be submitted to the Engineer for verification.

The blocking is to be placed so that pipe and fitting joints will be accessible. Pipe polywrap is to be placed between the pipe or fitting and the concrete.

The reaction block on the unused branch of a tee is to be poured separately from the block across the back of the tee. If they are poured simultaneously, a rigid partition is to be placed between the blocks.

Valves 12-in. and larger in size are to be supported on a concrete pad extending vertically from 12-in. below the bottom of the valve to the lower quarter point of the hub and laterally from face to face of hubs and transversely from wall to wall of the trench.

### 3.4. **Backfill.**

#### 3.4.1. Initial Backfill.

Initial backfill is defined as backfill having a thickness in its compacted state from the surface of the bedding to a point 1 foot above the top of pipe. The first lift of initial backfill is to be inspected and approved prior to placement of the second lift. The second lift of initial backfill material is to extend from the spring line of the pipe with a minimum of one foot above the top of the pipe. The second lift is to be evenly spread in a similar manner as the first lift.

For diameters 24 inches and larger, simultaneously spread initial backfill material alongside, under the lower quadrant of pipe and over the pipe in 12 inch lifts to a point sufficient to a minimum of 1 foot above the top of pipe.

Consolidate initial backfill material to assure it is incorporated. A handheld vibrator, commonly used for concrete work, can be used for this purpose. The vibrator shall be inserted every 3 feet on each side of pipe.

#### 3.4.2. Secondary Backfill.

Secondary backfill is defined as backfill from 1 foot above the top of pipe to the top if the trench. Secondary back fill is to be constructed in accordance with details shown on plans and these specifications.

Secondary backfill material shall be placed in maximum 12 inch loose lifts or as directed.

#### 3.4.3. Sand Backfilling of Cross Trenches and Open Holes.

Blow-offs, tie-ins, air release valves, and service lines, meter boxes, or other specials are to be backfilled with sand and thoroughly consolidated by saturating with water, unless otherwise directed. The use of mechanical tamping equipment for compaction of backfill will not be permitted at such locations. Disposal of surplus excavated material and placement of sand is to be considered subsidiary to trenching and backfilling and will not be paid for directly.

#### 3.4.4. Trench Backfill Across Traffic Arteries.

Any trench in or across traffic arteries is to be backfilled immediately after the pipe is installed unless the Engineer determines unusual conditions exist that render immediate backfilling unfeasible.

#### 3.4.5. Flowable Backfill.

Instead of normal backfill materials, the Contractor is to backfill the trench with flowable backfill with fly ash material at the locations shown on the plans and/or at locations directed. The flowable backfill material and operation is to be in accordance with Item 401, "Flowable Backfill".

### 3.5. **Flushing and Testing Mains.**

#### 3.5.1. Flushing.

Immediately upon completion of pipe laying, the Contractor is to flush all mains. This flushing is to be at the direction of the Engineer and is to consist of completely filling sections of main between valves and then displacing such initial volumes of water by introducing clear water from existing facilities into and through the main to the point of discharge from the main being flushed.

The flow-through is to continue until the Engineer determines all dust, debris, or foreign matter that may have entered during pipe laying operations has been flushed out. The new line is to then be left under system pressure for testing.

To avoid damage to pavement and inconvenience to the public, fire hoses are to be used to direct flushing water from the main into suitable drainage channels or sewers.

### 3.5.2. Operation of Valves.

No valve in the distribution system is to be operated by the Contractor without prior permission. The Contractor is to notify the utility owner when a valve is to be operated and is to only operate the valve in the presence of the Engineer's representative.

### 3.5.3. Hydrostatic Tests.

Except in high pressure sections of the water distribution system where test pressures will exceed 150 psi, all new mains are to be hydrostatically field tested at a maximum test pressure of 150 psi before approval by Engineer. Where designated as "high pressure area," all new mains shall be hydrostatically field tested at a maximum test pressure of 200 psi before acceptance by the Engineer. All joints which are found to leak either by observation or during any test are to be made watertight by the Contractor. In case repairs are required, the hydrostatic field test is to be repeated until the pipe installation conforms to the specified requirements and is acceptable. The expense for tests which meet specified requirements is to be made in accordance with the unit price for the hydrostatic pressure test. No payment is to be made for tests which fail to meet specified test leakage requirements.

After the new main has been laid and backfilled as specified, but prior to chlorination and replacement of pavement, it is to be filled with water for a minimum of 24 hours and then subjected to a hydrostatic pressure test. The specified test pressure is to be supplied by means of a pump connected to the main in a satisfactory manner. The pump, pipe connection, and all necessary apparatus including gauges and meters are to be furnished by the Contractor. Unless otherwise specified, the Water System Company will furnish water for filling lines and making tests through existing mains.

Before applying the specified test pressure, all air is to be expelled from the main. To accomplish this, taps are to be made, if necessary, at the points of highest elevation and afterwards tightly plugged at no cost to the Department or the Water System Utility Owner. At intervals during the test, the entire route of the new main is to be inspected to locate any leaks or breaks. If any are found, they are to be stopped or repaired. The test is to be repeated until satisfactory results are obtained.

The hydrostatic test is to be made so that the maximum pressure at the lowest point does not exceed the specified test pressure. The duration of each pressure test is to be a minimum of 4 hours for new mains in excess of 1,000-ft. and a minimum of 1 hour for new mains less than 1,000-ft after the main has been brought up to test pressure. The test pressure is to be measured by means of a tested and properly calibrated pressure gauge acceptable to Engineer. All pressure tests are to be continued until the Engineer is satisfied that the new main meets the requirements of these specifications. Should any test of pipe in place disclose leakage greater than listed in Table 22 or 23, Hydrostatic Test Leakage Allowances, the Contractor is to, at his expense, locate and repair the defective joints until the leakage is within the specified allowance. Leakage is defined as the quantity of water supplied into the newly laid main, or any valve section of it, necessary to maintain the specified leakage test pressure after the main has been filled with water and the air expelled. The Contractor is to notify the Engineer prior to beginning the test, and the Water System Company's Inspector is to be present during the pressure test.

PVC pipe leakage allowances shall conform to DI leakage allowances listed on Tables 22 and 23, Hydrostatic Test Leakage Allowances.

Table 22														
Hydrostatic Test Leakage Allowance (Maximum) @ 150 psi														
Pipe	100	200	300	400	500	600	700	800	900	1000	2000	3000	4000	5000
6"DI*	0.11	0.22	0.33	0.44	0.55	0.66	0.77	0.88	0.99	1.10	2.20	3.30	4.40	5.50
8"DI*	0.15	0.29	0.44	0.59	0.74	0.88	1.03	1.18	1.32	1.47	2.94	4.41	5.88	7.35
12"DI*	0.22	0.44	0.66	0.88	1.10	1.32	1.54	1.76	1.98	2.20	4.40	6.60	8.80	11.00
16"DI*	0.29	0.59	0.88	1.18	1.47	1.76	2.06	2.35	2.65	2.94	5.88	8.82	11.76	14.70
20"DI*	0.39	0.74	1.10	1.47	1.84	2.21	2.55	2.94	3.31	3.68	7.63	11.04	14.72	18.40
20"CSC	0.08	0.16	0.24	0.32	0.40	0.47	0.55	0.63	0.71	0.79	1.58	2.37	3.16	3.95
24"DI*	0.44	0.88	1.32	1.76	2.21	2.65	3.09	3.53	3.97	4.41	8.82	13.23	17.64	22.05
24"CSC	0.10	0.19	0.29	0.38	0.48	0.57	0.67	0.76	0.86	0.95	1.90	2.85	3.80	4.75
30"DI*	0.55	1.10	1.66	2.21	2.76	3.31	3.86	4.42	4.97	5.52	11.04	16.56	22.08	27.05
30"CSC	0.12	0.24	0.35	0.47	0.59	0.71	0.83	0.94	1.06	1.18	2.36	3.54	4.72	5.90
36"DI*	0.66	1.32	1.99	2.65	3.31	3.97	4.63	5.30	5.96	6.62	13.24	19.86	26.48	33.10
36"CSC	0.14	0.28	0.43	0.57	0.71	0.85	0.99	1.14	1.28	1.42	2.84	4.26	5.68	7.10
42"DI*	0.77	1.54	2.32	3.09	3.86	4.63	5.40	6.18	6.95	7.72	15.44	22.16	30.88	38.60

Table 22														
Hydrostatic Test Leakage Allowance (Maximum) @ 150 psi														
Pipe	100	200	300	400	500	600	700	800	900	1000	2000	3000	4000	5000
42"CSC	0.17	0.33	0.50	0.66	0.83	1.00	1.16	1.33	1.49	1.66	3.32	4.98	6.64	8.30
48"DI*	0.88	1.77	2.65	3.53	4.42	5.30	6.18	7.06	7.95	8.83	17.66	26.16	35.32	44.15
48"CSC	0.19	0.38	0.57	0.76	0.95	1.13	1.32	1.51	1.70	1.89	3.78	4.98	6.64	8.30
54"CSC	0.21	0.42	0.63	0.84	1.05	1.26	1.47	1.68	1.89					
60"CSC	0.24	0.48	0.72	0.96	1.20	1.44	1.68	1.92	2.16					

\* DI Pipe includes mechanical and push-on joints.

\*\* GPH for CSC Pipe are manufacturer's maximum.

Note: Leakage allowances may be determined for footages not specifically listed by interpolation and/or by the combination of various tabular data.

Table 23										
Hydrostatic Test Leakage Allowances (Maximum) @ 200 psi										
Nom	Allowable Leakage in Gallons Per Hour (GPH) **									
Dia-Ty	Pipe Length in Feet									
Pipe	100	200	300	400	500	600	700	800	900	1000
6"DI*	0.13	0.25	0.38	0.51	0.64	0.76	0.89	1.02	1.14	1.27
8"DI*	0.17	0.34	0.51	0.68	0.85	1.02	1.19	1.36	1.53	1.70
12"DI*	0.26	0.51	0.77	1.02	1.28	1.53	1.79	2.04	2.3	2.55
16"DI*	0.34	0.68	1.02	1.36	1.7	2.04	2.38	2.72	3.06	3.40
20"DI*	0.43	0.85	1.28	1.70	2.13	2.55	2.98	3.40	3.83	4.25
20"CSC	0.08	0.16	0.24	0.32	0.4	0.47	0.55	0.63	0.71	0.79
24"DI*	0.51	1.02	1.53	2.04	2.55	3.06	3.57	4.08	3.59	5.10
24"CSC	0.10	0.19	0.29	0.38	0.48	0.57	0.67	0.76	0.86	0.95
30"DI*	0.64	1.27	1.91	2.55	3.19	3.82	4.46	5.10	5.73	6.37
30"CSC	0.12	0.24	0.35	0.47	0.59	0.71	0.83	0.94	1.06	1.18
36"DI*	0.76	1.53	2.29	3.06	3.82	4.58	5.35	6.11	6.88	7.64
36"CSC	0.14	0.28	0.43	0.57	0.71	0.85	0.99	1.14	1.28	1.42
42"DI*	0.89	1.78	2.68	3.57	4.46	5.35	6.24	7.14	8.03	8.92
42"CSC	0.17	0.33	0.5	0.66	0.83	1.00	1.16	1.33	1.49	1.66
48"DI*	1.02	2.04	3.06	4.08	5.1	6.11	7.13	8.15	9.17	10.19
48"CSC	0.19	0.38	0.7	0.76	0.95	1.13	1.32	1.51	1.7	1.89
54"CSC	0.21	0.42	0.63	0.84	1.05	1.26	1.47	1.68	1.89	2.10
60"CSC	0.23	0.46	0.69	0.92	1.15	1.38	1.61	1.84	2.07	2.30

\* DI Pipe includes mechanical and push-on joints.

\*\* GPH for CSC Pipe are manufacturer's maximum.

Note: Leakage allowances may be determined for footages not specifically listed by interpolation and/or by the combination of various tabular data.

### 3.6. Disinfection of New Mains Utilizing Machine Chlorination.

After the new mains have successfully passed the pressure test specified herein, Section 3.E.3, "Hydrostatic Tests" the Contractor shall disinfect mains as shown on the plans or otherwise directed as "Machine Chlorination". This disinfection is to include chlorination, flushing, and placing the mains in service. All other disinfection requirements shall also be accomplished by the Contractor.

#### 3.6.1. Operation of Valves.

During and after the disinfection of mains, the Contractor will be notified by the Engineer sufficiently in advance to enable the Contractor to have a competent representative present whenever valves are to be operated that will affect the pressure in any part of the work for which the Contractor is responsible.

#### 3.6.2. Contractor's Personnel and Equipment.



The Contractor is to supply labor and equipment necessary to make all excavations required for chlorination, equipment connections, subsequent flushing, and placing the mains in service.

3.6.3. Safeguarding and Backfilling Open Holes.

The Contractor is to be responsible for safeguarding any open holes excavated or left open for flushing and disinfection purposes. Following completion of disinfection, the Contractor is to backfill such holes in accordance with appropriate provisions of Section 3.D, "Backfill".

3.6.4. Disinfection of Mains Utilizing Dry Calcium Hypochlorite.

Mains are to be disinfected with dry calcium hypochlorite (HTH) where shown on the plans or as directed and shall not exceed a total length of 800 feet. This method will also be followed for main repairs. Contractor shall utilize appropriate safety measures to protect personnel during disinfection operation.

3.6.5. Dosage.

The Contractor is to disinfect the new or replaced mains with calcium hypochlorite (HTH) of 70 percent available chlorine. Sufficient calcium hypochlorite (HTH) is to be used to obtain a minimum chlorine concentration of 50 ppm. The following Table 24, Chlorine Dosage, is included for the convenience of the Contractor:

<b>Diameter of Pipe Inches</b>	<b>Ounces Per Foot To Obtain 50 ppm Chlorine Dosage</b>
6	0.0138
8	0.0233
10	0.0364
12	0.0523
14	0.0708
16	0.0934
18	0.1175
20	0.1455
24	0.2080
30	0.3270
36	0.4690
42	0.6370
48	0.8330
54	1.0575
60	1.308

A heaping tablespoon holds approximately 1/2 ounce, and a standard measuring cup holds approximately 8 ounces.

3.6.6. Filling the Main.

Those sections of main to which dry calcium hypochlorite (HTH) has been applied is to be filled slowly to allow for the even distribution of the disinfecting material. The manipulation of valves is to be under the direction of the Engineer in accordance with Section 3.F.1, "Operation of Valves".

3.6.7. Holding Time.

The length of time that sections of main disinfected with calcium hypochlorite (HTH) is to be allowed to stand undisturbed will depend upon the particular job and Texas Commission on Environmental Quality (TCEQ) criteria.

When circumstances permit a shutdown with no customers out of service, the required minimum detention time will be 24 hours with a 50 ppm chlorine dosage.

When customers are out of service during a shutdown with no leakage past valves, the required minimum detention time will be 3 hours and the chlorine dosage will be 300 ppm.

When customers are out of service during a shutdown with some leakage past valves, the required minimum detention time will be 30 minutes with a 500 ppm chlorine dosage.

#### 3.6.8. Flushing.

Following the expiration of the specified holding time, the treated section of main is to be flushed thoroughly by the Contractor in accordance with the applicable provisions of Section 3.E, "Flushing and Testing Mains". Flushing is to continue until no chlorine remains detectable by taste or odor or until the chlorine residual is less than 0.3 ppm. The Contractor must make provisions for the disposal and runoff of the flushing operations in order to minimize erosion or impact to residents.

#### 3.6.9. Preventing Reverse Flow.

Valves are to be manipulated so that the strong chlorine solution in the line being treated will be flushed out of the main and will not flow back into the line supplying the water.

#### 3.6.10. Supervision.

All disinfection is to be done as directed by the Engineer.

#### 3.6.11. Additional Treatment.

Should the new main fail to meet minimum public health standards for bacteriological quality after flushing, further treatment is to be as directed. If further disinfection is required, chlorination is to be done in accordance with Section 3.F, "Disinfection of New Mains Utilizing Machine Chlorination". In no case, however, is the new line to be acceptable as complete and satisfactory until the bacteriological quality of the water taken from the main meets the Standards of the TCEQ.

If an open hole is unsafe and does not have proper trench protection, owner's chlorination crew will not chlorinate project until acceptable trench protection is provided.

#### 3.6.12. Safeguarding and Backfilling Open Holes.

The requirements for safeguarding and backfilling all holes excavated or left open for chlorinating and sampling is to be as specified in Section 3.F.3, "Safeguarding and Backfilling Open Holes".

### 3.7. **Service Supply Lines.**

Service supply lines and fittings, meter boxes and appurtenances shall conform to material specifications and shall be installed by the contractor as specified herein, or as directed by the engineer and in accordance with plans.

#### 3.7.1. Designation of Service Supply Lines: A service supply line located between the Water main and the inlet side of the water meter is designated as a "water service line". A service supply line located between the outlet side of the water meter to the point of connection within the limits of the Customers lot or property is

designated as "Customer's yard piping". Services 2" and smaller are designated "small services"; services 4" and larger are designated "large services".

- 3.7.2. Service Relays: New transfer main(s) to which services are to be relayed and are on the same side of the streets as the Customer's meter are defined as "short relays". New transfer main(s) to which services are to be relayed and are on the opposite side of the street from the Customer's meter are defined as "long relays".

Service Reconnects: New transfer main(s) to which services are to be reconnected and on the same side of the street as the old main are defined as "service reconnects".

Existing services on the opposite side of the street to the new main shall be defined as a "long relay".

- 3.7.3. Service Relocates: Service Relocates are defined as services that are relocated from an alley to a side or front street. New transfer main(s) to which services are to be relocated and are on the same side of the street as the Customer's new meter box location, are designated as "short relocates". New transfer main(s) to which services are to be relocated and are on the opposite side of the street from the Customer's new meter box location, are designated as "long relocates".

- 3.7.4. New Services: If a new main is required to be extended to provide water service for new Customers, the service lines laid to the new main shall be designated as "new services." New laid main(s) to which new services are on the same side of the street as the Customer's new meter box location, are designated as "new short services." New laid main(s) to which new services on the opposite side of the street from the Customer's new meter box location, are designated as "new long services."

- 3.7.5. New Un-metered Services: New Un-metered services are defined as services that are installed on existing mains or new mains to provide service to Customers platted vacant lots. Where the new main or existing main to which new un-metered services are being installed is on the same side of the street as the Customer's new or existing meter box location, (Inspector to set location of new meter box if no existing meter box is set), the services to be laid are designated "new un-metered short services." Where the new main or the existing water main to which new un-metered services are installed is on the opposite side of the street from the Customer's new or existing meter box location, (Inspector to set location of new meter box if no existing meter box is set), the services to be laid are designated "new un-metered long service". New un-metered long services and new un-metered short services will not include "Customer's yard piping" and no meter will be set.

- 3.7.6. Tap Holes: Tap holes are defined as excavations at existing mains, which are required in association with replacements of water service lines by pulling, boring or jacking operations.

All backfill material shall be as specified for main and service line trench excavation.

For service lines and tap holes, payment for bedding, initial backfill and secondary backfill shall be included in the various sizes of each service placed.

- 3.7.7. Service Line Installation: Unless otherwise notified, service relays, service reconnects, service relocates and new services shall be installed as described herein, and in plans. Unless otherwise indicated, existing meter and meter box relocation shall be included in the service line installation.

All service line installation shall include a dielectric union to be installed within the meter box on the outlet side of the meter, as shown in plans.

Cutting, excavation, backfill and replacement of pavement shall be done as specified herein and in accordance with applicable sections of this specification and the contract documents. The minimum trench width for small service lines shall be 8 inches, while the minimum trench width for large service Lines shall be the nominal pipe diameter plus 16 inches, except when specified otherwise by the Engineer. For ¾" to 2" Service lines, minimum bury depth shall be 3 feet. For services greater than 2", minimum depth of bury shall be 4 feet.

All service lines shall be installed in accordance with plans, and specifications.

The Contractor shall use precaution to protect and preserve the polyethylene wrap around Ductile-Iron (DI) water mains when installing service corporations. The required method is, wrap pipe tape around the pipe, over the polywrap, in the area to be tapped. The tap shall be made through the tape and polywrap. It is not necessary to remove and replace polywrap. All exposed pipe, corporation and the first three feet of the service, shall be wrapped and taped to achieve a complete seal. In addition, a sand envelope shall extend over and around the connection to a depth of 8 inches above the main.

Small service lines shall be embedded in sand in accordance with specification

Where approved by the Inspector, the Contractor may lay the new service line from the corporation stop to the curb stop or angle valve. Upon completion, the Contractor shall isolate the new service line by closing the curb stop or angle valve until the meter box is set.

- 3.7.8. Splicing: A long service line single splice may be permitted by means of a 3-part compression or flared coupling only when approved in advance by the Engineer, provided the location of the splice is not under pavement or concrete. The segment added is required to be the same material as the existing service line, unless otherwise directed by the Engineer. Splicing short service lines will not be permitted.
- 3.7.9. Boring or Jacking Service Lines: Service lines which cross paved streets may be installed at the Contractor's option by boring or jacking operations. Where it becomes necessary to widen the main trench section to accommodate a bore pit, such widening shall not extend more than one additional foot into the traffic side of the street.
- 3.7.10. Tapping Asbestos Cement (AC) Water Mains: All necessary service line tapping of AC pipe shall be completed during the period immediately before or after hydrostatic pressure testing operations so that subsequent flushing will maximize the elimination of contaminants associated with the tapping process.
- Tapping of AC pipe must be done in accordance with manufacturers' recommendation and done only with tap machine having a built in flush valve and the flush valve must be open during the entire procedure.
- 3.7.11. Abandonment of Service Lines: The Contractor shall accomplish all cutting, capping, and plugging necessary to isolate new service lines transferred to new and existing mains from those abandoned, including service lines designated on the plans as "tap plug" and "tap kill." The corporation stop for an abandoned service line tapped on a ferrous main shall be removed, and the tap at the main shall be plugged with an appropriately sized brass plug. For a non-ferrous main, the corporation stop shall not be removed from the main. Instead, the corporation stop shall be closed and the flared nut shall be removed from the corporation stop. After the appropriately sized copper disc is inserted inside the flared nut, replace the flared nut on the corporation stop. The Contractor shall salvage copper service line tubing, brass fittings, and other materials as directed by the Inspector and return them to the Owner.
- 3.7.12. Tapping PVC (C-900): Tapping of PVC must be done in accordance with Uni-Bell procedures. Direct Tapping will not be allowed. All drill cutting tools must be the "shell type" with internal teeth or double slots which will retain the coupon.
- The shell cutters must be designed for C-900 pipe, thus having sufficient root depth to handle the heavier walled pipe.
- 3.7.13. Small Service Lines: Copper tubing shall be used for ¾" through 2" service lines. Brass fittings for ¾" and 1" service lines shall be of the flared or compression type for the use with Type 'K' soft annealed copper tubing. Brass fittings for 1½" and 2" lines shall be of the flared or compression type for use with type 'K' soft annealed copper tubing, except as modified by this specification.

Copper tubing shall be cut squarely by using an approved cutting tool and by avoiding excessive pressure on the cutting wheels which might bend or flatten the pipe walls. Following the copper tubing cut, but before flaring, a reamer shall be used to remove the inside rolled lip from the tubing. Flared ends shall be expanded

by the use of a flaring tool using care to avoid splitting, crimping, or overstressing the metal. Pipe adjacent to the fittings shall be straight for at least 10 inches. Bending of tubing shall be accomplished by using an appropriate sized bending tool. No kinks, dents, flats, or crimps will be permitted, and should such occur, the damaged section shall be cut out and replaced. When compression fittings are used, the copper tubing shall be cut squarely prior to insertion into the fitting. Final assembly shall be in accordance with the manufacturers recommendations.

3.7.14. Small Service Lines on New Mains: Installation of new copper service lines shall consist of all excavation through miscellaneous material encountered; trench excavation protection; drilling and tapping the new main with an approved tapping machine; setting the curb stop or angle valve at the meter; laying the new copper service line at the specified depth between the main and the meter and its tie-in at the corporation and the curb stop or the angle valve; relocating the existing meter and installing a new meter box where required in accordance with this specification, herein; backfilling the trench with approved selected material and disposal of surplus excavated material; capping the tap hole with asphalt treated base, including the outer limits of the main trench line with service line trench; cutting and replacing pavements, curbing and sidewalks of all types over the limits of the main line trench and the completed service line trench.

3.7.15. Reconnecting Service Lines: Both old and new water mains at existing service line connections as shown on the plans shall be exposed. The old main shall be exposed for the purpose of gaining access to the existing service corporation stop and the new main for the purpose of installing the new corporation stop. The new main shall be exposed for the purpose of being drilled and tapped with an approved tapping machine, a new corporation stop installed under pressure, and the trench extended laterally to expose a sufficient length of the existing service line to provide slack to bend it to position for tying to the new corporation stop. After suitable notification to the Customer, the Contractor shall "kill" the existing service by closing the corporation stop, removing the existing flare nut, inserting the existing flared nut on the corporation stop if the main is non-ferrous, or plugging the existing service line at the main if the main is ferrous. The Contractor shall then immediately open the stop and restore water service to the Customer. Where it is not possible to obtain sufficient length in the existing service to tie directly to the new main, at the direction of the Engineer, the Contractor shall splice the necessary length of new tubing and tie it to the existing service by means of a compression coupling at a point as close as practicable to the new main.

Cutting and bending of the tubing, introduction of slack to compensate for soil movement, and completion of the installation shall be as specified in this specification.

Where old and new mains are on opposite sides of the street, service lines may be installed under the street pavement by boring rather than trenching.

3.7.16. Relaying Service Lines: The existing or new mains shown on plans shall be exposed opposite location stakes placed on site at the direction of the Engineer. The existing or new main shall; be drilled and tapped with an approved tapping machine, a new corporation stop installed, and the trench extended laterally to the location specified for the meter box. The existing meter shall be reset and the meter box and base shall be installed at its staked location and perpendicular to the corporation stop in the water main. The meter box location shall not vary more than 24 inches in any direction from its staked location. The service line shall be installed with sufficient slack to compensate for soil movement. Where the location of the existing meter is not changed, the new service line shall be extended from the main to the existing meter, a new curb stop installed at the end of the service line, and connected to the inlet side of the meter. If disturbed, the existing meter box shall be reset to correct grade. Long service relays may be placed under the street pavement by boring or jacking rather than trenching.

3.7.17. Single Service Line - Dual Meters: The single service line - dual meter installation shall consist of a 1" copper service line reducing to two  $\frac{3}{4}$ " copper service lines at a tee which shall be set in line with the front edge of meter boxes for  $\frac{5}{8}$ " and  $\frac{3}{4}$ " meters. A single service line with dual meters shall be installed in those new residential developments where new  $\frac{5}{8}$ " and  $\frac{3}{4}$ " meters are required and in main replacement work where it is necessary to change the location of existing  $\frac{5}{8}$ " and  $\frac{3}{4}$ " meters. Single service line - dual meter materials and installation requirements shall conform to requirements established herein.

- 3.7.18. Small Service Lines on Existing Mains: The work involved in the installation of new copper service lines on existing mains shall consist of jacking, boring, tunneling, and, where authorized, open trench operations all excavation through whatever material encountered; trench excavation protection; using the existing corporation when approved by the Engineer; tapping the existing main and installing the new corporation and setting the curb stop or angle valve at the meter; relocating the existing meter and installing a new meter box where required in accordance with this specification; abandoning the existing corporation stop, removing the existing flared nut, inserting inside the existing flared nut an appropriately sized copper disc and replacing the existing flared nut on the corporation stop if the main is non-ferrous, or plugging the existing service line at the main if the main is ferrous; installing the new service line at the same grade as the existing service line or at the specified grade between the main and the existing meter and its tie-in at the corporation and the curb stop; disposal of surplus excavated material; capping the tap hole with asphalt treated base including the outer limits of the main line trench and the service line trench; cutting and replacing all surfaces of whatever type encountered over the completed service line trench; restoration of the site.
- 3.7.19. Large Service Lines: DI pipe and cast-iron fittings used for metered service lines and non-metered fire service lines larger than 2" shall be installed in accordance with the applicable provisions of this specification, except where otherwise approved by the Engineer.
- 3.7.20. Large Service Lines on New Mains: Work involved in the installation of a new metered service lines and non-metered fire service lines shall consist of all excavation through whatever material encountered; trench excavation protection, installing tees, pipe and fittings of various sizes including main line and service line valves, valve boxes, DI pipe, fittings, in accordance with plans and reaction block required; backfilling with approved selected material; cutting and replacing pavements, curbing, and sidewalks of all types over the limits of the main line trench and the completed DI service line.
- 3.7.21. Large Service Lines on Existing Mains: The work involved in the installation of the new metered service lines and non-metered fire service lines shall consist of all excavation through whatever material encountered, trench excavation protection, cutting-in tees and installing tapping sleeves and valves, pipe and fittings of various sizes including main line and service valves; valves boxes, DI pipe, fittings and reaction block required; backfilling with approved selected material; cutting and replacing pavements, curbing, and sidewalks of all types over the limits of the main line trench and the completed DI service line.
- 3.7.22. Meter Boxes. Physical movement of existing meters and meter boxes to new locations may be required where service lines are transferred to new mains in conjunction with main replacement work. Unless specified otherwise, the Contractor shall move existing meters and meter boxes and reconnect and adjust customer's yard piping as part of transferring service lines. A dielectric coupling PVC schedule 80 shall be installed within the meter box between the meter and the customer's yard piping.
- Round and oval meter boxes with round covers shall be salvaged and returned to the Owner by the Contractor. The Contractor shall also replace the salvaged meter boxes with the new, appropriately styled oval plastic meter box with oval cover, or rectangular meter box. Unless otherwise specified, the old service line shall be abandoned after the existing meter has been reset in the existing or new meter box.
- Where meter boxes are installed in sidewalks or driveways, the Contractor shall install a number one meter box (2 pieces) as shown in the Specification and plans.
- New meters will be set by the Owner where mains are extended and new services lines are installed for new or initial customer service. In lieu of the new meter, the Contractor shall furnish and install a meter template in accordance with plans
- Meter and meter box configuration, shall have the meter set horizontal, approximately 6 inches below the top of meter box, so that the meter is above the bottom of the meter box and in line with the meter box lid opening. The top of the meter box shall be flush with the existing ground surface. All excess soil above the meter coupling, meter flange and meter nuts inside the meter box shall be removed so that the meter register is clearly visible. The Contractor shall exercise special precautions during excavation at the existing meter location in order to minimize the disturbance of the customer's yard piping. However, if the existing meter elevation is low, the Contractor shall raise the existing meter to conform to the correct configuration indicated

herein. Adjustment of meter to proper grade is incidental to the construction and will not be paid for separately.

Where required, pressure reducing valves shall be installed by the customer in accordance with the Uniform Plumbing Code and shall be placed beyond the outlet side of the meter, but not within the Owner's meter box. The pressure reducing valve shall be the property of the water user who will be responsible for its installation, maintenance, and replacement as required.

The meter box adjustment shall not exceed 10 linear feet from the existing box.

### 3.7.23. Water Service for Fire Lines

- 3.7.23.1. Start of Work: Three working days notice will be given to the Inspector prior to start of a project after permit has been issued. The Contractor shall start his work at a tie-in or point designated by the Engineer. Pipe shall be laid with bell ends facing in the direction of laying, unless otherwise authorized or directed by the Engineer. All valves and fire hydrants must be installed as soon as pipe laying reaches their established location. Pipe shall be installed to the required lines and grades with fittings, valves, and hydrants placed at the required locations. Spigots shall be centered in bells or collars, all valves and hydrant stems shall be set plumb, and fire hydrant nozzles shall face as shown on the plans or as directed by the Engineer. No valve or other control on the existing system shall be operated for any purpose by the Contractor unless a representative of the Water System Utility Owner is present.
- 3.7.23.2. Crossing Other Underground Lines: New fire line services crossing any other utilities shall have a minimum of 48 inches of cover over the top of the pipe unless otherwise waived or modified by the Engineer. Excavation around other utilities shall be done by hand for at least 12 inches all around. Any damage to other utilities shall be reported to their proper governing entity.
- 3.7.23.3. Pipe Grade: Fire line services shall have a minimum of 48 inches of cover for mains 16" and below, and 60 inches for mains 20" and above, over the top of the pipe unless otherwise waived or modified by the Engineer. Pipe grades shall be as required by the plans or as directed by the Engineer. Grades shall be met as specified. Precautions shall be taken to insure that the pipe barrel has uniform contact with the Modified Grade 5 for its full length except at couplings. Couplings shall not be in contact with the original trench bottom prior to backfilling. Bedding and initial backfill material shall be placed under the coupling and compacted by hand prior to backfilling so as to provide an even bearing surface under the coupling and pipe. Changes in grade shall be made only at joints.
- 3.7.23.4. Modified Grade 5 Materials: Prior to placing pipe in a trench, the trench shall have been excavated to the proper depth as required of these specifications. Approved new materials or Engineer approved materials selected from suitable fines derived from the excavation shall be smoothly worked across the entire width of the trench bottom to provide a supporting cushion.
- 3.7.23.5. Structures to Support Pipe: Where as the bottom of a trench at subgrade consist of material that is notably unstable by the Engineer and cannot be removed and replaced with approved material may be properly compacted in place to support the pipe. The Contractor shall also construct a foundation for the pipe consisting of piling, concrete beams, or other supports in accordance with plans prepared by the Engineer.
- 3.7.23.6. Lowering Materials into Trench: Proper implements, tools, and facilities satisfactory to the Engineer shall be provided and used by the Contractor for the safe and convenient completion of work. All pipe, fittings, valves, and hydrants shall be carefully lowered into the trench piece by piece, by means of a derrick, ropes, or other suitable tools or equipment in such a manner as to prevent damage to water service materials and protective coatings and linings. Under no circumstances shall water service materials, pipes, fittings, etc., be dropped or dumped into the trench. Extreme care shall be taken to avoid damaging polywrap films. No chains or slings shall be allowed unless the entire sling is wrapped with a protective nylon web sock.
- 3.7.23.7. Laying of Pipe: Every precaution shall be taken to prevent foreign material from entering the pipe during its installation. Under adverse trench conditions or otherwise required by the Engineer, a heavy, tightly woven canvas bag of suitably sized shall be placed over each of the pipe.

The Canvas bag shall be left in place until a connection is made to the adjacent pipe. The interior of each pipe shall be inspected for defects, and the pipe shall be rejected if any defects are found.

After placing a length of pipe in the trench, the jointed end shall be centered on the pipe already in place, forced into place, brought to correct line and grade, and completed in accordance with the requirements of these Specifications. The pipe shall be secured in place with approved backfill material tamped around it. Pipe and fittings which do not allow a sufficient and uniform space for joints shall be rejected and shall be replaced with pipe and fittings of proper dimensions. Precautions shall be taken to prevent dirt or other foreign matter from entering the joint space.

At times when pipe laying is halted, the open end of pipe in the trench shall be closed by a watertight plug or other means approved by the Engineer. Pipe in the trench which cannot temporarily be joined shall be capped or plugged at each end to make it watertight. This provision shall apply during all periods when pipe laying is not in progress. Should water enter the trench, the seal shall remain in place until the trench is pumped completely dry. The Contractor shall provide all plugs and caps of the various sizes required.

- 3.7.23.8. Deviations in Line or Grade: Wherever obstructions not shown on the plans are encountered during the progress of the work and interfere to an extent that an alteration in the plan is required, the Inspector shall have the authority to change the plans and direct a deviation from the line and grade or to arrange with the owners of the structures for the removal, relocation, or reconstruction of the obstructions. Any deviation from the line shall be accomplished by the use of appropriate bends unless such requirement is specifically waived by the Engineer.

Whenever it is necessary to deflect pipe from a straight line, the deflection shall be as directed by the Inspector and as described herein. In no case shall the amounts exceed those shown in Table 19 "Maximum Deflections of Ductile-Iron Pipe" for ductile-iron pipe

- 3.7.23.9. Cutting Pipe: The cutting of pipe for inserting valves, fittings, or closure pieces shall be accomplished in a neat manner so as to produce a smooth end at right angles to the axis of the pipe. The recommendations of the pipe manufacturer shall be strictly followed by the Contractor. Under no circumstances, shall a workman not equipped with proper safety goggles, helmet and all other required safety attire be permitted to engage in this work.
- 3.7.23.10. Asbestos-Cement (AC): No field cutting will be allowed on asbestos cement pipe. Installation of fire line services to AC pipe mains shall accomplished according to paragraph 3.B.16.

Joint Assembly:

- 3.7.23.10.1. Rubber Ring Joints: The installation of pipe and the assembly of rubber ring joints for Ductile-Iron pipe shall conform to the pipe manufacturer's assembly instructions. The method of inserting spigot ends of pipe in bells or collars known as "stabbing" shall not be permitted. Spigot ends of pipe must be properly inserted in the joint by means of suitable pushing/pulling devices or a manufacture approved method.
- 3.7.23.10.2. Mechanical Couplings: Mechanical couplings shall be assembled and installed according to the standards recommended by the manufacturer.

Mechanical coupling consists of a cylindrical steel middle ring, two steel follower rings, two rubber compound gaskets, and a set of steel bolts. The middle ring is flared at each end to receive the wedge-shaped gasket which is compressed between the middle ring flare and the outer surface of the pipe by pressure exerted on the follower rings through the bolt circle.

Prior to the installation of the mechanical coupling, the pipe ends shall be cleaned by wire brush or other acceptable method to provide a smooth bearing surface for the rubber compression gasket. The pipe shall be marked to align the end of the coupling which will center it over the joint. After positioning, the nuts shall be drawn up finger tight. Uniform pressure on the gaskets shall be applied by tightening alternate bolts on the opposite side of the circle in incremental amounts. Soap and final tensioning shall be accomplished with a torque wrench and in a matter similar to the tightening procedure after 15 minutes.



3.7.23.10.3. Restrained Joints: Restrained Joints shall be installed as shown on the plans or as directed by the Inspector. Installation shall conform to the manufacture's recommendations.

3.8. **Installation of the Nonmetallic Pipe Detection System.**

The nonmetallic pipe detection system is to be installed concurrently with the proposed pipe placement. Tracer wire shall be utilized for location purposes and taped directly to the pipe. The tracer wire shall be solid core (14 gauge insulated), and shall be taper to the main in 10-inch increments. Wire shall also come up to the top of valve extensions and fire hydrant stems, as directed by the Engineer.

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4. **MEASUREMENT**

This Item will be measured as follows: "Pipe Water Main (DI)", "Pipe Water Main (PVC)", "Pipe Water Main (PVC Casing) (Open Cut)", and "Pipe Water Main (Steel Casing) (Open Cut)" for water pipe of the various sizes shown on the plans, will be measured by the linear foot as follows: From the centerline intersection of runs and branches of tees to the end of the valve of a dead-end run.

Between the centerline intersections of runs and branches of tees, and where the branch is plugged for future connection, the measurement will include the entire laying length of the branch or branches of the fitting.

The measurement of each line of pipe of each size will be continuous and is to include the full laying lengths of all fittings and valves installed between the ends of such line except that the laying lengths of reducers will be divided equally between the connected pipe sizes. Lines leading to a tapping connection with an existing main will be measured to the center of the main tapped.

"Fire Lines" will be measured by the linear foot for each size and type from the centerline intersection of the fire line with the main distribution line to the property line. The measurement will include the entire laying length of the branch or branches of the fitting and valves. Line leading to a tapping connection with an existing main will be measured to the center of the main tapped.

"Jacking or Boring (Water Main)" will be measured by the linear foot of bore or tunnel as measured from face to face of jacking pits.

Carrier pipe used in bores and tunnels or backed into place will be measured by the linear foot of pipe installed from end to end of pipe to the limits shown on the plans

Casing or liners used in bores and tunnels, where required by the plans, of the size and material required will be measured by the linear foot actually installed in accordance with plans.

"Butterfly Valve and Box (Complete)" will be measured as each assembly of the various sizes installed.

"Gate Valve and Box (Complete)" will be measured as each assembly of the various sizes installed to finished grade.

"Cut-in Gate Valve and Box (Complete)" will be measured as each assembly of the various sizes installed to finished grade.

"Tapping Sleeve, Valve and Box (Complete)" will be measured as each assembly of the various sizes installed.

"Cut-in Tee (Complete)" will be measured as each assembly of the various sizes of cast-iron tees cut-in to the existing water main.

"Adjust Existing Valve Box" will be measured as each assembly adjusted to correspond to finish grade.

"Concrete Encasement, Concrete Cradles, Concrete Saddles and Concrete Collars" for pipe will be measured by the cubic yard as dimensioned on the plans, 6' in depth measured from the outside pipe diameter (0.0) or as directed. Reinforcing if required will not be measured for payment.

"Fire Hydrant with 6-in. Valve and Box" will be measured as each fire hydrant installed. Also included will be sufficient pipe, valve and fittings.

"Relocate Fire Hydrant" will be measured as each fire hydrant relocated.

"Permanent Blow-off (Complete)" will be measured as each assembly of the various sizes installed.

"Temporary Blow-off (Complete)" will be measured as each assembly of the various sizes installed.

"Automatic Air Release Valve (Complete)" will be measured as each assembly of the size installed.

"Trench Excavation Protection" and "Joint Trench Excavation Protection" will be measured by the linear foot along the centerline of trench where the depth of trench exceeds 5-ft.

"Tie-In (Complete)" will be measured as each of the various sizes and types completed.

"New Short Service" will be measured as each of the various sizes and types of new service lines installed.

"New Long Service" will be measured as each of the various sizes and types of new service lines installed.

"New Unmetered Short Service" will be measured as each of the various sizes and types of new unmetered service lines installed.

"New Unmetered Long Service" will be measured as each of the various sizes and types of new unmetered service lines installed.

"Reconnect Short Service" will be measured as each of the various sizes of service lines reconnected.

"Reconnect Long Service" will be measured as each of the various sizes of service lines reconnected.

"Relay Short Service" will be measured as each of the various sizes of service lines re-laid.

"Relay Long Service" will be measured as each of the various sizes of service lines re-laid.

"Relocate Short Service" will be measured as each of the various sizes of service lines relocated.

"Relocate Long Service" will be measured as each of the various sizes of service lines relocated.

"Relocate Existing Meter and Existing Meter Box" will be measured as each assembly relocated and customer's service reconnected.

"Relocate Existing Meter and New Meter Box" will be measured as each assembly relocated and customer's service reconnected.

"Cut and Replace Concrete Sidewalk, Driveway, Etc." will be measured by the square yard of surface area of the concrete sidewalk cut and replaced, but not to exceed the minimum trench width specified in Section 3.A.2, "Width of Trench" or as shown on plans.

"Cut and Replace Concrete Sidewalk (Asphalt)" will be measured by the square yard of surface area of concrete sidewalk to be cut and replaced with temporary asphalt (4-inch depth, Type C) pavement, but not to exceed the minimum trench width specified in Section 3.A.2, "Width of Trench" or as shown on the plans.

"Cut and Replace Asphalt Pavement" will be measured by the square yard of surface area of the asphalt pavement cut and replaced, but not to exceed the minimum trench width specified in Section 3.A.2, "Width of Trench" or as shown on plans.

"Concrete Curb" will be measured by the linear foot of the concrete curb cut and replaced, but not to exceed the minimum trench width specified in Section 3.A.2, "Width of Trench" or as shown on plans.

"Cut and Replace Asphalt Pavement with 6-in. Asphalt Treated Base" will be measured by the square yard of surface area of the asphalt pavement cut and replaced with 6-in. of asphalt treated base, but not to exceed the minimum trench width specified in Section 3.A.2, "Width of Trench" or as shown on plans.

"Hydrostatic Pressure Test" will be measured as each successful test conducted.

"Excavation" will not be measured for payment, but is to be considered subsidiary to the pipe installation.

"Flowable Backfill" will be measured by the cubic yard in accordance with Item 401, "Flowable Backfill", but not to exceed the minimum trench width specified in Section 3.A.2, "Width of Trench" or as shown on the plans.

"Installation of the Nonmetallic Pipe Detection System" will not be measured for payment, but is to be considered subsidiary to the pipe installation.

"Removing and Replacing Chain-Link and/or Wire Fence" will be measured by the linear foot of fence removed and replaced, regardless of the type or height of the fence, complete in place.

"Ductile Iron and Gray Iron Fittings" will be considered incidental to the pipe installation and a "No-Pay" item.

## **5. PAYMENT**

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the unit prices bid for the items of work hereinafter described. These prices are to be full compensation for furnishing and hauling all materials; for placing or installing the materials; for inspection and testing; and for all other items of material, labor, equipment, tools and incidentals necessary to complete the work in accordance with the plans and specifications.

Payment for "Pipe Water Main (DI)", "Pipe Water Main (PVC)", "Pipe Water Main (PVC Casing)(Open Cut)", and "Pipe Water Main (Steel Casing)(Open Cut)" will be made at the unit price bid per foot of pipe of the various sizes installed by the open cut method. This payment is also to include selected bedding, excavation, backfill materials, polyethylene sleeve, all required fittings associated with the installation of the water main pipe of the various sizes, and hauling and disposition of surplus excavated materials.

Payment for "Fire Lines" installed will be made at the unit price bid for pipe of various sizes installed. Such payment shall include excavation selected embedment material, backfill, compaction of trench backfill, testing of compaction, tie-in, polyethylene sleeve where required, hauling, disposing of surplus excavated material, and restoration of surface. All replacement mains shall include tie-in costs for existing fire lines.

Payment for "Jacking or Boring (Water Main)", Jacking, Boring or Tunneling will be paid for at the contract unit price bid per linear foot of jacking, boring or tunneling, which price shall be full compensation for furnishing all materials (except carrier pipe, casings or liners), labor, tools, equipment and incidentals necessary to complete the work, including excavation, grouting, backfilling, restoration to original ground conditions, and disposal of surplus materials.

Carrier pipe used in bores or tunnels shall be paid for at the contract unit price bid for "Carrier Pipe for Jacking, Boring or Tunneling" per linear foot of pipe installed and measured as prescribed above.

Casings or liners used in bores or tunnels shall be paid for at the contract unit price bid for "Casing or Liner for Jacking, Boring or Tunneling" per linear foot of casing or liner installed and measured as prescribed above.

Payment for "Gate Valve and Box (Complete)" and "Tapping Sleeve, Valve and Box (Complete)" will be made at the unit price bid for each such assembly of the various sizes installed. This payment is also to include selected embedment material, anti-corrosion embedment when specified, concrete collar at the valve box where subjected to vehicular traffic, ductile iron riser pipe, cast-iron boot, packing, tarpaper, concrete grout, concrete reaction blocking, asphaltic material for bolts, nuts and ferrous surfaces, polyethylene sleeve, hauling and disposition of excavated surplus material and backfill where required. For butterfly valves only, such payment is also to include mechanical or transition couplings, and coated and wrapped steel pipe nipples required to complete the connection.

Payment for "Cut-in Gate Valve and Box (Complete)" will be made at the unit price bid for each such assembly of the various sizes installed. This payment is to include backfill, installation of valve, valve box assembly, all pipe cut and used to complete cut-in, reaction blocking, and polyethylene sleeve where required.

Payment for "Cut-in Tee (Complete)" will be made at the unit price bid for each of the various sizes of cast iron tees cut-in to ductile and cast iron mains. This payment is also to include necessary tie-ins, protective coating for bolts, nuts, ferrous surfaces, selected embedment material, anti-corrosion embedment when specified, backfill, pipe, fittings, polyethylene sleeve when required, concrete reaction blocking, and site restoration.

Payment for "Adjust Existing Valve Box" will be made at the unit price bid for each valve box adjusted to finish grade.

Payment will be made at the unit price bid for "Concrete Encasement, Concrete Cradles, Concrete Saddles and Concrete Collars" by the cubic yard of concrete placed. Reinforcing, if required, shall not be measured for payment.

Payment for "Fire Hydrant with 6-in Valve and Box" and "Relocate Fire Hydrant" will be made at the unit prices bid for each such assemblies installed.

These payments are to include backfill, selected material, anti-corrosion embedment when specified, branch line pipe, fittings exclusive of the tee from the main line pipe, polyethylene sleeve, hauling and disposition of excavated surplus material where required, asphaltic material for ferrous surfaces, concrete reaction blocking, concrete pad restoration of existing fire hydrant sites and installing a new fire hydrant as directed.

Payment for "Permanent Blow-off (Complete)" and "Temporary Blow-off (Complete)" will be made at the unit price bid for each such assembly installed in accordance with the details shown on the plans. Payment for the eccentric reducer will be made at the unit price bid for each ton of fittings of all types and sizes installed. Payment for the pipe nipple with reaction stop ring will be made at the unit price bid for each linear foot of pipe of the various sizes installed by the open cut method. These payments are also to include excavation, anti-corrosion when specified, the housing and disposition surplus excavated materials and approved selected backfill.

Payment for "Automatic Air Release Valve (Complete)" will be made at the unit price bid for each assembly of the various sizes installed in accordance with the details shown on the plans. This payment is also to include selected embedment material, anti-corrosion embedment when specified, excavation and hauling and disposition of surplus excavated materials, blocking and various sizes and types of meter boxes.

Payment for "Trench Excavation Protection" and "Joint Trench Excavation Protection" is to be made on the basis of the unit price bid for each linear foot of "Trench Excavation Protection" and "Joint Trench Excavation Protection" in place. Payment is to include all components of the trench protection system which can include, but not limited to sloping, sheeting, trench boxes or trench shields, sheet piling, cribbing, bracing, shoring, dewatering or diversion of water to provide adequate drainage. Payment is also to include the additional

excavation and backfill required, any jacking, jack removal and removal of the trench support after completion and be full compensation for all other labor, materials, tools, equipment and incidentals necessary to complete the work.

Payment for "Tie-In (Complete)" will be made at the unit price bid for each tie-in of the various sizes and types completed. This payment is to include shutdown and isolation of the existing main to which the tie is to be made, cutting pipe for connection, de-watering the excavation, and customer notification of service interruption where required. Connections between new and existing mains which are made with tapping sleeves and valves by cutting-in tees will be as a no-separate pay item.

Payment for "New Short Service" and "New Long Service" will be made at the unit price bid for each new service line of the various sizes and types installed. This payment is to include reconnection of new service to the existing meter and the adjustment of the meter, meter box, and Customer valve. Such payment shall also include excavation, trench excavation protection, hauling and disposition of surplus excavated materials, sand backfill, cutting pavement and surface structures of whatever type fittings of the various sizes used in the service line relay and copper tubing or ductile iron pipe (4-in. and larger).

Payment for "New Unmetered Short Service" and "New Unmetered Long Service" will be made at the unit price bid for each new un-metered service line of the various sizes and types installed. This payment is to include excavated materials, trench excavation protection, sand backfill, cutting in pavement and surface structures of whatever type encountered and replacement with whatever type specified, a new meter box where required, copper tubing or ductile iron pipe (4-in. and larger), valve and valve box assembly, and fittings of the various sizes used in the installation of new service lines.

Payment for "Reconnect Short Service" and "Reconnect Long Service" will be made at the unit price bid for each service line of the various sizes and types reconnected. This payment is to include excavation, trench excavation protection, hauling and disposition of surplus excavated materials, sand backfill, meter box relocation where required, cutting pavement and surface structures of whatever type encountered and replacement with whatever type specified, copper tubing or ductile iron pipe (4-in. and larger), valve and valve box assembly, and fittings of the various sizes used in the service line reconnection.

Payment for "Relay Short Service" and "Relay Long Service" will be made at the unit price bid for each service line of the various sizes and types relaid. This payment is to include reconnection of new service to existing meter, sand backfill, meter box relocation where required, copper tubing or ductile iron pipe (4-in. and larger), valve and valve box assembly, and fittings of the various sizes used in the service line relay.

Payment for "Relocate Short Service" and "Relocate Long Service" will be made at the unit price bid for each service line of the various sizes relocated. This payment is to include sand backfill, meter box relocation where required, copper tubing or ductile iron pipe (4-in. and larger) when required, valve and valve box assembly when required, and fittings of the various sizes used in the service line relocation.

Payment for "Relocate Existing Meter and Existing Meter Box" will be made at the unit price bid for each assembly relocated. This payment is also to include excavation protection, hauling and disposition of surplus excavated materials, sand backfill, removal and replacement of yard piping with piping of the various sizes and types and in the quantities necessary to complete the connection between the relocated existing meter and existing meter box, and the existing yard piping.

Payment for "Relocate Existing Meter and New Meter Box" will be made at the unit price bid for each assembly relocated. This payment is also to include sand backfill, removal and replacement of yard piping with piping of the various sizes and types and in the quantities necessary to complete the connection between the relocated existing meter and new meter box, and the existing yard piping.

Payment for the number one meter box installation in sidewalks and driveways shall be paid in the amount difference between the standard meter box and the number one meter box.

Payment for "Cut and Replace Concrete Sidewalk, Driveway, Etc." will be made at the unit price bid.

Payment for "Cut and Replace Asphalt Pavement" will be made at the unit price bid Payment for "Cut and Replace Asphalt Pavement with 6-in. of Asphalt Treated Base" will be made at the unit price bid.

Payment for "Cut and Replace Concrete Sidewalk (Asphalt)" will be made at the unit price bid.

Payment for "Concrete Curb" will be made at the unit price bid.

Payment for "Hydrostatic Pressure Test" will be made at the unit price bid for each successful test. Such payment includes all materials and equipment required to conduct test.

Payment for "Flowable Backfill" will be made at the unit price bid for each cubic yard of flowable backfill placed, but not to exceed the minimum trench width specified in Section 3.A.2. "Width of Trench".

Payment for "Removing and Replacing Chain-Link and/or Wire Fence" will be by the unit price bid per linear foot of fence removed and replaced.

No direct payment will be made for concrete blocking of water mains; coating and wrapping pipe joints; trench excavation below specified limits; excavation and removal of unsuitable material at bottom of trench grade and restoration with approved material; supporting pipe or conduits of public utilities; abandonment of water mains and valves; resetting existing meters and meter boxes in proper configuration; salvaging fire hydrants, valve boxes and meter boxes; flushing water mains; and disinfection of water mains. This work is to be considered subsidiary to the various bid items.

No direct payment will be made for furnishing and installing the nonmetallic pipe detection system. This work and materials are to be considered subsidiary to the various pay items. In addition, the Contractor is to ensure that the detection system is complete and operational to the satisfaction of the Engineer.

No direct payment will be made for furnishing and installing the pipe joint restraint system. This work and materials shall be considered subsidiary to the various bid items.

No direct payment will be made for furnishing and installing ductile and gray iron fittings. This work and materials shall be considered subsidiary to the various pay items

No direct payment will be made for furnishing and installing the Joint Restraint System for PVC C-905. This work and materials shall be considered subsidiary to the various pay items.

The work performed and materials furnished in accordance with this Item and measured as provided under "Measurement" will be paid for at the various unit prices. These prices are full compensation for furnishing materials and for equipment, labor, tools, and incidentals.

# Special Specification 7136

## Water Mains and Appurtenances



### 1. DESCRIPTION

Furnish and install all materials, and equipment, listed in this Special Specification and shown on the plans.

### 2. MATERIALS

Install piping systems using the materials designated on the plans and in details shown for each particular system. Furnish materials designated in accordance with all material requirements of this Special Specification.

### 3. CONSTRUCTION

Perform work in a manner consistent with this Special Specification and the Standards, Addenda, and the following listed Specifications:

- The General Specifications (Standard Specifications for Public Works Construction – North Central Texas Council of Governments - Latest Edition) – A copy of the Standard Specifications for Public Works Construction may be obtained from the North Central Texas Council of Governments, 616 Six Flags Drive, Arlington, Texas, Telephone (817) 640-3300.
- Occupational Safety and Health Standards - Excavations, 29 CFR Part 1926; effective January 2, 1990, (or Latest Edition).

### 4. MEASUREMENT

This Item will be measured as follows and as detailed in this Special Specification:

**Table1**  
**Pay Items**

Description Code	Description	Unit
7136-6001	6" C900 DR18 PVC Water Pipe	LF
7136-6002	3.5" C900 PVC Water Pipe	LF
7136-6003	6" Gate Valve	EA
7136-6004	3.5" Gate Valve	EA
7136-6005	6" Plug	EA
7136-6006	3.5" Plug	EA
7136-6007	6" 45 Degree Bend	EA
7136-6008	6" 90 Degree Bend	EA
7136-6009	3.5" 45 Degree Bend	EA
7136-6010	6" x 3.5" Tee	EA
7136-6011	Trench Excavation Protection	LF
7136-6012	Construction Staking	LS
7136-6013	As-Built Survey	LS

7136-6014	Abandon/Remove Existing 6" Water Line	LF
7136-6015	Abandon/Remove Existing 3.5" Water Line	LF
7136-6020	10" Steel Encased Pipe (NO OPEN CUT)	LF
7136-6016	Existing Pipe to Pipe Connection	EA
7136-6017	10" Steel Encasement (OPEN CUT)	LF
7136-6018	6" Steel Encasement (OPEN CUT)	LF
7136-6019	Remove and Install Water Meter	EA

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## 5. PAYMENT

The work performed with this Item and measured as provided under "Measurement" will be paid for at the unit price bid for the various items of work. These prices must be full compensation for furnishing all labor, tools, equipment and incidentals necessary to complete the work prescribed in this Special Specification and as detailed on the plans.



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